



August 1, 2022

John H. Clarke  
Law Office of John H. Clarke  
1629 K Street, NW  
Suite 300  
johnhclarke@earthlink.net

RE: Freedom of Information Act Request: NGC21-632; Civil Action No. 20-1735 (RCL)

Dear Mr. Clarke:

This is in response to your client's Freedom of Information Act (FOIA) requests to the National Archives and Records Administration (NARA), dated April 20, 2020, and May 21, 2021. We assigned your request internal tracking number NGC21-632.

As part of this third release, we processed 7 electronic PDF documents totaling 428 pages responsive to your request. After our review, we have determined that all 428 pages can be released in full. The attached files are finding aids and accessioning paperwork for Record Group (RG) 59, General Records of the Department of State.

As another part of this third release, we processed 3 electronic PDF documents totaling 252 pages responsive to your request. After our review, we have determined that all 252 pages can be released in full. The attached files are finding aids and accessioning paperwork for Record Group (RG) 60, General Records of the Department of Justice.

As this request is now in litigation, please contact Assistant United States Attorney Thomas W. Duffey (Thomas.duffey@usdoj.gov) if you have any questions. The case number for this litigation case 20-cv-01735 (RCL).

Sincerely,

*Joseph A. Scanlon*

Joseph A. Scanlon  
FOIA & Privacy Act Officer  
Office of General Counsel

NATIONAL ARCHIVES *and*  
RECORDS ADMINISTRATION

8601 ADELPHI ROAD  
COLLEGE PARK, MD 20740-6001

[www.archives.gov](http://www.archives.gov)

August 5, 2022 Production 000001

301-837-0583 work  
[Joseph.scanlon@nara.gov](mailto:Joseph.scanlon@nara.gov)

**NATIONAL ARCHIVES AND RECORDS ADMINISTRATION**  
**COVER SHEET - TRANSACTION DOSSIER**

TRANSACTION	
NUMBER NN3-060-04-005	OTHER IDENTIFICATION Records of Attorney General Edwin Meese, 1985-1988-
CLOSED	
DATE <i>10/31/06</i>	BY (Signature) <i>Juanne Schaubert</i> Director, Initial Processing and Declassification Division.
CONSOLIDATED WITH	
TRANSACTION NUMBER	OTHER IDENTIFICATION
RELATED TRANSACTIONS	
TRANSACTION NUMBER	OTHER IDENTIFICATION
INSERTIONS AND CHANGES	
DATE	NATURE OF INSERTION OR CHANGE

CHANGE OF STATUS RECORD	For NN Use	Unit	RG Number
Record Group Level		NWMD	060
	Originator's Transaction Number	Total Number of Files Attached	
		0	

STATISTICAL TRANSACTIONS (Enter code from table below in left column)

INCREASE	DECREASE	TRANSFER	X	OTHER TRANSACTION
1. ACCESSION	5. DISPOSAL	9. CHANGE IN PHYSICAL CUSTODY		11. RESERVED
2. ACCRETION	6. PERMANENT WITHDRAWAL	10. CHANGE OF RECORD GROUP		12. NEW RECORD GROUP
3. REMEASUREMENT	7. REMEASUREMENT	(enter RG nos. in unit of	X	13. INITIAL INPUT
4. OTHER (explain)	8. OTHER (explain)	physical custody)		14. CORRECTION/REVISION
				15. NA GUIDE NARRATIVE
				16. RESTRICTION STATEMENT
				17. PRIVACY STATEMENT
				18. CHNG IN FINDING AID CITATION
				19. CHNG IN LOCATION
				20. OTHER (explain)

VOLUME (M<sup>3</sup>)

Check if Cu. Ft. X

ITEMS

Number of Items

Trans CODE	No. of Sheets Attached	Unit of Physical Custody	+	-	This Change	After Change	Item CODE	This Change	After Change
3		NWCTC		-	31.30				

TOTAL VOLUME AFTER CHANGE \_\_\_\_\_  
To be completed by NWC

REMARKS: RG 60- GENERAL RECORDS OF THE DEPARTMENT OF JUSTICE.

The attached ARC forms provide a description for unclassified ALPHABETICAL NAME CORRESPONDENCE FILES, 1985-1988; SUBJECT FILES OF ATTORNEY GENERAL EDWIN MEESE, 1985-1988; SENSITIVE CORRESPONDENCE FILES, 1986-1988; COMPONENT CORRESPONDENCE FILES, 1985-1988; and CHRONOLOGICAL FILES, 1985-1988. These records were accessioned under NN3-060-04-005.

Total ARC forms: 5 (ARC ID's: 646761; 651799; 652403; 720254; 765347)

Total Boxes: LGA-S, 404.

REVIEWS AND APPROVALS

FOR NWC USE

1. Prepared by T. Chestnut <i>TMC</i>	3. Reviewed by	5. Reviewed by	7. ARCON Division <i>Joanna J...</i>	Reviewed
Unit NWMD Date 10/05/06	Unit Date	Unit Date	Unit NWMD Date 10/31/06	
2. Reviewed by <i>Madeleine Proctor</i>	4. Reviewed by	6. Reviewed by	8. Approved by	Posted
Unit NWMD Date 10-30-06	Unit Date	Unit Date	Unit Date	

## **FULL- STAFF ONLY**

**ARC ID:** 646761

**DESCRIPTION TYPE:** Series

**TITLE:** Alphabetical Name Correspondence Files

**GENERAL RECORDS TYPE:** Textual Records

**INCLUSIVE DATES:** 1985 - 1988

**COVERAGE DATES:** 1984 - 1988

**DATE NOTE:** Some of the records are dated 1984.

### **CREATING ORG.**

NAME: Department of Justice. Office of the Attorney General.

DATES: (1870 - )

CREATOR TYPE: Most Recent

**ACCESS RESTRICTIONS STATUS:** Restricted - Possibly

### **ACCESS RESTRICTIONS SPECIFIC RESTRICTIONS**

SPECIFIC RESTRICTION: FOIA (b)(6) Personal Information

SPECIFIC RESTRICTION: FOIA (b)(7) Law Enforcement

**ACCESS RESTRICTIONS NOTE:** These records must be screened for personal privacy and law enforcement information under 5 U.S.C. 552(b) prior to public release.

**USE RESTRICTIONS STATUS:** Restricted - Possibly

**USE RESTRICTIONS SPECIFIC RESTRICTIONS:**

Copyright

**USE RESTRICTIONS NOTE:** Some or all of the records may be subject to copyright restrictions. Researchers should contact the publisher for further information.

**ARRANGEMENT:** Arranged alphabetically by name of correspondent and thereunder by month and year.

**FUNCTION AND USE:** These records were created or accumulated by Attorney General Edwin Meese during the daily course of his office activities.

**FINDING AIDS:** These records were created or accumulated by Attorney General Edwin Meese during the daily course of his office activities.

**SCOPE AND CONTENT NOTE:** This series consists of annual reports, booklets, books, circulars, digests, journals, newspaper clippings, notices, petitions, and transcripts received by Attorney General Edwin Meese from civil right activists and organizations, the general public, prominent citizens, Supreme Court Justices, members of Congress, Federal officials, business or corporate organizations, and community organizations. Replies to some of the letters are also included.

The records relate to strengthening or amending existing Federal laws concerning affirmative action, pornography, abortion (Roe v. Wade), drug trafficking, espionage, separation of church and state, illegal immigration, the Miranda decision, antitrust laws, and capital punishment; recommendations and oppositions to candidates nominated for Federal appointments; and racial discrimination, civil rights violations, and harassment by police officers or employers. Included also are requests for Meese to speak at programs and write editorials for magazines or newspapers; grievances from prison inmates requesting transfers, paroles, and pardons; and requests to investigate or reopen cases dealing with abducted, missing, and murdered children and adults.

Specific correspondence concerns Meese's confirmation as Attorney General of the United States; petitions supporting the religious-based political Sanctuary Movement regarding refugees fleeing war and death squads in Central America and seeking political asylum in the U.S.; and a request from Frank Burke, Acting U.S. Archivist to accession the Pumpkin Papers used to convict Alger Hiss of perjury in the Alger Hiss-Whittaker Chambers spy case. Included also are references to the case against Dr. Kurt Waldheim for alleged war crimes during World War II (WWII) and citizens requesting that he be put on the Watch List to not enter the U.S.; correspondence relating to restitution paid to persons of Japanese ancestry for internment in the U.S. during WWII; and a copy of the "Cook Report: A Critical Analysis of the Report to the President of the Presidential Commission on the Space Shuttle Challenger Accident" by former National Aeronautics and Space Administration (NASA) employee Richard C. Cook. Other topics regard the investigation of voter fraud allegations by civil rights activists in Alabama; closing of the Palestine Liberation Organization (P.L.O.) office at the United Nations Headquarters in New York; and commendations and disapprovals of Meese's testimony before the joint House and Senate hearing about the Iran-Contra Affair.

**OTHER TITLES:** Alpha Name Files (Citizen Mail)

**VARIANT CONTROL #**

TYPE: Master Location Register (MLR) Entry Number  
NUMBER: A1 Entry 1085

**LIFECYCLE NUMBERS DISPOSITION AUTHORITY NUMBER:** N1-60-94-2, Item 1a

**LIFECYCLE NUMBERS ACCESSION NUMBER:** NN3-060-04-005

**HIERARCHY**

CURRENT HIERARCHY  
CONTAINED BY  
RECORD GROUP NUMBER: 60  
TITLE: General Records of the Department of Justice  
DESCRIPTION TYPE: Record Group

**RECORD KEY:** 653748

**DESCRIPTION AUTHORS** DESCRIPTION AUTHOR: Trichita M. Chestnut  
DATE: 12/08/2005

**DATE ENTERED:** 08-DEC-2005

**ENTERED BY:** Chestnut, Trichita

**DATE LAST CHANGED:** 26-APR-2006

**LAST CHANGED BY:** Chestnut, Trichita

**DESCRIPTION STATUS:** Approved

**DATA CONTROL GROUP:** NW

**ARC EDIT STATUS:** Authorized record

**PHYSICAL OCCURRENCES**

COPY STATUS: Preservation-Reproduction-Reference  
GPRA INDICATOR: Yes  
EXTENT: 41 linear feet, 7 linear inches  
REFERENCE UNIT: NWCTC  
HOLDINGS MEASUREMENT  
CODE: LGA-S  
TYPE: Legal Archives Box, Standard  
COUNT: 95  
LOCATION  
FACILITY: National Archives at College Park - Archiv

es II (College Park, MD)

## MEDIA OCCURRENCES

MEDIA TYPE: Paper

DATE ENTERED: 08-DEC-05

ENTERED BY: Chestnut, Trichita

CONTAINER LIST: Box 1: A (Jan.- Oct. 1985)

Box 2: A-B (Nov.-June 1985)

Box 3: B (June-Aug. 1985)

Box 4: B-C (Sept-Feb. 1985)

Box 5: C (Mar.-July 1985)

Box 6: C (Aug.-Nov. 1985)

Box 7: C-D (Dec.-Aug. 1985)

Box 8: D-E (Sept.-Dec. 1985)

Box 9: F (Jan.-Oct. 1985)

Box 10: F-G (Nov.-July 1985)

Box 11: G (Aug.-Dec. 1985)

Box 12: H (Jan.-July 1985)

Box 13: H (Aug.-Oct. 1985)

Box 14: H-J (Nov.-Oct. 1985)

Box 15: J-K (Nov.-Sept. 1985)

Box 16: K-L (Oct.-June 1985)

Box 17: L-M (July-Apr. 1985)

Box 18: M (May-Aug. 1985)

Box 19: M (Sept.-Nov. 1985)

Box 20: M-N (Dec.-Dec. 1985)

Box 21: O-P (Feb.-Apr. 1985)

Box 22: P (May-Nov. 1985)

Box 23: P-R (Dec.-Aug. 1985)

Box 24: R-S (Sept.-Apr. 1985)

Box 25: S (May-Aug. 1985)

Box 26: S (Sept.-Nov. 1985)

Box 27: S-T (Dec.-July 1985)

Box 28: T-W (Aug.-Mar. 1985)

Box 29: W (Apr.-Aug. 1985)

Box 30: W-Y (Sept.-Dec. 1985)

Box 31: Z-A (Jan. 1985-Sept. 1986)

Box 32: A-B (Oct.-Mar. 1986)

Box 33: B Apr.-June 1986)

Box 34: B (July-Aug. 1986)

Box 35: B (Sept. 1986)

Box 36: B (Oct.-Dec. 1986)

Box 37: C (Jan.-Apr. 1986)

Box 38: C (May-Aug. 1986)

Box 39: C (Sept.-Dec. 1986)

Box 40: D (Jan.-Aug. 1986)

Box 41: D-E (Sept.-Sept. 1986)

Box 42: E-F (Oct.-July 1986)

Box 43: F (Aug.-Dec. 1986)

Box 44: G (Jan.-Aug. 1986)

Box 45: G (Sept.-Nov. 1986)

Box 46: G-H (Dec.-May 1986)

Box 47: H (June-Oct. 1986)

Box 48: H-J (Nov.-May 1986)

Box 49: J-K (June-Apr. 1986)

Box 50: K (May-Dec. 1986)

Box 51: L (Jan.-Oct. 1986)

Box 52: L-M (Nov.-Feb. 1986)

Box 53: M (Mar.-June 1986)

Box 54: M (July-Sept. 1986)



- Box 55: M (Oct.-Nov. 1986)
- Box 56: M-N (Dec.-Sept. 1986)
- Box 57: N-O (Oct.-Dec. 1986)
- Box 58: P (Jan.-July 1986)
- Box 59: P-Q (Aug.-Nov. 1986)
- Box 60: R (Jan.-Sept. 1986)
- Box 61: R (Oct.-Dec. 1986)
- Box 62: S (Jan.-Mar. 1986)
- Box 63: S (Apr.-June 1986)
- Box 64: S (July-Sept. 1986)
- Box 65: S-T (Oct.-Feb. 1986)
- Box 66: T-V (Mar.-June 1986)
- Box 67: V-W (July-Apr. 1986)
- Box 68: W (May-Dec. 1986)
- Box 69: Y-A (Feb. 1986-May 1987)
- Box 70: A-B (June-May 1987)
- Box 71: B (June-Oct. 1987)
- Box 72: B-C (Nov.-Mar. 1987)
- Box 73: C (Apr.-Oct. 1987)
- Box 74: C-D (Nov.-Mar. 1987)
- Box 75: D-F (Apr.-Apr. 1987)
- Box 76: F-G ( May-May 1987)
- Box 77: G-H (June-Feb. 1987)
- Box 78: H (Mar.-Aug. 1987)
- Box 79: H-K (Sept.-Mar. 1987)
- Box 80: K-L (Apr.-Jan. 1987)
- Box 81: L-M (Feb.-Jan. 1987)
- Box 82: M (Feb.-May 1987)
- Box 83: M-N (June-May 1987)
- Box 84: N-P (June-Apr. 1987)
- Box 85: P-R (May- Mar. 1987)
- Box 86: R-S (Apr.-Feb. 1987)
- Box 87: S (Mar.-July 1987)
- Box 88: S-T (Aug.-June 1987)
- Box 89: T-W (July-May 1987)
- Box 90: W-Z (June-Dec. 1987)
- Box 91: A-C (Jan.-July 1988)
- Box 92: D-J (Jan.-July 1988)
- Box 93: K-O (Jan.-July 1988)
- Box 94: P-V (Jan.-June 1988)
- Box 95: W-Z (Jan.-June 1988)

## FULL- STAFF ONLY

**ARC ID:** 651799

**DESCRIPTION TYPE:** Series

**TITLE:** Subject Files of Attorney General Edwin Meese

**GENERAL RECORDS TYPE:** Textual Records

**INCLUSIVE DATES:** 1985 - 1988

**COVERAGE DATES:** 1984 - 1988

**DATE NOTE:** Some of the records are dated 1984.

### CREATING ORG.

NAME: Department of Justice. Office of the Attorney General.

DATES: (1870 - )

CREATOR TYPE: Most Recent

**ACCESS RESTRICTIONS STATUS:** Restricted - Possibly

### ACCESS RESTRICTIONS SPECIFIC RESTRICTIONS

SPECIFIC RESTRICTION: FOIA (b)(6) Personal Information

SPECIFIC RESTRICTION: FOIA (b)(7) Law Enforcement

**ACCESS RESTRICTIONS NOTE:** These records must be screened for personal privacy and law enforcement information under 5 U.S.C. 552 (b) prior to public release.

**USE RESTRICTIONS STATUS:** Restricted - Possibly

**USE RESTRICTIONS SPECIFIC RESTRICTIONS:**

Copyright

**USE RESTRICTIONS NOTE:** Some or all of the records may be subject to copyright restrictions. Researchers should contact the publisher for further information.

**ARRANGEMENT:** Arranged alphabetically by subject and thereunder by year.

**FUNCTION AND USE:** These records were created or accumulated by Attorney General Edwin Meese during the daily course of his office activities.

**FINDING AIDS:** These records were created or accumulated by Attorney General Edwin Meese during the daily course of his office activities.

**SCOPE AND CONTENT NOTE:** This series consists of articles, briefs, books, brochures, circulars, Congressional bills, journals, memorandums, minutes, and newspaper clippings. Included also are newsletters, orders, reports, summons, speeches, transcripts, and telegrams received by Attorney General Edwin Meese from civil right activists and organizations, the general public, prominent citizens, Supreme Court Justices, members of Congress, Federal officials, business or corporate organizations, community organizations, and foreign dignitaries. Replies to some of the letters are also included.

The records relate to Meese's participation in the United States/China joint conference on trade, investment, and economic law in Beijing, China as well as his participation in the International Conference on Drug Abuse and Illicit Trafficking in Vienna, Austria. Other correspondence regard racial matters; abortion; civil rights; voting rights; the Presidential Commission on privatization; the Japan Legal Project; and the Manuel Noriega cases. Other topics pertain to the prison uprising of Mariel Cuban detainees at Oakdale Alien Detention Center in Louisiana and the Atlanta Federal Penitentiary in Georgia; threats to assassinate President Ronald Reagan, Meese, and Pope John Paul II; and missing in action (MIA) American servicemen in Southeast Asia and Vietnam Veterans.

There are references concerning support or opposition to the McClure-Volkmer Legislation (S.49) to protect firearm owners constitutional rights and other bills; the deportation of immigrants accused of crimes; the closing of the Palestine Liberation Organization (PLO) office; the prosecution of Rabbis arrested while demonstrating in front of the Soviet Embassy; and nominations for Federal appointments, especially for Federal judgeships. Moreover, the records discuss Meese's decision to freeze the Juvenile Justice and Delinquency Prevention appropriations and to prosecute Albert and Evelyn Turner and Spencer Hogue, Jr. on voter fraud charged in Mobile, Alabama; his opposition to the Miranda decision; and his support of Federal grants regarding missing and exploited children, and family and domestic violence. More records concern complaints of employment and housing discrimination based on sex and race. There are also investigations about cases such as the Green River killings in King County, Washington; the investigation of E. F. Hutton and Company for money laundering; and the Office of Special Investigation (OSI) investigation of individuals regarding allegations of inv

olvement in Nazi atrocities and other possible criminal activities during  
Word War II.

**VARIANT CONTROL #**

TYPE: Master Location Register (MLR) Entry Number  
NUMBER: A1 Entry 1092

**LIFECYCLE NUMBERS DISPOSITION AUTHORITY NUMBER:** N1-60-94-2, Item 1a

**LIFECYCLE NUMBERS ACCESSION NUMBER:** NN3-060-04-005

**HIERARCHY**

CURRENT HIERARCHY  
CONTAINED BY  
RECORD GROUP NUMBER: 60  
TITLE: General Records of the Department of Justice  
DESCRIPTION TYPE: Record Group

**RECORD KEY:** 660071

**DESCRIPTION AUTHORS** DESCRIPTION AUTHOR: Trichita M. Chestnut  
DATE: 02/02/2006

**DATE ENTERED:** 02-FEB-2006

**ENTERED BY:** Chestnut, Trichita

**DATE LAST CHANGED:** 27-APR-2006

**LAST CHANGED BY:** Chestnut, Trichita

**DESCRIPTION STATUS:** Approved

**DATA CONTROL GROUP:** NW

**ARC EDIT STATUS:** Authorized record

**PHYSICAL OCCURRENCES**

COPY STATUS: Preservation-Reproduction-Reference  
GPRA INDICATOR: Yes  
EXTENT: 46 linear feet, 4 linear inches  
REFERENCE UNIT: NWCTC  
HOLDINGS MEASUREMENT  
CODE: LGA-S  
TYPE: Legal Archives Box, Standard  
COUNT: 106  
LOCATION  
FACILITY: National Archives at College Park - Archives II (College Park, MD)  
MEDIA OCCURRENCES  
MEDIA TYPE: Paper  
DATE ENTERED: 02-FEB-06

ENTERED BY: Chestnut, Trichita  
CONTAINER LIST: Box 1: A-C (AFL-CIO-Corrections) 1985  
Box 2: C (Commerce Department Monthly Economics Round Up-Cayman Islands Documentation Info. Case (3 of 4) 1985  
Box 3: C-E (Cayman Islands Documentation Info. Case (4 of 4)-Employment Referrals, VIP (1 of 3) 1985  
Box 4: E-G (Employment Referrals, VIP (2 of 3)-Genocide Convention) 1985  
Box 5: G-J (General Dynamics-Jaffe, Sidney E.) 1985  
Box 6: J (Judges-Judge Referrals, John Q. Citizen (JQC Feb.-May 22 ) 1985  
Box 7: J (Judge, JQC) May 23-June 1985  
Box 8: J (Judge, Referrals JQC) July-Aug. 16, 1985  
Box 9: J (Judge Referrals, JQC) Aug. 17-Nov. 1985  
Box 10: J (Judge Referrals, JQC (Dec.)-Juvenile Justice) 1985  
Box 11: L-O (Legislation-Orders (2 of 4)- 1985  
Box 12: O (Orders (3 of 4)-Organized Crime Drug Enforcement Task Force) 1985  
Box 13: O-S (Oil-San Louis Drain, Kasterson Refuge) 1985  
Box 14: S-T (Superfund-Testimony) 1985  
Box 15:T-V (Terrorism-Violence, Domestic/Family Violence (July 1- 14) 1985  
Box 16: V (Violence, Domestic/Family Violence) July 15-26, 1985  
Box 17: V-Y (Violence, Domestic/Family Violence (July 27-Oct.)-Youth & Youth Programs) 1985  
Box 18: A-D (Abortion-Drug Programs) 1986  
Box 19: D-E (Drug Program, "Team Up Against Drugs"-Employment, DOJ Employment Applications) 1986  
Box 20: E-J (Employment, DOJ Employment Referrals from Congressmen-Judges, Judge Referrals Congressionals & Responses (Jan.-May) 1986  
Box 21: J (Judges, Judge Referrals Congressionals & Responses) June-Dec. 1986  
Box 22: J (Judges, JQC) Jan.-Mar. 25, 1986  
Box 23: J (Judges, JQC) Mar. 26-Apr. 1986  
Box 24: J (Judges, JQC) May-June 25, 1986  
Box 25: J (Judges, JQC) June 26-July 1986  
Box 26: J (Judges, JQC) Aug.-Dec. 1986  
Box 27: J-O (Judges, Judge Referrals VIP-Orders) 1986  
Box 28: O-S (Organized Crime, Organized Crime Drug Enforcement Task Force-Sentencing Commisson) 1986  
Box 29: S-A (Speeches, Speeches/Talks White House 1986-Acts (Mar.) 1987  
Box 30: A (Acts (May-Dec.)-Administrative Conference, US) 1987  
Box 31: A (Affirmative Action-Articles (Jan.-Mar.) 1987  
Box 32: A (Articles) Apr.-Dec. 1987  
Box 33: A (Association of Attorneys General-Awards (Jan.-Nov.) 1987  
Box 34: A-C (Awards (Dec.)-Catastrophic Illness) 1987  
Box 35: C (Cayman Islands Documentation Info. Case-Children Partnerships in Child Safety) 1987  
Box 36: C (China Legal Project-Civil Rights) 1987  
Box 37: C (Classified Info. Procedures, AG's Report to Congress-Commendations (Jan.-Nov.) 1987  
Box 38: C (Commendations (Dec.)-Consumer) 1987  
Box 39: C-D (Controlled Substances-Death Penalty) 1987  
Box 40: D (Debt Collection-Democrats) 1987  
Box 41: D (Discrimination-Drug Interdiction) 1987  
Box 42: D (Drug Programs-Drug Smuggling) 1987  
Box 43: D-E (Drug Testing-Employment Referrals, CRS) 1987  
Box 44: E (Employment Referrals, DOJ-FBI (Mar.) 1987  
Box 45: E (Employment Referrals, FBI (Apr.-Dec.)-TAX) 1987  
Box 46: E-F (Employment Referrals, USMS-Federal Employee's Retirement System (FERS) 1987  
Box 47: F (Financial Disclosure-Foreign Dignitaries) 1987  
Box 48: F-H (Foreign Travel-Human Rights) 1987  
Box 49: I (Immigration-Indemnification) 1987  
Box 50: I (Independent Counsel-Independent Counsel, Walsh) 1987  
Box 51: I-J (Indian Affairs-Joint Operations Agreement) 1987  
Box 52: J (Judges-Judge Referrals (Jan.-Feb.) 1987  
Box 53: J (Judge Referrals) Mar.-Apr. 1987  
Box 54: J (Judge Referrals) May-July 1987  
Box 55: J (Judge Referrals) Aug.-Nov. 1987

Box 56: J-L (Judge Referrals (Dec.)-Legislation (Feb.-Mar.) 1987  
Box 57: L (Legislation) Apr.- Dec. 1987  
Box 58: L (Litigation) Feb.-Oct. 1987  
Box 59: L-M (Litigation (Nov.-Dec.)-Migrant Workers) 1987  
Box 60: M-N (Minority Business-National Institute of Justice (Feb.-June 22) 1987  
Box 61: N-O (National Institute of Justice (June 23-Dec.)-Obscenity) 1987  
Box 62: O (National Obscenity Enforcement Unit Bi-Weekly Report-Orders (Jan.-May) 1987  
Box 63: O (Orders) June-Nov. 1987  
Box 64: O-P (Orders (Dec.)-Pan American Games) 1987  
Box 65: P (Pardons-Prisoner's of War (POW's) 1987  
Box 66: P (Prayer in School-Product Liability) 1987  
Box 67: P (Programs, Asset, Forfeiture Fund, Sharing-Regulatory Program of the US Gov.) 1987  
Box 68: P (Programs, Special Agricultural Workers (SAW)-Publications (Feb.-May) 1987  
Box 69: P (Publications) June-July 1987  
Box 70: P (Publications) Aug.-Dec. 1987  
Box 71: P-R (Property-Reports (Feb.-Apr.) 1987  
Box 72: R (Reports) May-Nov. 1987  
Box 73: R-S (Representation Funds-Senior Executive Service) 1987  
Box 74: S (Sentencing Commission-Settlement Agreements) 1987  
Box 75: S-T (Shared Savings Plan-Tariffs) 1987  
Box 76: T-V (Terrorism-Violence, Domestic/Family Violence) 1987  
Box 77: V-W (Voting Rights Act-Welfare Reform (3 of 4) 1987  
Box 78: W-A (Welfare Reform (4 of 4) 1987-Acts (Jan.-Feb.) 1988  
Box 79: A (Acts (Mar.-Aug.))-Agent Orange) 1988  
Box 80: A (AIDS-Articles (Jan.-May) 1988  
Box 81: A (Articles (June-Aug.))-Awards) 1988  
Box 82: B (Bank Fraud-Boy Scouts Correspondence) 1988  
Box 83: B-C (Budget, DOJ-Cayman Islands) 1988  
Box 84: C (Charity Fund Raisers-Commendations) 1988  
Box 85: C (Community Policing-Conferences) 1988  
Box 86: C-D (Conflicts of Interest-Discrimination) 1988  
Box 87: D (Document Removal-Drug Programs (Jan.-June) 1988  
Box 88: D-E (Drug Programs (July-Aug.))-Employment, Non-DOJ) 1988  
Box 89: E-F (Employment Referrals, DOJ-FOIA & Privacy Act) 1988  
Box 90: F-I (Foreign Dignitaries-Immigration Reform Act) 1988  
Box 91: I (Independent Counsel-Inter Agency Working Group) 1988  
Box 92: I-J (Investigations-Japan Legal Project) 1988  
Box 93: J (Joint Operating Agreements-Judge Referrals (Jan.-Mar.) 1988  
Box 94: J-L (Judge Referrals (Apr.-July)-Legislation (Jan.-Mar.) 1988  
Box 95: L (Legislation) Apr.-July 1988  
Box 96: L-M (Line Item Veto-Mariel (2 of 3) 1988  
Box 97: M-O (Mariel (3 of 3)-Opinions) 1988  
Box 98: O (Orders) Jan.-Aug. 1988  
Box 99: O-P (Organizational Charts-Palestine Info. Office PIO/PLO (Feb.) 1988  
Box 100: P (Palestine Info. Office PIO/PLO (Mar.-July)-Programs, Asset, Forfeiture Fund, Sharing) 1988  
Box 101: P-R (Programs, Gangs-Refugees) 1988  
Box 102: R (Reports) Jan.-June 1988  
Box 103: R-S (Reports (July)-Sentencing Guidelines) 1988  
Box 104: S (Settlements-Systems) 1988  
Box 105: T-W (Telecommunications-White House Fellows) 1988  
Box 106: Y (Young American Medal for Service (1 of 2)-Young American Medal for Service (2 of 2) 1988

## FULL- STAFF ONLY

**ARC ID:** 652403

**DESCRIPTION TYPE:** Series

**TITLE:** Sensitive Correspondence Files

**GENERAL RECORDS TYPE:** Textual Records

**INCLUSIVE DATES:** 1986 - 1988

### CREATING ORG.

**NAME:** Department of Justice. Office of the Attorney General.

**DATES:** (1870 - )

**CREATOR TYPE:** Most Recent

**ACCESS RESTRICTIONS STATUS:** Restricted - Possibly

### ACCESS RESTRICTIONS SPECIFIC RESTRICTIONS

**SPECIFIC RESTRICTION:** FOIA (b)(6) Personal Information

**SPECIFIC RESTRICTION:** FOIA (b)(7) Law Enforcement

**ACCESS RESTRICTIONS NOTE:** These records must be screened for personal privacy and law enforcement information under 5 U.S.C. 552 (b) prior to public release.

**USE RESTRICTIONS STATUS:** Unrestricted

**ARRANGEMENT:** Arranged by subject and thereunder by year.

**FUNCTION AND USE:** These records were created or accumulated by Attorney General Edwin Meese during the daily course of his office activities.

**FINDING AIDS:** These records were created or accumulated by Attorney General Edwin Meese during the daily course of his office activities.

**SCOPE AND CONTENT NOTE:** This series consists of internal reports, memorandums, and speeches mostly from the Department of Justice (DOJ) staff, members of Congress, and foreign dignitaries. The records cover the major activities, achievements, decisions, and developments of litigation cases and investigations of the following DOJ divisions: Antitrust, Civil, Civil Rights, Community Relations Service, Executive Office for United States Attorneys (EOA), Land and Natural Resources, Office of Liaison Services, Tax, National Obscenity Enforcement Unit (NOEU), and Criminal (CRM).

The records are concerned with allegations of improper misconduct and inappropriate actions by former and current Federal or state officials. Thes

August 5, 2022 Production 000015

e allegations include violations of Federal laws and conflicts of interest such as misusing Federal and state funds for personal use; voter and securities fraud; police corruption; extortion; perjury; and forgery of official documents. There is also information about important or controversial cases such as the grand jury investigation of television evangelists Jim Bakker and his wife Tammy Faye Bakker for illegally diverting millions from his "Praise the Lord" (PTL) television show for personal use.

Other records pertain to treaties with various countries such as Nigeria and Spain regarding extraditing citizens back to their own countries, organized crime, and drug trafficking. There are also references to highly publicized investigations such as the Iran Contra affair about the sale or shipment of firearms and weapons to Iran; the death of Dr. Joseph (Josef) Mengele in Brazil, a Nazi known as the Angel of Death; and the investigation of Kurt Waldheim for Nazi atrocities. Other investigations include the E. F. Hutton and Company for money laundering; John Walker and his family for espionage; and former Panamanian dictator Manuel Noriega for drug smuggling.

**OTHER TITLES:** Sensitive Files.

**VARIANT CONTROL #**

TYPE: Master Location Register (MLR) Entry Number  
NUMBER: A1 Entry 1093

**LIFECYCLE NUMBERS DISPOSITION AUTHORITY NUMBER:** N1-60-94-2, Item 1a

**LIFECYCLE NUMBERS ACCESSION NUMBER:** NN3-060-04-005

**HIERARCHY**

CURRENT HIERARCHY  
CONTAINED BY  
RECORD GROUP NUMBER: 60  
TITLE: General Records of the Department of Justice  
DESCRIPTION TYPE: Record Group

**RECORD KEY:** 661245

**DESCRIPTION AUTHORS** DESCRIPTION AUTHOR: Trichita M. Chestnut  
DATE: 02/13/2006

**DATE ENTERED:** 13-FEB-2006

**ENTERED BY:** Chestnut, Trichita

**DATE LAST CHANGED:** 25-APR-2006

**LAST CHANGED BY:** Chestnut, Trichita

**DESCRIPTION STATUS:** Approved



**DATA CONTROL GROUP:** NW

**ARC EDIT STATUS:** Authorized record

**PHYSICAL OCCURRENCES**

COPY STATUS: Preservation-Reproduction-Reference

GPRA INDICATOR: Yes

EXTENT: 6 linear feet, 1 linear inches

REFERENCE UNIT: NWCTC

HOLDINGS MEASUREMENT

CODE: LGA-S

TYPE: Legal Archives Box, Standard

COUNT: 15

LOCATION

FACILITY: National Archives at College Park - Archives II (College Park, MD)

MEDIA OCCURRENCES

MEDIA TYPE: Paper

DATE ENTERED: 13-FEB-06

ENTERED BY: Chestnut, Trichita

CONTAINER LIST: Box 1: Attorney General (AG) Daily Reports - Bi-Weekly Reports, Community Relations Services (1986)

Box 2: Bi-Weekly Reports, Executive Office for US Attorneys (EOA) - DOJ Urgent Reports (Jan.-June) (1986)

Box 3: DOJ Urgent Reports (July-Dec.) - Iran/Nicaragua, Iranian Arms (1 of 3) (1986)

Box 4: Iran/Nicaragua, Iranian Arms (2 of 3) (1986) - Associate Attorney General (ASG), Sensitive (1987)

Box 5: AG Daily Reports - Bi-Weekly Reports, Community Relations Services (1987)

Box 6: Bi-Weekly Reports, EOA - Deputy Attorney General (DAG), Sensitive (1987)

Box 7: Drug Enforcement Administration (DEA), Sensitive - DOJ Urgent Reports (Jan.-July) (1987)

Box 8: DOJ Urgent Reports (Aug.-Dec. 1987)

Box 9: Drug Interdiction, Sensitive - Iran/Nicaragua, Special Case (Jan.-Mar. 4) (1987)

Box 10: Iran/Nicaragua, Special Case (Mar. 5-Dec.) - Hansen, Special Case (1987)

Box 11: Special Case, Harsh - Whittlesey (1987)

Box 12: Sensitive Files, ASG - Bi-Weekly Reports, Civil Rights Division (CRT) (1988)

Box 13: Bi-Weekly Reports, EOA - DOJ Urgent Reports (Jan.-Apr.) (1988)

Box 14: DOJ Urgent Reports (May-Aug.) - Iran/Nicaragua, Special Case (3 of 4) (1988)

Box 15: Iran/Nicaragua, Special Case (4 of 4) - Whittlesey, Special Case (1988)

## FULL- STAFF ONLY

**ARC ID:** 720254

**DESCRIPTION TYPE:** Series

**TITLE:** Component Correspondence Files

**GENERAL RECORDS TYPE:** Textual Records

**INCLUSIVE DATES:** 1985 - 1988

### CREATING ORG.

**NAME:** Department of Justice. Office of the Attorney General.

**DATES:** (1870 - )

**CREATOR TYPE:** Most Recent

**ACCESS RESTRICTIONS STATUS:** Restricted - Possibly

### ACCESS RESTRICTIONS SPECIFIC RESTRICTIONS

**SPECIFIC RESTRICTION:** FOIA (b)(6) Personal Information

**SPECIFIC RESTRICTION:** FOIA (b)(7) Law Enforcement

**ACCESS RESTRICTIONS NOTE:** These records must be screened for personal privacy and law enforcement information under 5 U.S.C. (b) prior to public release.

**USE RESTRICTIONS STATUS:** Restricted - Possibly

**USE RESTRICTIONS SPECIFIC RESTRICTIONS:** Copyright

**USE RESTRICTIONS NOTE:** Some or all of the records may be subject to copyright restrictions. Researchers should contact the publisher for further information.

**ARRANGEMENT:** Arranged by subject.

**FUNCTION AND USE:** These records were created or accumulated by Attorney General Edwin Meese during the daily course of his office activities.

**FINDING AIDS:** These records were created or accumulated by Attorney General Edwin Meese during the daily course of his office activities.

**SCOPE AND CONTENT NOTE:**

This series consists of memorandums, newsletters, speeches, press releases, newspaper clippings, and reports received by Attorney General Edwin Meese from Department of Justice (DOJ) staff, Federal officials, members of Congress, Supreme Court Justices, White House staff, foreign dignitaries, private organizations such as the American Bar Association, and State and local law enforcement officials. Replies to some of the letters are also included.

The records are concerned with internal agency matters in DOJ component units about organizational changes; operational guidelines; staff appointments and achievements; and developments of pending cases and investigations. These records also pertain to the Senate hearings on Meese's nomination as Attorney General; Meese's testimony before the Senate Judiciary Committee about Congressman Barney Frank's remarks on the question of district court judges' competence to make constitutional decisions; allegations of misconduct involving Secretary of Labor Raymond Donovan; and opposition to the proposed closing of the Northern Regional Office of the Immigration and Naturalization Services (INS). Included also are references to meetings of boards, commissions, and committees such as the President's Federal Judicial Selections Committee that vetted candidates for Federal judgeships and legislative initiatives such as pension, employment, health policies, narcotics and drug trafficking, immigration, tax, education, and trade reforms.

**OTHER TITLES:** Component Files

**VARIANT CONTROL #**

TYPE: Master Location Register (MLR) Entry Number  
NUMBER: A1 Entry 1094

**LIFECYCLE NUMBERS DISPOSITION AUTHORITY NUMBER:** N1-60-94-2, Item 1a

**LIFECYCLE NUMBERS RECORDS CENTER TRANSFER NUMBER:** 60-89-372

**LIFECYCLE NUMBERS ACCESSION NUMBER:** NN3-060-04-005

**HIERARCHY**

CURRENT HIERARCHY  
CONTAINED BY  
RECORD GROUP NUMBER: 60  
TITLE: General Records of the Department of Justice  
DESCRIPTION TYPE: Record Group

**RECORD KEY:** 731875

**DESCRIPTION AUTHORS** DESCRIPTION AUTHOR: Trichita M. Chestnut  
DATE: 04/27/2006

**DATE ENTERED:** 27-APR-2006

**ENTERED BY:** Chestnut, Trichita  
**DATE LAST CHANGED:** 17-MAY-2006  
**LAST CHANGED BY:** Proctor, Madeline  
**DESCRIPTION STATUS:** Approved  
**DATA CONTROL GROUP:** NW  
**ARC EDIT STATUS:** Authorized record

**PHYSICAL OCCURRENCES**

**COPY STATUS:** Preservation-Reproduction-Reference  
**GPRA INDICATOR:** Yes  
**EXTENT:** 60 linear feet, 5 linear inches  
**REFERENCE UNIT:** NWCTC  
**HOLDINGS MEASUREMENT**  
**CODE:** LGA-S  
**TYPE:** Legal Archives Box, Standard  
**COUNT:** 145

**LOCATION**

**FACILITY:** National Archives at College Park - Archives II (College Park, MD)

**MEDIA OCCURRENCES**

**MEDIA TYPE:** Paper

**DATE ENTERED:** 28-APR-06

**ENTERED BY:** Chestnut, Trichita

**CONTAINER LIST:** Box 1: Agency for International Development - American Bar Association (ABA) (1985)

Box 2: ABA Resolutions - Antitrust Division (ATR) (1985)

Box 3: Charles F. Rule, Acting AAG - Attorney General (AG) Daily Reports (1985)

Box 4: AG Invitations (Mar. - Aug. 23, 1985)

Box 5: AG Invitations (Aug. 26 - Oct. 10, 1985)

Box 6: AG Invitations (Oct. 11 - Dec. 1985)

Box 7: Immigration and Naturalization Services (INS) Briefing Materials - Antitrust Division (ATR) (1985)

Box 8: ATR - Solicitor General (1985)

Box 9: Tax Division - National Drug Enforcement Policy Board (Apr. - July 1985)

Box 10: National Drug Enforcement Policy Board (Aug. - Dec. 1985) - President's Federal Judicial Selections Committee (Feb. - Mar. 1985)

Box 11: President's Federal Judicial Selections Committee (Apr. - Nov. 1985)

Box 12: Agenda for Meeting of the President's Federal Judicial Selections Committee (Dec. 1985) - Cabinet Council (Jan. - Feb. 12, 1985)

Box 13: Cabinet Council (Feb. 14 - Nov. 1985)

Box 14: Domestic Policy Council - Economic Policy Council (May - July 1985)

Box 15: Economic Policy Council (Aug. - Dec. 1985) - Civil Division (CIV) (Jan. - June 1985)

Box 16: CIV (July - Dec. 1985) - Civil Rights Division (CRT) (Jan. - Mar. 1985)

Box 17: CRT (Apr. - Sept. 1985)

Box 18: CRT (Oct. - Dec. 1985) - Comptroller General (1985)

Box 19: Congressional Correspondence (Jan. - Apr. 3, 1985)

Box 20: Congressional Correspondence (Apr. 4 - June 4, 1985)

Box 21: Congressional Correspondence (June 5 - July 24, 1985)

Box 22: Congressional Correspondence (July 26 - Sept. 1985)

Box 23: Congressional Correspondence (Oct. 1 - 24, 1985)

Box 24: Congressional Correspondence (Oct. 25 - Nov. 22, 1985)

Box 25: Congressional Correspondence (Nov. 25 - Dec. 18, 1985)

Box 26: Congressional Correspondence (Dec. 19 - 30, 1985) - Criminal Division (Jan. - May 3, 1985)

Box 27: Criminal Division (May 15 - Oct. 10, 1985)

Box 28: Criminal Division (Oct. 16 - Dec. 1985) - Countries, Correspondence (1985)

Box 29: Defense Department - Drug Enforcement Administration (DEA) (Feb. - Aug. 1985)

- Box 30: DEA (Sept. - Dec. 1985) - Executive Office of US Attorneys (EOA) (Jan. - Aug. 1985)
- Box 31: EOA (Sept. - Dec. 1985) - US Attorneys (1985)
- Box 32: Executive Office for US Trustees - Kittering Foundation (1985)
- Box 33: General Accounting Office - Health & Human Services Department (1985)
- Box 34: General Issues File - Alan C. Nelson, Commissioner (1985)
- Box 35: AG Briefing Book re: INS Hearing (Sept. 1985) - Justice Management Division (JMD) (Jan. - Nov. 1985)
- Box 36: JMD (Dec. 1985) - Land & Natural Resources Division (LDN) (Jan. - May 1985)
- Box 37: Land & Natural Resources Division (LDN) (June - Dec. 1985) - Office of Intelligence Policy Review (1985)
- Box 38: Office of Justice Programs (OJP) - Office of Legal Policy (OLP) (Jan. - Feb. 1985)
- Box 39: OLP (Mar. - Oct. 1985)
- Box 40: OLP (Nov. - Dec. 1985) - David A. Stockman, Director (1985)
- Box 41: Office of Management and Budget (OMB), General Issues File - Small Business Administration (1985)
- Box 42: Solicitor General - Supreme Court (1985)
- Box 43: Tax Division - James A. Baker, III, Secretary (1985)
- Box 44: General Issue File - Harry N. Walters, Veterans Administration (VA) (1985)
- Box 45: White House (WH) Cabinet Affairs - WH Correspondence (Jan. - Aug. 16, 1985)
- Box 46: WH Correspondence (Aug. 19 - Dec. 1985)
- Box 47: White House (WH) Office of Cabinet Affairs Morning Briefing (1985) - Antitrust Division (ATR), Assistant Attorney General Douglas Ginsburg (Jan. - Mar. 13, 1986)
- Box 48: ATR (Mar. 27 - Dec.) - Associate Attorney General (AAG) (1986)
- Box 49: AG Edwin Meese III - AG Invites (Jan. 1 - 21, 1986)
- Box 50: AG Invites (Jan. 22 - Mar. 1986)
- Box 51: AG Invites (Apr. - June 9, 1986)
- Box 52: AG Invites (June 10 - Aug. 1986)
- Box 53: AG Invites (Sept. - Oct. 23, 1986)
- Box 54: AG Invites (Oct. 24 - Dec. 1986) - Attorney General (AG) Meetings (1986)
- Box 55: AG Memorandum to OBBD's - Background Materials Re: Drug Initiatives (1986)
- Box 56: Boards, Commissions, Committees, & Groups (BCCG) National Drug Enforcement Policy Board (NDEPB) - BCCG's President's Judicial Selection Committee (Jan. - Apr. 1986)
- Box 57: BCCG's President's Judicial Selection Committee (May - Dec. 1986) - Cabinet Council, Domestic Policy Council (Jan. - Feb. 1986)
- Box 58: Cabinet Council, Domestic Policy Council (Mar. - Dec. 1986) - Chiefs of Police (1986)
- Box 59: Chiefs of Police - Civil Division (CIV), Richard K. Willard, Acting AAG (Jan. - June 1986)
- Box 60: CIV (Jan. 9, 1986) - CIV, Richard K. Willard, AAG (July - Nov. 1986)
- Box 61: CIV, Richard K. Willard, Acting AAG (Dec. 1986) - Civil Rights Division (CRT) (Jan. - Oct. 1986)
- Box 62: CRT (Nov. - Dec. 1986) - Congressional Correspondence (Jan. 1 - 22, 1986)
- Box 63: Congressional Correspondence (Jan. 23 - Feb. 20, 1986)
- Box 64: Congressional Correspondence (Feb. 21 - Mar. 20, 1986)
- Box 65: Congressional Correspondence (Mar. 21 - Apr. 14, 1986)
- Box 66: Congressional Correspondence (Apr. 15 - May 8, 1986)
- Box 67: Congressional Correspondence (May 9 - June 20, 1986)
- Box 68: Congressional Correspondence (June 23 - July 1986)
- Box 69: Congressional Correspondence (Aug. - Sept. 11, 1986)
- Box 70: Congressional Correspondence (Sept. 12 - Oct. 17, 1986)
- Box 71: Congressional Correspondence (Oct. 20 - Nov. 1986)
- Box 72: Congressional Correspondence (Dec. 1986) - Councils, President's Council on Integrity & Efficiency (PCIE) (1986)
- Box 73: Councils, PCIE - Criminal Division, Stephen S. Trott, AAG (Jan. - Aug. 1986)
- Box 74: Criminal Division, Stephen S. Trott (Sept. - Dec. 1986)
- Box 75: Customs Service - Drug Enforcement Administration (DEA), John C. Lawn, Administrator (Jan. - July 1986)
- Box 76: Drug Enforcement Administration (DEA) (Aug. - Dec. 1986) - Executive Office of US Attorneys (EOA), William P. Tyson, Director (1986)
- Box 77: EOA, US Attorneys - EOA Referrals for Position of US Attorney (1986)
- Box 78: EOA Referrals for Position of US Attorney - Federal Bureau of Investigation (FBI), Funding (1986)
- Box 79: FBI, Funding - Governors (1986)
- Box 80: Governors - Immigration & Naturalization Service (INS), Alan C. Nelson, Commissioner (1986)

- Box 81: INS, Alan C. Nelson, Commissioner - Justice Management Division (JMD) (Jan. - June 1986)  
Box 82: JMD (July - Dec. 1986)  
Box 83: Justice Management Division (JMD), Budget - Land & Natural Resources Division (LDN), F. Henry Habicht, II (Jan. - Oct. 1986)  
Box 84: LDN (Nov. - Dec. 1986) - Office of Justice Programs (OJP), Lois Haight Herrington (Jan. - July 1986)  
Box 85: OJP (Aug. - Dec. 1986) - Office of Legal Council (OLC), Ralph W. Tarr, Acting AAG (Jan. - July 1986)  
Box 86: OLC (Aug. - Dec. 1986) - Office of Legal Policy (OLP), Stephen J. Markman, AAG (Jan. - July 1986)  
Box 87: Office of Legal Policy (OLP), Stephen J. Markman (Aug. - Dec. 1986) - Office of Legal Policy (OLP), Special (June 19, 1986)  
Box 88: Office of Legal Policy (OLP), Special (Dec. 11, 1986) - Office of Management & Budget (OMB), Joseph Wright, Director (1986)  
Box 89: OMB, Joseph Wright, Director - Space Program (1986)  
Box 90: State AG's - State Department, George P. Schultz, Secretary (1986)  
Box 91: State Department - Transportation Department, Elizabeth H. Dole, Secretary (1986)  
Box 92: Department of Transportation, Federal Aviation Administration - White House (WH) Cabinet Affairs (1986)  
Box 93: White House (WH) Cabinet Affairs - WH Correspondence (Jan. - Aug. 1986)  
Box 94: WH Correspondence (Sept. - Dec. 1986) - Agriculture Department (1987)  
Box 95: American Bar Association (ABA) (1987)  
Box 96: ABA Resolutions - Attorney General (AG) Correspondence (Jan. - Oct. 1987)  
Box 97: Attorney General (AG) Correspondence (Nov. - Dec. 1987) - AG Invitations (Jan. - Feb. 10, 1987)  
Box 98: Attorney General (AG) Invitations (Feb. 11 - Apr. 5, 1987)  
Box 99: Attorney General (AG) Invitations (Apr. 6 - May 1987)  
Box 100: Attorney General (AG) Invitations (June - July 1987)  
Box 101: Attorney General (AG) Invitations (Aug. - Sept. 1987)  
Box 102: Attorney General (AG) Invitations (Oct. - Dec. 1987)  
Box 103: Attorney General (AG) Invitations, Thank You's for Accepting - Attorney Generals, State AG's (1987)  
Box 104: Attorney Generals Talking Points - Boards, Commissions, Committees, & Groups (BCCG) (Non-DOJ) National Drug Enforcement Policy Board (NDEPB) (Jan. - Feb. 13, 1987)  
Box 105: Boards, Commissions, Committees, & Groups (BCCG) (Non-DOJ) NDEPB (Feb. 17 - May 1987)  
Box 106: Boards, Commissions, Committees, & Groups (BCCG) (Non-DOJ) NDEPB (June - Dec. 1987) - BCCG (Non-DOJ) President's Judicial Selection Committee (1987)  
Box 107: Boards, Commissions, Committees, & Groups (BCCG) (Non-DOJ) President's Judicial Selection Committee - Cabinet Councils, Domestic Policy Council (1987)  
Box 108: Cabinet Council, Economic Policy Council - Congressional Correspondence (Jan. 1 - 15, 1987)  
Box 109: Congressional Correspondence (Jan. 16 - Apr. 8, 1987)  
Box 110: Congressional Correspondence (Apr. 9 - June 1987)  
Box 111: Congressional Correspondence (July - Sept. 1987)  
Box 112: Congressional Correspondence (Oct. - Dec. 10, 1987)  
Box 113: Congressional Correspondence (Dec. 11 - 31, 1987) - Congressional Correspondence, Congressional Hearings (Feb. - Mar. 1987)  
Box 114: Congressional Correspondence, Congressional Hearings (Apr. - Dec. 1987) - Congressional Correspondence, Judge Referrals (Jan. - Mar. 1987)  
Box 115: Congressional Correspondence, Judge Referrals (Apr. - Dec. 1987) - Congressional Correspondence, Trustee Referrals (1987)  
Box 116: Congressional Correspondence, Trustee Referrals - Councils, President's Council on Integrity & Efficiency (PCIE) (Jan. - Apr. 1987)  
Box 117: Councils, President's Council on Integrity & Efficiency (PCIE) (May - Dec. 1987) - Councils, President's Council on Management Improvement (1987)  
Box 118: Criminal Division - Drug Enforcement Administration (DEA) (1987)  
Box 119: Education Department - Executive Office of US Attorneys (EOA) (1987)  
Box 120: Executive Office of US Attorneys (EOA), US Attorneys Appointments- Federal Communications Commission (1987)  
Box 121: Federal Emergency Management Agency (FEMA) - Immigration & Naturalization Services (INS) (1987)  
Box 122: INS - Land & Natural Resources Division (LDN) (1987)  
Box 123: Law Enforcement Organizations - Office of Justice Programs (OJP) (Jan. - Sept. 1987)  
Box 124: Office of Justice Programs (OJP) (Oct. - Dec. 1987) - Office of Liaison Services (1987)  
Box 125: Office of Budget & Management (OMB) - Supreme Court (Jan. - July 1987)

- Box 126: Supreme Court (Aug. - Dec. 1987) - United Nations (1987)
- Box 127: United Nations Congress on Crime - White House (WH) Correspondence (Jan. - Aug. 1987)
- Box 128: White House (WH) Correspondence (Sept. - Dec. 1987) - Associate Attorney General (1988)
- Box 129: Attorney General (AG) Correspondence - Attorney General (AG) Invitations (Jan. - Feb. 16, 1988)
- Box 130: Attorney General (AG) Invitations (Feb. 17 - Apr. 18, 1988)
- Box 131: Attorney General (AG) Invitations (Apr. 19- Aug. 1988)
- Box 132: Attorney General (AG) Invitations, Thank You's for Accepting - Attorney General (AG), AG's State (1988)
- Box 133: Boards, Commissions, Committees, & Groups (BCCG), Advisory Commission on Intergovernmental Relations (ACIR) - BCCG, Presidential Federal Judicial Selection Committee (PFJSC) (1988)
- Box 134: BCCG, PFJSC - Cabinet Councils, Economic Policy Council (EPC) (1988)
- Box 135: Cabinet Meetings - Congressional Correspondence (Jan. - Feb. 4, 1988)
- Box 136: Congressional Correspondence (Feb. 5 - Apr. 1988)
- Box 137: Congressional Correspondence (May - Aug. 1988)
- Box 138: Congressional Correspondence, Referrals, Employment - Councils, President's Council on Integrity & Efficiency (PCIE) (Jan. - Feb. 1988)
- Box 139: Councils, PCIE (Mar. - Aug. 12, 1988) - Education Department (1988)
- Box 140: Energy Department - Executive Office of US Attorneys (EOA), EOA Referrals (1988)
- Box 141: Executive Office of US Attorneys (EOA), Resignations/Retirements - INTERPOL (1988)
- Box 142: Justice Management Division (JMD) - Office of Justice Programs (OJP) (Jan. 1988)
- Box 143: OJP (Feb. - July 1988) - Office of Liaison Services (OLS) (1988)
- Box 144: OLS - US Information Agency (USIA) (1988)
- Box 145: US Marshals Service (USMS) - White House (WH) Talking Points (1988)

# FULL- STAFF ONLY

**ARC ID:** 765347

**DESCRIPTION TYPE:** Series

**TITLE:** Chronological Files

**GENERAL RECORDS TYPE:** Textual Records

**INCLUSIVE DATES:** 1985 - 1988

## CREATING ORG.

**NAME:** Department of Justice. Office of the Attorney General.

**DATES:** (1870 - )

**CREATOR TYPE:** Most Recent

**ACCESS RESTRICTIONS STATUS:** Restricted - Possibly

## ACCESS RESTRICTIONS SPECIFIC RESTRICTIONS

**SPECIFIC RESTRICTION:** FOIA (b)(6) Personal Information

**SPECIFIC RESTRICTION:** FOIA (b)(7) Law Enforcement

**ACCESS RESTRICTIONS NOTE:** These records must be screened for personal privacy and law enforcement information under 5 U.S.C. 552(b) prior to public release.

**USE RESTRICTIONS STATUS:** Restricted - Possibly

**USE RESTRICTIONS SPECIFIC RESTRICTIONS:** Copyright

**USE RESTRICTIONS NOTE:** Some or all of the records may be subject to copyright restrictions. Researchers should contact the publisher for further information.

**ARRANGEMENT:** Arranged chronologically by date.

**FUNCTION AND USE:** These records were created or accumulated by Attorney General Edwin Meese during the daily course of his office activities.

**FINDING AIDS:** These records were created or accumulated by Attorney General Edwin Meese during the daily course of his office activities.



**SCOPE AND CONTENT NOTE:**

This series consists of correspondence, memorandums, newspaper clippings, orders, press releases, and reports received by Attorney General Edwin Meese from Department of Justice (DOJ) staff, Federal officials, members of Congress, Supreme Court Justices, American and foreign dignitaries, and the general public. Replies to some of the letters are also included.

The records relate to recommendations for Federal appointments; condolences to the families of law enforcement officers killed in the line of duty; requests to attend and/or speak at conferences, awards banquets, retirement receptions, and swearing in ceremonies; Meese's resignation to President Ronald Reagan; and complaints about state and Federal prisons for unconstitutional living conditions. Other documents relate to DOJ guidelines and policies regarding the eradication of domestic marijuana and other illegal drugs; the development of anti-drug legislation; the enforcement of obscenity laws; changes to the Code of Federal Regulations to permit the Federal Bureau of Investigation (FBI) to handle forfeitures; and the coordination of criminal and civil remedies in cases of fraud against the government.

The series also includes information about unfair immigration-related employment practices; the authorization for electronic surveillance in criminal cases; implementation of the provisions of the Voting Rights Act regarding language minority groups; and the prohibition of discrimination against qualified handicapped individuals in programs and activities conducted by the Department. More records pertain to missing and exploited children; the employment of Robert Jan Verbelen and other Nazi criminals by American intelligence agencies; and the Victims of Crime Act of 1984.

Other correspondence concerns requests to the Attorney General of the Cayman Islands for bank and business records of individuals charged in drug or drug-related cases such as Jorge and Francisco Cabrera; requests to prosecute immunized witnesses such as Tom P. Komatz, president of a South Dakota construction company for rigging bids on a Federal road construction project; and requests to subpoena members of the news media to testify in criminal cases such as the United States v. Zeno Ponder, et. al.

Moreover, the records regard investigations of Iranian gun sales and the subsequent diversion of proceeds to the Nicaraguan Contra rebels, and the prosecution of cases involving racial discrimination in housing and public accommodations. There are also references to the Department's opposition to House of Representatives Bill (H. R.) 442, which authorized monetary compensation for persons of Japanese ancestry who were interned in American War Relocation Centers during World War II (WWII).

**VARIANT CONTROL #**

TYPE: Master Location Register (MLR) Entry Number  
NUMBER: A1 Entry 1095

**LIFECYCLE NUMBERS DISPOSITION AUTHORITY NUMBER:**

N1-60-94-2, Item 1a

**LIFECYCLE NUMBERS RECORDS CENTER TRANSFER NUMBER:**

60-89-372

**LIFECYCLE NUMBERS ACCESSION NUMBER:**

NN3-060-04-005

**HIERARCHY**

CURRENT HIERARCHY

CONTAINED BY

RECORD GROUP NUMBER: 60

TITLE: General Records of the Department of Justice

DESCRIPTION TYPE: Record Group

**RECORD KEY:** 777631

**DESCRIPTION AUTHORS** DESCRIPTION AUTHOR: Trichita M. Chestnut  
DATE: 05/24/2006

**DATE ENTERED:** 24-MAY-2006

**ENTERED BY:** Chestnut, Trichita

**DATE LAST CHANGED:** 03-JUL-2006

**LAST CHANGED BY:** Proctor, Madeline

**DESCRIPTION STATUS:** Approved

**DATA CONTROL GROUP:** NW

**ARC EDIT STATUS:** Authorized record

**PHYSICAL OCCURRENCES**

COPY STATUS: Preservation-Reproduction-Reference

GPRA INDICATOR: Yes

EXTENT: 18 linear feet, 10 linear inches

REFERENCE UNIT: NWCTC

HOLDINGS MEASUREMENT

CODE: LGA-S

TYPE: Legal Archives Box, Standard

COUNT: 43

LOCATION

FACILITY: National Archives at College Park - Archives II (College Park, MD)

MEDIA OCCURRENCES

MEDIA TYPE: Paper

DATE ENTERED: 24-MAY-06

ENTERED BY: Chestnut, Trichita

CONTAINER LIST: Box 1: Attorney General (AG) Chronological File (Jan. - Apr. 5, 1985)

Box 2: AG Chronological File (Apr. 8 - May 8, 1985)

Box 3: AG Chronological File (May 9 - 31, 1985)

Box 4: AG Chronological File (June 3 - 18, 1985)

Box 5: AG Chronological File (June 19 - Aug. 8, 1985)

Box 6: AG Chronological File (Aug. 9 - Sept. 9, 1985)

- Box 7: AG Chronological File (Sept. 10 - Oct. 8, 1985)
- Box 8: AG Chronological File (Oct. 9 - Nov. 13, 1985)
- Box 9: AG Chronological File (Nov. 13 - Dec. 29, 1985)
- Box 10: AG Chronological File (Dec. 30, 1985 - Jan. 28, 1986)
- Box 11: AG Chronological File (Jan. 29 - Feb. 27, 1986)
- Box 12: AG Chronological File (Feb. 27 - Mar. 10, 1986)
- Box 13: AG Chronological File (Mar. 11 - Apr. 14, 1986)
- Box 14: AG Chronological File (Apr. 16 - May 15, 1986)
- Box 15: AG Chronological File (May 16 - June 4, 1986)
- Box 16: AG Chronological File (June 5 - July 16, 1986)
- Box 17: AG Chronological File (July 17 - Aug. 4, 1986)
- Box 18: AG Chronological File (Aug. 5 - Sept. 5, 1986)
- Box 19: AG Chronological File (Sept. 8 - Oct. 3, 1986)
- Box 20: AG Chronological File (Oct. 3 - Nov. 18, 1986)
- Box 21: AG Chronological File (Nov. 19 - Dec. 31, 1986)
- Box 22: AG Chronological File (Jan. 5 - Feb. 4, 1987)
- Box 23: AG Chronological File (Feb. 5 - 24, 1987)
- Box 24: AG Chronological File (Feb. 25 - Apr. 6, 1987)
- Box 25: AG Chronological File (Apr. 6 - 27, 1987)
- Box 26: AG Chronological File (Apr. 27 - May 20, 1987)
- Box 27: AG Chronological File (May 21 - June 18, 1987)
- Box 28: AG Chronological File (June 19 - Aug. 12, 1987)
- Box 29: AG Chronological File (Aug. 14 - Sept 10, 1987)
- Box 30: AG Chronological File (Sept. 11 - 23, 1987)
- Box 31: AG Chronological File (Sept. 23 - Nov. 6, 1987)
- Box 32: AG Chronological File (Nov. 10 - 25, 1987)
- Box 33: AG Chronological File (Nov. 27 - Dec. 21, 1987)
- Box 34: AG Chronological File (Dec. 22, 1987 - Jan. 27, 1988)
- Box 35: AG Chronological File (Jan. 28 - Feb. 8, 1988)
- Box 36: AG Chronological File (Feb. 10 - Mar. 3, 1988)
- Box 37: AG Chronological File (Mar. 7 - 24, 1988)
- Box 38: AG Chronological File (Mar. 25 - Apr. 20, 1988)
- Box 39: AG Chronological File (Apr. 21 - May 16, 1988)
- Box 40: AG Chronological File (May 17 - June 3, 1988)
- Box 41: AG Chronological File (June 7 - July 6, 1988)
- Box 42: AG Chronological File (July 6 - 21, 1988)
- Box 43: AG Chronological File (July 22 - Aug. 12, 1988)

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION  
COVER SHEET - TRANSACTION DOSSIER

TRANSACTION	
NUMBER	OTHER IDENTIFICATION
NN3-060-05-030	N1-60-88-13, Class A 146-16, Prisoners of War, 1-1-1955 to 12-30-1957
CLOSED	
DATE	BY (Signature)
11/31/05	<i>Jeanne Schaub</i> Director, Initial Processing and Declassification Division.
CONSOLIDATED WITH	
TRANSACTION NUMBER	OTHER IDENTIFICATION
RELATED TRANSACTIONS	
TRANSACTION NUMBER	OTHER IDENTIFICATION
INSERTIONS AND CHANGES	
DATE	NATURE OF INSERTION OR CHANGE

**AGREEMENT TO TRANSFER RECORDS TO  
THE NATIONAL ARCHIVES OF THE UNITED STATES**

1. INTERIM CONTROL NO. (NARA Use Only)

*NWMD 05-5452*

**TERMS OF AGREEMENT**

The records described below and on the attached \_\_\_\_\_ pages are deposited in the National Archives of the United States in accordance with 44 U.S.C. 2107. The transferring agency certifies that any restrictions on the use of these records are in conformance with the requirements of 5 U.S.C. 552.

these records will be imposed other than the general and specific restrictions on the use of records in the National Archives of the United States that have been published in 36 CFR Part 1256 or in the *Guide to the National Archives of the United States*. The Archivist may destroy, donate, or otherwise dispose of any containers, duplicate copies, unused forms, blank stationery, nonarchival printed or processed material, or other nonrecord material in any manner authorized by law or regulation. Without further consent, the Archivist may destroy deteriorating or damaged documents after they have been copied in a form that retains all of the information in the original document. The Archivist will use the General Records Schedule and any applicable records disposition schedule (SF 115) of the transferring agency to dispose of nonarchival materials contained in this deposit.

In accordance with 44 U.S.C. 2108, custody of these records becomes the responsibility of the Archivist of the United States at the time of transfer of the records. It is agreed that these records will be administered in accordance with the provisions of 44 U.S.C. Chapter 21, 36 CFR XII, 36 CFR Part 1256 and such other rules and regulations as may be prescribed by the Archivist of the United States (the Archivist). Unless specified and justified below, no restrictions of the use of

**2A. AGENCY APPROVAL**

Signature *Bernard W. Berglind* Date *11-22-04*

**3A. NARA APPROVAL**

Signature *Jeanne Schauble* Date *11/30/04*

**2B. NAME, TITLE, MAILING ADDRESS**

Bernard W. Berglind, Records Officer  
U.S. Dept. of Justice, Suite 520N  
1331 Pennsylvania Ave. NW  
Washington, DC 20530-0001

**3B. NAME, TITLE, MAILING ADDRESS**

Jeanne Schauble Director  
Initial Processing & Declassification Division (NWMD)  
8601 Adelphi Road  
College Park, MD 20740-6001

**RECORDS INFORMATION**

**4A. RECORDS SERIES TITLE** N1-60-88-13, Class A 146-16, Prisoners of War

**4B. DATE SPAN OF SERIES** 1-1-1955 to 12-30-1957

*(Attach any additional description.)*

**5A. AGENCY OR ESTABLISHMENT**

Department of Justice

**5B. AGENCY MAJOR SUBDIVISION**

Criminal Division ^64

**5C. AGENCY MINOR SUBDIVISION**

**5D. UNIT THAT CREATED RECORDS**

**5E. AGENCY PERSON WITH WHOM TO CONFER ABOUT THE RECORDS**

Name: *Florita Washington FW by L. A. Rose 11/16/04*  
Telephone Number: *(202) 353-0409*

**6. DISPOSITION AUTHORITY:**

N1-60-88-13, Item ~~146-16~~

**7. IS SECURITY CLASSIFIED INFORMATION PRESENT?**  NO  YES

LEVEL:  Confidential  Secret  Top Secret  
SPECIAL MARKINGS:  RD/FRD  SCI  NATO  
 Other \_\_\_\_\_

INFORMATION STATUS:  Segregated  Declassified

**8. CURRENT LOCATION OF RECORDS**

Agency (Complete 8A only)  
 Federal Records Center (Complete 8B only)

**8A. ADDRESS**

Criminal Division, 1404 New York Ave. NW, Rm 100  
Washington, DC 20530

**9. PHYSICAL FORMS**

- Paper Documents  Posters
- Paper Publications  Maps and Charts
- Microfilm / Microfiche  Arch / Eng Drawings
- Electronic Records  Motion / Sound / Video
- Photographs  Other (specify): \_\_\_\_\_

**10. VOLUME: CONTAINERS:**

Cu. Mtr. \_\_\_\_\_ (Cu. Ft. 1) Number \_\_\_\_\_ Type \_\_\_\_\_

**11. DATE RECORDS ELIGIBLE FOR TRANSFER TO THE ARCHIVES**

1-1-88

**12. ARE RECORDS FULLY AVAILABLE FOR PUBLIC USE?**

YES  NO *(If no, attach limits on use and justification.)*

**13. ARE RECORDS SUBJECT TO THE PRIVACY ACT?**

YES  NO

Justice/CRM-001, Vol. 63, No. 34 p.8863  
Copy attached

**14. ATTACHMENTS**

- Agency Manual Excerpt  Listing of Records Transferred
- Additional Description  NA Form 14097 or Equivalent
- Privacy Act Notice  Microform Inspection Report
- Other (specify): \_\_\_\_\_  SF(s) 135

**8B. FRC ACCESSION NUMBER**

**CONTAINER NUMBER(S)**

**FRC LOCATION**

**NARA PROVIDES**

**15. SHIPPING INSTRUCTIONS TO AGENCIES / REMARKS REGARDING DISPOSITION**

*Security Classified*

**RG**

*060*

**16. RECORDS ACCEPTED INTO THE NATIONAL ARCHIVES OF THE UNITED STATES**

Signature *Richard E. Wood* Date *1/31/05*

**17. NATIONAL ARCHIVES ACCESSION NO.**

*NN3-060-05-030*

**JUSTICE/CRM-001****SYSTEM NAME:**

Central Criminal Division Index File and Associated Records.

**SYSTEM LOCATION:**

U.S. Department of Justice, Criminal Division, Bond Building, 1400 New York Avenue NW., Washington, DC 20530, and, Federal Records Center, Suitland, Maryland 20409.

**CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:**

Persons referred to in potential or actual cases and matters of concern to the Criminal Division and correspondents on subjects directed or referred to the Criminal Division.

**CATEGORIES OF RECORDS IN THE SYSTEM:**

The system consists of alphabetical indices bearing individual names, and the associated records to which they relate, arranged either by subject matter or individual identifying number containing the general and particular records of all Criminal Division correspondence, cases, matters, and memoranda, including but not limited to investigative reports, correspondence to and from the Division, legal papers, evidence, and exhibits. The system also includes items classified in the interest of national security with such designations as confidential, secret, and top secret received and maintained by the Department of Justice. This system may also include records concerning subject matters more particularly described in other systems of records of the Criminal Division.

**AUTHORITY FOR MAINTENANCE OF THE SYSTEM:**

This system is established and maintained pursuant to 44 U.S.C. 3101 to implement all functions assigned to the Criminal Division in 28 CFR 0.55 through 0.64-2.

**ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:**

A record maintained in this system of records may be disseminated as a routine use of such record as follows: (1) In any case in which there is an indication of a violation or potential violation of law, whether civil, criminal, or regulatory in nature, the record in question may be disseminated to the appropriate federal, state, local, or foreign agency charged with the responsibility for investigation or

prosecuting such violation or charged with enforcing or implementing such law; (2) in the course of investigating the potential or actual violation of any law, whether civil, criminal, or regulatory in nature, or during the course of a trial or hearing or the preparation for a trial or hearing for such violation, a record may be disseminated to a federal, state, local, or foreign agency, or to an individual or organization, if there is reason to believe that such agency, individual, or organization possesses information relating to the investigation, trial, or hearing and the dissemination is reasonably necessary to elicit such information or to obtain the cooperation of a witness or an informant; (3) a record related to a case or matter may be disseminated in an appropriate federal, state, local, or foreign court or grand jury proceeding in accordance with established constitutional, substantive, or procedural law or practice; (4) a record relating to a case or matter may be disseminated to a federal, state, or local administrative or regulatory proceeding or hearing in accordance with the procedures governing such proceeding or hearing; (5) a record relating to a case or matter may be disseminated to an actual or potential party or his attorney for the purposes of negotiation or discussion on such matters as settlement of the case or matter, plea bargaining, or informal discovery proceedings; (6) a record relating to a case or matter that has been referred by an agency for investigation, prosecution, or enforcement, or that involves a case or matter within the jurisdiction of an agency, may be disseminated to such agency to notify the agency of the status of the case or matter or of any decision or determination that has been made, or to make such other inquiries and reports as are necessary during the processing of the case or matter; (7) a record relating to a person held in custody pending or during arraignment, trial, sentence, or extradition proceedings, or after conviction or after extradition proceedings, may be disseminated to a federal, state, local, or foreign prison, probation, parole, or pardon authority, or to any other agency or individual concerned with the maintenance, transportation, or release of such a person; (8) a record relating to a case or matter may be disseminated to a foreign country pursuant to an international treaty or convention entered into and ratified by the United States or to an executive agreement; (9) a record may be disseminated to a federal, state, local, foreign, or international law

enforcement agency to assist in the general crime prevention and detection efforts of the recipient agency or to provide investigative leads to such agency; (10) a record may be disseminated to a federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information relates to the requesting agency's decision on the matter; (11) a record may be disseminated to the public, news media, trade associations, or organized groups, when the purpose of the dissemination is educational or informational, such as descriptions of crime trends or distinctive or unique modus operandi, provided that the record does not contain any information identifiable to a specific individual other than such modus operandi; (12) a record may be disseminated to a foreign country, through the United States Department of State or directly to the representative of such country, to the extent necessary to assist such country in apprehending and/or returning a fugitive to a jurisdiction which seeks his return; (13) a record that contains classified national security information and material may be disseminated to persons who are engaged in historical research projects, or who have previously occupied policy making provisions to which they were appointed by the President, in accordance with the provisions codified in 28 CFR 17.60; (14) a record relating to an actual or potential civil or criminal violation of title 17, United States Code, may be disseminated to a person injured by such violation to assist him in the institution or maintenance of a suit brought under such title; (15) *in any health care-related civil or criminal case, investigation, or matter, information indicating patient harm, neglect, or abuse, or poor or inadequate quality of care, at a health care facility or by a health care provider, may be disclosed as a routine use to any federal, state, local, tribal, foreign, joint, international or private entity that is responsible for regulating, licensing, registering, or accrediting any health care provider or health care facility, or enforcing any health care-related laws or regulations. Further, information indicating an ongoing quality of care problem by a health care provider or at a health care facility may be disclosed to the appropriate health plan. Additionally, unless otherwise*

*prohibited by applicable law, information indicating patient harm, neglect, abuse, or poor or inadequate quality of care may be disclosed to the affected patient or his or her representative or guardian at the discretion of and in the manner determined by the agency in possession of the information;* (16) information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy; (17) information not otherwise required to be released pursuant to 5 U.S.C. 552 may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record; and (18) a record may be disclosed as a routine use to the National Archives and Records Administration and the General Services Administration in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:**

**STORAGE:**

Paper documents are stored in file folders and in the Federal Records Center; selected summary data is stored on manual index cards and on microfilm and on IBM System/38.

**RETRIEVABILITY:**

Summary data, e.g., names, file numbers, subjects, dates of correspondence, dates of receipt, due dates of assignments, docket numbers and/or synopses are retrieved from manual index cards microfilm, and a numerical listing maintained on IBM System/38. File folders are retrieved through manual or automated indexes which are accessed through certain summary data, i.e., name or file and docket numbers.

**SAFEGUARDS:**

The records are safeguarded and protected in accordance with applicable Departmental rules. Security for the automated records include strictly controlled access codes and passwords. In addition, only authorized Criminal Division employees will have access to the system.

**RETENTION AND DISPOSAL:**

Currently there is an agreement with the Federal Records Center for retention

and disposal after ten years applicable to approximately 20 percent of the Division records. All other paper records are currently retained indefinitely. A retention and disposal schedule for automated records will be under review in connection with a revised schedule for the paper records.

(4), (d), (e)(1), (2) and (e)(4), (G), (H) and (I), (e)(5) and (8), (f) and (g) of the Privacy Act pursuant to 5 U.S.C. 552a(j)(2). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c) and (e) and have been published in the Federal Register.

**SYSTEM MANAGER(S) AND ADDRESS:**

Assistant Attorney General, Criminal Division, U.S. Department of Justice; 10th and Constitution Avenue, NW., Washington, DC 20530.

**NOTIFICATION PROCEDURE:**

The major part of this system is exempted from this requirement under 5 U.S.C. 552a(j)(2), (k)(1), or (k)(2). Inquiry concerning this system should be directed to the system manager listed above.

**RECORD ACCESS PROCEDURES:**

The major part of this system is exempted from this requirement under 5 U.S.C. 552a(j)(2), (k)(1), or (k)(2). To the extent that this system of records is not subject to exemption, it is subject to access and contest. A determination as to exemption shall be made at the time a request for access is received. A request for access to a record contained in this system shall be made in writing, with the envelope and the letter clearly marked 'Privacy Access Request.' Include in the request the name of the individual involved, his birth date and place, or any other identifying number or information which may be of assistance in locating the record, the name of the case or matter involved, if known, and the name of the judicial district involved, if known. The requestor will also provide a return address for transmitting the information. Access requests will be directed to the system manager listed above.

**CONTESTING RECORD PROCEDURES:**

Individuals desiring to contest or amend information maintained in the system should direct their request to the system manager listed above, stating clearly and concisely what information is being contested, the reasons for contesting it, and the proposed amendment to the information sought.

**RECORD SOURCE CATEGORIES:**

Department officers and employees, and other federal, state, local, and foreign law enforcement and non-law enforcement agencies, private persons, witnesses, and informants.

**SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:**

The Attorney General has exempted this system from subsections (c) (3) and



CHANGE OF STATUS RECORD	For NN Use	Unit	RG Number
Record Group Level		NWMD	060
	Originator's Transaction Number	Total Number of Files Attached	
	NWMD-05-5452	0	

STATISTICAL TRANSACTIONS (Enter code from table below in left column)

INCREASE	DECREASE	TRANSFER	X	OTHER TRANSACTION
1. ACCESSION	5. DISPOSAL	9. CHANGE IN PHYSICAL CUSTODY		11. RESERVED
2. ACCRETION	6. PERMANENT WITHDRAWAL	10. CHANGE OF RECORD GROUP		12. NEW RECORD GROUP
3. REMEASUREMENT	7. REMEASUREMENT	(enter RG nos. in unit of	x	13. INITIAL INPUT
4. OTHER (explain)	8. OTHER (explain)	physical custody)		14. CORRECTION/REVISION
				15. NA GUIDE NARRATIVE
				16. RESTRICTION STATEMENT
				17. PRIVACY STATEMENT
				18. CHNG IN FINDING AID CITATION
				19. CHNG IN LOCATION
				20. OTHER (explain)

VOLUME (M<sup>3</sup>) ITEMS  
 Check if Cu. Ft. X Number of Items

Trans CODE	No. of Sheets Attached	Unit of Physical Custody	+	-	This Change	After Change	Item CODE	This Change	After Change
1		NWCTC	+		1.077				

TOTAL VOLUME AFTER CHANGE \_\_\_\_\_ (Direct Offers)  
 To be completed by NN-E

REMARKS: NN3-060-05-030, N1-60-88-13, Class A 146-16, Prisoners of war, 1-1-1955 to 12-30-1957.

Input is for 1 **classified** accession transferred from the Department of Justice, comprising 1.077 cubic feet of textual records (1 FRC-S box). Records are unprocessed.

REVIEWS AND APPROVALS FOR NN-E USE

1. Prepared by L. Morrison LM	3. Reviewed by Richard Wood	5. Reviewed by	7. ARCON Division Jeanne Schaub	Reviewed
Unit NWMD Date 01/18/05	Unit NWMD Date 1/28/05	Unit Date	Unit NWMD Date 1/31/05	
2. Reviewed by Edward B. Smith	4. Reviewed by	6. Reviewed by	8. Approved by	Posted
Unit NWMD Date 1/27/05	Unit Date	Unit Date	Unit Date	

NA 14044

# Hierarchical Reference Report by Record Group

REC GRP: 0060 Department of Justice  
FACILITY: NAII  
FINDING AID: UD  
CLASSIFICATION: C  
SHOW TRANSACTIONS?: True  
SHOW TEMP LOCATIONS?: False

REC GRP: 0060 Series SEC CLASS: C  
FINDING AID: UD-05D ID#: 422669  
ENTRY #: 17 ARC ID#:  
FACILITY: NAII

TITLE: {N1-60-88-13, Class A 146-7, World War II Classification (General Suspects), 1-1-1941 to 12-30-1947}

NAII : 490 : 65/31/05 - 65/32/03  
15.00 FRC Carton, Standard (15 x 12.25 x 10 1/8)

CONTAINER #s: 1-15

TOTAL LINEAR FT: 15.31

TOTAL CUBIC FT: 16.16

REPRODUCED IN MICROFORM?: None

#### TRANSACTIONS

ACC: NN3-060-05-028;

REC GRP: 0060 Series SEC CLASS: C  
FINDING AID: UD-05D ID#: 422768  
ENTRY #: 18 ARC ID#:  
FACILITY: NAII

TITLE: {N1-60-88-13, Class A 146-7, World War II Classification (General Suspects), 1-1-1941 to 12-30-1968}

NAII : 490 : 65/28/07 - 65/29/06  
20.00 FRC Carton, Standard (15 x 12.25 x 10 1/8)

CONTAINER #s: 1-20

TOTAL LINEAR FT: 20.42

TOTAL CUBIC FT: 21.54

REPRODUCED IN MICROFORM?: None

#### TRANSACTIONS

ACC: NN3-060-05-029;

REC GRP: 0060 Series SEC CLASS: C  
FINDING AID: UD-05D ID#: 422672  
ENTRY #: 19 ARC ID#:  
FACILITY: NAII

TITLE: {N1-60-88-13, Class A 146-16, Prisoner<sup>S</sup> of War, 1-1-1955 to 12-30-1957}

NAII : 490 : 65/29/07 - 65/29/07  
1.00 FRC Carton, Standard (15 x 12.25 x 10 1/8)

CONTAINER #s: 1-1

TOTAL LINEAR FT: 1.02

TOTAL CUBIC FT: 1.08

REPRODUCED IN MICROFORM?: None

#### TRANSACTIONS

ACC: NN3-060-05-030;

**PRESERVATION RISK AND NEEDS ASSESSMENT FORM FOR TEXTUAL RECORDS/INITIAL PROCESSING**

RG 60 ID # (MLR, Nail, Accession, etc. as applicable) **5452** Entry No./Series/Collection (*as applicable*) **NN3-060-05-030** Total Cubic feet **1.077**  
 Container Type: (*Code*) **Other container** Other Container Type **FRC-S** Number of containers: **1** Media Type Code **Pick from list**  
 Current Location: Building: **National Archives Building** Stack: **490** Row/compartment/shelf (begin) **65/29/07** Row/compartment/shelf (end) **65/29/07**

**PART 1. CONDITION ASSESSMENT-Select a Condition Box, check level of use and condition of records containers; go to Part 2 A, B, or C (Risk) as directed in parentheses (use highest code given: C>B>A):**

<input checked="" type="checkbox"/> <b>GOOD CONDITION</b> (records use) <i>Pick from list</i> (containers) <i>Current housing good (2A, no HM at this time)</i>	<input type="checkbox"/> <b>MEDIUM CONDITION</b> (records use) <i>Pick from list</i> (containers) <i>Pick from list</i>	<input type="checkbox"/> <b>POOR CONDITION</b> (records use) <i>Pick from list</i> (containers) <i>Pick from list</i>
---	---	---

**PART 2. RISK LEVEL ASSESSMENT-Select a Risk Box as directed above, check all categories that apply: go to Part 4, PRESERVATION NEEDS, as directed**

<input checked="" type="checkbox"/> <b>A. LOW RISK</b> <i>No preservation action AT THIS TIME. Increased use or deterioration may require future re-evaluation for risk level, preservation needs, or security (also Part 4, Preservation needs)</i>	
<input type="checkbox"/> <b>B. MEDIUM RISK</b> <i>Schedule for preservation after High Risk records.</i> <input type="checkbox"/> Mold or active vermin present (4, PN5) <input type="checkbox"/> Standard housing poor, wrong size, or damaging records (4, PN1) <input type="checkbox"/> Custom housing required due to non-standard size/format (4, PN4b) <input type="checkbox"/> Bindings damaged/failing to support text/loose pages (4, PN4a) <input type="checkbox"/> Highly used records eligible for preservation reformatting (4, PN 3a/b) <input type="checkbox"/> Records are brittle/torn/obscured by tapes/fragile/unsafe to use (4, PN4a)	<input type="checkbox"/> <b>C. HIGH RISK</b> <i>Prioritize for preservation in risk level order.</i> <input type="checkbox"/> Mold or active vermin present (4, PN5) <input type="checkbox"/> Standard housing poor, wrong size, or damaging records (4, PN1) <input type="checkbox"/> Custom housing required due to non-standard size/format (4, PN4b) <input type="checkbox"/> Bindings damaged/failing to support text/loose pages (4, PN4a) <input type="checkbox"/> Highly used records eligible for preservation reformatting (4, PN 3a/b) <input type="checkbox"/> Records are brittle/torn/obscured by tapes/fragile/unsafe to use (4, PN4a)

**Part 3. OVERALL RISK LEVEL ASSESSMENT SCORE (Mark the risk level used in Part 2; If there is also risk for immediate loss and/or a security risk, mark those boxes also.**

**LOW (A)** /  **MEDIUM (B)** /  **HIGH (C)** /  **RISK FOR IMMEDIATE LOSS** /  **SECURITY RISK (RISK OF LOSS TO THEFT/VANDALISM)**

**Part 4. PRESERVATION NEEDS (PN) (Mark all that apply, Use PN7 to clarify or to specify an unlisted need; Indicate % of records or numbers of containers/items, etc., where appropriate**

<input type="checkbox"/> 1. Holdings Maintenance Minimum/Moderate/Extensive	Ca. % of records:	No. Containers:	Container codes:	No. Items:	Media codes:	Other:
<input type="checkbox"/> 3a. Microfilming	Ca. % of records:	No. Containers:	Container codes:	No. Items:	Media codes:	Other:
<input type="checkbox"/> 3b. Reformatting (specify type: )	Ca. % of records:	No. Containers:	Container codes:	No. Items:	Media codes:	Other:
<input type="checkbox"/> 3c. Copying (specify type: )	Ca. % of records:	No. Containers:	Container codes:	No. Items:	Media codes:	Other:
<input type="checkbox"/> 4a. Conservation	Ca. % of records:	No. Containers:	Container codes:	No. Items:	Media codes:	Other:
<input type="checkbox"/> 4b. Custom housing	Ca. % of records:	No. Containers:	Container codes:	No. Items:	Media codes:	Other:
<input type="checkbox"/> 5. De-Infest Mold/Vermin	Ca. % of records:	No. Containers:	Container codes:	No. Items:	Media codes:	Other:
<input type="checkbox"/> 7. Other (specify: )	Ca. % of records:	No. Containers:	Container codes:	No. Items:	Media codes:	Other:
<input type="checkbox"/> 8. Consider for internal disposal <input type="checkbox"/> yes <input checked="" type="checkbox"/> no.						

**Part 5. RECORDS AT RISK FOR IMMEDIATE LOSS (Indicate one preservation need checked above in Part 4 that must be acted upon to prevent immediate loss.):**  
**Pick from list** Ca. % of records: No. Containers: Container codes: No. Items: Media codes: Other:

**ASSESSMENT BY: Lee Morrison UNIT: NWMD Date: 01/18/05 General Comments:**



# National Archives and Records Administration

---

8601 Adelphi Road  
College Park, Maryland 20740-6001

January 31, 2005

Mr. Bernard Berglind  
Records Officer  
Department of Justice Suite 520N  
1331 Pennsylvania Avenue NW  
Washington DC 20530-0001

Dear Mr. ~~Berglind~~: *Berne*

Enclosed are copies of Standard Form 258 (our numbers NN3-060-05-025, 027 to 041, and 043) for records of your agency recently transferred to the custody of the National Archives and Records Administration. The signature on Line 16 confirms that the records have been accepted into the archives of the United States.

If you have any questions about this transfer, please let me know by telephone (301-837-0595) or by e-mail [Richard.Wood@nara.gov](mailto:Richard.Wood@nara.gov)

Sincerely,

RICHARD E. WOOD  
Supervisory Archivist  
Initial Processing and Declassification Division

Enclosure

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION  
 COVER SHEET - TRANSACTION DOSSIER

TRANSACTION	
NUMBER	OTHER IDENTIFICATION
NN3-060-10-013	Unclassified Records of the Department of Justice, 1990-1994
CLOSED	
DATE	BY (Signature)
5-5-10	<i>Jay C. C-7</i> Director, Textual Archives Services Division.
CONSOLIDATED WITH	
TRANSACTION NUMBER	OTHER IDENTIFICATION
RELATED TRANSACTIONS	
TRANSACTION NUMBER	OTHER IDENTIFICATION
INSERTIONS AND CHANGES	
DATE	NATURE OF INSERTION OR CHANGE

**AGREEMENT TO TRANSFER RECORDS TO  
THE NATIONAL ARCHIVES OF THE UNITED STATES**

*JRC*

1. INTERIM CONTROL NO. (NARA Use Only)  
**060-10-7-U**

**TERMS OF AGREEMENT**

The records described below and on the attached 1 pages are deposited in the National Archives of the United States in accordance with 44 U.S.C. 2107. The transferring agency certifies that any restrictions on the use of these records are in conformance with the requirements of 5 U.S.C. 552.

In accordance with 44 U.S.C. 2108, custody of these records becomes the responsibility of the Archivist of the United States at the time of transfer of the records. It is agreed that these records will be administered in accordance with the provisions of 44 U.S.C. Chapter 21, 36 CFR Xii, 36 CFR Part 1256, and such other rules and regulations as may be prescribed by the Archivist of the United States (The Archivist). Unless specified and justified below, no restrictions of the use of these records will be imposed other than the general and specific

restrictions on the use of these records will be imposed other than the general and specific restrictions on the use of records in the National Archives of the United States that have been published in 36 CFR Part 1256 or in the *Guide to the National Archives of the United States*. The Archivist may destroy, donate, or otherwise dispose of any containers, duplicate copies, unused forms, blank stationery, non-archival printed or processed material, or other non-record material in any manner authorized by law or regulation. Without further consent, the Archivist may destroy deteriorating or damaged documents after they have copied in a form that retains all of the information in the original document. The Archivist will use the General Records Schedule and any applicable records disposition schedule (SF 115) of the transferring agency to dispose of non-archival materials contained in this deposit.

**2A. AGENCY APPROVAL**

Signature *Curt Francisco* Date *11/5/09*

**3A. NARA APPROVAL**

Signature *Steve Tilley* Date *12-23-09*

**2B. NAME, TITLE, MAILING ADDRESS**

Mr. Curt Francisco  
Department of Justice, Office of Records Management Policy  
1351 Pennsylvania Avenue NW  
Suite 1209  
Washington DC 20530

**3B. NAME, TITLE, MAILING ADDRESS**

*Acting NWCT*  
Mr. Steven Tilley, Director  
Textual Archives Services Division-NWCT  
8601 Adelphi Road, Room 2600  
College Park, MD 20740  
301-837-3059

**RECORDS INFORMATION**

**4A. Unclassified General Records of the Department of Justice**

**4B. DATE SPAN OF SERIES :** See attached list

(Attach any additional description)

**5A. AGENCY OR ESTABLISHMENT**

Department of Justice

**9. PHYSICAL FORMS**

- Paper Documents
- Paper Publications
- Microfilm/Microfiche
- Electronic Records
- Photographs
- Posters
- Maps and Charts
- Arch/Eng Drawings
- Motion/Sound/Video
- Other (specify) \_\_\_\_\_

**5B. AGENCY MAJOR SUBDIVISION**

Charge Code \*46

**5C. AGENCY MINOR SUBDIVISION**

**5D. UNIT THAT CREATED RECORDS**

**10. VOLUME CONTAINERS**

Cu. Mtr.: \_\_\_\_\_ Cu. Ft. 148 Number: \_\_\_\_\_ Type: \_\_\_\_\_

**5E. AGENCY PERSON WITH WHOM TO CONFER ABOUT THE RECORDS**

Name *Indira E. Eblen*  
Telephone Number \_\_\_\_\_  
*11/4/09*

**11. DATE RECORDS ELIGIBLE FOR TRANSFER TO THE ARCHIVES**  
As soon as possible

**12. ARE RECORDS FULLY AVAILABLE FOR PUBLIC USE?**

YES  NO (If no, attach limits on use and justification)

**6. DISPOSITION AUTHORITY:**

See attached list

**7. IS SECURITY CLASSIFIED INFORMATION PRESENT?**

NO  YES

LEVEL:  Confidential  Secret  Top Secret

SPECIAL MARKINGS:  RD/FRD  SCI  NATO  Other

INFORMATION STATUS:  Segregated  Declassified

**13. ARE RECORDS SUBJECT TO THE PRIVACY ACT?**

YES  NO

(If yes, cite Agency System Number and Federal Register volume and page number of most recent notice and attach a copy of this notice.)

*50 FR 37294 57 FR 8474  
69 FR 22872*

**8. CURRENT LOCATION OF RECORDS**

- Agency (Complete 8A only)
- Federal Records Center (Complete 8B only)

**14. ATTACHMENTS**

- Agency Manual Excerpt
- Additional Description
- Privacy Act Notice
- Other (specify): \_\_\_\_\_
- Listing of Records Transferred
- NA Form 14097 or Equivalent
- Microform Inspection Report
- SF(s) 135

**8B. FRC ACCESSION NUMBER**

Washington National Records Center,  
Suitland, MD 20746

**CONTAINER NUMBERS**

See attached list

**FRC LOCATION**

**NARA PROVIDES**

**15. SHIPPING INSTRUCTIONS TO AGENCIES/REMARKS REGARDING DISPOSITION**

**RG 060**

**16. RECORDS ACCEPTED INTO THE NATIONAL ARCHIVES OF THE UNITED STATES**

Signature *Steve Tilley* Date *5-5-10*

**17. NATIONAL ARCHIVES ACCESSION NO**

*NN3-060-10-013*

*P2010*

RC	DAFY	XAGNG	SUB	YOL	SC	DAU	DISP	Ch	SERIES	BDATE	EDATE	NTC	BB	EB
✓	060 - 94 - 0600		5			NC1/60/81/14/1B	2010	46	ASSOCIATE DEPUTY ATTY GEN	5/92-1/94	199205	199401	SRC	1 5
✓	060 - 94 - 0745		3			NC1/60/81/8/1B	2010	46	ASSISTANT ATTY GEN CORRES		199306	199402	VDV	1 3
✓	060 - 94 - 0840		11			NC1/60/81/14/1B	2010	46	ASSOCIATE DEPUTY ATTY GEN		199309	199404		1 11
✓	060 - 94 - 1050		3			NC1/60/81/14/1B	2010	46	ASSOCIATE DEPUTY ATTY GEN		199310	199408		1 3
✓	060 - 95 - 0346		2			NC1/60/81/14/1B	2010	46	ASSOCIATE DEPUTY ATTY GEN		199308	199409		1 2
✓	060 - 95 - 0941		10			NC1/60/84/5/1B	2010	46	ASSOCIATE ATTY GEN CORRES		199201	199412		1 10
✓	060 - 96 - 0919		10			NC1/60/84/5/1A	2010	46	ASSOCIATE ATTY GEN CORRES		199404	199407		1 10
✓	060 - 97 - 0553		4			NC1/60/81/14/1B	2010	46	ASSOCIATE DEPUTY ATTY GEN		199304	199404	VDP	1 4
✓	060 - 97 - 0876		5			NC1/60/81/14/1B	2010	46	ASSOCIATE DEPUTY ATTY GEN		199308	199409	PRM	1 5
✓	060 - 98 - 0281		8			NC1/60/81/14/1B	2010	46	ASSOCIATE DEPUTY ATTY GEN		199305	199410	VDV	1 8
✓	060 - 98 - 0811		23			NC1/60/81/14/1A	2010	46	DEPUTY ATTY GEN FILE		199210	199403	VDV	1 23
✓	060 - 99 - 0087		6			NC1/60/81/14/1B	2010	46	ASSOCIATE DEPUTY ATTY GEN		199305	199405		1 6
✓	060 - 99 - 0109		25			NC1/60/84/5/1A	2010	46	ASSOCIATE ATTY GEN CORRES		199301	199404	VDV	1 25
✓	060 - 00 - 0631		4			NC1/60/84/5/1B	2010	46	ASSOCIATE DEPUTY ATTY GEN		199101	199412		1 4
✓	060 - 01 - 0311		29			NC1/60/84/5/1B	2010	46	DEPUTY ASSOCIATE ATTY GEN		199012	199409	VDV	1 29

**RECORDS TRANSMITTAL AND RECEIPT**

Complete and send original and two copies of this form to the appropriate Federal Records Center for approval prior to shipment of records. See specific instructions on reverse.

**1. TO** (Complete the address for the appropriate records center serving your area)

Federal Archives and Records Center  
 General Services Administration  
 Washington National Records Center  
 Washington, DC 20409

*As shown in FPMR 101-11.410-1*

**2. AGENCY TRANSFER AUTHORIZATION** TRANSFERRING AGENCY OFFICIAL (Signature and title) DATE  
 Robert M. Yann, Director 3/15/94  
 IMS/ExSec

**3. AGENCY CONTACT** TRANSFERRING AGENCY LIAISON OFFICIAL (Name, office and telephone No.)  
 Bernard W. Berglind  
 SPS, IRM/JMD

**4. RECORDS CENTER RECEIPT** RECORDS RECEIVED BY (Signature and title) DATE

**5. FROM** (Enter the name and complete mailing address of the office retiring the records. The signed receipt of this form will be sent to this address)

Department of Justice  
 Systems Policy Staff/IRM/JMD  
 Suite 850, WCTR  
 1001 G Street, NW  
 Washington, DC 20530

ATTENTION: Bernard W. Berglind.

**RECORDS DATA**

ACCESSION NUMBER			VOLUME (cu. ft.)	AGENCY BOX NUMBERS	SERIES DESCRIPTION (With inclusive dates of records)	RESTRICTION	DISPOSAL AUTHORITY (Schedule and item number)	DISPOSAL DATE	COMPLETED BY RECORDS CENTER			
RG (a)	FY (b)	NUMBER (c)							LOCATION (j)	SHELF PLAN (k)	CONT. TYPE (l)	AUTO. DISP. (m)
060	94	0600	5	1-5	Files of Rod J. Rosenstein, Special Counsel to the DAG  Period: 05-93 thru 01-94  Location: B-508, Main DOJ  Contact: Ron Plavchan 514-2063  A COMPLETE BOX LISTING HAS BEEN PREPARED AND A COPY ATTACHED TO THE ORIGINAL SF 135.  ONLY AUTHORIZED DOJ PERSONNEL MAY OPEN AND RETRIEVE ITEMS FROM THIS ACCESSION.	R	NC1-60-81-14 Item 1B	P/2010	03/86:22-3.4			



FILES OF ROD J. ROSENSTEIN  
SPECIAL COUNSEL TO THE DEPUTY ATTORNEY GENERAL, 1993-94

(03-03-94)

BOX 1

Arrington, [Birmingham, AL Mayor Richard]  
[re U.S. v. Marjorie L. Peters]  
Baylson, Michael M./"Philadelphia Crime Rate Drop"  
Brown, Todd K.  
Byrnes, [Kevin]  
CARRINGTON, Professor Paul D.  
CARTER, JIMMY  
Civil Rights Council/Civil Disturbances  
[re est Senior Interagency Council on Civil Rts]  
CLIPPER CHIP  
Cohen, Walter W.  
Eddie Lee Cole, Jr. [re investigation of]  
[Accordion Folder] EDDIE LEE COLE INVEST.--COLLINGWOOD, FBI

Memorandum/John E. Collingwood

Congressional Contacts with DOJ Personnel - Policy  
Correspondence - MOYNIHAN/ABSCAM  
[Accordion Folder] CULTS - Reading Materials  
DAG 1980 Policy - Congressional Access to Closed CRM Files  
DAG Reorganization  
DAVIS, Richard J., Jr., Esq.  
[Accordion Folder] DEA/FBI Merger

AG Law Enforcement Role

DEA/FBI

DEA Merger - Press

DECC [Drug Enforcement Coordinating Council]

DIAP Order [re Office of Director for Investigative  
Agency Policies]

DIAP Law

[Accordion Folder] DEA/FBI MERGER CORRESPONDENCE

MEMO - DEA Merger

FBI-DEA Merger Letter (A-B)

FBI-DEA Merger Letter (C-G)  
FBI-DEA Merger Letter (H-J)  
JONAS, STEVEN - DEA/FBI MERGER  
FBI-DEA Merger Letter (K-M)  
MULLEN, Francis M. - FBI/DEA Merger  
OBOYSKI, VICTOR G. FBI-DEA MERGER  
FBI-DEA Merger Letter (P-W)  
THURMOND, SEN. STROM - ANTI-DEA/FBI MERGER  
WATERS, H. Franklin - FBI/DEA Merger  
WOOD, Tim & KAMMERZELL, John L. - FBI/DEA Merger  
VOTH, ERIC A

DEA Production Quotas [re supply of Ritalin]

BOX 2

[Accordion Folder] DEA RAIDS

TACTICAL TRAINING BULLETIN

EXAMPLE OF RAIDS/OPERATIONAL PLAN

RAIDS/TACTICAL LESSON PLAN

DEA TACTICAL TRAINING PROGRAM

JOSEPH P. DOMBROSKI/DAVID R. WILSON TACTICAL EXPERTISE

De CONCINI, Dennis -- Sen.  
DOIG, Prof. JAMESON  
DOJ Components  
DONSANTO, Craig C. - CRM  
Electronic Surveillance  
EXXON VALDEZ  
AUSA FALLGATTER, [Curtis]  
FLETC [Fed Law Enforcement Training Ctr] - Training  
Harold Ford Case  
GAO Studies  
GREEN, Deborah A.

GRIFFIN, Douglas  
DELLUMS, Cong. Ronald V.  
HAMILTON, James, Esq.  
HANKINSON, Richard J.  
HARMON, John M.  
HEAD, HAYDEN  
IG Complaints [re alleged fraud, waste & abuse against]  
INDEPENDENT COUNSEL/Lawrence Walsh  
INTERNAL CORRESPONDENCE - ROD ROSENSTEIN  
Kehoe, Greg  
W.D. Kentucky (AETNA MATTER)  
Kimberlin, [Brett C.]  
Nancy Kingsbury [re Travel Gate]  
Levin, Richard C.  
Lilley, John R.  
Marijuana  
Jim McGee/Washington Post  
MEMOS FROM R. ROSENSTEIN  
Miller, Arthur R./Thank You Letter  
MONSKEY, PATRICIA A.  
Murrin, Sue [re relationship bet OIG & OPR]  
NATIONAL CONFERENCE OF FEDERAL JUDGES  
[Accordion Folder] NIJ/Louden, [Dr. Robert J.]

Less than Lethal Technologies

[Accordion Folder] NIJ Select Publications  
OIP Seminars  
OPR [Ofc of Professional Responsibilities]: Allegations  
OPR Annual Report for 1991  
OPR Disclosure Policy  
OPR: Proposal to Disclose Names of Attys Investigated  
Panetta, Leon E.  
Patterson, Mark  
PMOI (People's Maujahedin of Iran)  
PREATE, [Ernest, Jr.] (AG, PA.) INVESTIG.  
Principles of Prosecution  
PUBLIC INTEGRITY SECTION  
[Accordion Folder] QUANTICO  
Removal of IG [re procedures]  
REQUESTS OF THE DAG FOR DETAILS, APPTS. ETC  
Rosedale, Herbert L. Re: Nancy Ammerman's Waco Report  
Samuelson, [Acting USA Wayne P.] Allegations  
Hon. Steven Schiff/"Crime Bill"

BOX 3

[Accordion Folder] SESSIONS FOIA REQUEST

Sessions, [FBI Director William S.]

Letters - PRO & CON/FBI - William Sessions

Supporting FBI "Sessions" Fleming, [Philip A.] &  
Pina, [Edward L.]

"SHIPREST" PROPOSAL [re FBI's Siege & Hostage Incident  
Prosecution Response Team]

Singer, Margaret T. [re Ammerman's Waco Report]

SMITH, WALTER, HONORABLE U.S. District Judge W.D. Texas  
[re U.S. v. Schroeder, et al.]

Sparks, Joyce

Storch, Rob

Stuart [O.] Simms

SULLIVAN, DR. LAWRENCE

Tilley, William F.

[Accordion Folder] TRAVEL GATE

Michel, Cong. Bob and Four Others

Watergate Reunion [of prosecution staff]

[Accordion Folder] RANDY WEAVER CASE

Memorandum to JoAnn Harris - OPR/CRM Weaver Investigation

Memorandum to Philip B. Heymann - OPR/CRM Weaver  
Investigation

Memorandum to Janet Reno

WEAVER & HARRIS CASE

Memoranda - Chronology of Events of U.S. v. Randall C.  
Weaver

Williamson, Richard

Wray, Henry R., Esq.

[Divider] WACO/BRANCH DAVIDIAN STANDOFF

[Accordion Folder] WACO LOG [6/28/93 - 4/15/94]

[Accordion Folder] DOJ EXPERTS FOR WACO INQUIRY

Experts (Names)

Ammerman, [Dr. Nancy]

Birt, [Colin E.]  
Dr. Robert Cancro  
Davis, [Richard J.]  
Dennis, [Edward S.G., Jr.]  
Louden, [Robert J.]  
McCarthy, [Ronald M.]  
Ariel Merari  
Webster, [William H.]  
Galanter, [Dr. Marc]  
Appleby, [Dr. R. Scott]  
Fein, Robert  
Van De Kamp, [John]  
Andrea [S.] Ordin  
Waco - RJR Updates

BOX 4

Groups A & C - Briefings [NOTE: Experts were divided into  
3 groups according to their expertise to deal w/ specific  
issues: Group A - handled barricade/hostage sits.,  
Group B - dealt w/motivations & thought processes, and  
Group C - coordinated law enforcement efforts in  
barricade/hostage sits.]

A & C Letters

B Letters

WACO Correspondence (RJR)

Waco - Calls/Offer of Assistance

[Accordion Folder] WACO PROCEDURE

Retrospective Experts

Experts - Mandates (Forward)

Waco Budget

[Accordion Folder] Vetting

Ethical Rules

Vetting Questions for Experts

Waco Travel

Resumes for Experts to Review

Waco Briefings

Waco - Press

Press Release [6/24/93]

[Accordion Folder] BRANCH DAVIDIANS - WACO LETTERS, COMMENTS

Bardin, David J.

[Accordion Folder] Waco letters (no response)

Waco Letters

BOX 5

AG Briefing Book

De Concini, Dennis - Senator [commending AG re Waco]

THURMOND, SEN. STROM RE: WACO QUESTIONS

Waco 911 Tape

Waco Report [only outline]

Waco - FBI Review

FBI - SOAR [Special Ops & Research] Unit

FBI Waco Response

FBI TESTIMONY BEFORE JUDICIARY COMMITTEE - Q&A

Release of Report

[Accordion Folder] Final Waco Expert Report

[includes both redacted & unredacted versions]

Experts' Final Recommendations

[Accordion Folder] FINAL EXPERTS WACO REPORT

[Accordion Folder] PRINTED WACO REPORTS

Lessons of Waco: Proposed Changes in Federal Law  
Enforcement (10-8-93)

Recommendations of Experts for Improvements in Federal  
Law Enforcement After Waco

Evaluation of the Handling of the Branch Davidian  
Stand-off in Waco, Texas, February 28 to April 19, 1993  
(10-8-93) [Redacted Version]

[Accordion Folder] WACO MISCELLANEOUS

Waco - Misc.

# Accession Detail

## Basic Information

**FRC Legal Transfer # (LT):**  
**AccessionType:** FRC  
**Record Group/Collection #:** 0060  
**Agency:** Department of Justice  
**Description:** Files of Rod J. Rosenstein, Special Counsel to the DAG

**Accession #:** NN3-060-10-013  
**Archival Unit:** Archives II, A2  
**Contact Name:** Bernard Berglind

**FRC:** FRC - WNRC (Suitland)  
**Disposition Authority #:** NC1-60-81-14  
**Media Type:** Textual  
**# of Containers:** 5  
**Classification Status:** Unclassified  
**Risk Level:** No Preservation Action Now  
**Inclusive Start Date:** 05/01/1993  
**Begin Location:** A2-02-0000230-072-1-016-05  
**Comments:**

**FRC Transfer #(SF135):** 060-940600  
**Transfer Authorization Date:** 12/23/2009  
**Records Accepted/Received date:** 3/22/2010  
**Cubic Feet:** 5.39  
**Status:** Records Received  
**Inclusive End Date:** 01/01/1994  
**End Location:** A2-02-0000230-072-1-016-07


**Access Restriction:** Restricted- Possibly  
**Specific Access Restriction:**  
FOIA (b)(7) Law Enforcement

## Container Information:

Container Type:	# of Containers:	Cubic Feet:
FRC-S	5	5.39



# Accession - Asset Shelf Report

<b>Accession Number :</b>		NN3-060-10-013	<b>Media Type :</b>	Textual
<b>RG/Collection # :</b>	0060		<b>Accession Type :</b>	FRC
<b>Description :</b>	Files of Rod J. Rosenstein, Special Counsel to the DAG		<b>Total # Of Containers :</b>	5
			<b>Cubic Feet :</b>	5.385

Asset ID	Container #	Type	Location
HC1-70686114	1	FRC-S	A2-02-0000230-072-1-016-05
HC1-70686119	2	FRC-S	A2-02-0000230-072-1-016-06
HC1-70686122	3	FRC-S	A2-02-0000230-072-1-016-06
HC1-70686125	4	FRC-S	A2-02-0000230-072-1-016-06
HC1-70686128	5	FRC-S	A2-02-0000230-072-1-016-07

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**1. TO** (Complete the address for the appropriate records center serving your area)

**Federal Archives and Records Center  
General Services Administration**

Washington National Records Center  
Washington, DC 20409

*As shown in FPMR 101-11.410*

**2. AGENCY TRANSFER AUTHORIZATION**

TRANSFERRING AGENCY OFFICIAL (Signature and title)  
Robert M. Yahn, Director  
Info Mgt Staff/ExSec

DATE  
04/21/94

**3. AGENCY CONTACT**

TRANSFERRING AGENCY LIAISON OFFICIAL (Name, office and telephone No.)  
Bernard W. Berglind  
SPS/IRM/JMD 202-514-6283

**RECORDS CENTER RECEIPT**

RECORDS RECEIVED BY (Signature and title)

DATE

**5. FROM** (Enter the name and complete mailing address of the office retiring the records. The signed receipt of this form will be sent to this address)

Department of Justice  
Systems Policy Staff/IRM/JMD  
Suite 850, WCTR  
1001 G Street, NW  
Washington, DC 20530

ATTENTION: Bernard W. Berglind

Fold line

**6. RECORDS DATA**

ACCESSION NUMBER			VOLUME (cu. ft.)	AGENCY BOX NUMBERS	SERIES DESCRIPTION (With inclusive dates of records)	RESTRICTION	DISPOSAL AUTHORITY (Schedule and item number)	DISPOSAL DATE	COMPLETED BY RECORDS CENTER			
RG	FY	NUMBER							LOCATION	SHELF PLAN	CONT. TYPE	AUTO. DISP.
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)
060	94	0745	3	1-3	Files of George R. Havens, Special Assistant to the AG for Law Enforcement (Reno admin)  Period: 06-93 thru 02-94  Location: B-508, Main Justice  Contact: Ron Plavchan 514-2063  A COMPLETE BOX LISTING HAS BEEN PREPARED AND A COPY ATTACHED TO THE ORIGINAL SF 135.  ONLY AUTHORIZED DOJ PERSONNEL MAY OPEN AND RETRIEVE ITEMS FROM THIS ACCESSION.	R	NC1-60-81-8 Item 1B	P/2010	02/60:04-3.1			

FILES OF GEORGE R. HAVENS,  
SPECIAL ASSISTANT TO THE ATTORNEY GENERAL  
FOR LAW ENFORCEMENT, 1993-94

(03-31-94)

BOX 1

ADMINISTRATIVE

[Accordion Folder] BILCHIK, Shay/ADAG

Bilchik Meeting [8/26 & 9/8/93]

Correspondence

BIO - George R. Havens  
BUREAU OF JUSTICE STATISTICS  
HAVENS, George Ray CHRON [6/93 - 1/94]  
DEA/FBI Merger  
[Accordion Folder] DiGREGORY, Kevin

Havens/DiGregory Meeting in Denver [9/13 - 14/93]

Rough Notes

Task Force History [Aurora Gang Task Force article]

DIVISION SECURITY INFORMATION (DSI)  
[re 1993 reinvestigation program]

EXEC SEC CORRESPONDENCE [1]

EXEC SEC CORRESPONDENCE [2]

[Accordion Folder] FITZSIMONS, Patrick/NCIC/URC Board

BACKGROUND ON BOARD [re Natl crime Info Center  
Advisory Policy Bd & Uniform Crime Reporting Data  
Providers' Advisory Policy Bd]

CORRESPONDENCE

MEMO TO THE AG & FILE [2 July 1993]

IACP POSITION PAPER [re Fed participation in  
advisory process for mgt of FBI's Crim Justice Info  
Services (CJIS) Div systems]

FBI Memo [re mtg w/Fitzsimon on 6/8/93]

VANCOUVER MEETING [8/6-7/93]

GARR, MARC

GARRETT, JIM (JUDGE)  
GLADISH, W.L. "HAP"

Atty Gen Reno

GREENE, R.H.  
HEALTH AND JUSTICE WORKING GROUP (NIJ)  
[Accordion Folder] HINTZ, JOANNE

[Videocassette] Joan V. Hintz Vs. First Interstate  
Bank/Bankers Inside View, Bank Attempts Murder

IACP St. Louis, MO [re annual conference 10/16-21/93]  
IACP/UCR/FITZSIMMONS  
INTERNATIONAL ASSOCIATION OF FORENSIC NURSES  
JORDAN, BARBARA U./KEMP, JOHN O.  
[Accordion Folder] LAW ENFORCEMENT GRANTS

Appropriation Bills

Background

Community Policing [Grants]

Grant Announcements

Letters to Committees

Memos to the AG

PERF [Police Executive Research Forum], NSA [National  
Sheriffs Assn], IACP [International Assn of Chiefs of  
Police] Information

BOX 2

LEE, ANDY (Sheriff)  
LESS THAN LEGAL (LTL)

Less-Than-Legal Technologies Committee Meeting  
October 6, 1993

LOCKE, Michael/Memo to File  
LONGARIELLO, STEVE J.  
MCCLANAHAN, MARJORIE L.  
MCKENNA, DENNIS  
THE MARITIME ADMINISTRATION (MARAD)  
[re Fed ad hoc Working Group on Maritime Security]  
MEMORANDUMS (OUTGOING) [7/15 - 12/32/93]

NATIONAL ASSOCIATION OF POLICE OFFICERS (NAPO)  
NATIONAL LAW ENFORCEMENT EXPLORING COMMITTEE  
National Organization of Black Law Enforcement Executives  
(NOBLE)

[Accordion Folder] NEWPORT NEWS, VA

[Binder] CITY OF NEWPORT NEWS (2 copies)

ANTICRIME PARTNERSHIP PROGRAM NEWPORT NEWS, VA

[Envelope] Acheivable Dream

NEWPORT NEWS, VA. COMMUNITY POLICING

OBOYSKI, Victor G./Federal Law Enforcement Officers  
Police Executive Research  
POLICE PALS  
POLICE USE OF FORCE  
POROZYNSKI, MARTIN  
POWELL, CHARLES - PERSONNEL MATTER  
ROMANSKI, TERRENCE E.  
SECURITY  
SES ORIENTATION PROGRAM

BOX 3

SHANNAMI, ALI  
SHORTER Lawsuit [Shorter v. Reno, et al.]  
SOUTER, LARRY EDMOND  
SUDDUTH, GARY  
TITLE III ENCRYPTION [re Clipper Chip]  
[Accordion Folder] TRAVEL - George R. Havens

Havens, Geo. R. ([Traveler] Profile Sheet)

HAVENS, George R. TRAVEL - Omaha, NE  
11/30 - 12/1/93

HAVENS, George R. TRAVEL - Philadelphia, PA  
11/3/93

HAVENS, George R. TRAVEL - St. Louis, MO  
10/17 - 20/93

HAVENS, George R. TRAVEL - Jacksonville, FL  
10/12/93

HAVENS, George R. TRAVEL - Welches, OR  
9/23 - 24/93

HAVENS, George R. TRAVEL - Denver, CO  
9/13 -15/93

HAVENS, George R. TRAVEL - Newport News, VA  
8/18/93

HAVENS, George R. TRAVEL - Shreveport, LA  
8/13 - 15/93

HAVENS, George R. TRAVEL - Vancouver, B.C.  
8/6 - 8/93

HAVENS, George R. TRAVEL - Salt Lake City, Utah  
6/22 - 23/93

TUCKER, LUTHER H.

UCR/NCIC ADVISORY POLICY BOARDS

[Binder] Science and Technology (NIJ, July 30, 1993)

[Binder] An Annotated Bibliography on ISSUES RELATED TO POLICE  
USE OF FORCE (NIJ)

[Binder] POLICE USE OF FORCE: OFFICIAL REPORTS, CITIZEN  
COMPLAINTS, and LEGAL CONSEQUENCES (Oct 1993) Vol.1

[Binder] POLICE USE OF FORCE: OFFICIAL REPORTS, CITIZEN  
COMPLAINTS, and LEGAL CONSEQUENCES (Oct 1993) Vol.2

[Binder] CITY OF NEWPORT NEWS

# Accession Detail

## Basic Information

**FRC Legal Transfer # (LT):**  
**Accession Type:** FRC  
**Record Group/Collection #:** 0060  
**Agency:** Department of Justice  
**Description:** Files of George R. Havens, Special Assistant to the AG for Law Enforcement

**Accession #:** NN3-060-10-013  
**Archival Unit:** Archives II, A2  
**Contact Name:** Bernard Berglind

**FRC:** FRC - WNRC (Suitland)  
**Disposition Authority #:** NC1-60-81-8  
**Media Type:** Textual  
**# of Containers:** 3  
**Classification Status:** Unclassified  
**Risk Level:** No Preservation Action Now  
**Inclusive Start Date:** 06/01/1993  
**Begin Location:** A2-02-0000230-072-1-017-03  
**Comments:**


**FRC Transfer #(SF135):** 060-940745  
**Transfer Authorization Date:** 12/23/2009  
**Records Accepted/Received date:** 3/22/2010  
**Cubic Feet:** 3.23  
**Status:** Records Received  
**Inclusive End Date:** 02/28/1994  
**End Location:** A2-02-0000230-072-1-017-03

**Access Restriction:** Restricted- Fully  
**Specific Access Restriction:**  
FOIA (b)(7) Law Enforcement

## Container Information:

Container Type:	# of Containers:	Cubic Feet:
FRC-S	3	3.23

# Accession - Asset Shelf Report

<b>Accession Number :</b>		NN3-060-10-013	<b>Media Type :</b>	Textual
<b>RG/Collection # :</b>	0060		<b>Accession Type :</b>	FRC
<b>Description :</b>	Files of George R. Havens, Special Assistant to the AG for Law Enforcement		<b>Total # Of Containers :</b>	3
			<b>Cubic Feet :</b>	3.231

Asset ID	Container #	Type	Location
HC1-70693104	1	FRC-S	A2-02-0000230-072-1-017-03
HC1-70693109	2	FRC-S	A2-02-0000230-072-1-017-03
HC1-70693122	3	FRC-S	A2-02-0000230-072-1-017-03



**RECORDS TRANSMITTAL AND RECEIPT**

Complete and send original and two copies of this form to the appropriate Federal Records Center for approval prior to shipment of records. See specific instructions on reverse.

**1. TO** (Complete the address for the appropriate records center serving your area)

**Federal Archives and Records Center  
General Services Administration**

Washington National Records Center  
Washington, DC 20409

*As shown in FPMR 101-11.410-1*

**2. AGENCY TRANSFER AUTHORIZATION**

TRANSFERRING AGENCY OFFICIAL (Signature and title)  
Robert M. Yahn, Director  
Info. Mgt. Staff/ExSec

DATE  
05-24-94

**3. AGENCY CONTACT**

TRANSFERRING AGENCY LIAISON OFFICIAL (Name, office and telephone No.)  
Bernard W. Berglind, OBD Records Officer  
SPS/IRM/JMD 202-514-6283

**4. RECORDS CENTER RECEIPT**

RECORDS RECEIVED BY (Signature and title)

DATE

**5. FROM** (Enter the name and complete mailing address of the office retiring the records. The signed receipt of this form will be sent to this address)

Department of Justice  
Systems Policy Staff/IRM/JMD  
Suite 850, WCTR  
1001 G Street, NW.,  
Washington, DC 20530

ATTENTION: Bernard W. Berglind

Fold line

**RECORDS DATA**

ACCESSION NUMBER			VOLUME (cu. ft.)	AGENCY BOX NUMBERS	SERIES DESCRIPTION (With inclusive dates of records)	RESTRICTION	DISPOSAL AUTHORITY (Schedule and item number)	DISPOSAL DATE	COMPLETED BY RECORDS CENTER			
RG	FY	NUMBER							LOCATION	SHELF PLAN	CONT. TYPE	AUTO. DISP.
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)
060	94	0840	11	1-11	Files of Irvin B. Nathan, Principal Associate DAG (Reno administration)  Period: 09-93 thru 04-94  Location: B-508, Main Justice  Contact: Ron Plavchan 514-2063  A COMPLETE BOX LISTING HAS BEEN PREPARED AND A COPY ATTACHED TO THE ORIGINAL SF 135. ONLY AUTHORIZED DOJ PERSONNEL MAY OPEN AND RETRIEVE ITEMS FROM THIS ACCESSION.	R	NC1-60-81-14 Item 1B	P/2010	17/50:24-7.4			

IRVIN B. NATHAN, PRINCIPAL ASSOCIATE DEPUTY ATTORNEY GENERAL,  
1993-94

(05-19-94)

BOX 1

[Accordion Folder] ABORTION CLINIC VOLENCE  
[Accordion Folder] ADMINISTRATION OF JUSTICE PROGRAM  
AMERICORPS [re national service corps]  
Antitrust Division  
ARMED CAREER CRIMINAL ACT  
ATTORNEY GENERAL'S ADVISORY COMMITTEE  
ATTORNEY GENERAL - CRIMINAL MATTERS STATUS REPORTS [3/2-15/94]  
ATTORNEY GENERAL - PRESS  
ATTORNEY GENERAL - TESTIMONY  
[re FY 1992 Appropriation hearings]  
AG STAFF MEETINGS  
BCCI [re settlement agreement]  
BANCA NAZIONALE DEL LAVORO (BNL)  
BEECHAM v. UNITED STATES [re rt. of convicted felons to  
possess a firearm]  
BRADY BILL [re HR.1025, Brady Handgun Violence Prevention Act]  
BROWN, RON  
[Accordion Folder] BUREAU OF JUSTICE ASSISTANCE  
BUREAU OF PRISONS  
CENTER ON ADDICTION AND SUBSTANCE ABUSE (CASA)  
CHARGING AND PLEA NEGOTIATION POLICY  
CHEMICAL WARFARE [re S.363, Chemical Weapons Convention  
Implementation Act of 1994]  
[Accordion Folder] CHILCUTT CASE

CHILCUTT CASE MTG., Thursday, Mar. 10, 1994,  
SG's office

CHILD EXPLOITATION AND OBSCENITY SECTION  
CLIPPER CHIP [LA Times article 10/4/93]  
CIVIL DIVISION  
[Accordion Folder] CIVILITY IN THE PROFESSION  
[DC Bar Task Force on]  
[Accordion Folder] CIVILITY RULES [incl report of the Comte  
on Civility of 7th Fed Judicial Circuit]  
CIVIL RIGHTS DIVISION  
[Accordion Folder] COLLECTION OF CRIMINAL FINES  
COMMITTEE FOR JUSTICE  
[Accordion Folder] CONGRESSIONAL CONTACTS  
CONGRESSIONAL HEARINGS [list of, for first quarter of 1994]

BOX 2

[Accordion Folder] CRIME BILL

CRIME BILL MEETING, Wednesday, Feb. 2, 1994  
3:00 p.m.

CRIME BILL - CHILD PORNOGRAPHY  
CRIME BILL - DEATH PENALTY  
[Accordion Folder] CRIME BILL - FEDERALIZATION [HR. 3355]  
CRIME BILL - FIREARMS  
CRIME BILL - HABEAS CORPUS  
[Accordion Folder] CRIME BILL - HOUSE [HR. 3131, Violent Crime  
Control & Law Enforcement Act of 1993; HR.2847, Crime  
Control Act of 1993]  
CRIME BILL - MANDATORY MINIMUM SENTENCES  
CRIME BILL - POLICING [re discretionary grants to support  
police hiring]  
CRIME BILL - PRISONS [re regional prisons]  
[Accordion Folder] CRIME BILL - SENATE [S.1607, Violent Crime  
Control & Law Enforcement Act of 1993 (Biden Bill)]

BOX 3

CRIME BILL - SUBSTANTIAL ASSISTANCE  
[re granting sentence reduction for]  
[Accordion Folder] CRIME BILL - THREE TIME OFFENDER PROVISION

THREE TIME OFFENDER MEETING, Tuesday, February 1,  
1994, 3:45 p.m.

CRIME BILL - VIOLENT CRIME CONTROL & LAW ENFORCEMENT ACT OF  
1993 [HR.3355]  
CRIME BILL TASK FORCE  
[Accordion Folder] CRIME DATA  
[Accordion Folder] CRIME POLICY - WHITE HOUSE  
[Accordion Folder] CRIME STATISTICS  
[Accordion Folder] CRIMINAL DIVISION - COHEN CASE  
[Accordion Folder] CRIMINAL MATTERS MEETINGS  
DAILY SCHEDULES [Sep 7, 1993 - Apr 6, 1994]  
DEATH PENALTY  
DELEGATIONS OF AUTHORITY  
DEMJEANJUK, JOHN  
[Accordion Folder] DEPARTMENT OF JUSTICE - BUDGET FY 1995  
DEPARTMENT OF JUSTICE - BUDGET FY 1994  
DEPARTMENT OF JUSTICE - NON-CAREER STAFFING  
DEPARTMENT OF JUSTICE - STANDARDS OF CONDUCT  
DEPARTMENT OF JUSTICE - WEEKLY REPORT [re ASG repts to WH]  
DEPARTMENT OF JUSTICE ORGANIZATION CHART [5/14/93]  
DEPARTMENT REVIEW COMMITTEE

Department of Treasury Crime Control and Community Safety Bill  
of 1994

DAG'S OFFICE - ASSIGNMENT OF ATTORNEY RESPONSIBILITIES

DAG'S OFFICE - ASSIGNMENT LOG [Sep - Dec 1993]

DEPUTY ATTORNEY GENERAL'S OFFICE - ASSOCIATE DAG APPLICANTS

DAG'S OFFICE - Budget FY 95

DAG'S OFFICE - FY 1994 BUDGET

DAG'S OFFICE - CURRENT ASSIGNMENTS

DAG'S OFFICE - HEYMANN, [Phil]

DAG'S OFFICE - MAJOR INITIATIVES

DEPUTY ATTORNEY GENERAL'S STAFF MEETINGS [9/23/93 - 3/8/94]

BOX 4

DIRECTOR FOR INVESTIGATIVE AGENCY POLICIES (DIAP)

DISTRICT OF COLUMBIA [re detailing Fed judges to DC Sup Ct]

DRUG ENFORCEMENT ADMINISTRATION (DEA)

DRUG ENFORCEMENT ADMINISTRATION (DEA) - Candidates for Director

DEA/FBI MERGER

DRUG POLICY

DURENBERGER, SENATOR DAVID F.

MEETING W/ TOM GREEN RE: DURENBERGER  
THURSDAY, FEB 3, 1994 3:00 p.m.

[Accordion Folder] EISENBERG, DAVID; FREDERICK, SCOTT L.

EL RUKN CASE [re U.S. v. Boyd (CA 7)]

ENVIRONMENT AND NATURAL RESOURCES DIVISION

ENVIRONMENTAL CRIMES SECTION

[Accordion Folder] EUROPEAN UNION [re new Council of Justice  
& Home Ministers]

EXECUTIVE OFFICE FOR UNITED STATES ATTORNEYS

FEDERAL BUREAU OF INVESTIGATION

FBI - COLE, [Eddie Lee] INVESTIGATION IN OMAHA

[re Curtis Telephone Co.]

FBI - EMPLOYMENT OF NON-AGENT ATTORNEYS

FBI - INTERNAL REGULATIONS [a copy of AG Guidelines on General  
Crimes, Racketeering Enterprise & Domestic Security/  
Terrorism Investigations 3/21/89]

FBI - WEAVER CASE [U.S. v. Randal!Weaver!&!Kevin!L.!Harris]

[Accordion Folder] FEDERAL JUDICIARY

FEDERALIZATION - GUIDELINES

FINANCIAL INSTITUTIONS FRAUD

FYI [re misc. subjects]

FOIA POLICY

GOVERNMENT ACCOUNTING OFFICE - ACCESS TO FBI RECORDS

GOVERNMENT ACCOUNTING OFFICE - AUDIT ACTIVITIES IN DOJ

GSA - COURTHOUSE SECURITY

BOX 5

GUNS  
HALE, JUDGE DAVID - LITTLE ROCK  
[Accordion Folder] HABEAS CORPUS CASES  
HATE CRIMES  
HEALTH CARE REFORM  
HOMOSEXUAL NONDISCRIMINATION [in employment]  
HYMEL, L.J. - USA CANDIDATE (MD LA)  
HUMAN RADIATION INTERAGENCY WORKING GROUP  
Human Rights Watch  
IMMIGRATION AND NATURALIZATION SERVICE  
IMMIGRATION REFORM INITIATIVES  
INDIAN AFFAIRS  
INSPECTOR GENERAL  
INTERAGENCY COUNCIL ON THE HOMELESS  
INTERDEPARTMENTAL VIOLENCE INITIATIVE  
ICITAP - SOMALIA PROJECT [re reest police force in]  
INTERPOL  
ISRAEL  
JACKSON, JESSE [re drug trafficking investigation of his sons]  
[Accordion Folder] JUDGES  
JUSTICE MANAGEMENT DIVISION  
JUSTICE MANAGEMENT GROUP  
[Accordion Folder] KNOX CASE [re child porn laws]

RESPONSE TO SEN. ROTH'S EDITORIAL MTG.  
Tuesday, Mar. 22, 1993, 11:15 p.m. R.4118

CHILD PORNOGRAPHY LEGISLATION MTG. W/AG  
Wed., Mar. 16, 1994, 6:30 p.m.

KOJAVAN CASE [U.S. v. Chake!Kojayan]  
MANDATORY MINIMUM SENTENCES  
MARATHON PIPE LINE COMPANY [re pipeline leak in Macon, IL, on  
6/19/93]  
MEXICO  
[Accordion Folder] MEZZANATTO CASE [U.S. v. Gary!Mezzanatto]

BOX 6

MONEY LAUNDERING  
NATIONAL ASSOCIATION OF ATTORNEYS GENERAL (NAAG)  
NATIONAL BANKRUPTCY CONFERENCE  
NATIONAL DRUG INTELLIGENCE CENTER (NDIC)  
[Accordion Folder] NATIONAL INSTITUTE OF JUSTICE  
NEW JERSEY - ALLEGATION OF ELECTION IMPROPRIETY [re claims of  
Ed Rollins in gubernatorial election 11/4/93]  
NEW YORK CITY MAYORAL ELECTION [re Hispanic intimidation]  
NON-LETHAL TECHNOLOGIES  
[Accordion Folder] NAFTA [No American Free Trade Agreement]

NORTH CAROLINA - MIDDLE DISTRICT  
[Accordion Folder] OCCHIPINTI, JOSEPH  
OFFICE OF JUSTICE PROGRAMS (OJP)  
OJP - RHODE ISLAND [re investigation into grant funds]  
OFFICE OF LEGAL COUNSEL  
[Accordion Folder] OFFICE OF LEGISLATIVE AFFAIRS  
OFFICE OF NATIONAL DRUG CONTROL POLICY (ONDCP)  
OFFICE OF THE PARDON ATTORNEY  
OFFICE OF POLICY DEVELOPMENT  
OFFICE F PROFESSIONAL RESPONSIBILITY (OPR)  
OFFICE OF PROFESSIONAL RESPONSIBILITY - DISCLOSURE POLICY  
OFFICE OF PROFESSIONAL RESPONSIBILITY - FRELS MATTER  
[re discharge of USA Jack Frels, WD TX]  
OFFICE OF PROFESSIONAL RESPONSIBILITY - INSPECTOR GENERAL  
OFFICE OF PROFESSIONAL RESPONSIBILITY - AUSA Morgan, [Ruth R.]  
Matter  
OFFICE OF PUBLIC AFFAIRS  
OFFICE OF THE SOLICITOR GENERAL (OSG)

BOX 7

[Accordion Folder] OFFICE OF THE SOLICITOR GENERAL - OPINIONS  
OPENNESS [re PAO memo 9/14/93]  
[Accordion Folder] ORGANIZED CRIME DRUG ENFORCEMENT TASK FORCE  
PHE, INC. CASE [re mailing hard core porn under busines name  
of "Adam & Eve"]  
[Accordion Folder] POLLARD, JONATHAN  
[Accordion Folder] DINGELL COMMITTEE MATERIALS  
[re investigation of Environmental Crimes Section]  
PRESIDENT'S COUNCIL ON INTEGRITY AND EFFICIENCY (PCIE)  
[Accordion Folder] PROFESSIONAL STANDARDS OF CONDUCT COMMITTEE  
POW/MIA  
PROJECT PACT [Pulling America's Communities Together]  
PROJECT TRIGGERLOCK  
PUBLIC DEFENDERS  
RESOLUTION TRUST CORPORATION  
RESUMES  
RITALIN [re shortage of this drug for Attention Deficit  
Disorder children]  
ROLLIN'S EDWARD J. JR.  
ROSENBAUM, YANKEL  
RUFF, CHARLES F.C.  
SENSITIVE CASE REPORTS  
SENTENCING COMMISSION  
[Accordion Folder] SENTENCING MATTERS

BOX 8

[Accordion Folder] SIMMS, STUART [O.] [re investigation into  
a Baltimore drug raid in 1987]  
STATE OF UNION ADDRESS [1/25/93]

SUBPOENAS TO LINE ATTORNEYS  
TELEVISION VIOLENCE  
THREE BRANCH CONFERENCE  
UNITED STATES ATTORNEYS CONFERENCE  
UNITED STATES ATTORNEY'S OFFICE - ARIZONA  
[re Emerald Clipper Operation]  
[Accordion Folder] U.S. ATTORNEY'S OFFICE - BATON ROUGE,  
LOUISIANA  
UNITED STATES ATTORNEY'S OFFICE - CHICAGO, IL  
U.S. ATTORNEY'S OFFICE, Connecticut  
UNITED STATES ATTORNEY'S OFFICE - D.C.  
[Accordion Folder] UNITED STATES ATTORNEY'S OFFICE - LOS  
ANGELES, CA  
UNITED STATES ATTORNEY'S OFFICE - NASHVILLE, TN  
[Accordion Folder] UNITED STATES ATTORNEY'S OFFICE -  
PHILADELPHIA, PA  
UNITED STATES ATTORNEY'S OFFICE - SAN FRANCISCO  
UNITED STATES ATTORNEY'S OFFICE - SEATTLE, WA  
UNITED STATES ATTORNEY'S OFFICE - TAMPA, FL  
UNITED STATES MARSHALS SERVICE  
UNITED STATES TRUSTEES  
VICE PRESIDENT'S NSA  
VICTIMS  
[Accordion Folder] VIOLENCE TASK FORCE REPORTS AND COMMENTARY  
VIOLENT CRIME CONTROL AND LAW ENFORCEMENT ACT OF 1993  
[Accordion Folder] VIOLENT CRIME INITIATIVES  
[Accordion Folder] WACO REPORT  
WHITE HOUSE CONTACTS  
WHITE HOUSE MATTERS  
[Accordion Folder] WHITEWATER

BOX 9

WHITE HOUSE TRAVEL OFFICE  
[Accordion Folder] WORLD TRADE CENTER BOMBING

#### CONFERENCE AND MEETING FILES

[Accordion Folder] MEETING W/ NACDL AND ABA RE CONTACTS W/  
REPRESENTED PERSONS, Wednesday, Sept. 22, 1993, 4:30 p.m.  
AG Conf. Rm.  
DR 7-104 WORKING GROUP MEETING Tues., Sept. 28, 1993 4:00 p.m.  
Rm. 4118 [re contacts w/ represented persons]  
JUDICIAL CONFERENCE'S ADVISORY COMM[ittee]. ON FEDERAL RULES OF  
EVIDENCE MTG., Sept. 29 - Oct. 2, 1993, New Orleans  
ECS [Environmental Crimes Section] REVIEW MEETING - Friday,  
Oct. 8 11:00 a.m. Rm. 5218  
DR 7-104 WORKING GROUP MEETING Monday, October 18, 1993  
4:00 p.m.

ASSET FORFEITURE/MPS [Mgt & Planning Staff] REVIEW MEETING,  
 Wednesday, Oct. 20, 1993 10:00 a.m.  
 FINANCIAL CRIMES & GAMING SEMINAR November 10-12, 1993  
 FEDERALIZATION TASK FORCE MEETING Monday, December 13, [1993]  
 5:00 p.m.  
 GUN VIOLENCE MEETING w/AG, Mike Pertschuk, Wed., Jan. 5, 1994  
 3:00 p.m.  
 US ATTORNEY'S CONFERENCE ETHICS PANEL DISCUSSION,  
 Wed., Jan. 19, 1994, 3:30 p.m.  
 US ATTORNEYS' CONFERENCE, FORFEITURE/DEBT COLLECTION PANEL -  
 Friday, Jan. 21, 1994 9:00 a.m.  
 FEDERALISM MEETING, Wednesday, Jan. 26, 1994, 11:30 a.m.  
 ASSET FORFEITURE MEETING, Thurs., Feb. 3, 1994, 11:30 a.m.  
 ABA Mid-Year Meeting, Panel Discussion on Contacts,  
 February 4, 1994, Kansas City, Missouri  
 CONF. OF CHIEF JUSTICES, Feb. 6-7, 1994 Sea Island, GA  
 ASSET FORFEITURE MEETING W/AG, RON NOBLE  
 Thurs., Feb. 17, 1994, 4:45 p.m.  
 GAO/DEA SEXUAL HARASSMENT ACCESS ISSUE MTG.,  
 Mon., Feb. 28, 1994  
 COURTESY MEETING -- Sawal Patano, Minister of Justice of  
 Thailand w/Mrs. La Iad Patano , Tues., Mar. 1, 1994  
 2:00 p.m.  
 WHITE COLLAR CRIME 1994, March 3-4, 1994,  
 New Orleans, Louisiana  
 THREE-BRANCH ROUNDTABLE ON STATE/FED JURISDICTION [sic],  
 March 7, 1994, The MADISON HOTEL [Washington, DC]  
 STATE CHIEF JUSTICES MEETING, Monday, Mar. 14, 1994,  
 4:30 p.m., Room 5111

#### CHRONOLOGICAL FILE

[Accordion Folder] IRVIN B. NATHAN - CHRON FILE  
 September 1 - 15, 1993  
 [Accordion Folder] IRVIN B. NATHAN - CHRON FILE  
 September 16 - 30, 1993

BOX 10

[Accordion Folder] IRVIN B. NATHAN - CHRON FILE  
 October 1 - 15, 1993  
 [Accordion Folder] IRVIN B. NATHAN - CHRON FILE  
 October 16 - October 31, 1993  
 [Accordion Folder] IRVIN B. NATHAN - CHRON FILE  
 November 1 - 15, 1993  
 [Accordion Folder] IRVIN B. NATHAN - CHRON FILE  
 November 16 - 30, 1993  
 [Accordion Folder] IRVIN B. NATHAN - CHRON FILE  
 December 1 - 15, 1993



[Accordion Folder] IRVIN B. NATHAN - CHRON FILE  
December 16 - 31, 1993

BOX 11

[Accordion Folder] IRVIN B. NATHAN - CHRON FILE  
January 1 - 15, 1994

[Accordion Folder] IRVIN B. NATHAN - CHRON FILE  
January 16 - 31, 1994

[Accordion Folder] IRVIN B. NATHAN - CHRON FILE  
February 1 - 15, 1994

[Accordion Folder] IRVIN B. NATHAN - CHRON FILE  
February 16 - 28, 1994

[Accordion Folder] IRVIN B. NATHAN - CHRON FILE  
March 1 - 15, 1994

[Accordion Folder] IRVIN B. NATHAN - CHRON FILE  
March 16 - 31, 1994

IRVIN B. NATHAN - CHRON FILE  
April 1 - 8, 1994

# Accession Detail

## Basic Information

**FRC Legal Transfer # (LT):** Accession #: NN3-060-10-013  
**AccessionType:** FRC Archival Unit: Archives II, A2  
**Record Group/Collection #:** 0060  
**Agency:** Department of Justice Contact Name: Bernard Berglind  
**Description:** Files of Irvin B. Nathan, Principal Associate DAG


**FRC:** FRC - WNRC (Suitland) **FRC Transfer #(SF135):** 060-940840  
**Disposition Authority #:** NC1-60-81-14 **Transfer Authorization Date:** 12/23/2009  
**Media Type:** Textual **Records Accepted/Received date:** 3/22/2010  
**# of Containers:** 11 **Cubic Feet:** 11.85  
**Classification Status:** Unclassified **Status:** Records Received  
**Risk Level:** No Preservation Action  
Now  
**Inclusive Start Date:** 09/01/1993 **Inclusive End Date:** 04/30/1994  
**Begin Location:** A2-02-0000230-069-1-049-03 **End Location:** A2-02-0000230-069-1-049-07  
**Comments:**

**Access Restriction:** Restricted- Fully  
**Specific Access Restriction:**  
FOIA (b)(7) Law Enforcement

## Container Information:

Container Type:	# of Containers:	Cubic Feet:
FRC-S	11	11.85

# Accession - Asset Shelf Report

<b>Accession Number :</b>		NN3-060-10-013	<b>Media Type :</b>	Textual
<b>RG/Collection # :</b>	0060		<b>Accession Type :</b>	FRC
<b>Description :</b>	Files of Irvin B. Nathan, Principal Associate DAG		<b>Total # Of Containers :</b>	11
			<b>Cubic Feet :</b>	11.847

Asset ID	Container #	Type	Location
HC1-70693138	1	FRC-S	A2-02-0000230-069-1-049-03
HC1-70693143	2	FRC-S	A2-02-0000230-069-1-049-04
HC1-70693146	3	FRC-S	A2-02-0000230-069-1-049-04
HC1-70693149	4	FRC-S	A2-02-0000230-069-1-049-04
HC1-70693152	5	FRC-S	A2-02-0000230-069-1-049-05
HC1-70693155	6	FRC-S	A2-02-0000230-069-1-049-05
HC1-70693158	7	FRC-S	A2-02-0000230-069-1-049-05
HC1-70693161	8	FRC-S	A2-02-0000230-069-1-049-06
HC1-70693164	9	FRC-S	A2-02-0000230-069-1-049-06
HC1-70693167	10	FRC-S	A2-02-0000230-069-1-049-06
HC1-70693170	11	FRC-S	A2-02-0000230-069-1-049-07

**RECORDS TRANSMITTAL AND RECEIPT**

Complete and send original and two copies of this form to the appropriate Federal Records Center for approval prior to shipment of records. See specific instructions on reverse.

PAGE **1** OF **1** PAGES

**1. TO** (Complete the address for the appropriate records center serving your area)

**Federal Archives and Records Center  
General Services Administration**

Washington National Records Center  
Washington, DC 20409

*As shown in FPMR 101-11.410-1* ▶

**2. AGENCY TRANSFER AUTHORIZATION**

<b>TRANSFERRING AGENCY OFFICIAL</b> (Signature and title) Terry R. Samuels, Acting Dir. Info. Mgt. Staff/ExSec	<b>DATE</b> 08/09/94
<b>3. AGENCY CONTACT</b> <b>TRANSFERRING AGENCY LIAISON OFFICIAL</b> (Name, office and telephone No.) Bernard W. Berglind, OBD Records Officer SPS/IRM/JMD 202-514-6283	
<b>RECORDS CENTER RECEIPT</b> <b>RECORDS RECEIVED BY</b> (Signature and title)	<b>DATE</b>

**5. FROM** (Enter the name and complete mailing address of the office retiring the records. The signed receipt of this form will be sent to this address)

Department of Justice  
 Systems Policy Staff/IRM/JMD  
 Suite 850, WCTR  
 1001 G Street, NW  
 Washington, DC 20530

ATTENTION: Bernard W. Berglind

*Fold line* ▶

**6. RECORDS DATA**

ACCESSION NUMBER			VOLUME (cu. ft.)	AGENCY BOX NUMBERS	SERIES DESCRIPTION (With inclusive dates of records)	RESTRIC- TION	DISPOSAL AUTHORITY (Schedule and item number)	DISPOSAL DATE	COMPLETED BY RECORDS CENTER			
RG	FY	NUMBER							LOCATION	SHELF PLAN	CONT. TYPE	AUTO. DISP.
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)
060	94	1050	3	1-3	Files of D. Anthony "Tony" West, Special Assistant to the DAG  Period: 10-93 thru 08-94  Location: B-508, Main Justice  Contact: Ron Plavchan 514-2063  A COMPLETE BOX LISTING HAS BEEN PREPARED AND A COPY ATTACHED TO THE ORIGINAL SF 135. ONLY AUTHORIZED DOJ PERSONNEL MAY OPEN AND RETRIEVE ITEMS FROM THIS ACCESSION.	R	NC1-60-81-14 Item 1B	P/2010	05/28:31-4.4			

**FILES OF D. ANTHONY "TONY" WEST,  
SPECIAL ASSISTANT TO THE DEPUTY ATTORNEY GENERAL, 1993-94**

(08-09-94)

BOX 1

ABA REMARKS 1-26-94  
AG CORRESPONDENCE  
ASG - MEMORANDA [only biog sheet for Webster L. Hubbell]  
ASSAULT WEAPONS BAN  
ASSET FORFEITURE  
Biographical File (DAG) [re Philip B. Heymann]  
CHRONOLOGICAL FILES (October - December 1993)  
CHRONOLOGICAL FILES (January - May 1994)  
CIVIL RIGHTS/CRIMINAL  
COMMUNITY POLICING  
CONTACTS WITH REPRESENTED PARTIES  
CRIME BILL AGENDA MEETING 2-2-94  
CRIME BILL/CHILD EXPLOITATION  
[re National Child Protection Act of 1993]  
[Accordion Folder] CRIME BILL/GENERAL (1)  
[Accordion Folder] CRIME BILL/GENERAL (2)  
[Accordion Folder] CRIME BILL/GENERAL (3)  
[Accordion Folder] CRIME BILL/MATERIALS  
CRIME BILL MEETING AGENDA February 2, 1994  
CRIME BILL/PREVENTION PACKAGE

BOX 2

Crime Program - General  
CRIME BILL/RJA [Racial Justice Act - re death sentences based  
on racial grounds]  
CRIME STATISTICS  
CRIME BILL/THREE STRIKES  
DAG ADMINISTRATIVE  
DAG ASSIGNMENT LOG  
DAG CORRESPONDENCE  
DAG PRESS  
DAG Remarks/Celebration of Martin Luther King's Birthday/  
Jan 13, 1994  
DAG Remarks to Association of General Counsel - 10/14/93  
DAG SPEECH TO HBA [Hispanic Bar Assn] 6-2-94  
DAG STAFFING MEETINGS 1994  
DAWN [re briefing for fed. officials re comprehensive impact  
response to Clinton administration 12-2-93]  
D.C. NATIONAL GUARD  
DEPARTMENT OF ONVESTIGATIVE AGENCY POLICIES  
DOJ PRESS CLIPS  
DOJ VIOLENT CRIME INITIATE  
EMPOWERMENT ZONES/ENTERPRISE COMMUNITIES

EXECUTIVE BRANCH OFFICIALS/DOCUMENTS  
EXTRA-TERRITORIAL ARRESTS  
[Accordion Folder] FIREARMS COMMITTEE  
FIREARMS/GENERAL  
FIREARMS/OTHER  
HATCH ACT  
HABEAS/DEATH  
Heymann Newsclips (February 14-28, 1994)  
INTERDEPARTMENTAL WORKING GROUP [on Violence]  
LONG ISLAND SHOOTING (Collin Ferguson)

BOX 3

ODAG OVERDUE  
OFFICIAL TIME AND ATTENDANCE  
P.A.C.T [Pulling America's Communities Together]/ATLANTA  
[re interdepartmental violence initiative 2-17/18-94]  
PETITE POLICY  
POLICE HIRING  
POLLARD CASE  
PROJECT PACT  
SENTENCING GUIDELINES  
TV VIOLENCE  
USA INFORMATION  
U.S. ATTORNEYS CONFERENCE/January 19, 1994  
U.S. CONFERENCE OF MAYORS [Washington, DC, 1-26-94]  
[Accordion Folder] VIOLENCE WORKING GROUP  
WEED AND SEED  
WHITE HOUSE WEEKLY [only report copy for 1-13-94]  
YOUTH VIOLENCE

65-47-1050  
25 Files

OFFICIAL FILES FOR D. ANTHONYY WEST  
SPECIAL ASSISTANT TO THE DEPUTY ATTORNEY GENERAL  
OCTOBER 12, 1994 - AUGUST 6. 1994

Box 1

ABA Remarks (January 26, 1994)  
AG Correspondence  
ASG Memoranda  
Assault Weapons Ban  
Asset Forfeiture  
Biographical File (DAG)  
Chronological Files (October - December 1993)  
Chronological Files (January - May 1994)  
Chronological files  
Civil Rights/Criminal  
Community Policing  
Contacts With Represented Parties  
Crime Bill Agenda Meeting (2-2-94)  
Crime Bill/Child Exploitation  
Crime General/Clinton  
Crime Bill/General (1)  
Crime Bill/General (2)  
Crime Bill/General (3)  
Crime Bill/Materials  
Crime Bill Meeting Agenda/2/2/94  
Crime Bill/Prevention Package  
Crime Program/General  
Crime Bill/RJA  
Crime Statistics  
Crime Bill/Three Strikes

OFFICIAL FILES OF D. ANTHONY WEST  
SPECIAL ASSISTANT TO THE DEPUTY ATTORNEY GENERAL  
October 12, 1993 - August 6, 1994

Box 2

DAG Administrative  
DAG Assignment Log  
DAG Correspondence  
DAG Remarks/Celebration of Martin Luther King's  
    Birthday/Jan.13, 1994  
DAG/Press  
DAG Remarks to Association of General Counsel  
    10/14/93  
DAG Speech to HBA 6-2-94  
DAG Staffing Meetings 1994  
DAWN  
D.C. National Guard  
Department of Investigative Agency Policies  
DOJ Clippings  
DOJ Violent Crime Initiative  
Empowerment Zones/Enterprise Communities  
Executive Branch Officials/Documents  
Extra-Territorial Arrests  
Firearms Committee  
Firearms/General  
Firearms/Other  
Hatch Act  
Habeas/Death  
Heymann Newsclips (February 14-28, 1994)  
Interdepartmental Working Group  
Long Island Shooting (Collin Ferguson)



17 Files

OFFICIAL FILES OF D. ANTHONY WEST  
SPECIAL ASSISTANT TO THE DEPUTY ATTORNEY GENERAL  
October 12, 1994 - August 6, 1994

Box 3

ODAG Overdue  
Official Time and Attendance  
Official Travel File  
PACT/Atlanta  
Petite Policy  
Police Hiring  
Pollard Case  
Project PACT  
Sentencing Guidelines  
TV Violence  
U.S. Attorneys Conference/January 19, 1994  
U.S. Attorneys Information  
U.S. Conference of Mayors  
Violence Working Group  
Weed and Seed  
White House Weekly  
Youth Violence

# Accession Detail

## Basic Information

<b>FRC Legal Transfer # (LT):</b>		<b>Accession #:</b>	NN3-060-10-013
<b>AccessionType:</b>	FRC	<b>Archival Unit:</b>	Archives II, A2
<b>Record Group/Collection #:</b>	0060		
<b>Agency:</b>	Department of Justice	<b>Contact Name:</b>	Bernard Berglind
<b>Description:</b>	Files of D. Anthony "Tony" West, Special Assistant to the DAG		


<b>FRC:</b>	FRC - WNRC (Suitland)	<b>FRC Transfer #(SF135):</b>	060-941050
<b>Disposition Authority #:</b>	NC1-60-81-14	<b>Transfer Authorization Date:</b>	12/23/2009
<b>Media Type:</b>	Textual	<b>Records Accepted/Received date:</b>	3/22/2010
<b># of Containers:</b>	3	<b>Cubic Feet:</b>	3.23
<b>Classification Status:</b>	Unclassified	<b>Status:</b>	Records Received
<b>Risk Level:</b>	No Preservation Action Now		
<b>Inclusive Start Date:</b>	10/01/1993	<b>Inclusive End Date:</b>	08/31/1994
<b>Begin Location:</b>	A2-02-0000230-064-1-004-01	<b>End Location:</b>	A2-02-0000230-064-1-004-02
<b>Comments:</b>			

**Access Restriction:** Restricted- Fully  
**Specific Access Restriction:**  
FOIA (b)(7) Law Enforcement

## Container Information:

Container Type:	# of Containers:	Cubic Feet:
FRC-S	3	3.23

# Accession - Asset Shelf Report

<b>Accession Number :</b>		NN3-060-10-013	<b>Media Type :</b>	Textual
<b>RG/Collection # :</b>	0060		<b>Accession Type :</b>	FRC
<b>Description :</b>	Files of D. Anthony "Tony" West, Special Assistant to the DAG		<b>Total # Of Containers :</b>	3
			<b>Cubic Feet :</b>	3.231

Asset ID	Container #	Type	Location
HC1-70693202	1	FRC-S	A2-02-0000230-064-1-004-01
HC1-70693207	2	FRC-S	A2-02-0000230-064-1-004-01
HC1-70693210	3	FRC-S	A2-02-0000230-064-1-004-02

**RECORDS TRANSMITTAL AND RECEIPT**

Complete and send original and two copies of this form to the appropriate Federal Records Center for approval prior to shipment of records. See specific instructions on reverse.

PAGE **1** OF **1** PAGES

**1. TO** (Complete the address for the appropriate records center serving your area)

**Federal Archives and Records Center  
General Services Administration**

As shown in FPMR 101-11.410-1 ► Washington National Records Center  
Washington, DC 20409

**2. AGENCY TRANSFER AUTHORIZATION**

TRANSFERRING AGENCY OFFICIAL (Signature and title)	DATE
Terry R. Samuels, <i>Terry R. Samuels</i> Acting Director, ExSec	01/25/95

**3. AGENCY CONTACT**

TRANSFERRING AGENCY LIAISON OFFICIAL (Name, office and telephone No.)

Bernard W. Berglind  
SPS/IRM/JMD 202-514-6283

**4. RECORDS CENTER RECEIPT**

RECORDS RECEIVED BY (Signature and title)	DATE

**5. FROM** (Enter the name and complete mailing address of the office retiring the records. The signed receipt of this form will be sent to this address)

• Department of Justice •  
Systems Policy Staff/IRM/JMD  
Suite 850, WTCR  
1001 G Street, NW  
Washington, DC 20530

ATTENTION: Bernard W. Berglind

Fold line

**RECORDS DATA**

ACCESSION NUMBER			VOLUME (cu. ft.)	AGENCY BOX NUMBERS	SERIES DESCRIPTION (With inclusive dates of records)	RESTRICT- TION	DISPOSAL AUTHORITY (Schedule and item number)	DISPOSAL DATE	COMPLETED BY RECORDS CENTER			
RG	FY	NUMBER							LOCATION	SHELF PLAN	CONT TYPE	AUTO. DISP.
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)
060	95	0346	2	1-2	Subject files of Sandra E. Cavazos, Special Assistant to the Deputy Attorney General  Period: 08-93 thru 09-94  Location: Rm. B-508, Main DOJ  Contact: Ron Plavchan 514-2063  A COMPLETE BOX LISTING HAS BEEN PREPARED AND A COPY ATTACHED TO THE ORIGINAL SF 135.  ONLY AUTHORIZED DOJ PERSONNEL MAY OPEN AND RETRIEVE ITEMS FROM THIS ACCESSION.	R	NC1-60-81-14 Item 1B	P/2010	04/15:03-3.3			

SUBJECT FILES OF SANDRA E. CAVAZOS,  
SPECIAL ASSISTANT TO THE DEPUTY ATTORNEY GENERAL, 1993-94

(01-25-95)

BOX 1

[Edward] BYRNE [Memorial] GRANTS  
[Accordion Folder] CHILD SUPPORT ACT  
CRIME BILL TESTIMONY  
Death Penalty  
EVANS, RICHARD [corres re Leo Wanta]  
[Accordion Folder] FEDERALISM  
Independent Counsel Appointment  
MEZZANATTO, [Gary]/ RULE 11 WAIVER  
[Accordion Folder] SENTENCING [proposals re "3-strokes" &  
low-level drug offenders]  
SEX DISCRIMINATION (FBI/DEA)  
[Accordion Folder] TREASURY CRIME LEGISLATION  
[re Treasury Crime Control & Community Safety Act of 1994]  
[Accordion Folder] TREASURY TESTIMONY/MONEY LAUNDERING  
[Accordion Folder] U.S. v. JAMES EDWARD MCGILL  
WHITEWATER  
[Accordion Folder] COLLETON LETTERS [corres in support of  
Larry H. Colleton as US Atty for M.D. of FL]  
[Accordion Folder] MIDDLE DISTRICT OF FLORIDA  
[re US Atty Larry H. Colleton, incl OPR report]  
[Accordion Folder] MIDDLE DISTRICT OF FLA II  
[re Colleton incident]

BOX 2

[Accordion Folder] OPR [incl annual repts (1977-92)]  
OPR/ Authorization Ltr. for [AUSA Michelle] HELDMEYER  
[re test. before Grievance Comte re Bean Blossom matter]  
CHILCUTT [Case]  
OPR: CINCIOTTA MEMO [re adverse action process]  
DAG PRESS AVAIL/OPR [5/5/94]  
[Accordion Folder] DEMJANJUK [incl special rept of the  
Special Master]  
[Accordion Folder] OPR/FALLGATTER [Curtis S.]  
OPR/ [DAG Philip B.] HEYMANN MEMOS  
[Accordion Folder] OPR: HISTORICAL DATA RE: ALLEGATIONS  
[re DOJ atty misconduct]  
OPR/JUDICIAL FINDINGS POLICY [re DOJ attys misconduct]  
OPR/ [Morton M.] LAPIDES [re allegations of prosecutorial  
misconduct]  
MEMORANDUM FOR THE ATTORNEY GENERAL FEBRUARY 3, 1994 -  
OPR INVESTIGATIONS  
[Accordion Folder] OPR MEMOS TO AG  
OPR NEWS CLIPS

OPR/OIG [re jurisdiction of internal misconduct  
investigations bet OPR & OIG]  
[Accordion Folder] OPR REPORTS  
OPR: SHAHEEN ARTICLE [Joel Bleifuss' 5/2/94 article,  
"Who leaked Whitewater?", in In These Times]

OFFICIAL FILES FOR SANDRA E. CAVAZOS  
SPECIAL ASSISTANT TO THE DEPUTY ATTORNEY GENERAL  
AUGUST 30, 1993 THROUGH SEPTEMBER 2, 1994

BOX 1

14 FILES

BYRNE GRANTS  
CHILD SUPPORT ACT  
CRIME BILL TESTIMONY  
DEATH PENALTY  
EVANS, RICHARD  
FEDERALISM  
INDEPENDENT COUNSEL APPOINTMENT  
MEZZANATTO RULE 11 WAIVER  
SENTENCING  
SEX DISCRIMINATION [FBI/DEA]  
TREASURY CRIME LEGISLATION  
TREASURY TESTIMONY/MONEY LAUNDERING  
U.S. v. JAMES EDWARD MCGILL  
WHITEWATER

OFFICIAL FILES FOR SANDRA E. CAVAZOS  
SPECIAL ASSISTANT TO THE DEPUTY ATTORNEY GENERAL  
AUGUST 30, 1993 THROUGH SEPTEMBER 2, 1994

BOX 2

09 FILES

COLLETON LETTERS  
MIDDLE DISTRICT OF FLA.  
MIDDLE DISTRICT OF FLA. II  
OPR/AUTHORIZATION CENTER FOR HELDMEYER  
OPR MEMOS TO AG  
CHILCUTT  
OPR/FALLGATTER  
OPR  
OPR NEWSCLIPS



OFFICIAL FILES FOR SANDRA E. CAVAZOS  
SPECIAL ASSISTANT TO THE DEPUTY ATTORNEY GENERAL  
AUGUST 30, 1993 THROUGH SEPTEMBER 2, 1994

BOX 3

11 FILES

OPR REPORTS  
MEMORANDUM FOR THE ATTORNEY GENERAL 2/3/94 - OPR INVESTIGATIONS  
OPR: CINCIOTTA MEMO  
OPR: HEYMANN MEMOS  
OPR/PRESS AVAIL  
OPR/JUDICIAL FINDINGS POLICY  
OPR/LAPIDES  
OPR/OIG  
OPR/SHAHEEN ARTICLE  
OPR: HISTORICAL DATA RE: ALLEGATIONS  
DEMJEANJUK

# Accession Detail

## **Basic Information**

<b>FRC Legal Transfer # (LT):</b>	<b>Accession #:</b>	NN3-060-10-013
<b>Accession Type:</b> FRC	<b>Archival Unit:</b>	Archives II, A2
<b>Record Group/Collection #:</b> 0060		
<b>Agency:</b> Department of Justice	<b>Contact Name:</b>	Bernard Berglind
<b>Description:</b>	Subject Files of Sandra E. Cavazos, Special Assistant to the Deputy Attorney General	


<b>FRC:</b> FRC - WNRC (Suitland)	<b>FRC Transfer #(SF135):</b> 060-950346
<b>Disposition Authority #:</b> NC1-60-81-14	<b>Transfer Authorization Date:</b> 12/23/2009
<b>Media Type:</b> Textual	<b>Records Accepted/Received date:</b> 3/22/2010
<b># of Containers:</b> 2	<b>Cubic Feet:</b> 2.15
<b>Classification Status:</b> Unclassified	<b>Status:</b> Records Received
<b>Risk Level:</b> No Preservation Action Now	
<b>Inclusive Start Date:</b> 08/01/1993	<b>Inclusive End Date:</b> 09/30/1994
<b>Begin Location:</b> A2-02-0000230-062-1-028-05	<b>End Location:</b> A2-02-0000230-062-1-028-05
<b>Comments:</b>	

**Access Restriction:** Restricted- Fully  
**Specific Access Restriction:**  
 FOIA (b)(7) Law Enforcement

### **Container Information:**

Container Type:	# of Containers:	Cubic Feet:
FRC-S	2	2.15

# Accession - Asset Shelf Report

<b>Accession Number :</b>		NN3-060-10-013	<b>Media Type :</b>	Textual
<b>RG/Collection # :</b>	0060		<b>Accession Type :</b>	FRC
<b>Description :</b>	Subject Files of Sandra E. Cavazos, Special Assistant to the Deputy Attorney General		<b>Total # Of Containers :</b>	2
			<b>Cubic Feet :</b>	2.154

Asset ID	Container #	Type	Location
HC1-70693226	1	FRC-S	A2-02-0000230-062-1-028-05
HC1-70693251	2	FRC-S	A2-02-0000230-062-1-028-05

**RECORDS TRANSMITTAL AND RECEIPT**

Complete and send original and two copies of this form to the appropriate Federal Records Center for approval prior to shipment of records. See specific instructions on reverse.

PAGE 1 OF 1 PAGES

1. TO *(Complete the address for the records center serving your area as shown in 36 CFR 1228.150.)*

**Federal Records Center**

Washington National Records Center  
Washington, DC 20409

2. AGENCY TRANSFER AUTHORIZATION

TRANSFERRING AGENCY OFFICIAL *(Signature and title)*  
*A. Marie Kilmade Gatons*  
Anna-Marie Kilmade Gatons, Dir.  
Executive Secretariat

DATE  
7-11-95

3. AGENCY CONTACT

TRANSFERRING AGENCY LIAISON OFFICIAL *(Name, office and telephone No.)*  
Bernard W. Berglind, OBD Records Officer  
SPS/IRM/JMD 202-514-6283

RECORDS CENTER RECEIPT

RECORDS RECEIVED BY *(Signature and title)*

DATE

5. FROM *(Enter the name and complete mailing address of the office retiring the records. The signed receipt of this form will be sent to this address)*

Department of Justice  
Systems Policy Staff/IRM/JMD  
Suite 850, WTCR  
1001 G Street, NW  
Washington, DC 20530

ATTENTION: Bernard W. Berglind

*Fold Line* ←

6. **RECORDS DATA**

ACCESSION NUMBER			VOLUME <i>(cu. ft.)</i>	AGENCY BOX NUMBERS	SERIES DESCRIPTION <i>(With inclusive dates of records)</i>	RESTRIC- TION <i>(g)</i>	DISPOSAL AUTHORITY <i>(Schedule and item number)</i>	DISPOSAL DATE <i>(i)</i>	COMPLETED BY RECORDS CENTER				
RG <i>(a)</i>	FY <i>(b)</i>	NUMBER <i>(c)</i>							LOCATION <i>(j)</i>	SHELF PLAN <i>(k)</i>	CONT. TYPE <i>(l)</i>	AUTO. DISP. <i>(m)</i>	
060	95	0941	10	1-10	INSLAW Files of John C. Dwyer, Deputy Associate Attorney General  Period: 1992-94  Location: B-508, Main Justice  Contact: Ron Plavchan 616-0072  A COMPLETE BOX LISTING HAS BEEN PREPARED AND A COPY ATTACHED TO THE ORIGINAL SF 135.  ONLY AUTHORIZED DOJ PERSONNEL MAY OPEN AND RETRIEVE ITEMS FROM THIS ACCESSION.	R	NC1-60-84-5, Item 1B	P/2010	04/53:49-2.1				

**INSLAW FILES OF JOHN C. DWYER  
DEPUTY ASSOCIATE ATTORNEY GENERAL, 1992-94**

(rev. 04-10-00)

BOX 1

FILE MEMOS

[re missing file folders & explanation for folders marked "House"]

INSLAW: MTG NOTES FROM 10-28-93 mtg.

[notes of James Vigil, Jr.]

INSLAW: A/C Documents

[incl memcon of 12/19/86 mtg w/W. Hamilton; 1/8/87 memo re 1/7/87 client conf; 12/31/86 memo re legal theory of Inslaw's ownership of PROMIS enhancements]

Inslaw: Advanced Payments Dispute

INSLAW: Aviv, Juval (HOUSE)

[re memo of 1/91 interview w/]

INSLAW: Analysis & Rebuttal [of Bua Report] 7/12/93

INSLAW: Analysis & Rebuttal 2/14/94 [addendum]

INSLAW: APA [Administrative Procedure Act of 1946]

[cc of 5 U.S.C. 702 – right of review]

INSLAW: Appellate Mediation Program

Inslaw: Bankruptcy Conversion

INSLAW: Bankruptcy Opinion [1/25/88]

INSLAW: Bason, George F.

[re 7/9/92 FBI interview]

Inslaw: Bason, George F. (Judge)

[re chronology about failure to reappoint]

INSLAW: Bason, George F. (HOUSE)

INSLAW: Battistoni, Lois

[re 3/3/92 FBI interview]

INSLAW: Battistoni, Lois (HOUSE)

INSLAW: Bell Helicopter

[re 9/23/85 decision of Armed Services Bd of Contract Appeals in Bell Helicopter Textron case]

INSLAW: Belton, John A.

[re 11/29/91 affidavit & 3/7/94 memcon]

INSLAW: Ben-Canaan, Jonathan

[re 11/23 & 24/93 telcons & notes]

INSLAW: Ben-Menashe, Ari

[re 7/1/91 Time article]

INSLAW: Ben-Orr, Joseph

[incl memcons for 11/29 & 30/93]

INSLAW: Binstock, Seth

INSLAW FILES OF JOHN C. DWYER  
OFFICE OF THE ASSOCIATE ATTORNEY GENERAL

2

INSLAW: Blackshear [Judge Cornelius]/ Bason  
[re 11/10/88 & 5/5/92 FBI interviews]  
INSLAW: Brian, Earl  
[re 6/15/92 FBI interview]  
INSLAW: Brian, Earl (HOUSE)  
[re 9/20/90 deposition]  
Inslaw: Brian, Earl/ Hadron [Inc.]  
INSLAW: BROOKS [Cong. Jack]  
[re 8/10/93 ltr to ASG Webster L. Hubbell]  
INSLAW: Bua, Nicholas A. (Judge)  
Inslaw: BUA FILES INDICES  
INSLAW/ Bua Report/ Redacted Version [3/93]  
INSLAW: Burns, Arnold  
[incl 3/30/88 OPR investigative interview]  
INSLAW: Byrnes, James L.  
[re 12/9/92 FBI interview]  
INSLAW: Cabazon [Indians]/ Wackenhut [Inc.]  
[re Peter Zokosky; incl 9/21/92 memcon & 12/9/92 FBI interview, FBI interviews  
of Art Wilmas & Elmas Wilmas (12/9/92), Scott Westley (12/28/92), Wayne  
Reeder (12/9/92), Gene Gilbert (12/2/92), Robert Frye (12/11/92), Sam Cross  
(12/10/92), Bob Chasen (1/5/93) & Sgt Dave Baird (12/9/92)]  
INSLAW: Canada  
INSLAW: Canada (HOUSE)  
[re sworn statements of Marc Valois, Denis LaChance, Donald S. Snedden  
Richard Hookham, Wayne Gudbrauson & Ed Bercovitz on 3/7-8 & 3/22/91]  
[Accordion Folder] INSLAW: Casolaro [Joseph "Danny" Daniel]  
[re 8/10/91 death of Casolaro]

[AUDIOCASSETTE] "Songs of Danny Casolaro"  
Piano & vocal by Ben Mason on 9/5/91

INSLAW: Casolaro (HOUSE)  
INSLAW: Casolaro Interviews  
[incl list of interviewees & dates of interview & method – 10/93 - 4/94]  
INSLAW: Casolaro Note [cc of suicide note]  
INSLAW: Chronology (HOUSE) [empty]

BOX 2

Inslaw: CIA  
[re inquiry about use of PROMIS software at CIA]  
INSLAW: Code Comparison (HOUSE)

INSLAW FILES OF JOHN C. DWYER  
OFFICE OF THE ASSOCIATE ATTORNEY GENERAL

3

INSLAW: Compare Software  
[incl cc of 6/87 IBM Interactive System Productivity Facility/Program  
Development Facility Guide (version 2, release 3) & cc of Sterling Software's  
installation guide COMPAREX 6.1.0 (87/054)]  
INSLAW: Confidentiality Orders [7/12/85 - 11/6/87]  
INSLAW: Congressional Reference Cases  
INSLAW: Conspiracy Claims  
INSLAW: Contract Disputes Act  
INSLAW: Correspondence March - December 1993  
INSLAW: Correspondence Jan. 1994 - May 94  
INSLAW: Correspondence August 1994 - [April 24, 1995]  
INSLAW: Cooper, Dean  
[re 9/21/88 FBI interview]  
Inslaw: Criminal Allegations  
Inslaw: DAMAGE CLAIMS  
INSLAW: Drug Enforcement Adm.  
[re 7/16/92 FBI interview w/Philip V. Cammera]  
INSLAW: DEA (HOUSE)  
[re interview w/Philip Camero]  
INSLAW: District Court Opinion  
DOJ REPORT (redacted) 9/27/94  
[re response to 3/93 Bua Report]  
INSLAW: DOTBCA [Dept. of Transportation Bd of Contract Appeals]/ Dismissal  
[incl 10/8/92 affidavit of E. Michael Pinkman]  
INSLAW: DOTBCA Opinion 10/8/92  
Inslaw: EOUSA  
[re installation of PROMIS software in various US Attys ofcs]

BOX 3

INSLAW: Enhancements  
[re 7/27/87 trial testimony of Marian Holton & Thomas Dilutis]  
INSLAW: FBI/ FOIMS [Field Ofc Info Mgt System]  
INSLAW: FBI/ FOIMS 2.  
INSLAW: FD 302s [empty]  
INSLAW: Final Report/ Privacy  
[re 5/2/94 ASG rept to AG]  
INSLAW: FOIA Requests  
INSLAW: General (HOUSE)  
[re list of phone contacts since Danny Casolaro's suicide]  
INSLAW: Grand Jury Issue

INSLAW FILES OF JOHN C. DWYER  
OFFICE OF THE ASSOCIATE ATTORNEY GENERAL

4

INSLAW: Hadron/ etc.  
[re FBI interviews w/Patricia Cloherty (12/4/92), Richard D'Amore (5/24/92), Daniel Tessler (12/4/92), Susan Thomas Smith (5/5/92), Herbert A. Allen (5/5/92), Theresa Bousquin (5/18/92), Mark Kesselman (5/5/92), & Paul K. Wormeli (6/5/92)]

INSLAW: Hamilton Documents 3/1/94  
[re 8/10/91 death of Casolaro]

INSLAW: Hamilton Meeting 3/3/94 [notes]

INSLAW: Hamilton, William  
[re 12/22/89 affidavit, 12/5/90 FBI interview & 6/5/92 memcon]

INSLAW: Hamilton, William (HOUSE)  
[re 1/30 & 2/3/92 tel interviews]

INSLAW: Hawn, Michelle  
[re 1/20/94 US Atty interview]

INSLAW: Hayes, Charles  
[re 8/18/92 FBI interview]

INSLAW: Hayes, Charles (HOUSE)  
[re 2/12/91 sworn statement & interview]

Inslaw: HOUSE Investigation

INSLAW: HOUSE REPORT [9/10/92]

INSLAW: House Review

INSLAW: Independent Counsel  
[re 4/7/94 draft appointment of an IC for Inslaw matter]

Inslaw: Independent Counsel Motion

INSLAW: Inslaw Report/ Executive Summary  
[re 4/7/94 ASG Hubbell memo on Bua Report]

INSLAW: Jackson, Carl (HOUSE)  
[re 8/31/90 interview]

INSLAW: Jackson, Carl (House Documents)  
[only incl cc of 8/31/90 interview]

INSLAW: Jacobs, Marily  
[re 11/30/93 telcon]

INSLAW: JCD [John C. Dwyer]  
[re memos & notes; incl 9/2/87 proposed findings of fact]

Inslaw: Jensen, Lowell (Judge)  
[re 1/12/93 interview]

Inslaw: Legal Research

BOX 4

INSLAW: Laiti, Dominic  
[re 5/19/88 interview & 7/16/92 FBI interview]



INSLAW FILES OF JOHN C. DWYER  
OFFICE OF THE ASSOCIATE ATTORNEY GENERAL

5

INSLAW: Laiti, Dominic (HOUSE)  
[re 7/31/90 sworn statement]  
Inslaw: LeGrand, Ronald [A.]  
[incl FBI interviews w/Philip White (12/9/92), James Johnston (12/9/92), James  
Knapp (12/9/92) & Miles Matthews (12/9/92)]  
INSLAW: LeGrand (HOUSE)  
[re 2/14/91 sworn statement]  
INSLAW: Liboro, Reynaldo  
[re 2/24/94 telcon & notes; incl 3/14/94 telcon w/Nancy Probst]  
INSLAW: Mallgrave, Frank  
[re 5/13/92 FBI interview]  
INSLAW: Mallgrave, Frank (HOUSE)  
INSLAW: Maxwell, Robert  
[re memcon of 2/25/94 mtg w/Sue Konopka & Marilyn Hudson; cc of redacted  
6/13/84 FBI communication re Maxwell & Pergamon International]  
INSLAW: McGowan, Mike  
[re 3/14/94 telcon]  
Inslaw: Memo to AG re: Inslaw  
[re recommendations (n.d.)]  
INSLAW – Memos & Analyses  
INSLAW: MEMORANDUM(S) [4/22/93 - 9/23/94]  
INSLAW: Menashe, Ari Ben- (HOUSE)  
[re 5/29/91 sworn statement]  
INSLAW: Miller, Terry (HOUSE)  
[re 3/26/92 interview]  
Inslaw: Miscellaneous [corres] from Judge Bua [1988-92]  
INSLAW: Modification 12 [notes]  
INSLAW: Nichols, Robert Booth  
[re 2/26/93 telcon & 3/4/93 memo for file]  
INSLAW: NPR [Nat'l Performance Review] COMMENTS [1993]  
INSLAW: NOTES  
[Accordion Folder] INSLAW: NSA  
[cc of Computer Corp of America user language manual for Model 204 DBMS  
Reference Series (4/89)]  
  
INSLAW: National Security Agency  
[incl memcon of 11/22/93 mtg w/NSA reps & notes]  
  
INSLAW: OLC [Ofc of Legal Counsel] Opinion  
[re 11/2/93 OLC memo on settlement authority]  
INSLAW: OPR [Ofc of Professional Responsibility] (HOUSE)  
[re 3/31/89 rept on allegations of misconduct]

INSLAW FILES OF JOHN C. DWYER  
OFFICE OF THE ASSOCIATE ATTORNEY GENERAL

6

Inslaw: OPR Investigations  
INSLAW: OSI [Ofc of Special Investigations]  
[re orders issued on behalf of...during FY 1987 - FY 1994]  
INSLAW: Pasciuto, Anthony  
[re 5/6/92 FBI interview]  
INSLAW: PRESS  
[re 8/8/94 New York Magazine article on Inslaw case]  
INSLAW: PROMIS [Prosecutors Mgt Info System]  
[re 3/24/91 rept by Ronald R. Galicic on use of the software in W.D. of PA]  
INSLAW: PROMIS (HOUSE)

BOX 5

INSLAW: Proposed Findings of Fact [2 vols]  
[re 9/21/87 DOJ response in US Bankruptcy Ct in INSLAW v. DOJ]  
Inslaw: Public Integrity Section  
[re 6/14/89 memo declining prosecution]  
INSLAW: Published opinions  
INSLAW: Ravina, Pazit  
[re 11/23/93 telcon]  
INSLAW: Report/ Executive Summary  
[re 4/7/94 ASG rept to AG on review of Bua Report]  
INSLAW: Report/ Reviews [emails]  
INSLAW: Report Release  
[cc of 9/27/94 DOJ press release]  
Inslaw: Richardson Elliot [L.]  
[re 11/14/91 FBI interview; 12/3/93 telcon w/Garnett Taylor; memcon of  
10/29/93 mtg w/ELR; incl ELR corres & notes]  
Inslaw: Riconosciuto, Michael  
[incl grand jury testimony]  
INSLAW: Riconosciuto, Michael (HOUSE)  
[re 3/29/91 arrest & recovery of computer tapes & disks from Gorst, VA facility]  
INSLAW: Rothschild, Uterberg [& Towbin] (HOUSE)  
[re 10/23/85 mtg w/Rosemary Ripley]  
INSLAW: Schoolmeester, John  
[re 5/14/92 FBI interview]  
INSLAW: SCT, Inc.  
[re FBI interviews w/Mike Emmi (12/22/92), Norman Keyt (5/22/92) & Robert  
Radford (5/20/92)]  
INSLAW: Senate Investigation  
[re 6/10/88 memo re inquiry by David Buckley into bkgd of case mgt system  
DALITE in Alameda Cty & decision of LA Cty to choose PROMIS in 1974 as a  
case tracking system]

**INSLAW FILES OF JOHN C. DWYER  
OFFICE OF THE ASSOCIATE ATTORNEY GENERAL**

7

INSLAW: Settlement Documents  
INSLAW: Silverstein Notes [12/16/93]  
INSLAW: SIMS, Rebecca (HOUSE)  
[re 1991 conversations w/this free lance investigative reporter]  
INSLAW: Simonelli, Steve  
[re 3/11/94 memcon]  
INSLAW: Sposato, Janis  
[re 12/1/? notes]  
INSLAW: Starrett, Charles O.  
[re 4/28/89 affidavit]  
INSLAW: Strategic Software [notes]  
INSLAW: Strategic Software (HOUSE)  
[re 3/19/91 sworn statement of Massimo Grimaldi]  
Inslaw: SUMMARIES  
INSLAW: SUMMARY (HOUSE)  
[re chronology of events discussed in House Report, Sec. III]  
INSLAW: Taylor, Garnett  
[re 9/3/93 FBI interview & 12/3/93 telcon; 12/9/92 FBI interview w/James P.  
Walker]  
INSLAW: Titus, Marylen F.  
[re 5/5/92 FBI interview & interview w/Robert A. Johnson (5/5/92)]  
INSLAW: Trombetta, Orfeo (Chuck) (HOUSE)  
[re 10/7/91 interview]  
Inslaw: Turner, William [incl notes]  
INSLAW: Tyson, William  
[re 3/29/87 ltr w/encl: Wash Post art "Justice Embroiled In Database Suit" by  
Mark Potts]  
INSLAW: U.S. NAVY  
[re use of PROMIS software by USN]  
INSLAW: Vickery, Raymond (HOUSE)  
[re 8/22/90 sworn statement]  
INSLAW: Videnieks, Peter  
[re 1993 investigation of...as contracting officer for DOJ; incl notes]  
INSLAW: Videnieks, Peter (HOUSE)  
[incl sworn statements of John Schoolmeester (10/10/91) & Margaret Wienczek  
(4/23/91)]  
INSLAW: Weincek, Margaret  
[re 3/16/94 telcon & notes]  
INSLAW: World Bank  
[re 3/7/94 telcons w/bank officials]

INSLAW FILES OF JOHN C. DWYER  
OFFICE OF THE ASSOCIATE ATTORNEY GENERAL

8

BOX 6

[Binder] FOIMS ANALYSIS PLAN [INSLAW, Inc., 8/5/92]  
[Binder] FOIMS ANALYSIS PLAN [copy 2]  
[Binder] PROMIS Manual [EOUSA, 1/92]  
[Binder] CITATIONS and EXHIBITS BANKRUPTCY COURT'S FINDINGS OF  
FACT NOS. 229-266 IN SUPPORT OF MODIFICATION 12  
[incl 12/3/93 ltr from Charles R. Work]

BOX 7

INSLAW: DOTBCA Miscellaneous  
INSLAW: DOTBCA Miscellaneous [2]  
Miscellaneous Documents [1]

BOX 8

Miscellaneous Documents [2]  
Inslaw: DOJ Contracts  
[cc of 3/82 contract w/Modification #12]  
Inslaw: U.S. v. Inslaw (Appellant Brief) [6/17/88]  
[Report] On the Review of Special Counsel Nicholas J. Bua's Report on the Allegations  
of INSLAW, Inc. (9/27/94) [2 copies]  
INSLAW: Inslaw Rebuttal to Bua and Addendum  
[rebuttal dtd 7/12/93 & addendum dtd 2/14/94]

BOX 9

DOJ REPORT done 6/28/94 REDACTED w/deleted material  
[cc: 5/2/94 ASG rept to AG]  
Inslaw: House Judiciary Committee Report [9/10/92]  
[incl a copy w/annotations]  
DRAFTS [re DOJ reports]  
Grand Jury Testimony  
GRAND JURY TESTIMONY John Dwyer  
[incl testimonies of Garnett Taylor (7/29/92), Charles C. Hayes (8/26/92), Ari  
Ben-Menashe (11/4/92) & 11/18/92 testimonies of Michael J. Riconosciuto,  
Curtis R. Cross, William P. Hawes, Priscilla Smith & Earl Winfrey Brian]  
DOJ REPORT done 6/28/94 COMPLETE  
[2 copies of 5/2/94 ASG report]  
Floppy DISKS/ John Dwyer  
[re second & third versions of DOJ rept]  
[Accordion Folder] Inslaw: Bua Report Original  
  
INSLAW: Bua Report Redacted  
[2 copies w/ 6(e) material deleted]

**INSLAW FILES OF JOHN C. DWYER  
OFFICE OF THE ASSOCIATE ATTORNEY GENERAL**

9

INSLAW - - PRESS

[re news clippings & magazine articles: 1991-94]

INSLAW: CITATION In re INSLAW, Inc. [1/25/88]

[re U.S. Bankruptcy Ct]

BOX 10

[Binder] STAFF STUDY ON Permanent Subcommittee on Investigation's [*sic*] Inquiry  
of the INSLAW Matter [9/29/89]

INSLAW SOUTHERN DISTRICT OF CALIFORNIA

[cc of 4/1/84 PROMIS collections procedures manual for S.D. of CA]

INSLAW DISTRICT OF MARYLAND

[cc of PROMIS collections procedures manual (n.d.) for Dist. of MD]

INSLAW/ Tape: From Dr. Joseph Ben-Orr [magnetic 8-track reel]

# Accession Detail

## Basic Information

**FRC Legal Transfer # (LT):** **Accession #:** NN3-060-10-013  
**AccessionType:** FRC **Archival Unit:** Archives II, A2  
**Record Group/Collection #:** 0060  
**Agency:** Department of Justice **Contact Name:** Bernard Berglind  
**Description:** INSLAW Files of John C. Dwyer, Deputy Associate Attorney General


**FRC:** FRC - WNRC (Suitland) **FRC Transfer #(SF135):** 060-950941  
**Disposition Authority #:** NC1-60-84-5 **Transfer Authorization Date:** 12/23/2009  
**Media Type:** Textual **Records Accepted/Received date:** 3/22/2010  
**# of Containers:** 10 **Cubic Feet:** 10.77  
**Classification Status:** Unclassified **Status:** Records Received  
**Risk Level:** No Preservation Action Now  
**Inclusive Start Date:** 01/01/1992 **Inclusive End Date:** 12/31/1994  
**Begin Location:** A2-02-0000230-063-1-005-01 **End Location:** A2-02-0000230-063-1-005-04  
**Comments:**

**Access Restriction:** Restricted- Fully  
**Specific Access Restriction:**  
FOIA (b)(7) Law Enforcement

## Container Information:

Container Type:	# of Containers:	Cubic Feet:
FRC-S	10	10.77

# Accession - Asset Shelf Report

<b>Accession Number :</b>		NN3-060-10-013	<b>Media Type :</b>	Textual
<b>RG/Collection # :</b>	0060		<b>Accession Type :</b>	FRC
<b>Description :</b>	INSLAW Files of John C. Dwyer, Deputy Associate Attorney General		<b>Total # Of Containers :</b>	10
			<b>Cubic Feet :</b>	10.77

Asset ID	Container #	Type	Location
HC1-70693585	1	FRC-S	A2-02-0000230-063-1-005-01
HC1-70693590	2	FRC-S	A2-02-0000230-063-1-005-01
HC1-70693833	3	FRC-S	A2-02-0000230-063-1-005-01
HC1-70693836	4	FRC-S	A2-02-0000230-063-1-005-02
HC1-70693839	5	FRC-S	A2-02-0000230-063-1-005-02
HC1-70693842	6	FRC-S	A2-02-0000230-063-1-005-02
HC1-70693845	7	FRC-S	A2-02-0000230-063-1-005-03
HC1-70693848	8	FRC-S	A2-02-0000230-063-1-005-03
HC1-70693851	9	FRC-S	A2-02-0000230-063-1-005-03
HC1-70693854	10	FRC-S	A2-02-0000230-063-1-005-04

**RECORDS TRANSMITTAL AND RECEIPT**

Complete and send original and two copies of this form to the appropriate Federal Records Center for approval prior to shipment of records. See specific instructions on reverse.

1. TO (Complete the address for the records center serving your area as shown in 36 CFR 1228.150.)

**Federal Records Center**  
**Washington National Records Center**  
**Washington, DC 20409-0002**

2. AGENCY TRANSFER AUTHORIZATION

TRANSFERRING AGENCY OFFICIAL (Signature and title)  
*Kathie A. Harting*  
**Kathie A. Harting**  
**Chief, Operations/ExSec**

DATE  
**6/18/96**

3. AGENCY CONTACT

TRANSFERRING AGENCY LIAISON OFFICIAL (Name, office and telephone No.)  
**Bernard W. Berglind**  
**IMSS/IRM/JMD 202-514-6283**

RECORDS CENTER RECEIPT

RECORDS RECEIVED BY (Signature and title)

DATE

5. FROM (Enter the name and complete mailing address of the office retiring the records. The signed receipt of this form will be sent to this address)

**Department of Justice**  
**IMSS/IRM/JMD**  
**Suite 850, WCTR**  
**1001 G Street, NW**  
**Washington, DC 20530**

**ATTENTION: Bernard W. Berglind**

*Fold Line* ←

6. **RECORDS DATA**

ACCESSION NUMBER			VOLUME (cu. ft.)	AGENCY BOX NUMBERS	SERIES DESCRIPTION (With inclusive dates of records)	RESTRIC-TION	DISPOSAL AUTHORITY (Schedule and item number)	DISPOSAL DATE	COMPLETED BY RECORDS CENTER			
RG	FY	NUMBER							LOCATION	SHELF PLAN	CONT. TYPE	AUTO. DISP.
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)
060	96	0919	10	1-10	Files of William C. Bryson, Acting Associate Attorney General  Period: 04-94 thru 07-94  Location: B-508, Main Justice  Contact: Ron Plavchan 616-0072  A COMPLETE BOX LISTING HAS BEEN PREPARED AND A COPY ATTACHED TO THE ORIGINAL SF-135.  ONLY AUTHORIZED DOJ PERSONNEL MAY OPEN AND RETRIEVE ITEMS FROM THIS ACCESSION.	R	NC1-60-84-5, Item 1A	P/2010	04/69-40-6.5			



FILES OF WILLIAM C. BRYSON,  
ACTING ASSOCIATE ATTORNEY GENERAL, 1994

(prepd. 06/17/96)

BOX 1

SUBJECT FILES

3% FUND  
[re debt collection offset]  
A-12  
[re McDonald Douglas Corp. & General Dynamics v. US]  
A-12 -- Conflict of Interest  
ABORTION CLINICS  
[re Freedom of Access to Clinic Entrances Act of 1994]  
ADA [Americans w/Disabilities Act] [Title II] Regulations  
AGRICULTURE LITIGATION AUTHORITY  
[re MOU between USDA & DOJ concerning Farmers Home Admin.  
(FmHA) cases & S. 1930, FmHA Improvement Act of 1994]  
ALLOCATION OF U.S. ATTORNEYS  
AMITE COUNTY  
[re role of CRS in MS school district dispute w/Willie  
Tobias]  
ANTITRUST -- ABA SUBPOENA  
[re investigation of ABA's law school accreditation acts.]  
ANTITRUST -- ALUMINUM  
ANTITRUST -- CRIMINAL CASES  
ASG -- Anti-Asian Violence  
ASG -- Barrera  
[re Mariel Cuban habeas corpus case: Alexis Barrera-  
Echavarria v. Rison]  
ASG -- Bear Baiting  
[interim directive on Nat'l Forest System lands]  
ASG -- Civil Appeals  
ASG -- Civil Justice Reforms  
ASG -- Congressional Inquiries  
ASG -- Contacts with Congress  
ASG -- CSS [Catholic Social Services] v. Reno Settlement  
ASG -- EDWARDS AQUIFER [Authority]  
ASG -- Financial Litigation  
ASG -- Miranda  
ASG -- OPR Working Group  
[incl. OPR annual repts to AG, 1976-92]  
ASG -- Pilkington [Industries investigation]  
ASG -- SHAFFER EQUIPMENT [Co. case]  
ASG -- U.S. Trustees  
[re air travel practices in]  
ASG -- Vietnamese Claims  
ASG -- White v. Alabama  
[re challenging method of electing appellate judges in AL]  
ASG -- Wright-Hatfield [cases]

BOX 2

BLUE COAL COMPANY

BRADY BILL  
[re Sheriff J.R. Koog v. US]  
BREAST IMPLANT LITIGATION  
BUDGET  
CAMMERMEYER, [Margarethe]  
CHICAGO HOUSING AUTHORITY [1.]  
CHICAGO HOUSING AUTHORITY [2.]  
CHICAGO HOUSING AUTHORITY [3.]  
CHILCUTT [case]  
CHILES, [FL Gov. Lawton]  
[re immigration matters]  
CHINESE ASYLUM  
CHRISTIANS v. CRYSTAL CHURCH  
CHUKWURA, [Anthony]  
[re deportation case: Chukwura v. US]  
CIVIL RIGHTS COMMISSION  
CIVIL RIGHTS DIVISION

BOX 3

Classification Order  
[re revision of E.O. 12356]  
CLAUDIO, [Dayton]  
[re Claudio v. US concerning the painting, "Sex, Laws &  
Coathangers," & its hanging in Raleigh, NC fed. bldg.]  
COLVILLE RESERVATION  
[re proposed bill, Confederated Tribes of the Colville  
Reservation Grand Coulee Dam Settlement Act]  
COMA SPEECH  
COMMUNICATIONS w/WHITE HOUSE  
COMMUNITY RELATIONS SERVICE  
CONGRESSIONAL INTERVIEWS WITH DOJ ATTORNEYS  
[re ABA recommendations]  
CONOCO/CHEVRON [case]  
CONTACTS W/REPRESENTED PERSONS  
[re regulatory memo 5/12/94]  
CONTINENTAL STEEL -- PENSIONS  
COVEN, [Phyllis A.]  
CRIME BILL  
[re H.R. 3355, Violent Crime Control & Law Enforcement Act]  
CRIME BILL (file 2)

BOX 4

CRIMINAL ALIEN ASSISTANCE PROGRAM  
CROWN HEIGHTS  
[re murder of Yankel Rosenbaum]  
DEATH PENALTY PROTOCOL  
[re 4/1/94 CRM memo]  
DEMJANJUK, [John]  
DIVERSITY JURISDICTION  
[re H.R. 4357, Federal Courts Improvement Act of 1994]  
DOE, [John, M.D.]  
[re case of Doe v. Reno, et al.]

EMPLOYMENT AND NON-DISCRIMINATION ACT [S. 2238]  
ENVIRONMENT DIVISION -- Bluesheet  
[re 1/12/93 amendments to US Attys' manual re environmental  
criminal enforcement program]  
ENVIRONMENT DIVISION -- DISCLOSURE OF FRAUD ALLEGATIONS  
Environment Division - Environmental Justice  
ENVIRONMENTAL CRIMES SECTION  
Environmental Crimes Section  
ENVIRONMENTAL JUSTICE  
[briefing material 7/18/94]  
EOIR [Executive Ofc for Immigration Review]  
ETHICS  
[re training seminar for AUSAs]  
FDA - SHRIMP  
[re legality to export saccharin-tainted shrimp]  
FEDERAL CIRCUIT [Bar Association]  
FIELD STRUCTURE [Working Group]  
FINE COLLECTION  
[re wkly repts from Judge Tim Murphy/DAAG, 4/11 - 5/27/94]  
FLORES [case]  
FOIA -- HWAC [Hazardous Waste Action Comte]  
Foster, [Vincent] -- FOIA  
[re 8/11/93 request of Wm. Neumann]

BOX 5

FOSTER NOTE  
[re Dow Jones & Company, Inc. v. DOJ]  
GAO INVESTIGATION  
[re possible misconduct by EPA officials]  
GAYS IN THE MILITARY  
GRAND JURY  
[re 5/30/94 memo re handling of grand jury material]  
Grand Jury Task Force  
HABEAS CORPUS  
HAITI  
HARRIS v. LEVINE  
[re overcrowding at Philadelphia prison]  
IAEAA [International Antitrust Enforcement Assistance Act of  
1994]  
IBM  
[re 1956 consent decree]  
ICKERS, [Harold] REPORT  
[re US v. Amodeo, et al.]  
IMMIGRATION EMERGENCY PLAN [5/13/94]  
INDEPENDENT COUNSEL  
INS -- Haiti  
[re processing HIV+ refugees]  
INS -- Reopening [or reconsideration of a deportation order]  
INS -- Section 212(c)  
[re AG's authority to admit certain aliens who would be  
excludable at the border]

INS: TERRITORIAL WATERS

[re consequences of arrival of undocumented aliens in]  
INSLAW [case]

JUDICIAL REVIEW OF ANTIDISCRIMINATION LAWS

KNOX, [Stephen A.]

[re child pornography case: US v. Knox]

LEGAL REPRESENTATION -- WHITE HOUSE

LOPEZ, [Alfonso, Jr.]

[re litigation on Gun-Free School Zones Act]

MANAGEMENT REPORT

[AG's semiannual mgmt rept to Cong for 10/1/93 - 3/31/94]

MEDICAL MALPRACTICE

[ASG's 5/12/94 statement on the issues]

MEXICAN IMMIGRATION

MICROSOFT [case]

BOX 6

MONTEREY, CA VOTING RIGHTS [case]

National American Indian Listening Conference [5/5-6/94]

National Performance Review

NORIEGA, [Manuel case]

OLC -- HUD-AGRICULTURE

[re authority of USDA to award monetary relief for  
discrimination]

OVETT

[re Sister Spirit, lesbian retreat in Ovett, MS]

Petite Policy

PFLP [Popular Front for the Liberation of Palestine]

PHILADELPHIA VOTING

[re absentee ballot investigation]

PRINCZ, [Hugo case]

PRO BONO

[re DOJ policy]

PRODUCTS LIABILITY

[re legislation: S. 687, Products Liability Fairness Act]

PROFESSIONAL RESPONSIBILITY [Issues Working Group]

RELIGIOUS FREEDOM RESTORATION ACT

ROCKY FLATS [case]

RTC [Resolution Trust Corp.] INFORMATION

SAN YSIDRO

[re INS activities at the CA port]

SF-86

[re revision of the questionnaire for sensitive positions]

SENTENCING COMMISSION

SES POSITIONS

SHAW v. RENO

STREAMLINING

[re mgmt & paperflow improvements efforts w/in DOJ]

BOX 7

SUSAN SWIFT

TAKINGS

TATELBAUM, [Charles]  
THREE-BRANCH CONFERENCE [3/7/94]  
TITLE VI [of the Civil Rights Act]  
TOBACCO  
TOBOSO [case]  
    [re homosexuality as basis for asylum]  
TROUT v. DALTON  
    [sex discrimination case]  
TRUSTEE REMOVAL  
VMI [Virginia Military Institute case]  
WALKER v. HUD  
WILLIAMS v. REVLON  
    [product liability case]

**ASG SIGNATURE FILE**

ANTITRUST -- Letters  
ATR -- Memos  
ANTITRUST -- PERSONNEL  
ANTITRUST -- Settlements  
ANTITRUST -- Travel  
BUREAU OF PRISONS  
CIVIL -- Civil Investigative Demands  
CIVIL -- Letters  
CIVIL -- MEMOS  
CIVIL -- Personnel  
CIVIL -- Settlements  
CIVIL -- Travel

BOX 8

CRT -- Complaint  
CRT -- Letters  
CIVIL RIGHTS -- Memos  
CIVIL RIGHTS -- Travel  
CRS [Community Relations Service] -- Letters  
CRS -- Travel  
CRIMINAL [Division]  
DEA  
ENRD -- Consent Decrees  
ENVIRONMENT -- Letters  
ENVIRONMENT -- Memos  
ENVIRONMENT -- Motions  
ENVIRONMENT -- SETTLEMENTS  
ENVIRONMENT -- Travel  
EOIR -- Proposed Rules  
EOUSA [Executive Ofc for US Attys]  
EOUT [sic] -- Letters  
EOUT -- Personnel  
EOUT -- TRAVEL  
FBI  
FOREIGN CLAIMS SETTLEMENT COMMISSION -- General  
INS -- Letters

INS -- Memos  
INS -- Orders  
INS -- Personnel  
INS -- Travel  
JMD  
OASG -- COMMUNICATIONS WITH REPRESENTED PARTIES  
OASG -- CORRESPONDENCE  
OASG -- Memos

BOX 9

OASG -- Miscellaneous  
OASG -- TRAVEL  
ODAG  
OIP [Ofc of Info & Privacy] - General  
OFFICE OF INTELLIGENCE POLICY AND REVIEW  
OLA [Ofc of Legislative Affairs] -- Memos  
OLC [Ofc of Legal Counsel]  
OFFICE OF POLICY DEVELOPMENT  
TAX -- Concessions  
TAX -- Letters  
TAX -- Memos  
TAX -- Personnel  
TAX -- SETTLEMENTS  
TAX -- Travel

**CHRONOLOGICAL FILE**

1994 CHRON -- April  
1994 CHRON -- May (1 of 2) [5/2 - 5/13]  
1994 CHRON -- May 92 of 2) [5/16 - 5/31]  
1994 CHRON -- June (1 of 2) [6/1 - 6/15]

BOX 10

1994 CHRON -- June (2 of 2) [6/16 - 6/30]  
1994 CHRON -- July

**TRAVEL FILE**

4/22/94 -- Chicago, Illinois  
[re testimony at congressional hearing of Subcomte on  
Housing & Community Development]

**MISCELLANEOUS FILE**

TELEPHONE LOGS  
ASG DAILY SCHEDULES  
ASG PUBLIC SCHEDULES  
Scheduling Requests  
ASG APPOINTMENT CALENDAR  
Invitations Accepted  
Invitations Regretted

# Accession Detail

## Basic Information

**FRC Legal Transfer # (LT):** Accession #: NN3-060-10-013  
**Accession Type:** FRC Archival Unit: Archives II, A2  
**Record Group/Collection #:** 0060  
**Agency:** Department of Justice **Contact Name:** Bernard Berglind  
**Description:** Files of William C. Bryson, Acting Associate Attorney General

**FRC:** FRC - WNRC (Suitland)  
**Disposition Authority #:** NC1-60-84-5  
**Media Type:** Textual  
**# of Containers:** 10  
**Classification Status:** Unclassified  
**Risk Level:** No Preservation Action  
Now  
**Inclusive Start Date:** 04/01/1994  
**Begin Location:** A2-02-0000230-063-1-001-06  
**Comments:**


**FRC Transfer #(SF135):** 060-960919  
**Transfer Authorization Date:** 12/23/2009  
**Records Accepted/Received date:** 3/22/2010  
**Cubic Feet:** 10.77  
**Status:** Records Received  
  
**Inclusive End Date:** 07/31/1994  
**End Location:** A2-02-0000230-063-1-002-02

**Access Restriction:** Restricted- Fully  
**Specific Access Restriction:**  
FOIA (b)(7) Law Enforcement

## Container Information:

Container Type:	# of Containers:	Cubic Feet:
FRC-S	10	10.77

# Accession - Asset Shelf Report

<b>Accession Number :</b>		NN3-060-10-013	<b>Media Type :</b>	Textual
<b>RG/Collection # :</b>	0060		<b>Accession Type :</b>	FRC
<b>Description :</b>	Files of William C. Bryson, Acting Associate Attorney General		<b>Total # Of Containers :</b>	10
			<b>Cubic Feet :</b>	10.77

Asset ID	Container #	Type	Location
HC1-70693884	1	FRC-S	A2-02-0000230-063-1-001-06
HC1-70693889	2	FRC-S	A2-02-0000230-063-1-001-07
HC1-70693902	3	FRC-S	A2-02-0000230-063-1-001-07
HC1-70693905	4	FRC-S	A2-02-0000230-063-1-001-07
HC1-70693908	5	FRC-S	A2-02-0000230-063-1-002-01
HC1-70693911	6	FRC-S	A2-02-0000230-063-1-002-01
HC1-70693914	7	FRC-S	A2-02-0000230-063-1-002-01
HC1-70693917	8	FRC-S	A2-02-0000230-063-1-002-02
HC1-70693920	9	FRC-S	A2-02-0000230-063-1-002-02
HC1-70693923	10	FRC-S	A2-02-0000230-063-1-002-02



**RECORDS TRANSMITTAL AND RECEIPT**

Complete and send original and two copies of this form to the appropriate Federal Records Center for approval prior to shipment of records. See specific instructions on reverse.

1. TO (Complete the address for the records center serving your area as shown in 36 CFR 1228.150.)

**Federal Records Center**  
 Washington National Records Center  
 Washington, DC 20409

2. AGENCY TRANSFER AUTHORIZATION

TRANSFERRING AGENCY OFFICIAL (Signature and title)  
*Kathie A. Harting*  
 Kathie A. Harting  
 Assistant Director/IMS/ExSec

DATE  
 2/7/97

3. AGENCY CONTACT

TRANSFERRING AGENCY LIAISON OFFICIAL (Name, office and telephone No.)  
 Bernard W. Berglind  
 IMSS/IRM/JMD 202-514-6283

RECORDS CENTER RECEIPT

RECORDS RECEIVED BY (Signature and title)

DATE

5. FROM (Enter the name and complete mailing address of the office retiring the records. The signed receipt of this form will be sent to this address)

Department of Justice  
 IMSS/IRM/JMD  
 Suite 850, WCTR  
 1001 G Street, NW  
 Washington, DC 20530

ATTN.: Bernard W. Berglind

Fold Line

6. **RECORDS DATA**

ACCESSION NUMBER			VOLUME (cu. ft.)	AGENCY BOX NUMBERS	SERIES DESCRIPTION (With inclusive dates of records)	RESTRICTION	DISPOSAL AUTHORITY (Schedule and item number)	DISPOSAL DATE	COMPLETED BY RECORDS CENTER			
RG	FY	NUMBER							LOCATION	SHELF PLAN	CONT. TYPE	AUTO DISP.
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)
060	97	0553	4	1-4	Files of Irvin B. Nathan, Principal Associate DAG  Period: 04-93 thru 04-94  Location: B-508, Main Justice  Contact: Ron Plavchan 616-0072  A COMPLETE BOX LISTING HAS BEEN PREPARED AND A COPY ATTACHED TO THE ORIGINAL SF-135.  ONLY AUTHORIZED DOJ PERSONNEL MAY OPEN & RETRIEVE ITEMS FROM THIS ACCESSION.  NONTEXTUAL CODES: PRM, VDP	R	NC1-60-81-14 Item 1B	P/2010	4/54:25-3.3			

FILES OF IRVIN B. NATHAN,  
PRINCIPAL ASSOCIATE DEPUTY ATTORNEY GENERAL, 1993-94

(prepd. 02-06-97)

BOX 1

AMERICAN BAR ASSOCIATION  
AMERICAN BAR ASSOCIATION - ETHICS  
ABA - WHITE COLLAR CRIME LEGAL ETHICS COMM[ittee].  
ASSET FORFEITURE - EBON RESEARCH SYSTEMS  
[re DOJ decision to award forfeiture program contract to a  
competitor, DynCorp, incl. allegations of racial discrim.]  
ASSET FORFEITURE - FORFEITURE REFORM  
ASSET FORFEITURE - LEGISLATION  
[re Forfeiture Act of 1994]  
COLORADO/LOUISIANA SUITS AGAINST ATTORNEY DISCIPLINARY BOARDS  
COMMUNICATIONS W/REPRESENTED PERSONS: COMMENTS  
[Accordion Folder] COMMUNICATIONS WITH REPRESENTED PERSONS:  
CURRENT

CONTACTS MEETING, Thurs., Feb. 24, 1994, 5:00 p.m.

CONTACTS MEETING W/AG. Thurs., Feb. 17, 1994,  
Room 5111

MEETING RE: CONTACTS, Tuesday, Feb. 8, 1994,  
Room 4118

BOX 2

COMMUNICATIONS WITH REPRESENTED PARTIES - INTERVIEWS OF  
REPRESENTED DEFENDANTS  
[Accordion Folder] COMMUNICATIONS WITH REPRESENTED PERSONS:  
REVISED REGULATION - ALTERNATIVE DRAFTS (November 19, 1993)

PROPOSALS A & B

COMMENTS RE PROPOSAL A & B - COMPONENTS

COMMENTS RE: PROPOSAL A & B - UNITED STATES  
ATTORNEYS

COMMUNICATIONS WITH REPRESENTED PERSONS - HISTORICAL  
BACKGROUND

COMMUNICATIONS WITH REPRESENTED PARTIES - LEGAL RESEARCH  
COMMUNICATIONS WITH REPRESENTED PERSONS: Comments - Proposed  
Rule Published in Fed'l Register March 3, 1994

[Accordion Folder] COMMUNICATIONS WITH REPRESENTED PERSONS -  
REVISED REGULATIONS

ADVISORY COMMITTEE COMMENTS ON REVISED VERSION

COMMENTS

DRAFT REVISIONS

DIALOGUE MEETINGS

BOX 3

INDEPENDENT COUNSEL  
JUDICIAL CONFERENCE'S ADVISORY COMM. ON THE FEDERAL RULES OF  
EVIDENCE  
JUDICIAL CONFERENCE - STANDING COMM. ON RULES OF PRACTICE AND  
PROCEDURE (1)

[Videocassette] THE FEDERAL JUDICIAL CENTER: The  
1993 Amendment to the Federal Rules of Civil  
Procedure: New Challenges in Court Administration  
(December 1993)

JUDICIAL CONFERENCE - STANDING COMM. ON RULES OF PRACTICE AND  
PROCEDURE (2)  
JUDICIAL CONF. - STANDING COMM. ON RULES OF PRACTICE &  
PROCEDURE, MEETING JAN. 12-15, 1994, Tucson, AZ  
JUDICIAL-DOJ WORKING GROUP MEETING, Friday, February 25, 1994  
10:00 a.m. Conf. Room A

BOX 4

JUDICIARY-DOJ CRIMINAL JUSTICE WORKING GROUP MEETING, THURS.,  
JAN. 6, 1994  
JUDICIAL WORKING GROUP - COURT SECURITY  
PETITE POLICY  
RULE 16 MATTERS  
TELEPHONE LOGS - 1993 [9/7 - 12/30/93]  
[Accordion Folder] TELEPHONE LOGS

TELEPHONE MESSAGE FORMS - 1 [10/25 - 12/14/93]

TELEPHONE MESSAGE FORMS - 2 [12/15/93 - 2/14/94]

TELEPHONE MESSAGE FORMS - 3 [2/14 - 3/10/94]

# Accession Detail

## Basic Information

**FRC Legal Transfer # (LT):** Accession #: NN3-060-10-013  
**AccessionType:** FRC Archival Unit: Archives II, A2  
**Record Group/Collection #:** 0060  
**Agency:** Department of Justice Contact Name: Bernard Berglind  
**Description:** Files of Irvin B. Nathan, Principal Associate DAG


**FRC:** FRC - WNRC (Suitland) **FRC Transfer #(SF135):** 060-970553  
**Disposition Authority #:** NC1-60-81-14 **Transfer Authorization Date:** 12/23/2009  
**Media Type:** Textual **Records Accepted/Received date:** 3/22/2010  
**# of Containers:** 4 **Cubic Feet:** 4.31  
**Classification Status:** Unclassified **Status:** Records Received  
**Risk Level:** No Preservation Action Now  
**Inclusive Start Date:** 04/01/1993 **Inclusive End Date:** 04/30/1994  
**Begin Location:** A2-02-0000230-063-1-001-05 **End Location:** A2-02-0000230-063-1-001-06  
**Comments:**

**Access Restriction:** Restricted- Fully  
**Specific Access Restriction:**  
FOIA (b)(7) Law Enforcement

## Container Information:

Container Type:	# of Containers:	Cubic Feet:
FRC-S	4	4.31

# Accession - Asset Shelf Report

<b>Accession Number :</b>		NN3-060-10-013	<b>Media Type :</b>	Textual
<b>RG/Collection # :</b>	0060		<b>Accession Type :</b>	FRC
<b>Description :</b>	Files of Irvin B. Nathan, Principal Associate DAG		<b>Total # Of Containers :</b>	4
			<b>Cubic Feet :</b>	4.308

Asset ID	Container #	Type	Location
HC1-70693953	1	FRC-S	A2-02-0000230-063-1-001-05
HC1-70693958	2	FRC-S	A2-02-0000230-063-1-001-05
HC1-70693961	3	FRC-S	A2-02-0000230-063-1-001-06
HC1-70693964	4	FRC-S	A2-02-0000230-063-1-001-06

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1. TO (Complete the address for the records center serving your area as shown in 36 CFR 1228.150.)

**Federal Records Center**

Washington National Records Center  
Washington, DC 20409

2. AGENCY TRANSFER AUTHORIZATION

TRANSFERRING AGENCY OFFICIAL (Signature and title)  
*Kathie A. Harting*  
Kathie A. Harting  
Deputy Director, ExSec/JMD

DATE  
5/15/97

3. AGENCY CONTACT

TRANSFERRING AGENCY LIAISON OFFICIAL (Name, office and telephone No.)  
Bernard W. Berglind  
IMSS/IRM/JMD 202-514-6283

4. RECORDS CENTER RECEIPT

RECORDS RECEIVED BY (Signature and title)

DATE

5. FROM (Enter the name and complete mailing address of the office retiring the records. The signed receipt of this form will be sent to this address)

Department of Justice  
IMSS/IRM/JMD  
Suite 850, WCTR  
1001 G Street, NW  
Washington, DC 20530

ATTN: Bernard W. Berglind

Fold Line

**RECORDS DATA**

ACCESSION NUMBER			VOLUME (cu. ft.)	AGENCY BOX NUMBERS	SERIES DESCRIPTION (With inclusive dates of records)	RESTRICTION	DISPOSAL AUTHORITY (Schedule and item number)	DISPOSAL DATE	COMPLETED BY RECORDS CENTER			
RG	FY	NUMBER							LOCATION	SHELF PLAN	CONT. TYPE	AUTO. DISP.
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)
060	97	0876	5	1-5	Files of Sandra E. Cavazos, Special Assistant to the DAG  Period: 08-93 thru 09-94  Location: B-508, Main Justice  Contact: Ron Plavchan 616-0072  A COMPLETE BOX LISTING HAS BEEN PREPARED AND A COPY ATTACHED TO THE ORIGINAL SF-135.  ONLY AUTHORIZED DOJ PERSONNEL MAY OPEN & RETRIEVE ITEMS FROM THIS ACCESSION.  NONTEXTUAL CODES: PRM	R	NC1-60-81-14 Item 1B	P/2010	5/30:19-5.3			

FILES OF SANDRA E. CAVAZOS,  
SPECIAL ASSISTANT TO THE DEPUTY ATTORNEY GENERAL,  
1993-94

(prepd. 05-15-97)

BOX 1

ASSET FORFEITURE  
[consists mostly of copies of Quick Release (monthly survey of fed. forfeiture cases) & of Asset Forfeiture News]  
ASSET FORF: ADMINISTRATIVE PROPOSALS  
ASSET FORF: AG & DAG MEMOS [1994]  
ASSET FORF: ALTERNATIVE PROPOSALS: SUGGESTIONS  
ASSET FORFEITURE - ANNUAL REPORTS [FY 1991 & 1992]  
[Asset] FORFEITURE: Attorney Fees  
ASSET FORFEITURE - BROOK'S COMMITTEE  
[re DOJ asset forfeiture program]  
[Asset] FORFEITURE: BLYVEIS [Barry] MEMO [9/20/93]  
[re denial of use of AFFund for drug rehabilitation]  
[Asset] FORFEITURE: Chemical Weapons Act  
[re DOJ objections to Export Administration Act of 1994]  
ASSET FORF: Cong. Bills  
ASSET FORF: Congress Correspondence  
ASSET FORFEITURE - CONYERS' COMMITTEE  
[re Q&As resulting from Subcomte on Legislation & Nat'l Security hearing on 6/22/93]  
ASSET FORF: CORRESPONDENCE  
ASSET FORFEITURE - DynCorp  
[re Directive 94-1 (1/10/94) re policy regarding work w/ DynCorp contract employees]  
ASSET FORFEITURE: District Attys  
ASSET FORFEITURE - EQUITABLE SHARING  
ASSET FORFEITURE: EOAF [Executive Ofc. for Asset Forfeiture]  
ASSET FORF: FACTBOOK [updates]  
ASSET FORFEITURE - FORFEITURE TASK FORCE

BOX 2

ASSET FORFEITURE - FUND  
ASSET FORFEITURE - INTERNATIONAL SHARING  
ASSET FORF: JMD Study  
[incl. copy of "Management Review of the Asset Forfeiture Program" (12/93)]  
ASSET FORFEITURE - Ken International Co., Inc.  
ASSET FORF: LEGISLATION  
ASSET FORFEITURE: MANHOURS ISSUE; CONSULTATION W/ LOCALS  
ASSET FORFEITURE MISC  
ASSET FORFEITURE: Notes; Meetings  
ASSET FORFEITURE - ONDCP AUTHORITIES  
ASSET FORFEITURE - PENNY CASE  
[re disposition of assets in U.S. v. James L. Penny]  
ASSET FORFEITURE - PLAN [re 5-point asset forfeiture plan]  
ASSET FORFEITURE - PRE-SEIZURE PLANNING  
[re 2/16/94 guidelines (Directive 94-2 & policy)]  
ASSET FORFEITURE - REGULATIONS

ASSET FORFEITURE - SACCOCCIA CASE  
[re U.S. v. Stephen A. Saccoccia, et al.]  
ASSET FORFEITURE - SPECIAL FORFEITURE FUND  
ASSET FORFEITURE - STATUTES  
ASSET FORFEITURE - SURPLUS FUND

SUPER SURPLUS MEETING, Monday, Feb. 28, 1994,  
2:00 p.m.

[Asset] "FORFEITURE/ TAX DIVISION"

BOX 3

[Asset] FORFEITURE: Treasury Issues  
ASSET FORFEITURE - UNIFORM CONTROLLED SUBSTANCES ACT/  
RAYMOND PEPE  
ASSET FORFEITURE - USMS  
ASSET FORFEITURE - WORKING GROUP MEETINGS [1993]  
ASSET FORFEITURE: WRAP-UP MATERIAL FROM CAVAZOS  
AUSTIN/ PROPORTIONALITY  
[re forfeiture challenges under excessive fines clause in  
light of Sup. Ct. ruling in Austin v. U.S. & Alexander v.  
U.S.]  
BCCI [Bank of Credit & Commerce International FORFEITURE  
[meeting notes]  
CHEMICAL REGISTRATION/ DIVERSION  
[re implementation of Domestic Chemical Diversion Control  
Act of 1993]  
CRIME BILL: REP. CRAIG WASHINGTON  
[re Crime Prevention & Criminal Justice Reform Act]  
EQUITABLE SHARING GUIDE [re 1994 revision]  
EOAF - Initial FY 1994 AFF Allocations  
EOAF - REORG. [1994]  
[Binder] Executive Office for Asset Forfeiture  
Transition Team Briefing Materials [n.d.]  
[Binder] Executive Office for Asset Forfeiture  
Transition Team Briefing Materials (12/4/92)  
HIDTA [High Intensity Drug Trafficking Areas]

BOX 4

[Accordion Folder] LATIN AMERICA  
  
CARTAGENIA FACT SHEET/STATEMENTS  
ANDEAN SUMMIT 2/15/90  
  
CARANCHO [plan against narcotraffickers]  
[1989]  
  
OPERATION BOLIVAR  
  
INTERNATIONAL INFORMATION  
INITIATIVES WORKING GROUP I Cubed [1989-91]



INTERNATIONAL DRUG CONTROL POLICIES &  
STRATEGIES 10/89

CARTAGENA II PUBLIC AFFAIRS PLAN 11/91 --

MASFA [Model Asset Seizure & Forfeiture Act]  
"NACDL [Nat'l Assn. of Crim. Defense Lawyers] ANALYSIS OF  
BILL"

[re sectional analysis of proposed Forfeiture Act of 1994]  
[Binder] NACDL TASK FORCE - FORFEITURE MATERIALS (12/1/93)  
OCDEF [sic] [Organized Crime Drug Enforcement Task Force]  
OIAP [Ofc. of Investigative Agency Policies]  
ONDCP REAUTHORIZATION

[re H.R. 1926, Ofc. of Nat'l Drug Control Policy  
Reauthorization Act of 1993]

RAYMOND PEPE

PRE-SEIZURE PLANNING

Remission Regulations

STATE DRUG TAXES

TOBACCO LITIGATION

U.S. IMMIGRATION AND NATURALIZATION SERVICE

FAX - Udated [sic] DRAFT LETTER TO Lee Radek

Weed & Seed

BOX 5

[Binder] Asset Forfeiture Manual. Vol. I: Law and Practice  
(6/93)

[Binder] Asset Forfeiture Manual. Vol. III: Policy Compendium

[Binder] ASSET FORFEITURE FACT BOOK (5/94)

[Binder] ASSET FORFEITURE ISSUES (4/93)

# Accession Detail

## Basic Information

**FRC Legal Transfer # (LT):**  
**AccessionType:** FRC  
**Record Group/Collection #:** 0060  
**Agency:** Department of Justice  
**Description:** Files of Sandra E. Cavazos, Special Assistant to the DAG

**Accession #:** NN3-060-10-013  
**Archival Unit:** Archives II, A2  
**Contact Name:** Bernard Berglind

**FRC:** FRC - WNRC (Suitland)  
**Disposition Authority #:** NC1-60-81-14  
**Media Type:** Textual  
**# of Containers:** 5  
**Classification Status:** Unclassified  
**Risk Level:** No Preservation Action Now  
**Inclusive Start Date:** 08/01/1993  
**Begin Location:** A2-02-0000230-064-1-019-01  
**Comments:**


**FRC Transfer #(SF135):** 060-970876  
**Transfer Authorization Date:** 12/23/2009  
**Records Accepted/Received date:** 3/22/2010  
**Cubic Feet:** 5.39  
**Status:** Records Received  
**Inclusive End Date:** 09/30/1994  
**End Location:** A2-02-0000230-064-1-019-02


**Access Restriction:** Restricted- Fully  
**Specific Access Restriction:**  
FOIA (b)(7) Law Enforcement

## Container Information:

Container Type:	# of Containers:	Cubic Feet:
FRC-S	5	5.39

# Accession - Asset Shelf Report

<b>Accession Number :</b>		NN3-060-10-013	<b>Media Type :</b>	Textual
<b>RG/Collection # :</b>	0060		<b>Accession Type :</b>	FRC
<b>Description :</b>	Files of Sandra E. Cavazos, Special Assistant to the DAG		<b>Total # Of Containers :</b>	5
			<b>Cubic Feet :</b>	5.385

 set ID	Container #	Type	Location
HC1-70693982	1	FRC-S	A2-02-0000230-064-1-019-01
HC1-70693985	2	FRC-S	A2-02-0000230-064-1-019-01
HC1-70693988	3	FRC-S	A2-02-0000230-064-1-019-01
HC1-70693991	4	FRC-S	A2-02-0000230-064-1-019-02
HC1-70693994	5	FRC-S	A2-02-0000230-064-1-019-02

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 Washington, DC 20409

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*Kathie A. Harting*  
 Kathie A. Harting  
 Deputy Director, ExSec

DATE  
 10/30/97

3. AGENCY CONTACT

TRANSFERRING AGENCY LIAISON OFFICIAL (Name, office and telephone No.)  
 Bernard W. Berglind  
 IMSS/IRM/JMD 202-514-6283

RECORDS CENTER RECEIPT

RECORDS RECEIVED BY (Signature and title)

DATE

5. FROM (Enter the name and complete mailing address of the office retiring the records. The signed receipt of this form will be sent to this address)

Department of Justice  
 IMSS/IRM/JMD  
 Suite 850, WCTR  
 1001 G Street, NW  
 Washington, DC 20530

ATTN: Bernard W. Berglind

Fold Line

6. **RECORDS DATA**

ACCESSION NUMBER			VOLUME (cu. ft.)	AGENCY BOX NUMBERS	SERIES DESCRIPTION (With inclusive dates of records)	RESTRIC- TION	DISPOSAL AUTHORITY (Schedule and item number)	DISPOSAL DATE	COMPLETED BY RECORDS CENTER			
RG	FY	NUMBER							LOCATION	SHELF PLAN	CONT. TYPE	AUTO. DISP.
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)
060	98	0281	8	1-8	Files of Sheldon "Shay" Bilchik, Associate Deputy Atty General  Period: 05-93 thru 10-94  Location: B-508, Main Justice  Contact: Ron Plavchan 616-0072  A COMPLETE BOX LISTING HAS BEEN PREPARED AND A COPY ATTACHED TO THE ORIGINAL SF-135.  ONLY AUTHORIZED DOJ PERSONNEL MAY OPEN & RETRIEVE ITEMS FROM THIS ACCESSION.  NONTEXTUAL CODES: PRM & VDV	R	NC1-60-81-14 Item 1B	P/2010				

**FILES OF SHELDON "SHAY" BILCHIK,  
ASSOCIATE DEPUTY ATTORNEY GENERAL, 1993-94**

(Prepd. 10-27-97)

BOX 1

APPOINTMENT BOOKS - 1993 & 1994

**CHRONOLOGICAL FILES**

CHRON. FILE MAY 1993  
CHRON. FILE June 1993  
CHRON. FILE JULY 1993  
CHRON. FILE AUGUST 1993  
CHRON. FILE SEPTEMBER 1993  
CHRON. FILE OCTOBER 1993  
CHRON. FILE NOVEMBER 1993  
CHRON. FILE DECEMBER 1993  
CHRON. FILE JANUARY 1994  
CHRON. FILE FEBRUARY 1994  
CHRON. FILE MARCH 1994  
CHRON. FILE APRIL 1994  
CHRON. FILE MAY 1994  
CHRON. FILE JUNE 1994  
CHRON. FILE JULY 1994

BOX 2

CHRON. FILE AUGUST 1994  
CHRON. FILE SEPTEMBER 1994  
CHRON. FILE OCTOBER 1994

**SUBJECT FILES**

ABA - CHILDREN AND THE LAW PROJECT 1993  
AG - PROGRAM EVALUATION/JAMES NEUHARD 1993  
    [re ABA comte on funding the justice system concerning research &  
    the grant program]  
AMERICAN COALITION FOR ABUSE AWARENESS 1994  
AUTO THEFT TASK FORCE 1993  
BJA "Emerging Problems" 1993  
    [comments on draft responses to]  
BJA "Firearms" 1993  
    [re BJA's firearms control activities]  
BJA "RISS" [Regional Info Sharing Systems] 1993  
    [re aliens & international gangs]

BOSTON 1994

[re Ninth Annual New England Conf. On Current School Issues,  
3/21-23/94 & community policing in Boston]

BUREAU OF PRISONS (BOP) 1993

CBS Violence - PLAN OF ACTION 1994

[re TV network program, "Let's Stop Kids Killing Kids", 4/25-5/1/94]

CCP [Comprehensive Communities Program] 1994

CHILD AND FAMILY WELFARE, COMMISSION ON 1994

CHILD CARE PROJECT (Massachusetts) 1993

[re development of a system of court-based, drop-in child care for  
children of crime victims, witnesses, & defendants]

CHILD PORNOGRAPHY 1994

COMMUNITY DESIGN 1994

[re crime prevention via environmental design]

CONGRESSIONAL

CONNECTICUT/DRONEY [USA Christopher F.]

[re Bilchik's trip to CT (6/21/94) – Hartford & New Haven (1/25/94)]

BOX 3

CRIME BILL ('93 - '94) (I)

[re HR. 3355 & HR. 4092, Violent Crime Control & Law Enforcement  
Act of 1994]

[Accordion Folder] CRIME BILL ('93 - '94) (II)

[Binder] CRIME BILL IMPLEMENTATION  
BRIEFING BOOK

CRIME BILL ('93 - '94) (III)

DAG STAFF MEETING -- AGENDAS 1993

[Accordion Folder] "D.C. [Cities] PROJECT" 1993 [re grant programs]

WASHINGTON, DC

MAYOR KELLY [Sharon Pratt] LETTER  
[11/16/93]

D.C. U.S. ATTORNEY PROPOSAL [re staffing request]

DENMARK – CRIME PREVENTION 1994

[material from Chief Constable Finn Larsen re establishment of  
Denmark's Crime Prevention Council]

DESIGNATIONS 1993

[re appointment of John Hogan as BNL task force director]

DOMESTIC VIOLENCE 1994

[re 2/24/94 ltr on ABA steering comte on the unmet legal needs of children]

DOUGLASS, Lee 1994

[re CRT fair housing testing program]

DRUG COURTS 1993

DYNAMICS OF RELATIONSHIPS

(Kramer [Patricia]/EQUAL PARTNERS) 1994

[articles on self-esteem]

EDUCATION 1993

[ideas of E. Eugene Harrison—"A Federal Executive Strategy for Education in Cosmopolitan America"]

Empowerment/Enterprise 1994 [initiative]

ETHICS 1993

EXECUTIVE RESOURCES BOARD (ERB) 1994

[re candidates for]

FOIA Requests 1994

[re 8/16/93 request of Melissa A. Mueller]

FOUNDATIONS 1993

[re DOJ/foundations partnership in addressing violence in America]

GUNS 1994

[re juveniles involved in violent acts]

BOX 4

HARSHBARGER, [MA Atty General Scott] 1994

[re 2/28/94 mtg. w/AG]

HOME VISITATIONS 1994 [notes]

HORRENDOUS DEATH (Prof. Dan Leviton) 1994

[article, "It's Not Just the Economy Stupid – It's Glum Prospects, Period"]

"IDAHO" Randy Weaver 1993

"Immigration Judge" 1993

[re applications for chief immigration judge]

"IN" -- CORRESPONDENCE 1993

IN – PERSONNEL 1993

IN/Prison Program 1994

[re Garden Project in San Francisco]

IN/ROBERT LEWIS 1994

[re proposal to deputize & authorize interested DOJ employees to carry hand guns]

INDIANA [Working] GROUP 1994

INFORMED FAMILIES/Peggy Sapp 1994  
[re group's new "Safe Homes/Safe Parties" program]

INSPECTOR GENERAL REPORT – Middle District of Fla.  
[re allegations of favoritism by US Attys ofc – no report enclosed]

INTERAGENCY RESEARCH STRATEGY 1994

JOINT CENTER FOR POLITICAL/ECONOMIC STUDIES 1994  
[re 6/6/94 mtg. on community programs for young black males]

JUDICIAL CONFERENCE, DISTRICT OF COLUMBIA COURTS 1994  
[re panel presentation on 6/24/94 – "Do We Need to Restore Confidence  
in the Juvenile System?"]

JUSTICE MANAGEMENT GROUP 1994

JUVENILE CONFIDENTIALITY 1993

Juvenile Programs 1993

JUVENILE VIOLENCE 1993

KENNEDY SCHOOL -- COMPREHENSIVE PLANNING 1994  
[re its Neighborhood revitalization mtg. (4/19/94) in DC]

KENTUCKY 1993  
[background mat. On community support for young families at risk  
in Jefferson County]

LAW-RELATED EDUCATION 1993  
[in juvenile justice setting in FL]

Diane Lowe 1994  
[re termination of DOJ employment]

MACARTHUR FOUNDATION 1994  
[re 6/13/94 mtg. w/]

MEETING REQUESTS (AG) 1993

Mermelstein, Max 1993

MISSING CHILDREN 1994 [5/24/94]  
[re 11<sup>th</sup> anniv. of National Missing Children's Day & 10<sup>th</sup> anniv. of  
Missing Children's Assistance Act of 1984]

NATIONAL ADVOCACY CENTER 1994

NATIONAL ASSEMBLY/GORDON RALEY 1994  
[re corres. From Exec. Director, National Assembly of National  
Voluntary Health & Social Welfare Organizations]

NATIONAL CENTER FOR JUVENILE JUSTICE 1993

NATIONAL GUARD 1993  
[re cong. hearings on role of – in domestic law enforcement]

NATIONAL FAMILY PARTNERSHIP 1993

NATIONAL INSTITUTE OF CORRECTIONS (NIC) 1994  
[re selection of new NIC director]

NATIONAL INSTITUTE OF JUSTICE (NIJ) 1994 [1993 in review]  
"NAVY MENTOR PROGRAM" 1993



## BOX 5

OJJDP [Office of Juvenile Justice & Delinquency Prevention] 1993  
OJP Office of Justice Programs 1993  
OLA Office of Legislative Affairs 1993  
OLYMICS [*sic*] 1994  
    [re security at 1996 Atlanta Olympics]  
OUT 1994  
“OUT-OF-SCHOOL” PROGRAMS 1994  
OVC Office of Victims of Crime 1993  
PAVNET [Partnership Against Violence Network] 1994  
    [re resource guide developed in support of Pulling America’s  
    Communities Together (PACT) Project]  
P.E.R.F. [Police Executive Research Forum] 1993  
PERSONNEL 1993  
POLICE – URBAN YOUTH 1994 [initiative]  
PREVENTION 1994  
    [talking pts. for AG (5/19/94)]  
PROBATION/INVESTIGATIVE ROLE 1994  
    [re growing gang mentality in PA & proposals to counter]  
PROGRAM - Bill Holloway 1994  
    [re “Diversion to Recovery” pilot program – domestically dysfunctional  
    substance abusers]  
PROGRAMS National Center for Prosecution of Child Abuse 1993  
PROGRAMS (OJP) 1993  
READING MATERIALS 1993  
[copy of rept. prepared by Am. Psychological Assn., “Violence &  
Youth: Psychology’s Response” (vol. 1,1993)]  
Respec/TEEN 1994  
    [re 2/23/94 mtg. on possibility of AG ‘s participation in town mtg.-style  
    discussion at RespecTeen National Youth Forum in April 1994]  
[Operation] SAFE HOME 1994  
    [re addressing violent crime in public housing]  
SCHEDULING (REQUESTS) 1994  
SB [Shay Bilchik] RESPONSIBILITIES 1994  
SECURITY (BIs) 1994  
Sentencing Commission Appts. 1994  
SERVICE OF PROCESS (AG Subpoenas)  
[Accordion Folder] SEXUAL HARASSMENT 1994  
  
    [Videocassette] IT’S AGAINST THE LAW  
    Sexual Harassment in the Work Place  
    January 1994 CC [Closed Captioned] 45:00

## BOX 6

“SOLVING YOUTH VIOLENCE” -- (National Conference) 1994 [8/15-17]  
STATE JUSTICE INSTITUTE 1994 [briefing mat.]  
TAX DIVISION 1993  
TRAINING 1993  
[re draft training mat. For fed. prosecutors-- “Taking Care of Your Victims  
& Witnesses: Treating Them as People, not Evidence”]  
TRUANCY 1994  
URBAN POLICY WORKING GROUP 1994  
URBAN VIOLENCE INVITATIONAL CONFERENCE 1993 [10/7-9/93]  
VIOLENCE SPEECH, West Virginia Summit 1994  
[re Bilchik’s speech at 1<sup>st</sup> Annual WV Summit on Violent Crime,  
6/1-2/94]  
Walton, Marilyn 1993 [re DOJ internship]  
WEED & SEED – AG BRIEFING MATERIALS  
W/S – APPROPRIATIONS & HOLLINGS INQUIRY 1994  
[re FY 1995 request]  
W/S ASSET FORFEITURE FUNDS  
W/S – BUDGET 1994 [for FY 1996]  
WEED & SEED CORRESPONDENCE (I) 1994

## BOX 7

WEED AND SEED CORRESPONDENCE (II)  
W/S – DEMONSTRATION SITES 1994  
W/S – EVALUATION 1994  
WEED AND SEED -- GENERAL  
W/S – IG 1994  
[re planned IG visits to W&S sites]  
W/S – INTERAGENCY WORKING GROUP 1994  
W/S – MULTIJURISDICTIONAL SITES 1994  
[re funding multi-jurisdictional approaches to W&S]  
[W/S] NATIONAL SERVICE 1993  
[re AmeriCorps grants in urban W&S sites]  
W/S – NEW SITES 1994  
W/S – NPR [National Performance Review Laboratory] 1994  
[re concern about lack of anticipated progress & involvement by other  
fed. agencies in]  
W/S – OFFICE LOCATION 1994  
[re transfer to OJP from DAG]  
W/S – PERSONNEL 1994  
WEED AND SEED 1994 Site Selections and Notification

W/S – TRAINING/TECHNICAL ASSISTANCE 1994  
[re FY 1994 training & technical assistance plan & budget request]  
VA. SPEECH 1994  
[re 6/2/94 speech at WV Violence Summit]  
WHITE HOUSE FELLOW[ships] 1994  
[re applicants for 1994-95 & their assignments]  
WICHITA [Operation Weed & Seed Grant] 1994  
[re proposed plan for Wichita/Sedgwick County, KS]  
YOUTH COUNCIL – (TAPE) 1994  
[Videocassette] Council for Unity MULTI-CULTURAL VIDEO:  
CBS NEWS CLIPS “A CAUSE FOR CELEBRATION” [n.d.]  
Youth Violence Symposium 1993  
[re “SAFEGUARDING OUR YOUTH: Violence Prevention for our  
Nation’s Children”, 7/20-21/93, in DC]

BOX 8

**PERSONNEL FILES**

PERSONNEL – GENERAL

[Accordion Folder] NIC [National Institute for Corrections] 1993  
[re director/advisory board]

“NIC/BUREAU OF PRISONS/Federal Prison Industry Board

ROBINSON, Jeffrey 1994 [re employment status of]

SECURITY BACKGROUNDS

[re status of security clearances for key employees; problems w/BI for  
Marsha Renwanz & Bert Brandenburg]

U.S. MARSHAL’S [sic] FILE 1993

U.S. SENTENCING COMMISSION 1993

# Accession Detail

## Basic Information

**FRC Legal Transfer # (LT):** **Accession #:** NN3-060-10-013  
**AccessionType:** FRC **Archival Unit:** Archives II, A2  
**Record Group/Collection #:** 0060  
**Agency:** Department of Justice **Contact Name:** Bernard Berglund  
**Description:** Files of Sheldon "Shay" Bilchik, Associate Deputy Attorney General


**FRC:** FRC - WNRC (Suitland) **FRC Transfer #(SF135):** 060-980281  
**Disposition Authority #:** NC1-60-81-14 **Transfer Authorization Date:** 12/23/2009  
**Media Type:** Textual **Records Accepted/Received date:** 3/22/2010  
**# of Containers:** 8 **Cubic Feet:** 8.62  
**Classification Status:** Unclassified **Status:** Records Received  
**Risk Level:** No Preservation Action  
Now  
**Inclusive Start Date:** 05/01/1993 **Inclusive End Date:** 10/31/1994  
**Begin Location:** A2-02-0000230-062-1-036-04 **End Location:** A2-02-0000230-062-1-036-06  
**Comments:**

**Access Restriction:** Restricted- Fully  
**Specific Access Restriction:**  
FOIA (b)(7) Law Enforcement

## Container Information:

Container Type:	# of Containers:	Cubic Feet:
FRC-S	8	8.62

# Accession - Asset Shelf Report

<b>Accession Number :</b>		NN3-060-10-013	<b>Media Type :</b>	Textual
<b>RG/Collection # :</b>	0060		<b>Accession Type :</b>	FRC
<b>Description :</b>	Files of Sheldon "Shay" Bilchik, Associate Deputy Attorney General			<b>Total # Of Containers :</b> 8
			<b>Cubic Feet :</b>	8.616

Asset ID	Container #	Type	Location
HC1-70703092	1	FRC-S	A2-02-0000230-062-1-036-04
HC1-70703095	2	FRC-S	A2-02-0000230-062-1-036-04
HC1-70703098	3	FRC-S	A2-02-0000230-062-1-036-05
HC1-70703101	4	FRC-S	A2-02-0000230-062-1-036-05
HC1-70703104	5	FRC-S	A2-02-0000230-062-1-036-05
HC1-70703107	6	FRC-S	A2-02-0000230-062-1-036-06
HC1-70703110	7	FRC-S	A2-02-0000230-062-1-036-06
HC1-70703113	8	FRC-S	A2-02-0000230-062-1-036-06

**RECORDS TRANSMITTAL AND RECEIPT**

Complete and send original and two copies of this form to the appropriate Federal Records Center for approval prior to shipment of records. See specific instructions on reverse.

1. TO (Complete the address for the records center serving your area as shown in 36 CFR 1228.150.)

**Federal Records Center**

Washington National Records Center  
Washington, DC 20409

2. AGENCY TRANSFER AUTHORIZATION

TRANSFERRING AGENCY OFFICIAL (Signature and title)  
Kathie A. Harting  
Deputy Director, ExSec

DATE  
3/24/98

3. AGENCY CONTACT

TRANSFERRING AGENCY LIAISON OFFICIAL (Name, office and telephone No.)  
Bernard W. Berglind  
IMSS/IRM/JMD 202-514-6283

RECORDS CENTER RECEIPT

RECORDS RECEIVED BY (Signature and title)

DATE

5. FROM (Enter the name and complete mailing address of the office retiring the records. The signed receipt of this form will be sent to this address)

Department of Justice  
IMSS/IRM/JMD  
Suite 850, WCTR  
1001 G Street, NW  
Washington, DC 20530

ATTN: Bernard W. Berglind

Fold Line

6. RECORDS DATA												
ACCESSION NUMBER			VOLUME (cu. ft.)	AGENCY BOX NUMBERS	SERIES DESCRIPTION (With inclusive dates of records)	RESTRICTION	DISPOSAL AUTHORITY (Schedule and item number)	DISPOSAL DATE	COMPLETED BY RECORDS CENTER			
RG	FY	NUMBER							LOCATION	SHELF PLAN	CONT. TYPE	AUTO
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)
060	98	0811	23	1-23	Files of Philip B. Heymann, Deputy Attorney General (Reno Administration)  Period: 05-93 thru 03-94  Location: B-508, Main Justice  Contact: Ron Plavchan 616-0072  A COMPLETE BOX LISTING HAS BEEN PREPARED AND A COPY ATTACHED TO THE ORIGINAL SF-135.  ONLY AUTHORIZED DOJ PERSONNEL MAY OPEN AND RETRIEVE ITEMS FROM THIS ACCESSION.  NONTEXTUAL CODES: PRM, VDV, SRC	R	NC1-60-81-14 Item 1A	P/2010	4/37:52-4.2			

**FILES OF PHILIP B. HEYMANN,  
DEPUTY ATTORNEY GENERAL, 1993-94**

(prepd. 03-23-98)

**BOX 1**

**CHRONOLOGICAL FILES**

- 2.13 ODAG Chron Files (May 1993)
- 2.13.1 ODAG Chron Files (June 1-15, 1993)
- 2.13.1 ODAG Chron Files (June 16-30)
- 2.13.2 ODAG Chron Files (July 1 - July 20, 1993)
- 2.13.2 ODAG Chron Files (July 20 - July 31, 1993)
- 2.13.3 ODAG Chron Files (August 1-18, 1993)
- 2.13.3 ODAG Chron Files (August 19-31, 1993)

**BOX 2**

- 2.13.4 ODAG Chron Files September 1-20, 1993
- 2.13.4 ODAG Chron Files September 20-30
- 2.13.6 ODAG Chron Files (October 1-15, 1993)
- 2.13.6 ODAG Chron Files (October 16-31, 1993)
- 2.13.6 ODAG CHRON FILES (November 1-15, 1993)
- 2.13.6 ODAG CHRON FILES (November 16-31, 1993)
- 2.13.10 - ODAG CHRON FILES December 1-15, 1993

**BOX 3**

- 2.13.11 - ODAG CHRON FILES December 16-31, 1993
- DAG CHRONS - JAN. 1-15, 1994
- DAG CHRONS - JAN. 16-31, 1994
- DAG HEYMANN CHRON Feb. [1-14] 94
- DAG CHRON (HARRIS [Jo Ann]) February [15-28] 1994
- DAG (HARRIS) CHRON March '94

**BOX 4**

- DAG (HEYMANN) CALENDARS 1993 & 1994
- DAG DAILY SCHEDULE (HEYMANN) May 1993 - Feb. 1994
- DAG (HEYMANN) TELEPHONE LOGBOOK (8/15/93 - 12/20/93)
- DAG (HEYMANN) TELEPHONE MESSAGE FORMS (6/2/93 - 9/7/93)
- DAG (HEYMANN) TELEPHONE MESSAGE FORMS (9/23/93 - 12/16/93)
- DAG (HEYMANN) TELEPHONE MESSAGE FORMS (1/31/94 - 3/4/94)
- [Accordion Folder] ODAG ASSIGNMENT MEMOS

ODAG - ASSIGNMENT MEMOS (9/93)

ODAG - ASSIGNMENT MEMOS (10/93)

ODAG - ASSIGNMENT MEMOS (11/93)

ODAG - ASSIGNMENT MEMOS (12/93)

**COMPONENT FILES**

**OFFICE OF THE ATTORNEY GENERAL** [divider]

AG - AUTONOMY [Issue]

AG - CORRESPONDENCE (CONGRESSIONAL)

[re 3/15/94 ltr from Cong Dan Glickman on Aldrich Ames case]

AG - CORRESPONDENCE (GENERAL)

**BOX 5**

AG - OMB (SEE BINDER) [only notes]

AG - PERSONNEL

AG - RENO CRIME PROGRAM

AG - SEMIANNUAL MANAGEMENT REPORT[s to Congress]

AG - SENIOR STAFF MEETING[s] [9/14, 16, & 20/93]

AG - SPEECHES

AG - TRAVEL

**OFFICE OF THE DEPUTY ATTORNEY GENERAL** [divider]

DAG - CONFIRMATION HEARING [5/18/93]

CORRESPONDENCE (GENERAL) (DAG)

DAG - DESIGNATION/AUTHORITY

DAG - FOIA REQUESTS

(DAG) INVITATIONS - - Accepted 4/93 - 2/94

**BOX 6**

(DAG) INVITATIONS - - Regretted 4/93 - 9/93

(DAG) INVITATIONS - - Regretted 10/93 - 2/94

DAG - MEETINGS/CHRONOLOGY (SUMMARY OF)

DAG - ORGANIZATIONAL STRUCTURE

DAG - PERSONNEL

DAG - RECUSALS/WAIVERS

DAG - RESUMES

SPEECHES & TESTIMONY (DAG)

**BOX 7**

DAG - TRAVEL/ODAG STAFF

DAG - FOREIGN [Travel] [empty]

DAG - DAG OFFICIAL [Travel]

DAG - WEEKLY REPORT TO THE W.H.

[only for wk of 6/28 - 7/2/93]



**OFFICE OF THE ASSOCIATE ATTORNEY GENERAL [divider]**

ASG - CORRESPONDENCE (GENERAL)

ASG - PERSONNEL

ASG - TRAVEL

**OFFICE OF THE SOLICITOR GENERAL [divider]**

OSG - BUDGET [FY 1995] [7/2/93]

OSG - CORRESPONDENCE

OSG - PERSONNEL

OSG - TRAVEL

**ANTITRUST DIVISION [divider]**

ATR - CORRESPONDENCE (GENERAL)

ATR - PERSONNEL

ATR - TRAVEL

**BUREAU OF PRISONS [divider]**

BOP - - Inmate Grievance Procedure

[re certification of selected state procedures: KS, MT, NM, & WY]

BOP - - Leavenworth

BOP - - National Institute of Corrections

[re candidates for NIC Advisory Board]

BOP - Personnel

BOP - PROPOSED RULE re FEE FOR COSTS OF INCARCERATION

BOP - Reports

BOP - Travel

**CIVIL DIVISION [divider]**

CIVIL Div. - - Budget (FY 95)

[re midyear adjustments to]

CIVIL Div. - - Correspondence

CIVIL DIV. - - Personnel

CIVIL DIV. - Travel

**CIVIL RIGHTS DIVISION [divider]**

CIVIL RIGHTS DIV. - Correspondence

**BOX 8**

CIVIL RIGHTS DIV. - - Personnel

CIVIL RIGHTS DIV. - - Travel

**COMMUNITY RELATIONS SERVICE [divider]**

COMMUNITY RELATIONS SERVICE - - (Budget)

COMMUNITY RELATIONS SERVICE - - (Correspondence, General)

COMMUNITY RELATIONS SERVICE - (Personnel)

COMMUNITY RELATIONS SERVICE - - (Travel)

**CRIMINAL DIVISION [divider]**

CRIMINAL DIV. - - Asset Forfeiture Fund Allocation (FY 1993)

CRIMINAL DIV. - - Budget Hearing FY 1995

**FILES OF PHILIP B. HEYMANN  
DEPUTY ATTORNEY GENERAL**

4

CRIMINAL DIV. - Correspondence (April '93 - July '93)  
CRIMINAL DIV. - - Correspondence (Aug. '93 - Dec. '93)  
CRIMINAL DIV. - - Correspondence (Jan. '94 - MAR. '94)  
CRIMINAL DIV. - - Personnel  
CRIMINAL DIV. - - Settlements  
CRIMINAL DIV. - - Travel

**BOX 9**

**DRUG ENFORCEMENT ADMINISTRATION** [divider]  
DEA - - AFF [Asset Forfeiture Fund] Allocation FY '93  
DEA - - Briefing Reports [agenda for 6/25/93 briefing]  
DEA - - Correspondence (Gen.)  
DEA - - Personnel  
DEA - - Travel  
**ENVIRONMENT & NATURAL RESOURCES DIVISION** [divider]  
ENR - - Budget Hearing 'FY 95  
ENR - - Correspondence  
ENR - - Personnel  
ENR - - Travel  
**EXECUTIVE OFFICE OF ASSET FORFEITURE** [divider]  
EOAF - - Allocations [empty]  
EOAF - - Budget Hearings  
EOAF - - Correspondence  
EOAF - - Equitable Sharing Requests  
EOAF - - Hearings & Testimony  
EOAF - - International Sharing Requests  
EOAF - - Personnel  
EOAF - - Surplus Fund  
[re 10/29/93 AG memo on 1993 transfer to Special Forfeiture Fund]  
EOAF - - Transfer of Forfeited [sic] Property  
EOAF - - Travel  
**EXECUTIVE OFFICE FOR IMMIGRATION REVIEW** [divider]  
FY 1995 BUDGET HEARINGS (EOIR)  
GENERAL CORRESPONDENCE (EOIR)  
PERSONNEL (EOIR)

**BOX 10**

**EXECUTIVE OFFICE FOR U.S. ATTORNEYS** [divider]  
BUDGET (EOUSA)  
CORRESPONDENCE (GENERAL) EOUSA  
DELEGATION OF AUTHORITY (EOUSA)  
PERSONNEL (EOUSA)

**EOUSA (REPORTS)**

[re after-action repts of crim. chiefs seminar held in July & August 1993]

**TRAVEL (EOUSA)**

**FEDERAL BUREAU OF INVESTIGATION [divider]**

**FBI - FY 1993 AFF Allocation**

**BUDGET - FBI [only notes]**

**GENERAL CORRESPONDENCE - FBI**

**PERSONNEL - FBI**

**TRAVEL - FBI**

**FINANCIAL INSTITUTION FRAUD (FIF) [divider]**

**CORRESPONDENCE (GENERAL) FIF**

**HEALTH CARE FRAUD (FIF)**

**PERSONNEL (FIF)**

**SETTLEMENTS (FIF)**

**SIGNATORY AUTHORITY (FIF)**

[re 1/21/94 memo regarding authority to reallocate resources]

**IMMIGRATION & NATURALIZATION SERVICE (INS) [divider]**

**AFF ALLOCATION (FY 1993) INS**

**GENERAL CORRESPONDENCE (INS)**

**PERSONNEL (INS)**

**TRAVEL (INS)**

**ICITAP [Internat'l Crim. Investigative Training Assist. Program] [divider]**

**GENERAL CORRESPONDENCE ICITAP**

**PERSONNEL (ICITAP)**

**TRAVEL (ICITAP)**

**BOX 11**

**INTERPOL [divider]**

**INTERPOL (BUDGET)**

**INTERPOL - Correspondence (Gen)**

**INTERPOL - - Personnel**

**INTERPOL - Travel**

**OFFICE OF SPECIAL COUNSEL FOR IMMIGRATION RELATED**

**UNFAIR EMPLOYMENT PRACTICES [divider]**

**IMMIGRATION RELATED UNFAIR EMPLOYMENT PRACTICES - (IRUEP)**

**(Budget) [7/7/93]**

**IRUEP - - (Personnel)**

**JUSTICE MANAGEMENT DIVISION (JMD) [divider]**

**AUDIT LIAISON OFFICE (JMD)**

**BUDGET HEARINGS - FY 1995 (JMD) [8/4/93]**

**CORRESPONDENCE (GENERAL) (JMD)**

**PERSONNEL (JMD)**

**SES APPOINTMENT MATTERS (JMD)**

SES BONUS RECOMMENDATIONS (JMD)  
TRAVEL (JMD)  
**OFFICE OF ATTORNEY PERSONNEL MANAGEMENT** [divider]  
CORRESPONDENCE (GENERAL) OAPM  
LEGAL ACTIVITIES BOOK (1993-1994) OAPM  
PERSONNEL (OAPM)  
**OFFICE OF INSPECTOR GENERAL (OIG)** [divider]  
GENERAL CORRESPONDENCE (OIG)  
PERSONNEL - OIG  
**OFFICE OF INTERNATIONAL PROGRAMS (OIP)** [divider]  
CORRESPONDENCE (GENERAL) (OIP)  
EXTRADITION (OIP)  
MEETINGS WITH FOREIGN DIGNITARIES (OIP)

BOX 12

OIP TRAINING  
**OFFICE OF INTELLIGENCE POLICY & REVIEW (OIPR)** [divider]  
GENERAL CORRESPONDENCE (OIPR)  
**OFFICE OF JUSTICE PROGRAMS (OJP)** [divider]  
BUDGET (OJP)  
BUREAU OF JUSTICE ADMINISTRATION (BJA) (OJP)  
GENERAL CORRESPONDENCE (OJP)  
NATIONAL INSTITUTE OF JUSTICE (NIJ) (OJP)  
OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION  
(OJJDP)  
PERSONNEL (OJP)  
TRAVEL (OJP)  
VICTIMS OF CRIME (OJP)  
**OFFICE OF LEGAL COUNSEL (OLC)** [divider]  
BUDGET HEARINGS (OLC) [7/7/93]  
CORRESPONDENCE (GENERAL) (OLC)  
PERSONNEL - OLC  
**OFFICE OF LEGISLATIVE AFFAIRS (OLA)** [divider]  
CORRESPONDENCE (GENERAL) - OLA  
PERSONNEL (OLA)  
**OFFICE OF PUBLIC LIAISON & INTERGOVERNMENTAL AFFAIRS**  
(OLS) [divider]  
CORRESPONDENCE (GENERAL) (OLS)  
**OFFICE OF POLICY DEVELOPMENT (OPD)** [divider]  
CORRESPONDENCE (GENERAL) (OPD)  
PERSONNEL (OPD)  
TRAVEL (OPD)

**OFFICE OF PROFESSIONAL RESPONSIBILITY (OPR) [divider]  
GENERAL CORRESPONDENCE (OPR)**

**BOX 13**

**DISCLOSURE MATTERS (OPR)  
MONTHLY REPORTS (OPR)  
PERSONNEL (OPR)  
OFFICE OF PUBLIC AFFAIRS (PAO) [divider]  
CORRESPONDENCE (GENERAL) - PAO  
PERSONNEL - PAO  
OCDETF [Organized Crime Drug Enforcement Task Force] [divider]  
CORRESPONDENCE (GENERAL) (OCDETF)  
DEPUTATIONS (OCDETF)  
NATIONAL CONFERENCE (OCDETF) [12/13-16/93, New Orleans]  
PERSONNEL (OCDETF)  
TRAVEL (OCDETF)  
OFFICE OF PARDON ATTORNEY (OPA) [divider]  
CORRESPONDENCE (GENERAL) - OPA  
EXECUTIVE CLEMENCY DENIALS - OPA  
PARDON RECOMMENDATIONS - OPA  
PERSONNEL (PA)  
REPORTS (PARDON ATTORNEY)  
TRAVEL (PA)  
TAX DIVISION (TAX) [divider]  
BUDGET HEARINGS (FY 1995) - TAX [7/7/93]  
CORRESPONDENCE (GENERAL) - TAX  
PERSONNEL - TAX  
OFFICE OF U.S. ATTORNEYS (USAO) [divider]  
USAO - - AUSA [Assistant US Attys] DEPUTATIONS**

**BOX 14**

**U.S. ATTORNEYS OFFICE GENERAL CORRESPONDENCE  
FOREIGN TRAVEL (USAO)  
PERSONNEL (USAO) (1)  
PERSONNEL (USAO) (2)  
RECUSALS (USAO)  
U.S. ATTORNEYS OFFICE REPORT  
U.S. ATTORNEYS OFFICE SAN FRANCISCO  
[re problems in SF office]  
U.S. MARSHALS SERVICE (USMS) [divider]  
FY 1993 AFF Allocation (USMS)  
BUDGET (USMS)  
GENERAL CORRESPONDENCE (USMS)**

DEPUTATIONS (USMS)  
Congressional/Deputations  
USMS DEPUTATIONS (OTHER)  
PERSONNEL (USMS)  
TRAVEL (USMS)  
**U.S. PAROLE COMMISSION (USPC)** [divider]  
GENERAL CORRESPONDENCE (USPC) [empty]  
PERSONNEL (USPC)  
TRAVEL (USPC)  
**U.S. SENTENCING COMMISSION (USSC)** [divider]  
GENERAL CORRESPONDENCE (USSC)  
PERSONNEL (USSC)  
SENTENCING GUIDELINES (USSC)  
**EXECUTIVE OFFICE FOR U.S. TRUSTEES (UST)** [divider]  
BUDGET HEARINGS (FY 1995) (UST) [7/17/93]  
CORRESPONDENCE (GENERAL) (UST)  
PERSONNEL (UST)  
**EXECUTIVE OFFICE FOR WEED & SEED** [divider]  
BUDGET (Weed & Seed) [7/13/93]  
GENERAL CORRESPONDENCE (Weed & Seed)

**BOX 16**

PERSONNEL (Weed & Seed)  
TRAVEL (Weed & Seed)  
**WHITE HOUSE** [divider]  
THE CLINTON CRIME PLAN [10/12/93 draft]  
WHITE HOUSE CONTACTS  
CORRESPONDENCE (GENERAL) WH  
FOSTER, VINCE (WH)  
PRESS RELEASES (WHITE HOUSE)  
TRAVELGATE (WH)  
WEEKLY REPORT TO THE WHITE HOUSE

**SUBJECT FILES**

ABELL, MIKE  
ABORTION CLINIC VIOLENCE  
ADMINISTRATION OF JUSTICE PROGRAMS  
ADMINISTRATIVE OFFICE - U.S. COURTS  
ALIEN BOAT SMUGGLING [re Chinese aliens]  
AMERICAN BAR ASSOCIATION (ABA)  
APPROPRIATIONS (DOJ) FY 1994  
ASIAN ORGANIZED CRIME

**FILES OF PHILIP B. HEYMANN  
DEPUTY ATTORNEY GENERAL**

9

ATTORNEY GENERAL ADVISORY COMMITTEE (AGAC)  
AUTOMATED BOOKING STATION [Laboratory Project]  
BCCI [Bank of Credit & Commerce International]  
BELL, GRIFFIN TESTIMONY  
    [re 9/6/78 speech before DOJ lawyers]  
BNL [Banca Nazionale del Lavoro]  
BRADY BILL  
    [re HR. 1025, Brady Handgun Violence Prevention Act]  
BUDGET (DOJ)

**BOX 17**

BUREAU OF ALCOHOL, TOBACCO, AND FIREARMS (BATF)  
    [re 1993 AFF allocations]  
CAMPAIGN FINANCE REFORM                      [summary]  
CHILD PRONOGRAPHY  
CHILDREN AT RISK PROGRAM  
COMMUNITY POLICING  
COMMUNITY PROSECUTION  
[Accordion Folder] CONFERENCES - 1993

NAAG [Nat'l Assn. of Attys. General] CONFERENCE  
(JULY 8-9, 1993)

DEA-SES CONFERENCE - JULY 12-14, 1993

CIA CONFERENCE - JULY 17-18, 1993

OJP Conference August 23-25, 1993  
FIRST CIRCUIT JUDICIAL CONFERENCE  
BOSTON, MA SEPTEMBER 13, 1993

REGIONAL CIVIL RIGHTS/HATE CRIMES CONFERENCE,  
SAN FRANCISCO, CA [11/15-17/93]

UNIV. OF PITTSBURGH SCHOOL OF LAW  
"The Saturday Night Massacre - A 20-Year Retrospect"  
(Dec. 3, 1993)

OCDETF National Conference, New Orleans, LA  
December 14, 1993

CONGRESSIONAL CONTACTS  
COOPERATIVE WITNESSES

COURT REFORM  
CRIME BILL (CORRESPONDENCE GENERAL)  
CRIME BILL (DAILY TALKING POINTS)  
CRIME BILL (DEATH PENALTY)  
CRIME BILL (HABEAS CORPUS REFORM)  
CRIME BILL - (POLICING)  
CRIMINAL JUSTICE DATA  
[DEA] CROSS-DESIGNATION  
    [re Title 21 cross-seignation of US Customs agents by DEA]  
CRUISE SHIP GAMBLING  
DEATH PENALTY

BOX 18

DEMJANJUK [John] CASE  
DISCRIMINATION AGAINST HOMOSEXUALS  
DNA [Testing]  
DRUG POLICY/ISSUES  
DUVALL [Charles K.] CASE  
    [re swimming pool shooting at Benning Pk. Pool & Rec. Ctr. on 6/22/93]  
EL SALVADOR DEATH SQUADS  
EMPOWERMENT ZONES AND ENTERPRISE COMMUNITIES  
ETHICS  
EXECUTIVE WORKING GROUP  
FBI/DEA MERGER - TESTIMONY BY DEA [9/29/93]  
FEDERAL POLICY FOR PROTECTION OF HUMAN RESEARCH  
    SUBJECTS  
FEDERAL WITNESS SECURITY PROGRAM  
FINANCIAL INSTITUTION FRAUD  
FINGERPRINTING OF CERTAIN NON-IMMIGRANTS  
GAO AUDIT ACTIVITIES  
GAYS IN THE MILITARY  
GUATEMALA  
GUINIER, LANI  
    [re nomination as AAG/CRT - expressions of support]  
GUN CONTROL  
HATE CRIME

BOX 19

HEALTH CARE FRAUD  
HIDTA [High Intensity Drug Trafficking Area]  
HOUSE POST OFFICE [Investigation]  
INDEPENDENT COUNSEL  
INDEPENDENT COUNSEL REAUTHORIZATION (TESTIMONY)



INNER CITY VIOLENCE  
INSLAW  
INTELLIGENCE RELATIONS  
INTERAGENCY WORKING GROUP ON VIOLENCE (FIREARMS)  
INTERDEPARTMENTAL WORKING GROUP ON SEXUAL ASSAULT  
INTERDEPARTMENTAL WORKING GROUP ON VIOLENCE  
(EDELMAN [Peter] PROJECT)  
INTERNAL REVENUE SERVICE  
INTERNAL REVENUE SERVICE (Asset Forfeiture Fund Allocation)  
IRAQ/KUWAIT (IRAQGATE)  
ISLAMIC Fundamentalism  
[re 2/1/93 cong. mtg. on counterterrorism]  
ISRAEL  
[incl. paper, "Police Misconduct - The Israeli Experience" (8/93)]  
LAND SQUATTERS/GUAM  
[re the 6/11/93 removal by US Gov't]  
LENDING DISCRIMINATION  
MANDATORY MINIMUM [Sentencing]  
MONEY LAUNDRYING [*sic*]  
[re undercover operations]  
MOU - DOJ/INTERIOR  
[re crim. investigative concerns & Indian Law Enforcement Act]  
MOU - STATE DEPARTMENT AND DOJ  
[re transfer of ICITAP funds to certain Latin Am. & Caribbean projects]  
NAAG TALKS  
[re DAG talk at summer (7/7-11/93) mtg. in Chicago]  
NATIONAL CRIME STRATEGIES  
NATIONAL DRUG INTELLIGENCE CENTER (NDIC)  
NATIONAL GUARD  
[re use of troops near Mexican border]  
NATIONAL PERFORMANCE REVIEW

BOX 20

NATIONAL SECURITY [Info]/EXECUTIVE ORDER  
[re provisions of draft E.O. which impact on DOJ/FBI operations]  
OCTOBER 20 GROUP [only notes]  
OFFICE OF MANAGEMENT AND BUDGET (OMB)  
OFFICE OF NATIONAL DRUG CONTROL POLICY (ONDCP)  
OPR/OIG  
[re evaluation of proposal to transfer OPR to OIG]  
ORGANIZED CRIME COUNCIL  
OUTSIDE EMPLOYMENT  
PERFORMANCE REVIEW BOARD MEMBERS

PERSONNEL, HIGH LEVEL  
"PH MANAGEMENT" [only notes]  
POLLARD, JONATHAN  
PREATE, ERNIE  
[re investigation of PA AG for campaign finance violations]  
PREVENTION AGENDA  
PRISON OVERCROWDING  
RADIATION EXPERIMENTS  
RESOLUTION TRUST CORPORATION (RTC) CIVIL FORFEITURE  
RESUMES (MISCELLANEOUS)

BOX 21

RITALIN PRODUCTION  
RULE 16 (FRCP [Fed. Rules of Crim. Procedure])  
[re proposal to provide pretrial discovery of Gov't witnesses' identities & statements to opposing counsel]  
SCHMOKE [Baltimore Mayor Kurt] PLAN  
[re empowerment zones/enterprise communities initiatives]  
SENATE CONCERNS  
SENTENCING  
[re proposed changes to the guidelines]  
SESSIONS, WILLIAM  
SHEARING, CLIFFORD  
[re 11/93 proposal on new approaches to public safety]  
SHEIK, THE [Omar Abdel Rahman]  
[re NYC bombing investigation]  
STANDING COMMITTEE ON RULES (JUDICIARY)  
TASK FORCE ON DRUG/VIOLENCE RELATIONSHIPS  
TELEDYNE [Industries]  
[re investigation into sale of zirconium to Iraq]  
TERRORISM  
TOPEKA KANSAS FEDERAL BUILDING  
[re 8/5/93 courthouse shooting by Jack McKnight]  
TRANSITION REPORT [for DOJ] [12/18/92]  
TREVI [Conference] [6/1-2/93]  
T.V. VIOLENCE  
UNABOM  
UNDERCOVER GUIDELINES/FBI  
[re the new 1993 revised guidelines]  
UNITED MINE WORKERS OF AMERICA STRIKE  
UNITED STATES v. MORRIS [Thomas J., Sr.]  
[re prosecution of a VA defense atty for participating in his drug client's trafficking conspiracy]

URBAN VIOLENCE  
URGENT REPORTS  
U.S. POSTAL SERVICE AFF ALLOCATION (FY 93)  
U.S. SECRET SERVICE AFF ALLOCATION (FY 93)  
VIOLENT CRIME (DAWN)  
[re Denver Project - Weed & Seed & enterprise zones]

BOX 22

VIOLENT CRIME (MISCELLANEOUS)  
WACO - EXPERTS  
WACO - General Correspondence (1)  
WACO - General Correspondence (2)  
WACO - LAWSUITS  
WACO - Report on the Events at Waco, Texas (Unredacted)

BOX 23

WACO - REPORTS  
[Accordion Folder] WADDINGTON, P.A.G.

P.A.G. WADDINGTON, "THE CASE AGAINST  
PARAMILITARY POLICING' CONSIDERED"

WADDINGTON [?] "POLICE ORGANIZATION AND  
MANAGEMENT: AN UNORTHODOX VIEW"  
[DRAFT CHAPTERS]

WAR POWERS

[re est. of international war crimes tribunal, possible candidates, & incl.  
4/27/93 OLC draft response on War Powers Resolution]

WEAVER, RANDY STANDOFF  
WHITE HOUSE - FOSTER  
WHITE HOUSE - TRAVELGATE  
WHITEWATER (DAG)  
YOUTH VIOLENCE/INTERAGENCY WORKING GROUP  
[Accordion Folder] DAG (HEYMANN) VIDEOTAPES & AUDIOTAPES

[Videocassette] CNN PRESIDENT CLINTON & AG RENO  
SUBJ: REPLACING DIR SESSIONS & DIR SESSIONS PRESS  
CONF TAPE FOR DAG HEYMANN 7/19/93

[Videocassette] OCDETF AWARD BANQUET 12-14-93

[Audiocassette] Philip B. Heymann

[Audiocassette] OCDETF AWARDS BANQUET

[Videocassette] CBS NEWS 7-29-93 CINDY MONACO

[Videocassette] C-SPAN AG RENO TESTIFYING AT THE  
SENATE GOV'T AFFAIRS COMMITTEE HEARING &  
PRESIDENT CLINTON'S PRESS CONFERENCE TAPE FOR  
CINDY MONACO (DAG'S OFFICE) 05/14/93

[Videocassette] DAG HEYMANN - PRESS CONFERENCE  
8-10-93 SUBJECT: VINCE FOSTER  
REQUESTED BY PAT BINNINGER

[Videocassette] ABC CHN-7 THURSDAY 10 JUNE 1993  
"PRIME TIME" SEGMENT WITH LANI GUINIER  
INTERVIEW FOR CINDY MONACO (4-3844)

# Accession Detail

## Basic Information

**FRC Legal Transfer # (LT):** **Accession #:** NN3-060-10-013  
**AccessionType:** FRC **Archival Unit:** Archives II, A2  
**Record Group/Collection #:** 0060  
**Agency:** Department of Justice **Contact Name:** Bernard Berglund  
**Description:** Files of Philip B. Heymann, Deputy Attorney General


**FRC:** FRC - WNRC (Suitland) **FRC Transfer #(SF135):** 060-980811  
**Disposition Authority #:** NC1-60-81-14 **Transfer Authorization Date:** 12/23/2009  
**Media Type:** Textual **Records Accepted/Received date:** 3/22/2010  
**# of Containers:** 23 **Cubic Feet:** 24.77  
**Classification Status:** Unclassified **Status:** Records Received  
**Risk Level:** No Preservation Action Now  
**Inclusive Start Date:** 05/01/1993 **Inclusive End Date:** 03/31/1994  
**Begin Location:** A2-02-0000230-062-1-048-07 **End Location:** A2-02-0000230-062-1-049-07  
**Comments:**

**Access Restriction:** Restricted- Fully  
**Specific Access Restriction:**  
FOIA (b)(7) Law Enforcement

## Container Information:

Container Type:	# of Containers:	Cubic Feet:
FRC-S	23	24.77

# Accession - Asset Shelf Report

<b>Accession Number :</b>		NN3-060-10-013	<b>Media Type :</b>	Textual
<b>RG/Collection # :</b>	0060		<b>Accession Type :</b>	FRC
<b>Description :</b>	Files of Philip B. Heymann, Deputy Attorney General		<b>Total # Of Containers :</b>	23
			<b>Cubic Feet :</b>	24.771

Asset ID	Container #	Type	Location	Asset ID	Container #	Type	Location
HC1-70703163	1	FRC-S	A2-02-0000230-062-1-048-07	HC1-70703223	21	FRC-S	A2-02-0000230-062-1-049-06
HC1-70703166	2	FRC-S	A2-02-0000230-062-1-048-07	HC1-70703226	22	FRC-S	A2-02-0000230-062-1-049-07
HC1-70703169	3	FRC-S	A2-02-0000230-062-1-048-07	HC1-70703229	23	FRC-S	A2-02-0000230-062-1-049-07
HC1-70703172	4	FRC-S	A2-02-0000230-062-1-049-01				
HC1-70703175	5	FRC-S	A2-02-0000230-062-1-049-01				
HC1-70703178	6	FRC-S	A2-02-0000230-062-1-049-01				
HC1-70703181	7	FRC-S	A2-02-0000230-062-1-049-02				
HC1-70703184	8	FRC-S	A2-02-0000230-062-1-049-02				
HC1-70703187	9	FRC-S	A2-02-0000230-062-1-049-02				
HC1-70703190	10	FRC-S	A2-02-0000230-062-1-049-03				
HC1-70703193	11	FRC-S	A2-02-0000230-062-1-049-03				
HC1-70703196	12	FRC-S	A2-02-0000230-062-1-049-03				
HC1-70703199	13	FRC-S	A2-02-0000230-062-1-049-04				
HC1-70703202	14	FRC-S	A2-02-0000230-062-1-049-04				
HC1-70703205	15	FRC-S	A2-02-0000230-062-1-049-04				
HC1-70703208	16	FRC-S	A2-02-0000230-062-1-049-05				
HC1-70703211	17	FRC-S	A2-02-0000230-062-1-049-05				
HC1-70703214	18	FRC-S	A2-02-0000230-062-1-049-05				
HC1-70703217	19	FRC-S	A2-02-0000230-062-1-049-06				
HC1-70703220	20	FRC-S	A2-02-0000230-062-1-049-06				

**RECORDS TRANSMITTAL AND RECEIPT**

Complete and send original and two copies of this form to the appropriate Federal Records Center for approval prior to shipment of records. See specific instructions on reverse.

1. TO (Complete the address for the records center serving your area as shown in 36 CFR 1228.150.)

**Federal Records Center**  
 Washington National Records Center  
 Washington, DC 20409

2. AGENCY TRANSFER AUTHORIZATION

TRANSFERRING AGENCY OFFICIAL (Signature and title)  
*Kathie A. Harting*  
 Kathie A. Harting  
 Deputy Director, ExSec

DATE  
 11/6/98

3. AGENCY CONTACT

TRANSFERRING AGENCY LIAISON OFFICIAL (Name, office and telephone No.)  
 Bernard W. Berglind  
 IMSS/IRM/JMD 202-514-6283

RECORDS CENTER RECEIPT

RECORDS RECEIVED BY (Signature and title)

DATE

5. FROM (Enter the name and complete mailing address of the office retiring the records. The signed receipt of this form will be sent to this address)

Department of Justice  
 IMSS/IRM/JMD  
 Suite 850, WCTR  
 1001 G Street, NW  
 Washington, DC 20530

ATTN: Bernard W. Berglind

Fold Line

6. RECORDS DATA												
ACCESSION NUMBER			VOLUME <i>(cu. ft.)</i>	AGENCY BOX NUMBERS	SERIES DESCRIPTION <i>(With inclusive dates of records)</i>	RESTRICTION <i>(g)</i>	DISPOSAL AUTHORITY <i>(Schedule and item number)</i>	DISPOSAL DATE <i>(i)</i>	COMPLETED BY RECORDS CENTER			
RG <i>(a)</i>	FY <i>(b)</i>	NUMBER <i>(c)</i>							LOCATION <i>(j)</i>	SHELF PLAN <i>(k)</i>	CONT. TYPE <i>(l)</i>	AUTO. DISP. <i>(m)</i>
060	99	0087	6	1-6	Files of Cynthia M. Monaco, Special Assistant to the DAG  Period: 05-93 thru 05-94  Location: B-508, Main Justice  Contact; Ron Plavchan 616-0072  A COMPLETE BOX LISTING HAS BEEN PREPARED AND A COPY ATTACHED TO THE ORIGINAL SF-135.  ONLY AUTHORIZED DOJ PERSONNEL MAY OPEN & RETRIEVE ITEMS FROM THIS ACCESSION.  NONTEXTUAL CODES: PRM	R	NC1-60-81-14 Item 1B	P/2010				

**FILES OF CYNTHIA M. MONACO,  
SPECIAL ASSISTANT TO THE DEPUTY ATTORNEY GENERAL  
1993-94**

(prepd. 11/05/98)

BOX 1

**CHRONOLOGICAL FILE**

CHRON FILE - CYNTHIA M. MONACO MAY 1993 - JUNE 1993  
CHRON FILE - CYNTHIA M. MONACO JULY 1993  
CHRON FILE - CYNTHIA M. MONACO AUGUST 1993  
CHRON FILE - CYNTHIA M. MONACO SEPTEMBER 1993  
CHRON FILE - CYNTHIA M. MONACO OCTOBER 1993 - NOVEMBER 1993  
CHRON FILE - CYNTHIA M. MONACO DECEMBER 1993  
CHRON FILE - CYNTHIA M. MONACO JANUARY 1994  
CHRON FILE - CYNTHIA M. MONACO FEBRUARY 1994  
CHRON FILE - CYNTHIA M. MONACO MARCH 1994  
CHRON FILE - CYNTHIA M. MONACO APRIL 1994  
CHRON FILE - CYNTHIA M. MONACO MAY 1994

BOX 2

**TELEPHONE LOGS**

CMM - TELEPHONE LOGS #1 (5/5/93 - 9/16/93)  
CMM - TELEPHONE LOGS #2 (9/16/93 - 12/16/93)  
CMM - TELEPHONE LOGS #3 (12/16/93 - 5/16/94)  
[Binder] CYNTHIA M. MONACO DAILY CALL LOG MAY - OCT. 1993  
[Binder] CYNTHIA M. MONACO DAILY CALL LOG NOV. 1993 - FEB. 1994  
[Binder] CYNTHIA M. MONACO DAILY CALL LOG Mar. 1994 - MAY 6, 1994

**SUBJECT FILES**

ABA CMM  
[Accordion Folder] ADMINISTRATION OF JUSTICE PROGRAMS CMM  
  
ASSISTANCE OF JUSTICE PROGRAMS: Russia  
[re proposed projects]

BOX 3

ATTORNEY GENERAL: BIOGRAPHY CMM  
AG PREVENTION  
[re strategy for Violence Prevention WG--talking points for AG briefing]  
ATTORNEY GENERAL SPEECHES/TESTIMONIES  
ATTORNEY GENERAL: SPEECH - CIA [NOVEMBER 5, 1993] CMM



FILES OF CYNTHIA M. MONACO  
SPECIAL ASSISTANT TO THE DEPUTY ATTORNEY GENERAL

2

Attorney General: Speech 5<sup>th</sup> Cir. June 7, 1994  
AG Speech/ 6-10-94 D.C. CIRCUIT  
BLUE THUNDER CMM  
[re NYC heroin gang--1993 police corruption probe]  
BOSNIAN KIDNAPPING CASE CMM  
[re 1993 efforts of Shayne Gluck Lzarevich to recover her children, Sasha &  
Andre, from their father, Dragisa]  
Breyer [Chief Judge Stephen]  
CHICAGO HOUSING AUTHORITY CMM  
[re 1994 problems in dealing w/crime in public housing]  
Circuit Speeches  
[re summary of vacancies]  
[Binder] Combating Violent Crime and Drugs: The Federal, State and Local Partnership  
[re 1/28/92 briefing for law enforcement leaders]  
CRIME BILL CMM  
[Binder] CRIME BILL VOLUME I  
[re HR. 3371, Violent Crime & Control & Law Enforcement Act of 1992 &  
Substantive Crim Law Improvements Act of 1993]  
[Binder] CRIME BILL VOLUME II  
DAG: AFTER MEETING MEMOS FROM SPECIAL ASSISTANTS  
[re 1/25/94 mtg]  
DAG: STAFF MEETINGS CMM [1993 agendas]  
DEA: ADMINSTRATOR THOMAS CONSTANTINE (CMM)  
DEATH PENALTY CMM  
[re constitutionality of...in US v. Woolard]  
DEMJANJUK [John]  
[re Demjanjuk v. Joseph Petrovsky er al.--appeals ct]  
DEPARTMENT REVIEW COMMITTEE (DRC) CMM  
DIRECTOR FOR INVESTIGATIVE AGENCY POLIES CMM  
DISCRIMINATION AGAINST HOMOSEXUALS - ATTORNEY GENERAL's  
ORDER (DOJ POLICY STATEMENT 12/2/93) CMM  
DISCRIMINATION AGAINST HOMOSEXUALS (VOL. II) CMM

BOX 4

DOJ SECURITY REGS  
[re proposed revisions to DOJ 2610.2A]  
FINANCIAL CONFLICTS OF INTEREST CMM  
[re use of trusts to avoid financial conflicts of interest]  
FOIA BACKLOG REPORT [OPD 5/11/94 memo]  
GARY GRAHAM CMM  
[re scheduled 8/17/93 execution]

HARRIS, JOANN CMM  
[re 6/25/93 announcement as AAG/CRM & resume]  
HEYMANN [Philip B.]: CONFIRMATION HEARING MAY 18, 1993 CMM  
HEYMANN: CONFIRMATION PROMISES CMM  
HEYMANN: SPEECHES CMM  
HEYMANN: SPEECH - ABA STANDING COMMITTEE ON LAW AND  
NATL SECURITY [OCTOBER 7, 1993] CMM  
HEYMANN: TESTIMONIES CMM  
HEYMANN: TESTIMONY - JULY 15, 1993 INTERNATIONAL DRUG SUPPLY,...  
- HOUSE CRIME & CRIMINAL JUSTICE SUBCOMM.,  
JUSTICE COMM. CMM  
INFORMATION: ISRAEL CMM  
[copy of "Police Misconduct - The Israeli Experience" (8/93)]  
INS: ROYER, TASHA CMM  
[re US citizenship for]  
INTELLIGENCE  
INTELLIGENCE STRUCTURE  
[re developing & implementing an integrated strategy on illicit narcotics]  
INTERNATIONAL CRIMINAL COURT CMM  
INTERNATIONAL JOB CMM  
[re possible atty job w/Multinational Force & Observers in Sinai, Egypt]  
JOINT INTELLIGENCE COMMUNITY LAW ENFORCEMENT TASK FORCE  
(vol. I) [re draft report]  
[Accordion Folder] JOINT INTELLIGENCE COMMUNITY LAW ENFORCEMENT  
TASK FORCE (vol. II)  
  
JOINT TASK FORCE  
  
JOINT INTELLIGENCE COMMUNITY LAW ENFORCEMENT TASK FORCE  
(VOL, III)

BOX 5

JUDGE PIERRE N. LEVAL  
[Binder] NIJ Briefing Book: Functions and Personnel  
[Binder] NIJ Briefing Book: Issues and Initiatives  
Office of Intelligence Policy and Review  
[re response to 1/5/94 ASG memo requesting info about functions & clients of  
OIPR]  
OPENNESS ISSUES CMM  
ORGANIZATION CMM  
ORGANIZATIONAL STRUCTURES - SUMMARIES CMM

FILES OF CYNTHIA M. MONACO  
SPECIAL ASSISTANT TO THE DEPUTY ATTORNEY GENERAL

4

POLICE: OVERTIME PAY [to State & local law enforcement officers] CMM  
[re 5/10/93 analysis]  
Post Employment Reform Act of '94  
PRESIDENTIAL REVIEW DIRECTIVE 29: REVISION OF E.O. 12356 CMM  
[re handling national security info]  
PRESS CONFERENCE TRANSCRIPTS CMM  
[re 10/14/93 DAG Heymann press conf]  
PRISON OVERCROWDING CMM  
[re alternatives to incarceration]  
RODRIGUEZ, LULA CMM  
[re allegations of misconduct in Hialeah, FL mayoral election]  
[Accordion Folder] RULE 16 CMM  
[re briefing materials for 4/1/94 mtg on possible amendments to Rule 16 which  
requires Gov't to make pretrial production of witness' statements, names, &  
addresses]

Briefing Materials for 4-1-94 Mtg

[Binder] SENTENCING/PRISONS  
SENTENCING APPEALS CMM [re policy]  
SHANNON, ELAINE CMM  
[re clippings on FBI problems: Sessions & leadership]  
SPEECH MATERIAL CMM  
SUBPOENAS OF THE PRESS CMM  
[re departmental policy about subpoenas to members of the news media]  
TOPEKA, KANSAS INCIDENT CMM  
[re 8/5/93 shootout at fed courthouse by Jack G. McKnight]  
TRAINING CMM  
[re annual training needs survey for FY 1994]

BOX 6

TRAVEL REGULATIONS CMM  
[re State 7/1/93 supplement on max travel per diem allowances for foreign travel]  
U.S. ATTORNEY'S OFFICE: IL/ND (CHICAGO) BRIEFING MATERIALS  
FOR TRIP 7/8/93 CMM  
UNITED STATES v. GARY VICTOR DUBIN CMM [tax case]  
WACO CMM  
WACO - 911 TAPES CMM  
WAR CRIMES [Bosnian] TRIBUNAL CMM  
[re possible nominations to]  
WEED AND SEED CMM  
[re 3/31/93 options memo]

**RECOMMENDATION/RESUME FILES**

Resumes: Transition Team

Resumes: DOJ Officials

WHITE HOUSE CANDIDATES for SPECIAL ASSISTANT

PHIL HEYMANN'S SPECIAL ASSISTANTS

HIGH LEVEL PERSONNEL FOR DAG CMM

DAG RECOMMENDED SPECIAL ASSISTANT CANDIDATES

[Accordion Folder] ASSOCIATE DEPUTY ATTORNEYS GENERAL

**SOLICITED APPLICATIONS**

EXPERIENCED PROSECUTORS - GENERAL

U.S. Attorney Interviews 5-16-93

HIGH LEVEL - GENERAL

RESUMES CMM

RESUMES/RECOMMENDATIONS (MISC.)

RESUMES: SPECIAL CMM

RESUMES: A - L

RESUMES: M - Z

# Accession Detail

## Basic Information

**FRC Legal Transfer # (LT):**  
**AccessionType:** FRC  
**Record Group/Collection #:** 0060  
**Agency:** Department of Justice  
**Description:** Files of Cynthia M. Monaco, Special Assistant to the DAG

**Accession #:** NN3-060-10-013  
**Archival Unit:** Archives II, A2  
**Contact Name:** Bernard Berglind

**FRC:** FRC - WNRC (Suitland)  
**Disposition Authority #:** NC1-60-81-14  
**Media Type:** Textual  
**# of Containers:** 6  
**Classification Status:** Unclassified  
**Risk Level:** No Preservation Action Now  
**Inclusive Start Date:** 05/01/1993  
**Begin Location:** A2-02-0000230-062-1-027-01  
**Comments:**


**FRC Transfer #(SF135):** 060-990087  
**Transfer Authorization Date:** 12/23/2009  
**Records Accepted/Received date:** 3/22/2010  
**Cubic Feet:** 6.46  
**Status:** Records Received  
**Inclusive End Date:** 05/30/1994  
**End Location:** A2-02-0000230-062-1-027-02

**Access Restriction:** Restricted- Fully  
**Specific Access Restriction:**  
FOIA (b)(7) Law Enforcement

## Container Information:

Container Type:	# of Containers:	Cubic Feet:
FRC-S	6	6.46

# Accession - Asset Shelf Report

<b>Accession Number :</b>		NN3-060-10-013	<b>Media Type :</b>	Textual
<b>RG/Collection # :</b>	0060		<b>Accession Type :</b>	FRC
<b>Description :</b>	Files of Cynthia M. Monaco, Special Assistant to the DAG		<b>Total # Of Containers :</b>	6
			<b>Cubic Feet :</b>	6.462

Asset ID	Container #	Type	Location
HC1-70703287	1	FRC-S	A2-02-0000230-062-1-027-01
HC1-70703290	2	FRC-S	A2-02-0000230-062-1-027-01
HC1-70703293	3	FRC-S	A2-02-0000230-062-1-027-01
HC1-70703296	4	FRC-S	A2-02-0000230-062-1-027-02
HC1-70703299	5	FRC-S	A2-02-0000230-062-1-027-02
HC1-70703302	6	FRC-S	A2-02-0000230-062-1-027-02

**RECORDS TRANSMITTAL AND RECEIPT**

Complete and send original and two copies of this form to the appropriate Federal Records Center for approval prior to shipment of records. See specific instructions on reverse.

1. TO (Complete the address for the records center serving your area as shown in 36 CFR 1228.150.)

**Federal Records Center**  
 Washington National Records Center  
 Washington, DC 20409

2. AGENCY TRANSFER AUTHORIZATION

TRANSFERRING AGENCY OFFICIAL (Signature and title)  
*Kathie A. Harting*  
 Kathie A. Harting  
 Deputy Director, ExSec

DATE  
 10/9/98

3. AGENCY CONTACT

TRANSFERRING AGENCY LIAISON OFFICIAL (Name, office and telephone No.)  
 Bernard W. Berglind  
 IMSS/IRM/JMD 202-514-6283

RECORDS CENTER RECEIPT

RECORDS RECEIVED BY (Signature and title)

DATE

5. FROM (Enter the name and complete mailing address of the office retiring the records. The signed receipt of this form will be sent to this address)

Department of Justice  
 IMSS/IRM/JMD  
 Suite 850, WCTR  
 1001 G Street, NW  
 Washington, DC 20530

ATTN: Bernard W. Berglind

Fold Line

6. **RECORDS DATA**

ACCESSION NUMBER			VOLUME (cu. ft.)	AGENCY BOX NUMBERS	SERIES DESCRIPTION (With inclusive dates of records)	RESTRIC- TION	DISPOSAL AUTHORITY (Schedule and item number)	DISPOSAL DATE	COMPLETED BY RECORDS CENTER			
RG (a)	FY (b)	NUMBER (c)							LOCATION (j)	SHELF PLAN (k)	CONT. TYPE (l)	AUTO. DISP. (m)
060	99	0109	25	1-25	Files of Webster L. Hubbell, Associate Attorney General  Period: 01-93 thru 04-94  Location: B-508, Main Justice  Contact: Ron Plavchan 616-0072  A COMPLETE BOX LISTING HAS BEEN PREPARED AND A COPY ATTACHED TO THE ORIGINAL SF-135.  ONLY AUTHORIZED DOJ PERSONNEL MAY OPEN & RETRIEVE ITEMS FROM THIS ACCESSION.  NONTEXTUAL CODES: PRM, VDV,	R	NC1-60-84-5, Item 1A	P/2010	4/16:05-1.5			

**FILES OF WEBSTER L. HUBBELL,  
ASSOCIATE ATTORNEY GENERAL, 1993-94**

(prepd. 10-14-98)

**BOX 1**

**CALENDARS**

1993 Calendar  
1994 Calendar

**ASG SCHEDULES**

ASG DAILY SCHEDULES 6/93 - 8/31/93  
ASG DAILY SCHEDULES - - SEPTEMBER 1993  
ABA Working Dinner, 9/20/93  
ASG DAILY SCHEDULES - - OCTOBER 1993  
Daily Schedules - November 1993  
Daily Schedules - December 1993

**BOX 2**

January 1994  
February 1994  
MARCH 1994  
APRIL 1994  
ASG PUBLIC DAILY SCHEDULES [1993-94]  
Calendar Requests  
Credential [*sic*] Card Receipt  
Diners Club Information/Card  
FOREIGN & DOMESTIC GIFT REPORTS  
INVITATIONS ACCEPTED  
INVITATIONS REGRETTEED - - 1993 (March - July)  
INVITATIONS REGRETTEED - - 1993 (August - December)

**BOX 3**

INVITATIONS REGRETTEED - - 1994 (January - April)  
MEETING REQUESTS  
MEETINGS HELD  
Signature Authorization

**ASG TELEPHONE LOGS**

[Box] Telephone Message Slips January - April 1993  
Telephone Message Slips (dupl. Set) January - April 1993



**FILES OF WEBSTER L. HUBBELL  
ASSOCIATE ATTORNEY GENERAL**

2

**BOX 4**

ASG TELEPHONE LOGS (1) - 4/13/93 - 5/28/93  
Telephone Message Forms 4/13/93 - 4/21/93  
Telephone Message Forms 4/21/93 - 5/4/93  
Telephone Message Forms 5/5/93 - 5/14/93  
Telephone Message Forms 5/14/93 - 5/28/93  
ASG TELEPHONE LOGS (2) - 5/28/93 - 7/30/93  
Telephone Message Forms 5/28/93 - 6/15/93  
Telephone Message Forms 6/16/93 - 6/28/93  
Telephone Message Forms 6/28/93 - 7/19/93  
Telephone Message Forms 7/6/93 - 7/30/93  
ASG TELEPHONE LOGS (3) - 7/20/93 - 8/31/93  
Telephone Message Forms 7/20/93 - 8/31/93  
Telephone Message Forms 8/2/93 - 8/30/93  
ASG TELEPHONE LOGS (4) - 8/31/93 - 11/30/93  
Telephone Message Forms 8/31/93 - 9/20/93  
Telephone Message Forms 9/21/93 - 10/19/93  
Telephone Message Forms 10/20/93 - 11/10/93  
Telephone Message Forms 11/10/93 - 11/30/93  
ASG TELEPHONE LOGS (5) - 8/31/93 - 12/2/93  
Telephone Message Forms 8/31/93 - 9/28/93  
Telephone Message Forms 9/28/93 - 11/1/93  
Telephone Message Forms 11/1/93 - 12/2/93  
ASG TELEPHONE LOGS (6) - 12/1/93 - 2/1/94  
Telephone Message Forms 12/1/93 - 12/30/93  
Telephone Message Forms 12/3/93 - 12/23/93  
Telephone Message Forms 12/23/93 - 2/1/94  
ASG TELEPHONE LOGS (7) - 1/3/94 - 4/5/94  
Telephone Message Forms 1/3/94 - 2/1/94  
Telephone Message Forms 2/1/94 - 3/1/94  
Executive Message Recording Pad 2/1/94 - 4/5/94  
PHONE LOG 3/2/94 - 4/8/94

**BOX 5**

**ASG CHRONOLOGICAL FILES**

1993 CHRON [3/29 - 4/24]  
[corres signed for AG or as Asst to the AG]  
1993 CHRON - - January  
1993 CHRON - - February  
1993 CHRON - - March  
1993 CHRON - - April #1 [4/6 - 4/16]

**FILES OF WEBSTER L. HUBBELL  
ASSOCIATE ATTORNEY GENERAL**

3

1993 CHRON -- April #2 [4/17 - 4/22]  
1993 CHRON -- April #3 [4/24 - 4/29]  
1993 CHRON -- May (File 1) [5/3 - 5/6]

**BOX 6**

1993 CHRON -- May (file 2) [5/7 - 5/9]  
1993 CHRON -- May file 3 [5/10 - 5/11]  
1993 CHRON -- May file 4 [5/12 - 5/24]  
1993 CHRON -- May file 5 [5/25 - 5/29]  
1993 CHRON -- June (file 1 of 3) [6/1 - 6/8]  
1993 CHRON -- June (file 2 of 3) [6/9 - 6/17]  
1993 CHRON -- June (file 3 of 3) [6/18 - 6/30]  
1993 CHRON -- July

**BOX 7**

1993 CHRON -- August (1 of 2) [8/2 - 8/18]  
1993 CHRON -- August (2 of 2) [8/19 - 8/31]  
1993 CHRON -- September  
1993 CHRON -- October  
1993 CHRON -- November  
1993 CHRON -- December

**BOX 8**

1994 CHRON -- January  
1994 CHRON -- February (1 of 2) [2/1 - 2/15]  
1994 CHRON -- February (2 of 2) [2/16 - 2/28]  
1994 CHRON -- March (1 of 2) [3/1 - 3/11]  
1994 CHRON -- March (2 of 2) [3/14 - 3/31]  
1994 CHRON -- April

**BOX 9**

**ASG SIGNATURE FILES**

OAG

DAG

ASG -- "CLIPPER CHIP"

OASG -- COMMUNICATIONS WITH REPRESENTED PARTIES

ASG -- CORRESPONDENCE [7/8/93 - 8/30/93]

ASG -- CORRESPONDENCE (file 2) [9/2/93 - 10/31/93]

ASG -- CORRESPONDENCE (file 3) [11/1/93 - 11/30/93]

ASG -- CORRESPONDENCE (file 4) [12/6/93 - 2/28/94]

ASG -- CORRESPONDENCE (file 5) [3/1/94 - 4/8/94]

**FILES OF WEBSTER L. HUBBELL  
ASSOCIATE ATTORNEY GENERAL**

4

BOX 10

ASG - - INSLAW  
OASG - - FOIA POLICY  
OASG - - INS EMERGENCY PLANNING MEETING [7/15/93]  
OASG - - INVOICES  
    [re expenses for various OASG events]  
OASG - - MEMOS  
OASG - - MISCELLANEOUS  
OASG - - NPR [National Performance Review] ISSUES  
OASG - - OPERATION DISTANT SHORE  
OASG - - PERSONNEL  
OASG - - TRAVEL  
ANTITRUST - - LETTERS  
ANTITRUST - - MEMOS  
ANTITRUST - - PERSONNEL  
ANTITRUST - - SETTLEMENTS  
ANTITRUST - - TRAVEL  
BUREAU OF PRISONS  
CIVIL - - CIVIL INVESTIGATIVE DEMANDS  
CIVIL - - LETTERS  
CIVIL - - MEMOS  
CIVIL - - MISCELLANEOUS  
CIVIL - - OFFER[s] IN COMPROMISE  
CIVIL - - PERSONNEL  
CIVIL - - SETTLEMENTS  
CIVIL - - TRAVEL

BOX 11

CIVIL RIGHTS - - COMPLAINT  
CIVIL RIGHTS - - LETTERS  
CIVIL RIGHTS - - MEMOS  
CIVIL RIGHTS - - MISCELLANEOUS  
CIVIL RIGHTS - - MOTIONS  
CIVIL RIGHTS - - ORDERS  
CIVIL RIGHTS - - PERSONNEL  
CIVIL RIGHTS - - U.S. v. NEW JERSEY DEPT. OF PERSONNEL  
COMMUNITY RELATIONS SERVICE - - LETTERS  
COMMUNITY RELATIONS [Service] - - PERSONNEL  
CRS - - TRAVEL  
CRIMINAL  
DEA  
ENVIRONMENT - - CONSENT DECREES

ENVIRONMENT - - DINGELL (ECS) [Environmental Crimes Section]  
[re corres from Cong. John Dingell, chmn Subcomte on Oversight &  
Investigations, on ECS & its enforcement program]  
ENVIRONMENT - - LETTERS  
ENVIRONMENT - - PERSONNEL  
ENVIRONMENT - - ROCKY FLATS  
ENVIRONMENT - - SETTLEMENTS  
ENVIRONMENT - - TRAVEL  
[Executive Office for] ASSET FOREITURE - - EAJA  
EOUSA  
[Executive Office for] U.S. TRUSTEES - - LETTERS  
U.S. TRUSTEES - - MEMOS  
U.S. TRUSTEES - - PERSONNEL  
U.S. TRUSTEES - - TRAVEL  
EXECUTIVE OFFICE FOR IMMIGRATION REVIEW - - LETTERS  
EXECUTIVE OFFICE FOR IMMIGRATION REVIEW - - MISCELLANEOUS  
EOIR - - PERSONNEL  
EOIR - - PROPOSED RULES

BOX 12

FBI  
FOREIGN CLAIMS SETTLEMENT COMMISSION  
INS - - BORDER PATROL  
INS - - DEPORTATION  
INS - - IMMIGRATION EMERGENCY FUND  
INS - - LETTERS  
INS - - MEMOS  
INS - - MISCELLANEOUS  
INS - - PERSONNEL  
INS - - REPATRIATION  
INS - - TRAVEL  
JMD  
OIP - - LETTERS  
OIP - - MISCELLANEOUS  
OJP  
OLA - - LETTERS  
OLA - - LETTERS FROM CIVIL  
OLA - - LETTERS FROM CIVIL RIGHTS  
OLA - - LETTERS FROM ENVIRONMENT  
OLA - - LETTERS FROM INS  
OLA - - MISCELLANEOUS  
OLA - - PERSONNEL

OLC -- LETTERS  
OLC -- MEMOS  
OLC -- PERSONNEL  
OLC -- PROPOSED ORDERS  
OLC -- PROPOSED RULES  
OLC -- TRAVEL  
OLS  
OFFICE OF POLICY DEVELOPMENT  
OPR  
PAROLE COMMISSION  
PUBLIC AFFAIRS  
SOLICITOR GENERAL  
TAX -- CONCESSIONS OF TAX CLAIMS  
TAX -- LETTERS  
TAX -- PERSONNEL  
TAX -- SETTLEMENTS  
TAX -- TRAVEL  
USMS

BOX 13

**SPEECH AND TRAVEL FILES**

[Accordion Folder] ASG SPEECHES

[Diskette] NAACP.2

[Diskette] Hubbell Arkansas Bar Ass'n  
Speech -- June 11, 1993

Miami, FL -- 7/14 - 7/16/93 [re INS Emergency Planning Mtg]  
TRIP BOOK - FLORIDA July 14 - July 16, 1993  
Chicago, IL -- 7/30/93 [re National Workshop for U.S. Magistrate Judges]  
The Junior Statesmen Summer School -- 8/3/93  
Colorado Springs, CO -- 8/4 - 8/8/93 8<sup>th</sup> Circuit Judicial Conference  
OHIO JUDICIAL CONFERENCE (Columbus, Ohio) 9/9/93  
Vidor, Texas -- 9/14/93  
Washington, DC -- 10/6/93, Washington Lawyers Cmte for Civil Rgts & Urban Affairs  
Washington, D.C. -- Ali-Aba [Am Law Institute-Am Bar Assn] Conference 10/7/93  
LEARNED HAND AWARD DINNER (Denver, Colorado) October 12, 1993  
ASSOCIATION OF BUSINESS TRIAL LAWYERS (Palm Springs, CA)  
10/14 - 10/17/93  
Boston, MA -- 10/21/93, NAACP Legal Defense & Ed Fund, Inc Annual Dinner

Washington, D.C. - 10/22/93, Kennedy/King/Marshall Civil Rights Program  
NATIONAL INSTITUTE FOR FEDERAL JUDGES (Cambridge, MA)  
November 12 - 13, 1993  
New York, NY - - 11/17 - 18 - - The Citibank Private Bank's 18<sup>th</sup> Annual NY Lawyers'  
Dinner  
1/5 - 1/6/94 PMC [President's Management Council] Retreat (Wye, MD)  
Key West, FL - - 1/10 - 11 [1994] - - Long Range Planning Committee  
[event canceled]  
Kansas City, MO - - 2/6 - 2/7 [1994] ABA House of Delegates Mtg.  
OXFORD, MS 3/9 - 3/10/94

BOX 14

**SUBJECT FILES**

ABORTION BILL

[re HR. 796, Freedom of Access to Clinic Entrances Act of 1993]

ADVERSE PERSONNEL ACTIONS

[Accordion Folder] ANTITRUST DIVISION

PROPOSED ATR REORGANIZATION [8/20/93]

ATTORNEY PERSONNEL

ARMSTRONG LITIGATION

[re Armstrong v. Executive Office of the President concerning destruction of  
NSC computer tapes]

BANKRUPTCY IMPROVEMENTS ACT [of 1993] [re S. 540]

BLUE CROSS & BLUE SHIELD INVESTIGATION

[re 1993 Florida investigation of]

BNL [empty]

BONUSES/SES

BRADY BILL

[re HR 277, Brady Handgun Violence Prevention Act; incl 2/16/93 remarks  
of Stuart M. Gerson/act. AG at FBI Academy]

BRIEFING MATERIAL FOR AG

BUREAU OF PRISONS

CALIFORNIA LITIGATION

[re Barclay's Bank International, Ltd. v. California Franchise Tax Board]

CIVIL DIVISION

BOX 15

CIVIL RIGHTS

CIVIL RIGHTS DIVISION - - EMPLOYMENT LITIGATION REPORT [2/94]

CIVIL JUSTICE REFORM BILL

[re S. 585, Civil Justice Reform Act of 1993]

Civility in the Profession

[re WLH appointment to the newly created DC Bar task force on]

COMMUNICATIONS W/REPRESENTED PERSONS

COMMUNITY POLICING

COMMUNITY RELATIONS SERVICE

CRIME BILL

[re HR. 3355, Violent Crime Control & Enforcement Act]

Crime Bill Feb 1994

CRIMINAL DIVISION

CROWN HEIGHTS

[re 8/91 killing of Yankel Rosenbaum]

D.C. STATEHOOD

DADE COUNTY

[re 3/16/93 special election of the Board of County Commissioners &  
U.S. v. Dade County]

BOX 16

DEA

DEBT COLLECTION MANAGEMENT

DURENBERGER, SENATOR DAVID

[re criminal investigation of]

ECONOMIC EMPOWERMENT

DIGITAL TELEPHONY

ENVIRONMENTAL

ENR - - DINGELL, [Cong. John]

ENR - - EDF [Environmental Defense Fund] v. MASSEY

ENR - - INDIAN GAMING [amendments]

ENR - - ROCKY FLATS

[re prosecution of environmental crimes at]

ENR - - Rocky Flats (2 of 3)

BOX 17

ENR - - Rocky Flats (3 of 3)

[Accordion Folder] ETHICS

OUTSIDE EMPLOYMENT

PROFESSIONAL STANDARDS

USE OF OFFICE EQUIPMENT

OTHER RULES

FILES OF WEBSTER L. HUBBELL  
ASSOCIATE ATTORNEY GENERAL

9

EXPRESS ONE & EMERY

[re settlement in Express One International, Inc., Emery Worldwide Airlines,  
In. V. USPS, et al.]

FBI

FBI - - DIRECTOR SESSIONS (1 of 2)

FBI - - DIRECTOR SESSIONS (2 of 2)

FEDERAL CRIMINAL CODE REFORM

FINEY, [Karen et al.] v. NEA [National Endowment for the Arts]

[re denial of grant application to persons whose artistic endeavors have a high  
sexual content]

FOIA POLICY

[re 1993 Open Government Initiative]

FORD, CONGRESSMAN HAROLD

[re bank fraud trial of & problems w/jury selection process]

FOREIGN CLAIMS SETTLEMENT COMMISSION

BOX 18

GAYS IN THE MILITARY

HEALTH CARE

HEALTH CARE REFORM (1)

HEALTH CARE REFORM (2)

BOX 19

HHS [Health & Human Services]

HOMOSEXUALS IN FEDERAL GOVERNMENT (NON-MILITARY)

HOUSEHOLD WORKERS

[re issue of social security & fed unemployment tax obligations]

HUD

IMMIGRATION & NATURALIZATION SERVICE

INS - - BORDER PATROL

INS - - CHINESE BOATS

INS - - HAITIAN ISSUE

INS - - IMMIGRATION EMERGENCY FUND

INSLAW

INSPECTOR GENERAL, OFFICE OF

INTERNATIONAL PROGRAMS

JUSTICE MANAGEMENT DIVISION

JUSTICE PROGRAMS, OFFICE OF

KIMBERLIN, BRETT

KING, RODNEY

LEGAL COUNSEL, OFFICE OF

LEGAL SERVICES REAUTHORIZATION



BOX 20

LEGISLATIVE AFFAIRS, OFFICE OF  
LOBBYING DISCLOSURE ACT [of 1993] [HR. 823]  
LONG RANGE PLANNING COMMITTEE [of the Judicial Conf. of the US]  
MACKIE v. BUSH  
[re Government's appeal from a dist. ct. order enjoining the President from  
firing certain USPS Governors]  
MARSHALS SERVICE  
MISCELLANEOUS  
NAFTA [North American Free Trade Agreement]  
NATIONAL CRIMINAL ALIEN TRACKING CENTER  
NATIONAL ECONOMIC COUNCIL  
NATIONAL INSTITUTE OF JUSTICE  
NATIONAL PERFORMANCE REVIEW (1)  
[Accordion Folder] NATIONAL PERFORMANCE REVIEW (2)

[Videocassette] Remarks of Vice President Al Gore  
Tape prepared for "Reinventing for Results", October 27, 1993

BOX 21

NETWORK CONSENT DECREES  
[re 4/15/93 request from Coalition to Preserve the Financial Interest &  
Syndication Rule to reconsider position by DOJ in supporting modification  
of consent decrees entered against ABC, CBS & NBC]  
PAROLE COMMISSION  
PENDING NOMINATIONS  
PERSONNEL (1 of 3)  
PERSONNEL (2 of 3)  
PERSONNEL (3 of 3)  
PERSONNEL (Sch'd C/SES)  
PETITE POLICY  
POLICY DEVELOPMENT, OFFICE OF  
POST OFFICE LITIGATION  
[re Mackie v. Clinton & Mail Order Assn of America v. USPS]

BOX 22

PRESIDENT'S MANAGEMENT COUNCIL  
PRO BONO RULE  
PROFESSIONAL RESPONSIBILITY, OFFICE OF  
PUBLIC AFFAIRS, OFFICE OF  
PUERTO RICO  
QUI TAM  
RESUMES  
RTC [Resolution Trust Corporation]

SOCIAL SECURITY  
SOLICITOR GENERAL  
STATE, DEPARTMENT OF  
STATE ATTORNEYS GENERAL  
TAX DIVISION

BOX 23

TRUSTEES, U.S.  
U.S. ATTORNEY APPOINTMENT  
U.S. ATTORNEYS  
U.S. SENTENCING COMMISSION  
VICTIMS OF CRIME  
    [re briefing materials for 4/29/93 Nat'l Crime Victims' Week ceremony]  
VIOLENT CRIME INITIATIVES  
VOTING RIGHTS  
WACO, TX (1)  
WACO, TX (2) [1993 reports]  
WALLACH, BOB  
WAR POWERS RESOLUTION  
WEED & SEED  
WHITE HOUSE COUNSEL  
WHITE HOUSE TRAVEL OFFICE [affair]  
[1994] WORLD CUP/[1996] OLYMPIC GAMES TASK FORCE

BOX 24

**HUBBELL CONFIRMATION MATERIALS**

WLH Press Clippings [on various topics]  
Hubbell - - Questionnaire for Senate Committee on the Judiciary  
    [re nonjudicial nominees]  
OGE [Ofc. of Gov't Ethics] Financial Disclosure Report  
Document Requests - Senate Republicans  
Document Requests - Sen. Orrin Hatch [4/29/93]  
Financial Transactions - Associated Issues  
General Letters of Support  
Hearing Preparation - General  
Hearing Preparation - Courtesy Call Summary Reports  
Hearing Preparation - Summary of Prior ASG Hearings  
Hearings - DAG Confirmation Hearing [5/18/93]  
    [re confirmation hearing of Philip B. Heymann]  
Hearing - Opening Statement [5/19/93]  
Hearing - Statement of Sen. Carol Moseley-Braun [5/19/93]  
Hearing - Thank You Letters  
Press Clips re: Hearing

Hearing - Transcript [of 5/19/93 proceedings]  
Cong. [Harold] Ford Case  
Cong. Ford Case - Letters  
Cong. Ford Case - Misc. Notes  
Cong. Ford Case - Press Clips  
Country Club of Little Rock - By Laws  
Country Club of Little Rock - Letter from Judge [Morris S.] Arnold to  
Sen. [Dennis] DeConcini [9/23/92]  
Country Club of Little Rock - Letter to Judge Richard Arnold [5/12/93]  
Country Club of Little Rock - Letters of Support on Civil Rights  
Country Club of Little Rock - Press Clips  
Country Club of Little Rock - Resignation Letter [5/18/93]  
Country Club of Little Rock - [Sen. Paul] Simon/[Sen. Howard S.] Metzenbaum Letter  
[5/12/93]  
Discriminatory Clubs - General Testimony  
Discriminatory Clubs - Press Clips - Ryskamp [Judge Kenneth L.]  
[re 1991 articles on Jud. Comte rejection of his nomination because of his  
membership in the Riveria Club]

BOX 25

Discriminatory Clubs - Republican Statements  
Discriminatory Clubs - Senate Resolution [adopted 8/2/90]  
Senate Questions - White House Travel Office  
[re WLH's response to Sen. Bob Dole's 5/27/93 letter]  
U.S. Attorneys - [Sen. Arlen] Specter Letter [3/24/93]  
[re his concern about the resignation request of all USAs]  
U. S. Attorneys - [USA Jay B.] Stephens Resignation [3/23/93]  
Waco - Q&A's & Response to Hatch ltr (re: child abuse)  
Ethics Commission Materials (Arkansas)  
[re WLH files kept at Rose Law Firm dealing w/his work for]  
[Binder] WEBB HUBBELL MAJORITY OPINION AS CHIEF JUSTICE OF  
ARKANSAS SUPREME COURT  
[Binder] CONGRESSIONAL RECORD, TELEVISION INTERVIEW TRANSCRIPTS  
AND NEWSPAPER ARTICLES RE: U.S. ATTORNEYS RESIGNATION  
  
[Binder] DOCUMENT REQUESTS FROM SENATORS HATCH, THURMOND,  
GRASSLEY AND BROWN

## Accession Detail

### Basic Information

**FRC Legal Transfer # (LT):**  
**Accession #:** NN3-060-10-013  
**Accession Type:** FRC **Archival Unit:** Archives II, A2  
**Record Group/Collection #:** 0060  
**Agency:** Department of Justice **Contact Name:** Bernard Berglind  
**Description:** Files of Webster L. Hubbell, Associate Attorney General


**FRC:** FRC - WNRC (Suitland) **FRC Transfer #(SF135):** 060-990109  
**Disposition Authority #:** NC1-60-84-5 **Transfer Authorization Date:** 12/23/2009  
**Media Type:** Textual **Records Accepted/Received date:** 3/22/2010  
**# of Containers:** 25 **Cubic Feet:** 26.93  
**Classification Status:** Unclassified **Status:** Records Received  
**Risk Level:** No Preservation Action Now  
**Inclusive Start Date:** 01/01/1993 **Inclusive End Date:** 04/30/1994  
**Begin Location:** A2-02-0000230-062-1-027-03 **End Location:** A2-02-0000230-062-1-028-04  
**Comments:**

**Access Restriction:** Restricted- Fully  
**Specific Access Restriction:**  
FOIA (b)(7) Law Enforcement

### Container Information:

Container Type:	# of Containers:	Cubic Feet:
FRC-S	25	26.93

# Accession - Asset Shelf Report

<b>Accession Number :</b>		NN3-060-10-013	<b>Media Type :</b>	Textual
<b>RG/Collection # :</b>	0060		<b>Accession Type :</b>	FRC
<b>Description :</b>	Files of Webster L. Hubbell, Associate Attorney General		<b>Total # Of Containers :</b>	25
			<b>Cubic Feet :</b>	26.925

Asset ID	Container #	Type	Location	Asset ID	Container #	Type	Location
HC1-70703324	1	FRC-S	A2-02-0000230-062-1-027-03	HC1-70703394	21	FRC-S	A2-02-0000230-062-1-028-02
HC1-70703327	2	FRC-S	A2-02-0000230-062-1-027-03	HC1-70703397	22	FRC-S	A2-02-0000230-062-1-028-03
HC1-70703330	3	FRC-S	A2-02-0000230-062-1-027-03	HC1-70703400	23	FRC-S	A2-02-0000230-062-1-028-03
HC1-70703343	4	FRC-S	A2-02-0000230-062-1-027-04	HC1-70703403	24	FRC-S	A2-02-0000230-062-1-028-03
HC1-70703346	5	FRC-S	A2-02-0000230-062-1-027-04	HC1-70703406	25	FRC-S	A2-02-0000230-062-1-028-04
HC1-70703349	6	FRC-S	A2-02-0000230-062-1-027-04				
HC1-70703352	7	FRC-S	A2-02-0000230-062-1-027-05				
HC1-70703355	8	FRC-S	A2-02-0000230-062-1-027-05				
HC1-70703358	9	FRC-S	A2-02-0000230-062-1-027-05				
HC1-70703361	10	FRC-S	A2-02-0000230-062-1-027-06				
HC1-70703364	11	FRC-S	A2-02-0000230-062-1-027-06				
HC1-70703367	12	FRC-S	A2-02-0000230-062-1-027-06				
HC1-70703370	13	FRC-S	A2-02-0000230-062-1-027-07				
HC1-70703373	14	FRC-S	A2-02-0000230-062-1-027-07				
HC1-70703376	15	FRC-S	A2-02-0000230-062-1-027-07				
HC1-70703379	16	FRC-S	A2-02-0000230-062-1-028-01				
HC1-70703382	17	FRC-S	A2-02-0000230-062-1-028-01				
HC1-70703385	18	FRC-S	A2-02-0000230-062-1-028-01				
HC1-70703388	19	FRC-S	A2-02-0000230-062-1-028-02				
HC1-70703391	20	FRC-S	A2-02-0000230-062-1-028-02				

**RECORDS TRANSMITTAL AND RECEIPT**

Complete and send original and two copies of this form to the appropriate National Archives and Records Administration Federal Records Center for approval prior to shipment of records. See specific instructions on reverse.

1. TO (Complete the address for the records center serving your area as shown in 36 CFR 1228.150.)

Federal Records Center  
Washington National Records Center  
Washington, DC 20409

2. AGENCY TRANSFER AUTHORIZATION

TRANSFERRING AGENCY OFFICIAL (Signature and title)  
*Kathie A. Harting*  
Kathie A. Harting, Deputy Director, ExSec

DATE  
4/19/00

3. AGENCY CONTACT

TRANSFERRING AGENCY LIAISON OFFICIAL (Name, office and telephone No.)  
Bernard W. Berglind, IMSS/IRM/JMD 202-514-6283

RECORDS CENTER RECEIPT

RECORDS RECEIVED BY (Signature and title)

DATE

5. FROM (Enter the name and complete mailing address of the office retiring the records. The signed receipt of this form will be sent to this address)

Department of Justice  
IMSS/IRM/JMD  
1331 Pennsylvania Ave., NW  
National Press Bldg., Suite 1220  
Washington, DC 20530  
ATTENTION: Bernard W. Berglind

Fold Line

**RECORDS DATA**

ACCESSION NUMBER			VOLUME (cu. ft.)	AGENCY BOX NUMBERS	SERIES DESCRIPTION (With inclusive dates of records)	RESTRICTION	DISPOSAL AUTHORITY (Schedule and item number)	DISPOSAL DATE	COMPLETED BY RECORDS CENTER			
RG	FY	NUMBER							LOCATION	SHELF PLAN	CONT. TYPE	AUTO DISP.
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)
060	00	0631	3 A	1-3 1-4	Additional INSLAW files of John C. Dwyer Deputy Associate Attorney General  Period: 1991 - 1994  Location: B-508, Main Justice  Contact: Ron Plavchan 202-616-0072  A COMPLETE BOX LISTING HAS BEEN PREPARED AND A COPY ATTACHED TO THE ORIGINAL SF-135.  ONLY AUTHORIZED DOJ PERSONNEL MAY OPEN & RETRIEVE ITEMS FROM THIS ACCESSION.  NONTEXTUAL CODES: PCP, SRC, TEX	R	NC1-60-84-5/1B	P/2010				

**ADDITIONAL INSLAW FILES OF JOHN C. DWYER  
DEPUTY ASSOCIATE ATTORNEY GENERAL, 1991-94<sup>1</sup>**

(prepd. 04-18-00)

BOX 1

[Accordion Folder] CASOLARO [Joseph "Danny" Daniel]

DOJ REPORT ON J. DANIEL CASOLARO [1994]  
[incl annotated copy]

INSLAW REPORT  
[cc of n.d. 185-page rept w/references to Casolaro]

[Accordion Folder] CASOLARO INVESTIGATION #1

NOTES [11/5/93 - 3/24/94]

DR. TONY CASOLARO  
[re n.d. transcripts of conversations w/Det. Sgt G.B. Smartwood  
Of the Martinsburg PD]

BUA [Judge Nicholas] (and staff) [notes]  
[re 11/8/93 conversation w/Chuck Knight]

MISCELLANEOUS

Press Releases  
[cc: n.d. release by Ofc of Berkeley Cty Prosecuting Atty re  
Investigation into 8/10/91 death of Danny Casolaro; 8/14/16/91  
Releases of Martinsburg PD re the death & investigation]

Martinsburg P.D.  
[cc of police investigative notes]

[Accordion Folder] CASOLARO INVESTIGATION #2

ANN KLENK  
[re notes; 9/21/92 FBI interview; autopsy report]

---

<sup>1</sup> These files were originally maintained by Steven E. Zipperstein, Special Counsel to the Attorney General, and requested by John Dwyer who then incorporated them into his files.

DR. LOUIS PETRILLO [notes]  
[incl 8/17/91 psychological evaluation]

Michael Riconosciuto [*sic*]  
[re 9/24 & 27/92 declarations; 9/22/92 declaration of Mark Sato]

Richard Stavin  
[re 3/13/92 sworn statement]

ROBERT BOOTH NICHOLS  
[re various 1991 interviews w/several persons knew Nichols]

WILLIAM TURNER  
[cc of several affidavits in U.S. v. William B. Turner]

Charles Hayes  
[re U.S. v. Charles Hayes d/b/a Challenger, Ltd.]

Peter Videnieks  
[re notes; 2/7/93 affidavit of Margaret Wiencek; 11/8/93 memcon  
Of 10/28/93 mtg w/Customs agents; 1/20/94 memcon of 1/20/94  
Interview w/Michelle Hawn]

Courtney West  
[re notes of 12/15/93 mtg]

HERB KARLITZ  
[re news clippings & magazine articles re Casolaro provided by his  
literary agent; notes]

Melanie Ray/ Elizabeth Mackey  
[re notes; phone & diary records (12/90 - 8/91) relating to Casolaro]

CASOLARO INVESTIGATION #3

[incl cc of Casolaro's writings; autopsy rept of Dr. James Frost; investigative  
notes – material from Ann S. Klenk & Tony Casolaro]

[Accordion Folder] CASOLARO INVESTIGATION #4

Forensics/Autopsy  
[cc of WV state police 1/8/92 rept & 1/24/92 CT state forensic rept;  
8/15 & 26/91 police repts of the death]



ADDITIONAL INSLAW FILES OF JOHN C. DWYER  
OFFICE OF THE ASSOCIATE ATTORNEY GENERAL

3

DR. JAMES FROST

[cc of postmortem exam; notes; 8/30/91 toxicologic rept; death certificate; corres re Casolaro's death]

MASTER EVIDENCE LIST – ARMEL [Ptln. Shannon L.]

Fairfax County P.D.

[re 8/15/91 supplementary investigative rept]

Captain Anderson [T.C.]

[incl 8/12, 16 & 17/91 interviews w/Sheraton Inn employees; cc of 8/10/91 police rept]

Detective T.J. Catlett

[re transcripts of taped interviews w/persons who saw/met Casolaro prior to his death & 8/12/91 rept by Catlett]

Martinsburg P.D. Official report [empty]

Detective Sgt Smartwood [G.B.]

[re transcript of taped 8/11/91 interview w/Laura E. Miller & 8/15/91 rept]

Patrolman Shannon Armel

[re 8/10 & 14/91, 9/28/91 & 1/23/94 statements]

Fairfax P.D. – Armel & McMillen [John]

[re 8/19/91 statement of Sharon Palmer, Barbara Bittinger, Roxanne Willis & Sandy Bagent–Sheraton Inn housekeepers]

Sgt. T.L.Gaither

[re statements; 8/22/91 transcript of conversation w/Wendy Weaver]

Detective John McMillen

[re interview w/William Grooms, inmate at Wicomico Cty Detention Ctr–alleged he killed Casolaro for \$15,000]

Berkeley County D.A.

[re 1992-93 corres of Diana Cook Risavi re investigation]

ADDITIONAL INSLAW FILES OF JOHN C. DWYER  
OFFICE OF THE ASSOCIATE ATTORNEY GENERAL

4

BOX 2

[Accordion Folder] CASOLARO INVESTIGATION #5

Sandra Brining  
[re 1/14/93 deposition of Berkeley Cty coroner]

ROBERT FIELDS (EMBALMER)  
[re 1/14/93 affidavit & 9/13/93 deposition]

CHARLES BROWN – FUNERAL HOME  
[re 1/14/93 affidavit & 9/13/93 deposition]

CRIME SCENE/INVESTIGATIVE PHOTOGRAPHS

[incl 19 8x10 color prints, 2 color Polaroid prints & 167 color 3x5 prints]

[Accordion Folder] FBI – MISCELLANEOUS

Miscellaneous – FBI Material (from G[ary] Peters)

BACKGROUND INFORMATION

DANNY'S NOTES (FROM OLGA [Mokrop])

Suicide Note

Legal Research  
[cc of slected parts of the WV Code: Vols. 9A & 17]

Phone Records [5/90 - 8/91]

FBI LAB REPORT [3/4/94]  
[re evidence submitted 1/6/94 by Scott A. Erskine]

Psychological Autopsy [3/31/94]  
[incl St. Louis U. Law Journal article, "Psychological Autopsy: Clinical & Legal Perspective", by James R.P. Ogloff & Randy K. Otto (Spring 1993)]

PRESS

[re numerous news clippings & articles (1991-93) re Casolaro's death]

ADDITIONAL INSLAW FILES OF JOHN C. DWYER  
OFFICE OF THE ASSOCIATE ATTORNEY GENERAL

5

FBI STATEMENTS

[incl notes of 9/3/94 mtg w/FBI; 3/25 & 26/93 sworn statements of Thomas G. Gates re allegations of a DOJ conspiracy against Inslaw]

Hopko [James & Robert Booth Nichols] et al. v. Los Angeles  
Transcript Volume 1  
[re proceedings for 3/11, 15 & 16/93]

Hopko et al. v. Los Angeles  
Transcript Volume 2  
[re proceedings for 3/17, 18 & 22/93]

Hopko et al. v. Los Angeles  
Transcript Volume 3  
[re proceedings for 3/23 & 24/93]

BOX 3

Material Received From Ann Klenk & Tony Casolaro 11/93  
[incl news clippings, cc of notebooks, corres & statements]

MISCELLANEOUS DOCUMENTS

[incl notes, articles & transcripts]  
[Accordion Folder] PRESS – INSLAW CASE  
[incl news clippings & magazine articles relating to Inslaw case or death of Danny Casolaro]

1972-74

1987

1988

1990

1991

Undated Items

“Unsolved Mysteries”

[re corres & lists of viewer phone calls rec'd following NBC's 3/10/92 story on death of Danny Casolaro]

ADDITIONAL INSLAW FILES OF JOHN C. DWYER  
OFFICE OF THE ASSOCIATE ATTORNEY GENERAL

6

BOX 4

U.S. v. Michael J. Riconosciuto Miscellaneous  
[re 11/26/91 ltr from Marvin S. Cahn re willingness of Ben Kalka to testify; notes;  
Unkn n.d. transcript of Q&As bet T.G. & M.R.]  
U.S. v. Michael J. Riconosciuto Statement of Michael Riconosciuto 10/24/91  
U.S. v. Michael J. Riconosciuto Transcript Volume 8 [1/10/92]  
U.S. v. Michael J. Riconosciuto Transcript Volume 9 [1/13/92]  
U.S. v. Michael J. Riconosciuto Transcript Volume 10 [1/14/92]  
U.S. v. Michael J. Riconosciuto Transcript Volume 11 [1/15/92]  
U.S. v. Michael J. Riconosciuto Government's Sentencing Memo 4/29/92  
U.S. v. Michael J. Riconosciuto Transcript of Sentencing 5/7/92  
U.S. v. Michael Riconosciuto Brief for Appellee 12/17/92  
U.S. v. Michael Riconosciuto Memorandum 8/31/93  
[re reaffirmation of sentencing for Riconosciuto]  
[Accordion Folder] PAUL N. ZIMMET - Tapes  
[re allegations of a cover-up in the 10/18/92 death of Shelly Malone]

[Audiocassette] HERB [Rubenstein], TOM [Malone],  
JOE [Malone] 6-30-93 TAPE 1

[Audiocassette] HERB, TOM, JOE 6-30-93 TAPE 2

[Audiocassette] MIKE FULLER JULY 8, 9, 93

[Audiocassette] Molly Flynn (FBI) & Joe Malone

# Accession Detail

## Basic Information

**FRC Legal Transfer # (LT):** **Accession #:** NN3-060-10-013  
**Accession Type:** FRC **Archival Unit:** Archives II, A2  
**Record Group/Collection #:** 0060  
**Agency:** Department of Justice **Contact Name:** Bernard Berglind  
**Description:** Additional INSLAW Files of John C. Dwyer, Deputy Associate Attorney General


**FRC:** FRC - WNRC (Suitland) **FRC Transfer #(SF135):** 060-000631  
**Disposition Authority #:** NC1-60-84-5 **Transfer Authorization Date:** 12/23/2009  
**Media Type:** Textual **Records Accepted/Received date:** 3/22/2010  
**# of Containers:** 4 **Cubic Feet:** 4.31  
**Classification Status:** Unclassified **Status:** Records Received  
**Risk Level:** No Preservation Action Now  
**Inclusive Start Date:** 01/01/1991 **Inclusive End Date:** 12/31/1994  
**Begin Location:** A2-02-0000230-063-1-026-04 **End Location:** A2-02-0000230-063-1-026-05  
**Comments:**

**Access Restriction:** Restricted- Fully  
**Specific Access Restriction:**  
FOIA (b)(7) Law Enforcement

## Container Information:

Container Type:	# of Containers:	Cubic Feet:
FRC-S	4	4.31

# Accession - Asset Shelf Report

<b>Accession Number :</b>		NN3-060-10-013	<b>Media Type :</b>	Textual
<b>RG/Collection # :</b>	0060		<b>Accession Type :</b>	FRC
<b>Description :</b>	Additional INSLAW Files of John C. Dwyer, Deputy Associate Attorney General		<b>Total # Of Containers :</b>	4
			<b>Cubic Feet :</b>	4.308

Asset ID	Container #	Type	Location
HC1-70703468	1	FRC-S	A2-02-0000230-063-1-026-04
HC1-70703511	2	FRC-S	A2-02-0000230-063-1-026-05
HC1-70703514	3	FRC-S	A2-02-0000230-063-1-026-05
HC1-70703517	4	FRC-S	A2-02-0000230-063-1-026-05

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**Federal Records Center**  
 Washington National Records Center  
 Washington, DC 20409

2. AGENCY TRANSFER AUTHORIZATION

TRANSFERRING AGENCY OFFICIAL (Signature and title)  
*Kathie A. Harting*  
 Kathie A. Harting, Deputy Director, ExSec

DATE  
 12/19/00

3. AGENCY CONTACT

TRANSFERRING AGENCY LIAISON OFFICIAL (Name, office and telephone No.)  
 Bernard W. Berglind, IMSS/IRM/JMD 202-524-6283

RECORDS CENTER RECEIPT

RECORDS RECEIVED BY (Signature and title)

DATE

5. FROM (Enter the name and complete mailing address of the office retiring the records The signed receipt of this form will be sent to this address)

Department of Justice  
 IMSS/IRM/JMD  
 1331 Pennsylvania Avenue, NW  
 National Place Bldg., suite 1220  
 Washington, DC 20530  
 ATTENTION: Bernard W. Berglind

Fold Line

6. **RECORDS DATA**

ACCESSION NUMBER			VOLUME (cu. ft.)	AGENCY BOX NUMBERS	SERIES DESCRIPTION (With inclusive dates of records)	RESTRICTION	DISPOSAL AUTHORITY (Schedule and item number)	DISPOSAL DATE	COMPLETED BY RECORDS CENTER			
RG	FY	NUMBER							LOCATION	SHELF PLAN	CONT. TYPE	AUTO. DISP.
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)
060	01	0311	29	1 - 29	Files of Judge Timothy C. Murphy Deputy Associate Attorney General  Period: 12-90 thru 09-94  Location: B-624C, Main Justice  Contact: Ronald J. Plavchan 202-616-0072  A COMPLETE BOX LISTING HAS BEEN PREPARED AND A COPY ATTACHED TO THE ORIGINAL SF-135.  ONLY AUTHORIZED DOJ PERSONNEL MAY OPEN AND RETRIEVE ITEMS FROM THIS ACCESSION.  NONTEXTUAL CODES: PRM, VDV & TXT	R	NC1-60-84-5/1B	P/2010				

**FILES OF JUDGE TIMOTHY C. MURPHY  
DEPUTY ASSOCIATE ATTORNEY GENERAL, 1990-94  
(prepd. 11-21-00)**

BOX 1

28 C.F.R. Part 72  
[re proposed amendment to CFR to facilitate collection of criminal  
fines & restitution]  
28 CFR Part 72 (Addition) Criminal Fine Enforcement  
ACCOUNT RESOLUTION COORDINATORS [lists]  
ACE [Affirmative Civil Enforcement]  
ACE UPDATES (DeSousa [AUSA Robert J.]  
[Binder] AFFIRMATIVE CIVIL LITIGATION SEMINAR [8/24-26/93]  
[Binder] AFFIRMATIVE CIVIL LITIGATION SEMINAR:  
PARTICIPANT GUIDE  
Administrative Dispute Resolution Act [HR. 2497]  
Administrative Office of the U.S. Courts (AOUSC)  
AOUSC NEWS and VIEWS Newsletter  
[selected, 9/28/94 - 9/12/94]  
Agency Legislation  
AG JANET RENO

BOX 2

AG/DAG CORRESPONDENCE [12/90 - 7/94]  
AGAC [AG's Advisory Comte] – Subcommittee [1990-92]  
AGAC Financial Lit[igation] Subcommittee (Clinton Administration)  
[1993-94]  
Department of Agriculture  
AMEND RAILROAD RETIREMENT ACT/RECOVER DEBTS  
[re 1991 draft bill to enhance authority of Railroad Retirement Bd to  
collect debts]  
AMENDMENTS TO THE MEDICAL CARE RECOVERY ACT  
American Samoa  
Americans w/Disabilities  
ANTITRUST  
AO [Administrative Ofc] Form 245 S (Rev. 7/92)  
[re revisions]  
ASSET FORFEITURE  
Associate AG Corres [2/4/92 - 8/2/94]  
ASG BRIEFINGS  
[re briefings by Fin. Lit. WG - 10/19/93, 7/29/94 & 8/19/94]  
Bankruptcy

BOX 3

BANKRUPTCY REFORM ACT OF 1992 (D.J. 1161)  
Bankruptcy Working Group



BOND FORFEITURE AND REMISSION  
BOOT CAMP SPEECH  
Budget (DOJ-1992)  
1993 BUDGET  
1994 BUDGET  
BUDGET POLICY GUIDANCE (1995)  
    [re 4/13/93 AG memo]  
Bureau of Prisons [correspondence] [1991 - 94]  
CAIVRS/DAIVRS [Credit Alert Interactive Voice Response Systems/  
    Debt Alert Interactive Voice Response System]  
CASH COLLECTIONS  
CHIEF FINANCIAL OFFICER  
CIVIL DIVISION  
CIVIL JUSTICE REFORM (Exec Order 12778) [10/23/91]  
CIVIL RIGHTS [Division]  
Claims Collection Litigation Report (CCLR)  
Department of Commerce  
Commodity Futures Trading Commission  
Congressman Campbell [Thomas J.]  
    [re HR. 3218, amend 31 USC to permit sale of certain debts to  
    collection agencies]  
Congressman Conyers [John, Jr.]/Congressman Wise [Robert E., Jr.]  
COST TO COLLECT  
Criminal Debt Inventory & Management Plan – 5 & 6 Point Plan  
Criminal Division

BOX 4

CRIMINAL FINES – AG STATEMENT  
    [re AG Barr's 1/27/92 & AG Reno's 10/25/93 statements]  
[Binder] CRIMINAL FINES AND RESTITUTION ENFORCEMENT  
    CONFERENCE [10/16-17/91]  
CRIMINAL FINES (CRIME VICTIM FUND)  
DEADBEATS  
[Accordion Folder] DEADBEAT DOCTORS

[Videocassette] Dead Beat (USMS)

[Videocassette] Western Dist of Missouri  
2-15-93 "DEADBEAT DOCTORS"

[Videocassette] DEADBEAT DOCTORS, 25 & 26 MAY 1993,  
CHAN 7 LOCAL NEWS

[Videocassette] 60 Minutes – Deadbeat Drs

[Videocassette] DEADBEAT DOCTORS  
Parts 1, 2, 3 WFTV Orlando

[Videocassette] THE WEST – SEPTEMBER 1991  
NHSC Scholarship Program - Dr. Hatcher ["Broken Promises"]  
FOR PRIVATE VIEWING ONLY

(Debt Collection Act Amendments of 1991) Civil Division Legislative Proposal  
Entitled the Debt Collection Act  
DEBT COLLECTION ACTIVITY FUND EXPENDITURES  
[Debt] Collection Flows Chart USA–WD of Virginia  
Debt Collection High Risk Area Report [11/9/92]  
DEBT COLLECTION KEY CLIENTS (Correspondence) General Counsel  
Debt Collection Management  
[Debt] Collection Resource File  
Proposed Debt Collection Workload Model  
DEBTOR MONTHLY STATEMENT PROGRAM  
DEPARTMENT OF DEFENSE  
DELEGATION OF AUTHORITY  
Denial of Federal Benefits [Program]  
Departmental Case Management System Proj.  
[Binder] DIRECTORY OF CIVIL SPECIAL ASSISTANT UNITED STATES  
ATTORNEYS [2/7/92]  
DISCHARGE OF DEBTS  
DISTRICT OF COLUMBIA (Lawsuit against) [1992]  
[re Superior Ct fines & bond forfeitures]  
DOJ – AGENCY RELATIONSHIP (Historical)  
[re 1979 study on fed. govt's legal representation system]  
DOJ: Operation "Weed and Seed"  
DOJ Debt Collection Working Group

BOX 5

Education, Department of  
ED Department – Guaranteed Loan Prog.  
[Binder] EDUCATION DEPARTMENT LITIGATION TRAINING  
WORKSHOP FEBRUARY 17-19, 1993  
Environment & Natural Resources Div.  
(EPA) Environmental Protection Agency  
[Binder] EXECUTIVE OFFICE COLLECTION CONFERENCE  
EXECUTIVE OFFICE FOR US ATTORNEYS (EOUSA) #1  
EXECUTIVE OFFICE FOR US ATTORNEYS (EOUSA) #2

BOX 6

EXECUTIVE OFFICE FOR US ATTORNEYS (EOUSA) #3

EOUSA Administration of USA-FLS [Financial Litigation Staff]  
EOUSA's NUMBERS & STATISTICS  
EOUSA Regional Financial Specialist  
Executive Office for Asset Forfeiture  
EXEC SEC/ CONGRESSIONAL MATTERS  
FAIR HOUSING LEGISLATION [sic]  
    [re 11/20/93 AG memo on litigation under the act]  
Farmers Home Administration [FmHA]  
FmHA Foreclosure Review Program  
FBI  
Federal Claim Collection Standards (FCCS) (4 C.F.R.) (Revised)  
[Binder] FEDERAL CREDIT MANAGEMENT TRAINING INSTITUTE  
    ADVISORY BOARD MEETING JULY 24, 1991  
Federal Credit Policy Working Group #1 [1990 - 91]

BOX 7

Federal Credit Policy Working Group #2 [1992]  
Federal Credit Policy Working Group #3 [1993]  
New Bill – Federal Debt Collection Procedures Act of 1990  
FEDERAL DEBT COLLECTION PROCEDURES ACT  
Federal Debt Collection Procedures Act Video  
    [re 2/14/91 draft script]  
FDCPA (Fine Tuning)  
    [re proposed 2/93 fine tuning of the act]  
FORMS for FDCPA of 1990  
[Binder] FEDERAL DEBT COLLECTION SEMINAR 1/31/92  
Federal Judicial Center  
Federal Judiciary Criminal Fines Task Force  
FILING NOTICES OF LIENS  
FINANCIAL INSTITUTION FRAUD

BOX 8

Financial Litigation Annual Report [1991-93]  
Financial Litigation Briefing Points  
FINANCIAL LITIGATION PLAN  
Financial Litigation Plan – Draft Model 3/29/91  
FINANCIAL LITIGATION PLAN (Revised) 2/94  
FIN LIT PLAN – ANTITRUST  
FIN LIT PLAN – BOP  
FIN LIT PLAN – CIVIL  
FIN LIT PLAN – CIVIL RIGHTS  
FIN LIT PLAN – CRIMINAL  
FIN LIT PLAN – ENVIRONMENT/NATURAL RESOURCES  
FIN LIT PLAN – Executive Office for Asset Forfeiture

FIN LIT PLAN – EOUSA

BOX 9

FIN LIT PLAN – INS  
[FIN LIT PLAN] TAX DIVISION  
FIN LIT PLAN – USMS  
FY 93 [Financial Litigation Plan] Checklist – Comment Ltrs to USAO  
FY 92 YEAR-END ANALYSIS & CHARTS to all USAOs and FLUs  
FY 93 YR-END ANALYSIS & CHARTS to all USAOs and FLUs  
Financial Litigation Plans – Certification of Conformity  
[FIN LIT PLANS] MD of Alabama  
[FIN LIT PLANS] ND of Alabama  
[FIN LIT PLANS] SD of Alabama  
[FIN LIT PLANS] District of Alaska  
[FIN LIT PLANS] District of Arizona  
[FIN LIT PLANS] ED of Arkansas  
[FIN LIT PLANS] WD of Arkansas  
[FIN LIT PLANS] CD of California  
[FIN LIT PLANS] ED of California

BOX 10

[FIN LIT PLANS] ND of California  
[FIN LIT PLANS] SD of California  
[FIN LIT PLANS] District of Colorado  
[FIN LIT PLANS] District of Connecticut  
[FIN LIT PLANS] District of Columbia  
[FIN LIT PLANS] District of Delaware  
[FIN LIT PLANS] MD of Florida  
[FIN LIT PLANS] ND of Florida  
[FIN LIT PLANS] SD of Florida  
[FIN LIT PLANS] MD of Georgia  
[FIN LIT PLANS] ND of Georgia  
[FIN LIT PLANS] SD of Georgia  
[FIN LIT PLANS] District of Guam  
[FIN LIT PLANS] District of Hawaii  
[FIN LIT PLANS] District of Idaho

BOX 11

[FIN LIT PLANS] CD of Illinois  
[FIN LIT PLANS] ND of Illinois  
[FIN LIT PLANS] SD of Illinois  
[FIN LIT PLANS] ND of Indiana  
[FIN LIT PLANS] SD of Indiana  
[FIN LIT PLANS] ND of Iowa

[FIN LIT PLANS] SD of Iowa  
[FIN LIT PLANS] District of Kansas  
[FIN LIT PLANS] ED of Kentucky  
[FIN LIT PLANS] WD of Kentucky  
[FIN LIT PLANS] ED of Louisiana  
[FIN LIT PLANS] MD of Louisiana  
[FIN LIT PLANS] WD of Louisiana  
[FIN LIT PLANS] District of Maine  
[FIN LIT PLANS] District of Maryland

BOX 12

[FIN LIT PLANS] District of Massachusetts  
[FIN LIT PLANS] ED of Michigan  
[FIN LIT PLANS] WD of Michigan  
[FIN LIT PLANS] District of Minnesota  
[FIN LIT PLANS] ND of Mississippi  
[FIN LIT PLANS] SD of Mississippi  
[FIN LIT PLANS] ED of Missouri  
[FIN LIT PLANS] WD of Missouri  
[FIN LIT PLANS] District of Montana  
[FIN LIT PLANS] District of Nebraska  
[FIN LIT PLANS] District of Nevada  
[FIN LIT PLANS] District of New Hampshire  
[FIN LIT PLANS] District of New Jersey  
[FIN LIT PLANS] District of New Mexico  
[FIN LIT PLANS] ED of New York

BOX 13

[FIN LIT PLANS] ND of New York  
[FIN LIT PLANS] SD of New York  
[FIN LIT PLANS] WD of New York  
[FIN LIT PLANS] ED of North Carolina  
[FIN LIT PLANS] MD of North Carolina  
[FIN LIT PLANS] WD of North Carolina  
[FIN LIT PLANS] District of North Dakota  
[FIN LIT PLANS] ND of Ohio  
[FIN LIT PLANS] SD of Ohio  
[FIN LIT PLANS] ED of Oklahoma  
[FIN LIT PLANS] ND of Oklahoma  
[FIN LIT PLANS] WD of Oklahoma  
[FIN LIT PLANS] District of Oregon  
[FIN LIT PLANS] ED of Pennsylvania  
[FIN LIT PLANS] MD of Pennsylvania

BOX 14

[FIN LIT PLANS] WD of Pennsylvania  
[FIN LIT PLANS] District of Puerto Rico  
[FIN LIT PLANS] District of Rhode Island  
[FIN LIT PLANS] District of South Carolina  
[FIN LIT PLANS] District of South Dakota  
[FIN LIT PLANS] ED of Tennessee  
[FIN LIT PLANS] MD of Tennessee  
[FIN LIT PLANS] WD of Tennessee  
[FIN LIT PLANS] ED of Texas  
[FIN LIT PLANS] ND of Texas  
[FIN LIT PLANS] SD of Texas  
[FIN LIT PLANS] WD of Texas  
[FIN LIT PLANS] District of Utah  
[FIN LIT PLANS] District of Vermont  
[FIN LIT PLANS] District of Virgin Islands

BOX 15

[FIN LIT PLANS] ED of Virginia  
[FIN LIT PLANS] WD of Virginia  
[FIN LIT PLANS] ED of Washington  
[FIN LIT PLANS] WD of Washington  
[FIN LIT PLANS] ND of West Virginia  
[FIN LIT PLANS] SD of West Virginia  
[FIN LIT PLANS] ED of Wisconsin  
[FIN LIT PLANS] WD of Wisconsin  
[FIN LIT PLANS] District of Wyoming  
Financial Lit Report (Semi-Annual)  
Financial Litigation Subcommittee [of AGAC] – Activities  
Financial Litigation Subcommittee Mtg. Dec 5-6, 1990 Nashville, TN  
FLU [Financial Litigation Unit] Office Manuals  
[re staff responsibilities w/in ED of TN]  
[Binder] FLU POLICY AND PROCEDURES MANUAL [1993]  
FLU REPORTING REQUIREMENTS  
Financial Litigation Unit Reviews – Evaluations  
FINANCIAL MANAGEMENT 5-YR PLAN [1992]  
Financial Statement of Debtor [forms]  
FINES

BOX 16

FIRREA – Financial Institutions, Reform, Recovery, and Enforcement Act of 1989  
FOREIGN CLAIMS COMMISSION  
FORECLOSURE LEGISLATION PROJECT [1992-93]  
FORECLOSURES (Non-Judicial)

FOREIGN ASSETS

[re U.S. v. Mary E. Futch]

Freedom of Information Act (FOIA)

[re implementation of "Open Government" Initiative]

GAO Reports

GARNISHMENT

Grand Jury

[re safeguarding grand jury info]

HATCH ACT AMENDMENTS

[re guidelines for fed. employees]

Department of Health and Human Services

HISTORICAL PLAN

[re DOJ components charged w/financial litigation functions]

H.R. 3218

H.R. 5377 [Cash Management Improvement Act Amendments of 1990]

House Report 101-825 Committee on Government Operations  
(Debt Collection) 10-10-90

Department of Housing and Urban Development

HUD Proposed Report on the Federal National Mortgage Association

IG Audit – Acquisition of NCIF [Nationwide Central Intake Facility system]

IG AUDIT REPORT 90-3 (January 1990) Planning for Debt Collection w/in DOJ

Box 17

IG AUDIT REPORT 92-3 (February 1992) PRIVATE COUNSEL DEBT  
COLLECTION

IG Audit Report 93-19 (September 1993) – Criminal Debt Collection

INMATE FINANCIL [sic] RESPONSIBILITY PROGRAM

INS

INSLAW

[re 10/28/93 AG briefing]

INTERATE [sic] COMMERCE COMMISSION

Interest

[re 1/94 procedures for computing interest expense & income for credit  
financing accounts]

Dept Interior

Internal Revenue Service (IRS)

IRS Tax Refund Offset Program Tax Year 1990

INTTERGATORIES [sic] (Sample) [1992]

INVESTIGATIONS

JFMIP [Joint Financial Management Improvement Program] BOOKLETS

JMD

JUDGMENT & COMMITMENT ORDERS (J&C)

JUDICIAL CIRCUIT CONFERENCE[s]

Department of Labor

Larson's Law [newsletter] [1990-92]  
[incl cc of "What is Going on in Bankruptcy" (1992-94)]

BOX 18

LECC [Law Enforcement Coordinating Comte] NETWORK NEWS [1991-94]  
[Binder] LEGAL REMEDIES FOR CRIME VICTIMS AGAINST  
PERPETRATORS: BASIC PRINCIPLES  
Litigating Authority  
"LockBox" Memo [6/10/93]  
MARSHALS MONITOR (Newsletter) [1992-94]  
McCAIN (Sen. [John]) VICTIMS BILL [1994]  
[re S. 2074, Crime Victim Assistance Improvement Act]  
McCONNELL, Dr. Edward B.  
MEDICARE FRAUD  
[cc of 10/92 FBI Law Enforcement Bulletin]  
MINE SAFETY (MSHA) [Mine Safety & Health Admin]  
MOU BETWEEN USAOs AND PROBATION [Ofc.] 12/13/90  
[re collection of assessments, fines & restitution]  
Murphy's Law [newsletter] [1990-94]  
MURPHY'S LAW REDUX [1994]  
NATIONAL CENTRAL INTANK FACILITY (NEW)  
NCIF Acquisition Plan and Schedule [1992]  
NCIF/CIF  
[Binder] National Chiefs & Deputies Conference July 30 - August 1, 1991  
Arlington, Virginia  
NATIONAL FINE CENTER Vol. 1

BOX 19

NATIONAL FINE CENTER Vol. 2  
NATIONAL FINE CENTER Vol. 3  
National Fine Center (General)  
NATIONAL PERFORMANCE REVIEW  
National Performance Review – Issue Paper on Debt Collection [1993]  
National Performance Review – Issue Paper on SBA Independent Litigating  
Authority [8/93]  
NATIONAL VICTIMS CENTER  
[Binder] National Victim Center Focus on the Future: A System Approach to  
Prosecution & Victim Assistance Phase I - Summary Report (June 1, 1993)  
Native American

BOX 20

DEPARTMENT OF THE NAVY  
NEGOTIATED RATES ACT OF 1993  
Office for Victims of Crime [OVC]



OVC AWARD CEREMONY  
OVC AWARDS for FY92  
OVC AWARD NOMINATIONS (FY93)  
Office of the Inspector General (IG)  
OFFICE OF LEGAL COUNSEL  
Office of Legal Education  
OFFICE OF LEGISLATIVE AFFAIRS  
Office of Management and Budget (OMB)  
OMB Circular No. A-34 [1991 draft]  
[re credit reform]  
[OMB] Circular A-123 Internal Control Systems [1986]  
[OMB] Circular A-129 Managing Federal Credit Programs – Revised OMB  
[1993]  
OMB – CREDIT REVIEW OF AGENCIES (Annual)  
FEDERAL CREDIT & CASH MGMT ACT OF 93  
[re DOJ comments on OMB draft bill]

BOX 21

OMB – Financial Management Profile Department of Justice [5/14/93]  
OMB – Justice Joint Action Team  
OMB – Monetary Enforcement of Financial Institution Fraud Fines and  
Restitution Orders [1993]  
OMB's 1992 LITIGATION INFORMATION ACTION TEAM  
FINAL REPORT Vol. 2 [6/92]  
[Binder] OMB and DOJ LITIGATION INFORMATION SWAT TEAM  
Operation Garbage Out: Reporting the Value of Claims Referred  
[Binder] OPERATION HIPPOCRATES: Final Report [5/1/94]  
[Binder] The Organized Crime Drug Enforcement Task Force Program:  
Reference Book [4/15/91]  
PAROLE COMMISSION  
P.L. 97-255 Federal Managers' Financial Integrity Act of 1993  
PRE-SENTENCE INVESTIGATIONS  
PRIVATE CITIZENS CORRESPONDENCE  
PRIVATE CONTRACTORS  
PRIVATE COUNSEL PILOT PROJECT  
USAs Response to FHA [*sic*] Private Counsel in Single Family Foreclosure  
Actions \*8-23-91\*  
PROFESSIONAL DEBT COLLECTION SRVCS  
Reimbursement Agreement Between Agencies  
[re form DOJ-216]  
DOJ REORGANIZATION [1993]  
[Binder] REPORT ON MONETARY ENFORCEMENT EFFORTS  
IN FINANCIAL INSTITUTION FRAUD CASES [3/92]

BOX 22

Restitution  
ROLE OF THE U.S. ATTORNEY  
    [re 1/8/92 mtg in Birmingham, AL at Radisson Hotel]  
RTC [Resolution Trust Corp.] THRIFTS  
SAUSA [ Special Assistant US Atty]  
SBA – Small Business Administration  
[Binder] SECOND ANNUAL CONFERENCE ON FINANCIAL ISSUES IN THE  
    SENTENCING & POST-SENTENCING PROCESS FOR  
    PROSECUTORS & PROBATION OFFICERS (SEPTEMBER 15, 1992)  
SENATOR DORGAN [Byron L.]  
    [re 1/11/94 ltr to AG]  
Settlement Authority  
Sharing Recoveries  
SPECIAL ASSESSMENTS  
SPECIAL ASSESSMENTS LTR TO ALL USAOs [11/27/92]  
(SSA) Social Security Administration  
STATEMENT OF WORK (OPM/EOUSA)  
    [re financial litigation training]  
DEBT COLLECTION STATISTICS (1989-91) Includes NCIF/CIF  
DEBT COLLECTION STATISTICS (1992) Includes NCIF/CIF  
Civil & Criminal Statistics (EOUSA)  
TALON [system]  
Tax Division  
3 PERCENT FUND  
COLLECTION RESOURCES ALLOCATION BOARD (3%Fund) –  
    Delegations Orders

BOX 23

3% Fund – Guidelines for Requests [6/20/94]  
[Accordion Folder] 3% Fund – Requests for Resources

BUDGET – INQUIRIES & RESPONSES

CIVIL DIVISION

DEA

ENVIRONMENT DIVISION

EOUSA - USAOs

INS

TAX DIVISION

U.S. MARSHALS SERVICE

THRIFT Savings Plan

[re sentencing of George Pararas-Carayannis]

Department of Transportation

Department of the Treasury

20/20 INTERVIEW – January 6, 1993 Materials Provided to Bill Willson

U.S. ATTORNEYS

U.S. ATTORNEYS' CONFERENCE 1/94

[U.S. Attorneys Offices – Districts] 1. N.D. Alabama

[U.S. Attorneys Offices – Districts] 2. M.D. Alabama

[U.S. Attorneys Offices – Districts] 3. S.D. Alabama

[U.S. Attorneys Offices – Districts] 4. Alaska

BOX 24

[U.S. Attorneys Offices – Districts] 5. Arizona

[U.S. Attorneys Offices – Districts] 6. E.D. Arkansas

[U.S. Attorneys Offices – Districts] 7. W.D. Arkansas

[U.S. Attorneys Offices – Districts] 8. N.D. California

[U.S. Attorneys Offices – Districts] 9. E.D. California

[U.S. Attorneys Offices – Districts] 10. C.D. California

[U.S. Attorneys Offices – Districts] 11. S.D. California

[U.S. Attorneys Offices – Districts] 12. Colorado

[U.S. Attorneys Offices – Districts] 13. Connecticut

[U.S. Attorneys Offices – Districts] 14. Delaware

[U.S. Attorneys Offices – Districts] 15. District of Columbia

[U.S. Attorneys Offices – Districts] 16. N.D. Florida

[U.S. Attorneys Offices – Districts] 17. M.D. Florida

[U.S. Attorneys Offices – Districts] 18. S.D. Florida

[U.S. Attorneys Offices – Districts] 19. N.D. Georgia

[U.S. Attorneys Offices – Districts] 20. M.D. Georgia

[U.S. Attorneys Offices – Districts] 21. S.D. Georgia

[U.S. Attorneys Offices – Districts] 22. Guam

[U.S. Attorneys Offices – Districts] 23. Hawaii

BOX 25

[U.S. Attorneys Offices – Districts] 24. Idaho

[U.S. Attorneys Offices – Districts] 25. N.D. Illinois

[U.S. Attorneys Offices – Districts] 26. S.D. Illinois

[U.S. Attorneys Offices – Districts] 27. C.D. Illinois

[U.S. Attorneys Offices – Districts] 28. N.D. Indiana

[U.S. Attorneys Offices – Districts] 29. S.D. Indiana

[U.S. Attorneys Offices – Districts] 30. N.D. Iowa  
[U.S. Attorneys Offices – Districts] 31. S.D. Iowa  
[U.S. Attorneys Offices – Districts] 32. Kansas  
[U.S. Attorneys Offices – Districts] 33. E.D. Kentucky  
[U.S. Attorneys Offices – Districts] 34. W.D. Kentucky  
[U.S. Attorneys Offices – Districts] 35. E.D. Louisiana  
[U.S. Attorneys Offices – Districts] 36. M.D. Louisiana  
[U.S. Attorneys Offices – Districts] 37. W.D. Louisiana  
[U.S. Attorneys Offices – Districts] 38. Maine  
[U.S. Attorneys Offices – Districts] 39. Maryland  
[U.S. Attorneys Offices – Districts] 40. Massachusetts

BOX 26

[U.S. Attorneys Offices – Districts] 41. E.D. Michigan  
[U.S. Attorneys Offices – Districts] 42. W.D. Michigan  
[U.S. Attorneys Offices – Districts] 43. Minnesota  
[U.S. Attorneys Offices – Districts] 44. N.D. Mississippi  
[U.S. Attorneys Offices – Districts] 45. S.D. Mississippi  
[U.S. Attorneys Offices – Districts] 46. E.D. Missouri  
[U.S. Attorneys Offices – Districts] 47. W.D. Missouri  
[U.S. Attorneys Offices – Districts] 48. Montana  
[U.S. Attorneys Offices – Districts] 49. Nebraska  
[U.S. Attorneys Offices – Districts] 50. Nevada  
[U.S. Attorneys Offices – Districts] 51. New Hampshire  
[U.S. Attorneys Offices – Districts] 52. New Jersey  
[U.S. Attorneys Offices – Districts] 53. New Mexico  
[U.S. Attorneys Offices – Districts] 54. N.D. New York  
[U.S. Attorneys Offices – Districts] 55. S.D. New York  
[U.S. Attorneys Offices – Districts] 56. E.D. New York  
[U.S. Attorneys Offices – Districts] 57. W.D. New York  
[U.S. Attorneys Offices – Districts] 58. E.D. North Carolina  
[U.S. Attorneys Offices – Districts] 59. M.D. North Carolina  
[U.S. Attorneys Offices – Districts] 60. W.D. North Carolina  
[U.S. Attorneys Offices – Districts] 61. North Dakota  
[U.S. Attorneys Offices – Districts] 62. N.D. Ohio  
[U.S. Attorneys Offices – Districts] 63. S.D. Ohio

BOX 27

[U.S. Attorneys Offices – Districts] 64. N.D. Oklahoma  
[U.S. Attorneys Offices – Districts] 65. E.D. Oklahoma  
[U.S. Attorneys Offices – Districts] 66. W.D. Oklahoma  
[U.S. Attorneys Offices – Districts] 67. Oregon  
[U.S. Attorneys Offices – Districts] 68. E.D. Pennsylvania  
[U.S. Attorneys Offices – Districts] 69. M.D. Pennsylvania

[U.S. Attorneys Offices – Districts] 70. W.D. Pennsylvania  
[U.S. Attorneys Offices – Districts] 71. Puerto Rico  
[U.S. Attorneys Offices – Districts] 72. Rhode Island  
[U.S. Attorneys Offices – Districts] 73. South Carolina  
[U.S. Attorneys Offices – Districts] 74. South Dakota  
[U.S. Attorneys Offices – Districts] 75. E.D. Tennessee  
[U.S. Attorneys Offices – Districts] 76. M.D. Tennessee  
[U.S. Attorneys Offices – Districts] 77. W.D. Tennessee  
[U.S. Attorneys Offices – Districts] 78. N.D. Texas  
[U.S. Attorneys Offices – Districts] 79. S.D. Texas  
[U.S. Attorneys Offices – Districts] 80. E.D. Texas  
[U.S. Attorneys Offices – Districts] 81. W.D. Texas

BOX 28

[U.S. Attorneys Offices – Districts] 82. Utah  
[U.S. Attorneys Offices – Districts] 83. Vermont  
[U.S. Attorneys Offices – Districts] 84. Virgin Islands  
[U.S. Attorneys Offices – Districts] 85. E.D. Virginia  
[U.S. Attorneys Offices – Districts] 86. W.D. Virginia  
[U.S. Attorneys Offices – Districts] 87. E.D. Washington  
[U.S. Attorneys Offices – Districts] 88. W.D. Washington  
[U.S. Attorneys Offices – Districts] 89. N.D. West Virginia  
[U.S. Attorneys Offices – Districts] 90. S.D. West Virginia  
[U.S. Attorneys Offices – Districts] 91. E.D. Wisconsin  
[U.S. Attorneys Offices – Districts] 92. W.D. Wisconsin  
[U.S. Attorneys Offices – Districts] 93. Wyoming  
U.S. Marshall [*sic*] Service  
USMS FINANCIAL LITIGATION [Judgement Enforcement Team (JET)]  
PILOT PROJECT

BOX 29

U.S. Sentencing Commission – Annual Report #1 [1990-91]  
U.S. Sentencing Commission – Annual Report #2 [1992-93]  
U.S. Trustee[s] Program  
Weekly Reports to ASG [3/27/92 - 9/30/94]  
Department of Veterans Affairs  
[Binder] VIOLENT CRIME SEMINAR October 16, 1992 Charleston, SC  
Witness Fees Paid to Prisoners  
Working Group on Federal Civil Justice Reform [1991]

# Accession Detail

## Basic Information

**FRC Legal Transfer # (LT):** **Accession #:** NN3-060-10-013  
**AccessionType:** FRC **Archival Unit:** Archives II, A2  
**Record Group/Collection #:** 0060  
**Agency:** Department of Justice **Contact Name:** Bernard Berglind  
**Description:** Files of Judge Timothy C. Murphy, Deputy Associate Attorney General


**FRC:** FRC - WNRC (Suitland) **FRC Transfer #(SF135):** 060-010311  
**Disposition Authority #:** NC1-60-84-5 **Transfer Authorization Date:** 12/23/2009  
**Media Type:** Textual **Records Accepted/Received date:** 3/22/2010  
**# of Containers:** 29 **Cubic Feet:** 31.23  
**Classification Status:** Unclassified **Status:** Records Received  
**Risk Level:** No Preservation Action Now  
**Inclusive Start Date:** 12/01/1990 **Inclusive End Date:** 09/30/1994  
**Begin Location:** A2-02-0000230-062-1-029-02 **End Location:** A2-02-0000230-062-1-030-04  
**Comments:**

**Access Restriction:** Restricted- Fully  
**Specific Access Restriction:**  
FOIA (b)(7) Law Enforcement

## Container Information:

Container Type:	# of Containers:	Cubic Feet:
FRC-S	29	31.23

# Accession - Asset Shelf Report

<b>Accession Number :</b>		NN3-060-10-013	<b>Media Type :</b>	Textual
<b>RG/Collection # :</b>	0060		<b>Accession Type :</b>	FRC
<b>Description :</b>	Files of Judge Timothy C. Murphy, Deputy Associate Attorney General			<b>Total # Of Containers :</b> 29
			<b>Cubic Feet :</b>	31.233

Asset ID	Container #	Type	Location	Asset ID	Container #	Type	Location
HC1-70703535	1	FRC-S	A2-02-0000230-062-1-029-02	HC1-70703645	21	FRC-S	A2-02-0000230-062-1-030-01
HC1-70703538	2	FRC-S	A2-02-0000230-062-1-029-02	HC1-70703648	22	FRC-S	A2-02-0000230-062-1-030-02
HC1-70703591	3	FRC-S	A2-02-0000230-062-1-029-02	HC1-70703651	23	FRC-S	A2-02-0000230-062-1-030-02
HC1-70703594	4	FRC-S	A2-02-0000230-062-1-029-03	HC1-70703654	24	FRC-S	A2-02-0000230-062-1-030-02
HC1-70703597	5	FRC-S	A2-02-0000230-062-1-029-03	HC1-70703657	25	FRC-S	A2-02-0000230-062-1-030-03
HC1-70703600	6	FRC-S	A2-02-0000230-062-1-029-03	HC1-70703660	26	FRC-S	A2-02-0000230-062-1-030-03
HC1-70703603	7	FRC-S	A2-02-0000230-062-1-029-04	HC1-70703663	27	FRC-S	A2-02-0000230-062-1-030-03
HC1-70703606	8	FRC-S	A2-02-0000230-062-1-029-04	HC1-70703666	28	FRC-S	A2-02-0000230-062-1-030-04
HC1-70703609	9	FRC-S	A2-02-0000230-062-1-029-04	HC1-70703669	29	FRC-S	A2-02-0000230-062-1-030-04
HC1-70703612	10	FRC-S	A2-02-0000230-062-1-029-05				
HC1-70703615	11	FRC-S	A2-02-0000230-062-1-029-05				
HC1-70703618	12	FRC-S	A2-02-0000230-062-1-029-05				
HC1-70703621	13	FRC-S	A2-02-0000230-062-1-029-06				
HC1-70703624	14	FRC-S	A2-02-0000230-062-1-029-06				
HC1-70703627	15	FRC-S	A2-02-0000230-062-1-029-06				
HC1-70703630	16	FRC-S	A2-02-0000230-062-1-029-07				
HC1-70703633	17	FRC-S	A2-02-0000230-062-1-029-07				
HC1-70703636	18	FRC-S	A2-02-0000230-062-1-029-07				
HC1-70703639	19	FRC-S	A2-02-0000230-062-1-030-01				
HC1-70703642	20	FRC-S	A2-02-0000230-062-1-030-01				

25

72nd 8/1/84

NNF  
b7c

REQUEST FOR RECORDS DISPOSITION AUTHORITY  
(See Instructions on reverse)

LEAVE BLANK

JOB NO.  
NC1-60-81-14 *NNFCS*

DATE RECEIVED  
July 7, 1981

NOTIFICATION TO AGENCY

In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10.

**SIGNATURE OF THE ARCHIVIST IS NOT REQUIRED FOR APPROVAL OF PERMANENT RETENTION OF RECORDS**

Date: \_\_\_\_\_ Archivist of the United States

TO: GENERAL SERVICES ADMINISTRATION,  
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408

1. FROM (AGENCY OR ESTABLISHMENT)  
Department of Justice

2. MAJOR SUBDIVISION  
Office of the Deputy Attorney General

3. MINOR SUBDIVISION  
Administrative Officer

4. NAME OF PERSON WITH WHOM TO CONFER  
*Ann Marie Dunn*  
Ann Marie Dunn

5. TEL. EXT.  
633-2118

6. CERTIFICATE OF AGENCY REPRESENTATIVE:

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 2 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

A Request for immediate disposal.

B Request for disposal after a specified period of time or request for permanent retention.

C. DATE: 7-7-81

D. SIGNATURE OF AGENCY REPRESENTATIVE: *Robert M. Zahn*

E. TITLE: Chief, Records Maintenance and Disposition Section ~~XXRMXX~~ (RMDS/JMD)

7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
1.	<p><u>Office of the Deputy Attorney General</u></p> <p>A. Files of the Deputy Attorney General</p> <p>Subject and correspondence files documenting programs and projects which are maintained for the Deputy Attorney General.</p> <p>Disposition: Permanent. Offer to National Archives 15 years after cutoff of files. (Volume: Approx. 10 Cu.Ft. every 3 years)</p> <p>B. Files of the Associate Deputy Attorneys General and Special Assistants</p> <p>All subject, project, and correspondence files documenting the functions, responsibilities and the involvement of the Office of the Deputy Attorney General in individual cases, matters, projects, programs and requests referred to that office. May include correspondence files relating to internal Department of Justice Administrative matters.</p> <p>Disposition: Permanent. Cutoff at end of respective official's tenure. Transfer to Washington National</p>	<p>NC1-60-79-3/1A</p> <p>NC1-60-79-3/1B1, 1B2, and 1B3</p>	<p>2 items</p>



REQUEST FOR RECORDS DISPOSITION AUTHORITY  
(See Instructions on Reverse)

*NHF 5*

18 JUL 1984  
JOB NO. *NCI-60-84-5*  
DATE RECEIVED *5-9-84*  
NOTIFICATION TO AGENCY  
In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10.  
**SIGNATURE OF THE ARCHIVIST IS NOT REQUIRED FOR APPROVAL OF PERMANENT RETENTION OF RECORDS**  
Date \_\_\_\_\_ Archivist of the United States

TO: GENERAL SERVICES ADMINISTRATION,  
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408

1. FROM: AGENCY OR ESTABLISHMENT  
*Department of Justice*

2. MAJOR SUBDIVISION  
*Office of the Associate Attorney General*

3. MINOR SUBDIVISION

4. NAME OF PERSON WITH WHOM TO CONFER  
*E. Jean Reecer*  
Executive Officer

5. TEL. EXT.  
*633-2118*

6. CERTIFICATE OF AGENCY REPRESENTATIVE:  
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 2 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

- A Request for immediate disposal.
- B Request for disposal after a specified period of time or request for permanent retention.

C. DATE *4-23-84* D. SIGNATURE OF AGENCY REPRESENTATIVE *Quinlan J. Shea, Jr.* E. TITLE *Acting Director, Library Staff  
Office of Information Technology  
Justice Management Division*

7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
1.	<p><u>OFFICE OF THE ASSOCIATE ATTORNEY GENERAL</u></p> <p>A. Files of the Associate Attorney General. All subject, project and correspondence files documenting programs, activities and projects which are maintained for the Associate Attorney General. These may include Daily and Telephone Logs. (Accumulation of 8-10 cubic feet per year)</p> <p><u>DISPOSITION:</u> PERMANENT. Cutoff at end of AAG's tenure. Transfer to Washington National Records Center (WNRC) when no longer needed for active reference or two years after cutoff, whichever is sooner. Offer to National Archives (NA) when 15 years old.</p>		<i>2 items</i>

August 5, 2022 Production 000193

Records Disposition Authority - Continuation

JOB NO.

PAGE OF  
2 of 2

8. DESCRIPTION OF ITEM  
(With Inclusive Dates or Retention Periods)

9.  
SAMPLE OR  
JOB NO.

10.  
ACTION TAKEN

B. Files of the Deputy Associate Attorneys General and Special Assistants to the Associate Attorney General. All official subject, correspondence and project files documenting the responsibilities and involvement of the Office of the Associate Attorney General in individual cases, projects, programs and requests referred to that office. These may include Daily and Telephone Logs. (Accumulation of 5-7 cubic feet per year)

DISPOSITION: PERMANENT. Cutoff at end of tenure. Transfer to WNRC when no longer needed for active reference, or two years after cutoff, whichever is sooner. Offer to NA when 15 years old.

NOTE: All records shall be placed in boxes and sealed prior to transfer to the WNRC. Only Department of Justice personnel authorized by the Office of the Associate Attorney General shall retrieve records from the boxes in the WNRC.

## EXEMPTIONS UNDER FOIA

(1) National Security information concerning the national defense or foreign policy (classified information)

(2) Internal personnel rules and practices of an agency

X (3) Disclosure by Statute (other than section 552b of this title), provided that such statute

(A) requires that the matters be withheld from the public in such a manner as to leave no discretion on the issue, or

(B) establishes particular criteria for withholding or refers to particular types of matters to be withheld;

(4) Confidential business information, trade secrets or financial information obtained from third parties;

(5) Inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with the agency;

X (6) Personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy;

(7) Law Enforcement records or information compiled for law enforcement purposes, but only to the extent that the production of such law enforcement records or information

(A) could reasonably be expected to interfere with enforcement proceedings,

(B) would deprive a person of a right to a fair trial or an impartial adjudication,

(C) could reasonably be expected to constitute an unwarranted invasion of personal privacy,

(D) could reasonably be expected to disclose the identity of a confidential source, including a State, local, or foreign agency or authority or any private institution which furnished information on a confidential basis, and, in the case of a record or information compiled by a criminal law enforcement authority in the course of a criminal investigation or by an agency conducting a lawful national security intelligence investigation, information furnished by a confidential source,

(E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law, or

(F) could reasonably be expected to endanger the life or physical safety of any individual;

(8) contained in or related to examination, operating, or condition reports prepared by, on behalf of, or for the use of an agency responsible for the regulation or supervision of financial institutions

(9) Geological and Geophysical Information and data, including maps, concerning wells.

OFFICE OF THE ATTORNEY GENERAL (OAG)

SYSTEM	TITLE	DATE PUBLISHED	FEDERAL REGISTER
OAG-001	General Files System	09-12-85* 1/31/2001 1/25/2007	50 FR 37294* 66 FR 8425 72 FR 3410

01-25-2007

72 FR 3410

from the Consent Decree Library, P.O. Box 7611, U.S. Department of Justice, Washington, DC 20044-7611 or by faxing or e-mailing a request to Tonia Fleetwood ([tonia.fleetwood@usdoj.gov](mailto:tonia.fleetwood@usdoj.gov)), fax no. (202) 514-0097, phone confirmation number (202) 514-1547. In requesting a copy from the Consent Decree Library, please enclose a check in the amount of \$41.00 (25 cents per page reproduction cost) payable to the U.S. Treasury or, if by e-mail or fax, forward a check in that amount to the Consent Decree Library at the stated address.

Ronald G. Gluck,  
Assistant Chief, Environmental Enforcement Section, Environment and Natural Resources Division.

[FR Doc. 07-306 Filed 1-24-07; 8:45 am]  
BILLING CODE 4410-15-M

**DEPARTMENT OF JUSTICE**

**Notice of Lodging of a Consent Decree Pursuant to the Clean Water Act**

Notice is hereby given that a proposed Consent Decree in *United States v. Winchester Municipal Utilities*, Civ. No. 06-102-KSF, was lodged on January 16, 2007, with the United States District Court for the Eastern District of Kentucky, Central Division.

The proposed Consent Decree would resolve certain claims under Sections 301 and 402 of the Clean Water Act, 33 U.S.C. 1251, *et seq.*, against the City of Winchester ("the City") and Winchester Municipal Utilities ("WMU"), through the performance of injunctive measures, the payment of a civil penalty, and the performance of a Supplemental Environmental Project ("SEP"). The United States and the Commonwealth of Kentucky allege that the City and WMU are liable as persons who discharged a pollutant from a point source to navigable waters of the United States without a permit.

The proposed Consent Decree would resolve the liability of the City and WMU for the violations alleged in the amended complaint filed in this matter. To resolve these claims, the City and WMU would perform injunctive measures valued at over \$79 million and described in the proposed Consent Decree; would pay a civil penalty of \$75,000 to the United States Treasury;

and would perform a SEP valued at \$230,000, which is designed to abate stormwater runoff pollution to an impaired waterway.

The Department of Justice will receive comments relating to the proposed Consent Decree for a period of thirty (30) days from the date of this publication. Comments should be addressed to the Assistant Attorney General, Environmental and Natural Resources Division, P.O. Box 7611, U.S. Department of Justice, Washington, DC 20044 and should refer to *United States v. Winchester Municipal Utilities*, DJ No. 90-5-1-1-08806.

The proposed Consent Decree may be examined at the office of the United States Attorney for the Eastern District of Kentucky, 110 West Vine Street, Suite 400, Lexington KY 40507-1671, and at the Region 4 Office of the Environmental Protection Agency, Atlanta Federal Center, 61 Forsyth Street, SW., Atlanta GA 30303. During the public comment period, the decree may also be examined on the following Department of Justice Web site, [http://www.usdoj.gov/enrd/Consent\\_Decrees.html](http://www.usdoj.gov/enrd/Consent_Decrees.html). A copy of the decree may also be obtained by mail from the Consent Decree Library, P.O. Box 7611, U.S. Department of Justice, Washington, DC 20044-7611, or by faxing or e-mailing a request to Tonia Fleetwood ([tonia.fleetwood@usdoj.gov](mailto:tonia.fleetwood@usdoj.gov)), fax no. (202) 514-0097, phone confirmation number (202) 514-1547. In requesting a copy from the Consent Decree Library, please enclose a check in the amount of \$65.50 (25 cents per page reproduction cost) payable to the U.S. Treasury. The check should refer to *United States v. Winchester Municipal Utilities*, DJ No. 90-5-1-1-08806.

Henry Friedman,  
Assistant Section Chief, Environmental Enforcement Section, Environmental and Natural Resources Division.  
[FR Doc. 07-305 Filed 1-24-07; 8:45 am]  
BILLING CODE 4410-15-M

**DEPARTMENT OF JUSTICE**

[AAG/A Order No. 001-2007]

**Privacy Act of 1974; System of Records**

Pursuant to the provisions of the Privacy Act of 1974, 5 U.S.C. 552a,

notice is given that the Department of Justice proposes to modify all of its systems of records, as identified in the list below.

On October 30, 2006, the Department modified all of its systems of records to include a new routine use that allows disclosure to appropriate persons and entities for purposes of response and remedial efforts in the event that there has been a breach of the data contained in the systems. 71 FR 63,354 (October 30, 2006).

In accordance with 5 U.S.C. 552a(e)(4) and (11), the public was given a 30-day period in which to comment; and the Office of Management and Budget (OMB), which has oversight responsibility under the Privacy Act, required a 40-day period in which to conclude its review of the systems.

As a result of comments received, the Department is making a minor modification to the language of the routine use in order to provide greater clarity. A concern was raised that the condition set forth in clause (1) of the routine use ("when (1) it is suspected or confirmed that the security or confidentiality of information in the system of records has been compromised") does not clearly identify precisely who has to suspect or confirm the compromise. While it was the intent of the drafters that it be the Department of Justice that must suspect or confirm the compromise, because that intent is expressed only implicitly in the routine use, the Department is modifying the language of the first condition to provide additional clarity.

A description of the modification to the Department's systems of records is provided below. In accordance with 5 U.S.C. 552a(r), the Department has provided a report to OMB and the Congress. The new routine use will be effective January 25, 2007.

Dated: January 22, 2007.

Lee J. Lofthus,  
Assistant Attorney General for Administration.

Department of Justice Privacy Act notices and citations follow. An asterisk (\*) designates the last publication of the complete document in the Federal Register.

DOJ-001 .....	Accounting Systems for the Department of Justice .....	06-03-04*	69 FR 31406*
		01-03-06	71 FR 142
DOJ-002 .....	DOJ Computer Systems Activity & Access Records .....	12-30-99	64 FR 73585
DOJ-003 .....	Correspondence Management Systems for the Department of Justice; Corrections.	06-04-01*	66 FR 29992*
		06-29-01	66 FR 34743
		10-25-02	67 FR 65598

DOJ-004	Freedom of Information Act, Privacy Act, and Mandatory Declassification Review Requests and Administrative Appeals; Corrections.	06-04-01*	66 FR 29994
DOJ-005	Nationwide Joint Automated Booking System (JABS)	06-29-01	66 FR 34743
DOJ-006	Personnel Investigation and Security Clearance Records for the Department of Justice.	09-07-06	71 FR 52821
DOJ-007	Reasonable Accommodations for the Department of Justice	09-24-02*	67 FR 59864*
DOJ-008	Department of Justice Grievance Records	11-10-04	69 FR 65224
DOJ-009	Emergency Contact Systems for the Department of Justice	05-16-02	67 FR 34955
DOJ-010	Leave Sharing Systems	10-29-03*	68 FR 61696*
DOJ-011	Access Control System (ACS)	08-04-04	69 FR 47179
DOJ-012	Department of Justice Regional Data Exchange System (RDEX)	01-12-04	69 FR 1762
ASG-001	General Files System of the Office of the Associate Attorney General	04-26-04*	69 FR 22557*
ATF-001	Administrative Record System	08-04-04	69 FR 47179
ATF-003	Criminal Investigation Report System	12-03-04	69 FR 70279
ATF-006	Internal Security Record System	07-11-05*	70 FR 39790*
ATF-007	Personnel Record System	12-02-05	70 FR 72315
ATF-008	Regulatory Enforcement Record System	04-27-04	69 FR 22872
ATF-009	Technical and Scientific Services Record System	01-24-03	68 FR 3551, 52
ATF-010	Training and Professional Development Record System	01-24-03	68 FR 3551, 53
ATR-001	Antitrust Division Expert Witness File	01-24-03	68 FR 3551, 55
ATR-003	Index of Defendants in Pending and Terminated Antitrust Cases	01-24-03	68 FR 3551, 56
ATR-004	Statements by Antitrust Division Officials (ATD Speech File)	01-24-03	68 FR 3551, 58
ATR-005	Antitrust Management Information System (AMIS)—Time Reporter	01-24-03	68 FR 3551, 60
ATR-006	Antitrust Management Information System (AMIS)—Monthly Report	01-24-03	68 FR 3551, 62
ATR-007	Antitrust Division Case Cards	10-13-89	54 FR 42061
ATR-009	Public Complaints and Inquiries File	10-10-95	60 FR 52690
ATR-009	Consumer Inquiry Index	10-10-95	60 FR 52691
ATR-014	Civil Investigative Demand (CID) Tracking System	10-17-88	53 FR 40502
BIA-001	Decisions of the Board of Immigration Appeals	02-20-98*	63 FR 8659*
BIA-002	Roster of Organizations and their Accredited Representatives Recognized by the Board of Immigration Appeals.	03-29-01	66 FR 17200
BOP-001	Prison Security and Intelligence Record System	10-10-95	60 FR 52692
BOP-004	Inmate Administrative Remedy Record System	11-17-80	45 FR 75902
BOP-005	Inmate Central Records System	09-30-77	42 FR 53396
BOP-006	Inmate Trust Fund Accounts and Commissary Record System	10-10-95	60 FR 52694
BOP-007	Inmate Physical and Mental Health Record System	02-04-83	48 FR 5331
BOP-008	Inmate Safety and Accident Compensation Record System	11-17-80	45 FR 75908
BOP-009	Administrative Claims Record System	06-18-02	67 FR 41449
BOP-010	Access Control Entry/Exit System	09-09-02	67 FR 57244
BOP-011	Telephone Activity Record System	05-09-02	67 FR 31371
BOP-012	Office of Internal Affairs Investigative Records	03-15-02	67 FR 11711
BOP-013	Inmate Electronic Message Record System	03-15-02	67 FR 11712
BOP-014	Employee Assistance Program (EAP) Record System	06-18-02	67 FR 41452
BOP-015	Outside Employment Requests Records System	06-18-02	67 FR 41453
BOP-101	The National Institute of Corrections Technical Resource Provider Record System.	04-08-02	67 FR 16760
BOP-103	National Institute of Corrections Academy Record System	04-08-02*	67 FR 16762*
BOP-104	National Institute of Corrections Mailing List & Information Center Contacts Records System.	02-24-06	71 FR 9606
CIV-001	Civil Division Case File System	02-28-02	67 FR 9321
CIV-002	Civil Division Case File System: Customs Litigation	11-06-05	70 FR 69594
CIV-003	Office of Alien Property File System	7-31-00	65 FR 46739
CIV-004	Swine Flu Administrative Claim File System	04-08-02	67 FR 16763
CIV-005	Annuity Brokers List System	03-02-00	65 FR 11342
CIV-006	Consumer/Inquiry Investigatory System	12-16-99	64 FR 70286
CIV-008	September 11th Victim Compensation Fund of 2001 File System	12-16-99	64 FR 70287
COPS-001	Police Corps System	02-20-98*	63 FR 8659*
CRM-001	Central Criminal Division Index File and Associated Records	03-29-01	66 FR 17200
CRM-002	Criminal Division Witness Security File	07-12-01	66 FR 36593
CRM-003	File of Names Checked to Determine if those Individuals Have Been the Subject of an Electronic Surveillance.	01-10-80	45 FR 2217
CRM-004	General Crimes Section, Criminal Division, Central Index File and Associated Records.	09-30-77	42 FR 53324
CRM-005	Index to Names of Attorneys Employed by the Criminal Division, U.S. Department of Justice, Indicating the Subject of the Memoranda on Criminal Matters They Have Written.	09-28-78	43 FR 44708
CRM-006	Information File on Individuals and Commercial Entities Known or Suspected of Being Involved in Fraudulent Activities.	04-09-03	68 FR 17401
		10-17-88	53 FR 40506
		12-21-01	66 FR 65991
		01-08-97	62 FR 1131
		02-20-98*	63 FR 8659*
		03-29-01	66 FR 17200
		12-11-87	52 FR 47186
		12-11-87	52 FR 47187
		12-11-87	52 FR 47190
		12-11-87	49 FR 47191
		09-30-77	42 FR 53336

CRM-007	Name Card File on Criminal Division Personnel Authorized to have Access to the Central Criminal Division Records.	12-11-87	52 FR 47192
CRM-008	Name Card File on Department of Justice Personnel Authorized to have Access to the Classified Files of the Department of Justice.	12-11-87	52 FR 47193
CRM-012	Organized Crime and Racketeering Section, General Index File and Associated Records.	11-26-90*	55 FR 49147*
CRM-014	Organized Crime and Racketeering Section, Intelligence and Special Services Unit, Information Request System.	03-29-01	66 FR 17200
CRM-017	Registration and Propaganda Files Under the Foreign Agents Registration Act of 1938, As Amended.	09-30-77	42 FR 53343
CRM-018	Registration Files of Individuals Who Have Knowledge of or Have Received Instruction or Assignment in Espionage, Counterespionage, or Sabotage Service or Tactics of a Foreign Government or of a Foreign Political Party.	5-11-88	53 FR 16794
CRM-019	Requests to the Attorney General for Approval of Applications to Federal Judges for Electronic Interceptions.	12-11-87	52 FR 47197
CRM-021	The Stocks and Bonds Intelligence Control Card File System	12-11-87	52 FR 47198
CRM-022	Witness Immunity Records	12-11-87	52 FR 47199
CRM-023	Weekly Statistical Report	12-11-87	52 FR 47200
CRM-025	Tax Disclosure Index File and Associated Records	01-10-80	45 FR 2195
CRM-026	International Prisoner Transfer Case Files/International Prisoner Transfer Tracking System.	12-11-87	52 FR 47202
CRM-027	Office of Special Investigation (OSI) Displaced Persons Listings	04-29-03	68 FR 22739
CRM-028	Organized Crime Drug Enforcement Task Force Fusion Center System.	12-11-87	52 FR 47204
CRS-001	Operational Data Information System	10-18-04	69 FR 61403
CRT-001	Central Civil Rights Division Index File and Associated Records	01-10-80	45 FR 2220
CRT-003	Civil Rights Interactive Case Management System	08-11-03*	68 FR 47610, 11
CRT-004	Registry of Names of Interested Persons Desiring Notifications of Submissions Under Section 5 of the Voting Rights Act.	07-29-05	70 FR 43904
CRT-007	Files on Employment Civil Rights Matters Referred by the Equal Employment Opportunity Commission.	08-11-03	68 FR 47610, 13
CRT-009	Civil Rights Division Travel Reports	08-11-03	68 FR 47610, 14
DAG-003	Drug Enforcement Task Force Evaluation Reporting System	08-11-03	68 FR 47610, 15
DAG-005	Master Index File of Names	08-11-03	68 FR 47610, 16
DAG-006	Presidential Appointee Candidate Records System	03-10-92	57 FR 8473
DAG-007	Presidential Appointee Records System	10-21-85	50 FR 42606
DAG-008	Special Candidates for Presidential Appointments and Noncareer SES Positions Records System.	10-21-85	50 FR 42607
DAG-009	Summer Intern Program Records System	10-21-85	50 FR 42608
DAG-010	United States Judge and Department of Justice Presidential Appointee Records.	8-31-94	59 FR 45005
DAG-011	Miscellaneous Attorney Personnel Records	10-21-85	50 FR 42611
DAG-013	General Files System	10-21-85	50 FR 42612
DEA-001	Air Intelligence Program	3-10-92	57 FR 8475
DEA-INS-111	Automated Intelligence Records System (Pathfinder)	12-11-87	52 FR 47206
DEA-002	Clandestine Laboratory Seizure System (CLSS)	11-26-90	55 FR 49182
DEA-003	Automated Records and Consolidated Orders System/Diversion Analysis and Detection System (ARCOS/DADS).	01-27-03	68 FR 3894
DEA-005	Controlled Substances Act Registration Records (CSA)	08-17-04	69 FR 51104
DEA-008	Investigative Reporting and Filing System	12-11-87	52 FR 47208
DEA-010	Planning and Inspection Division Records	10-17-96	61 FR 54219
DEA-011	Operations Files	12-11-87	52 FR 47213
DEA-012	Registration Status/Investigation Records	12-11-87	52 FR 47214
DEA-013	Security Files	12-11-87	52 FR 47215
DEA-015	Training Files	12-11-87	52 FR 47217
DEA-017	Grants of Confidentiality Files (GCF)	12-11-87	52 FR 47218
DEA-020	Essential Chemical Reporting System	12-11-87	52 FR 47219
DEA-021	DEA Aviation Unit Reporting System	04-28-00	65 FR 24986
DEA-022	El Paso Intelligence Center (EPIC) Seizure System (ESS)	06-26-06	71 FR 36362
ENRD-001	Appraisers, Approved Attorneys, Abstractors and Title Companies Files Database System.	02-23-00*	65 FR 8989*
ENRD-003	Environment & Natural Resources Division Case & Related Files System.	10-20-05	70 FR 61159
EOIR-001	Records and Management Information System	02-23-00*	65 FR 8990*
EOIR-003	Practitioner Complaint/Disciplinary Files	10-20-05	70 FR 61159
FBI-001	National Crime Information Center (NCIC)	05-11-04	66 FR 26179
FBI-002	The FBI Central Records System	09-10-99	64 FR 49237
FBI-003	Bureau Mailing Lists	09-28-99	64 FR 52343
FBI-006	Electronic Surveillance (ELSUR) Indices	2-20-98*	63 FR 8671*
FBI-007	FBI Automated Payroll System	03-29-01	66 FR 17200
FBI-008	Bureau Personnel Management System	02-14-05	70 FR 7513
FBI-009	Fingerprint Identification Records System (FIRS)	02-14-05	70 FR 7513, 14
FBI-010	Employee Travel Vouchers and Individual Earning Records	10-05-93	58 FR 51874
		10-5-93	58 FR 51875
		09-28-99	64 FR 52347
		12-11-87	52 FR 47248



FBI-011	Employee Health Records	10-5-93	58 FR 51875
FBI-012	Time Utilization Record-Keeping (TURK) System	10-5-93	58 FR 51876
FBI-013	Security Access Control System (SACS)	02-14-05	70 FR 7513, 16
FBI-014	FBI Alcoholism Program	12-11-87	52 FR 47251
FBI-015	National Center for the Analysis of Violent Crime (NCAVC)	10-05-93	58 FR 51879
FBI-016	FBI Counterdrug Information Indices System (CIIS)	06-09-94	59 FR 29824
FBI-017	National DNA Index System (NDIS)	07-18-96	61 FR 37495
FBI-018	National Instant Criminal Background Check System (NICS)	11-25-98*	63 FR 65223*
		12-14-00	65 FR 78190
		01-22-01	66 FR 6676
		03-01-01	66 FR 12959
FBI-019	Terrorist Screening Records System	07-28-05*	70 FR 43715*
		12-02-05	70 FR 72315
FBI Blanket Routine Uses	FBI established ten "blanket" routine uses (BRUs) to be applicable to more than one FBI system of records.	06-22-01*	66 FR 33558*
		02-14-05	70 FR 7513
FTTTF-001	Flight Training Candidates File System	06-10-02*	67 FR 39839*
		07-19-02	67 FR 47570
INTERPOL-001	INTERPOL-United States National Central Bureau (USNCB) Records System.	04-10-02	67 FR 17464
JMD-002	Controlled Substances Act Nonpublic Records	07-20-01	66 FR 38000
JMD-003	Department of Justice Payroll System	01-02-04	69 FR 107
JMD-006	Debt Collection Management System	11-12-93	58 FR 60055
JMD-009	Debt Collection Offset Payment System	06-19-97	62 FR 33438
JMD-016	Employee Assistance Program (EAP) Counseling and Referral Records.	06-09-00*	65 FR 36718*
		09-01-04	69 FR 53469
JMD-017	Department of Justice (DOJ) Employee Transportation Facilitation System.	04-24-01	66 FR 20683
JMD-022	Department of Justice Consolidated Asset Tracking System (CATS)	05-19-06	71 FR 29170
JMD-023	Federal Bureau of Investigation Whistleblower Case Files	09-07-05	70 FR 53253
JMD-024	Attorney Student Loan Repayment Program Applicant Files	11-03-06	71 FR 64740
NDIC-001	National Drug Intelligence Center Data Base	04-26-93	58 FR 21995
OAG-001	General Files System	09-12-85	50 FR 37294
OIG-001	Office of the Inspector General Investigative Records System	03-10-92*	57 FR 8476*
		05-22-00	65 FR 32125
		04-29-03	68 FR 22741
OIG-004	OIG Employee Training Records	12-07-99	64 FR 68375
OIG-005	OIG Firearms Qualifications System	12-07-99	64 FR 68376
OIPR-001	Policy and Operational Records System	01-26-84	49 FR 3281
OIPR-002	Foreign Intelligence Surveillance Act Records System	01-26-84	49 FR 3282
OIPR-003	Litigation Records System	01-26-84	49 FR 3284
OJP-001	Equipment Inventory	10-05-93	58 FR 51879
OJP-004	Grants Management Information System	10-17-88	53 FR 40526
OJP-006	Congressional and Public Affairs System	12-11-87	52 FR 47276
OJP-007	Public Information System	11-17-80	45 FR 75936
OJP-008	Civil Rights Investigative System	10-17-88	53 FR 40528
OJP-009	Federal Advisory Committee Membership Files	10-17-88	53 FR 40529
OJP-010	Technical Assistance Resource Files	10-17-88	53 FR 40430
OJP-011	Registered Users File—National Criminal Justice Reference Service (NCJRS).	10-05-93	58 FR 51879
OJP-012	Public Safety Officers Benefits System	05-10-99	64 FR 25070
OJP-013	Denial of Federal Benefits Clearinghouse System (DEBAR)	05-10-99	64 FR 25071
OJP-014	Victims of International Terrorism Expense Reimbursement Program	08-07-06	71 FR 44709
OLC-001	Attorney Assignment Reports	09-04-85	50 FR 35879
OLC-002	Office of Legal Counsel Central File	09-04-85	50 FR 35878
OLP-002	United States Judges Records System	07-25-85	50 FR 30309
OLP-003	General Files System	09-12-85	50 FR 37299
OPA-001	Executive Clemency Case Files/Executive Clemency Tracking System	10-31-02	67 FR 66417
OPR-001	Office of Professional Responsibility Records Index	12-10-98*	63 FR 68299*
		11-27-02	67 FR 70967
		04-20-04	69 FR 21160
OSCW-001	Caselink Document Database for Office of Special Counsel—Waco	09-05-00	65 FR 53749
PAO-001	News Release, Document and Index System	09-30-77	42 FR 53364
PRC-001	Docket, Scheduling and Control	12-11-87	52 FR 47281
PRC-003	Inmate and Supervision Files	03-10-88	53 FR 7313
PRC-004	Labor and Pension Case, Legal File and General Correspondence System.	10-17-88	53 FR 40533
PRC-005	Office Operation and Personnel System	10-17-88	53 FR 40535
PRC-006	Statistical, Educational and Developmental System	12-11-87	52 FR 47287
PRC-007	Workload Record, Decision Result, and Annual Report System	10-17-88	53 FR 40535
TAX-001	Criminal Tax Case Files, Special Project Files, Docket Cards, and Associated Records.	03-07-06	71 FR 11446, 47
TAX-002	Tax Division Civil Tax Case Files, Docket Cards, and Associated Records.	03-07-06	71 FR 11446, 49
TAX-003	Files of Applications for Attorney and Non-Attorney Positions with the Tax Division.	03-07-06	71 FR 11446, 51
USA-001	Administrative Files	12-22-83	48 FR 56662

USA-002	A.U.S.A. Applicant Files	08-23-83	48 FR 38329
USA-003	Citizen Complaint Files	10-13-89	54 FR 42088
USA-005	Civil Case Files	02-20-98*	63 FR 8659
		03-29-01	66 FR 17200
USA-006	Consumer Complaints	10-13-89	54 FR 42090
USA-007	Criminal Case Files	02-20-98*	63 FR 8659*
		12-21-99	64 FR 71499
		03-29-01	66 FR 17200
USA-009	Kline District of Columbia and Maryland Stock and Land Fraud Inter-relationship Filing System.	10-13-89	54 FR 42093
USA-010	Major Crimes Division Investigative Files	10-13-89	54 FR 42094
USA-011	Prosecutor's Management Information System (PROMIS)	10-13-89	54 FR 42095
USA-012	Security Clearance Forms for Grand Jury Reporters	02-04-83	48 FR 5386
USA-013	U.S. Attorney, District of Columbia Superior Court Division, Criminal Files.	10-13-89	54 FR 42097
USA-014	Pre-Trial Diversion Program Files	08-23-83	48 FR 38344
USA-015	Debt Collection Enforcement System	07-25-06	71 FR 42118
USA-016	Assistant United States Attorney Applicant Records System	03-10-92	57 FR 8487
USA-017	Appointed Assistant United States Attorneys Personnel System	03-10-92	57 FR 8488
USA-018	United States Attorneys' Office Giglio Information Files	12-01-00	65 FR 75308
USA-020	Employee Assistance Program (EAP) Counseling and Referral Records.	03-20-01	66 FR 15755
USM-001	United States Marshals Service Badge and Credentials File	11-08-99	64 FR 60832, 33
USM-002	United States Marshals Service Internal Affairs System	11-08-99	64 FR 60832, 34
USM-003	United States Marshals Service Prisoner Transportation System	09-06-91	56 FR 44101
USM-004	Special Deputation Files	11-08-99	64 FR 60832, 35
USM-005	U.S. Marshals Service Prisoner Processing and Population Management/Prisoner Tracking System (PPM/PTS).	04-28-04	69 FR 23213
USM-006	United States Marshals Service Training Files	11-08-99	64 FR 60832, 38
USM-007	Warrant Information Network (WIN)	11-08-99	64 FR 60832, 39
USM-008	Witness Security Files Information System	11-08-99	64 FR 60832, 40
USM-009	Inappropriate Communications/Threat Information System	11-08-00	64 FR 60832, 41
USM-010	Judicial Facility Security Index System	11-08-99	64 FR 60832, 42
USM-011	Judicial Protection Information System	11-08-99	64 FR 60832, 43
USM-013	U.S. Marshals Service Administration Proceedings, Claims and Civil Litigation Files.	11-08-99	64 FR 60832, 45
USM-015	U.S. Marshals Service (USMS) Employee Assistance Program (EAP) Records.	11-08-99	64 FR 60832, 47
USM-016	U.S. Marshals Service (USMS) Key Control Record System	11-08-99	64 FR 60832, 48
USM-017	Judicial Security Staff Inventory	11-08-99	64 FR 60849, 50
USM-018	Alternative Dispute Resolution (ADR) Files and Database Tracking System.	11-08-99	64 FR 60849, 51
USM-019	Merit Promotion Open Season Records System (MPOS)	05-23-06	71 FR 29668
UST-001	Bankruptcy Case Files and Associated Records	10-11-06	71 FR 59818, 19
UST-002	Bankruptcy Trustee Oversight Records	10-11-06	71 FR 59818, 22
UST-003	U.S. Trustee Program Timekeeping Records	10-11-06	71 FR 59818, 24
UST-004	United States Trustee Program Case Referral System	10-11-06	71 FR 59818, 25
UST-005	Credit Counseling and Debtor Education Files and Associated Records.	10-11-06	71 FR 59818, 27

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**ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:**

To appropriate agencies, entities, and persons when (1) The Department suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) the Department has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by the Department or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist

in connection with the Department's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

\* \* \* \* \*

[FR Doc. E7-1176 Filed 1-24-07; 8:45 am]  
BILLING CODE 4410-FB-P

**DEPARTMENT OF JUSTICE**

**Antitrust Division**

**Notice Pursuant to the National Cooperative Research and Production Act of 1993—AAF Association, Inc.**

Notice is hereby given that, on December 12, 2006 pursuant to Section 6(a) of the National Cooperative Research and Production Act of 1993, 14 U.S.C. 4301 *et seq.* ("the Act"), AAF

Association, Inc. has filed written notifications simultaneously with the Attorney General and the Federal Trade Commission disclosing changes in its membership. The notifications were filed for the purpose of extending the Act's provisions limiting the recovery of antitrust plaintiffs to actual damages under specified circumstances. Specifically, Apple, Cupertino, CA; Konan Technology, Inc., Seoul, REPUBLIC OF KOREA; and SADiE, Cambridge, UNITED KINGDOM have withdrawn as parties to this venture.

No other changes have been made in either the membership or planned activity of the group research project. Membership in this group research project remains open, and AAF Association, Inc. intends to file additional written notifications disclosing all changes in membership.

01-31-2001

66 FR 8425

of all persons, or their representatives, who are parties to the investigations.

**Background**

On December 7, 2000, a petition was filed with the Commission and Commerce by USEC Inc., and its wholly owned subsidiary United States Enrichment Corp., Bethesda, MD, alleging that an industry in the United States is materially injured and threatened with material injury by reason of subsidized and LTFV imports of low enriched uranium from France, Germany, the Netherlands, and the United Kingdom. Accordingly, effective December 7, 2000, the Commission instituted countervailing duty and antidumping investigations Nos. 701-TA-409-412 and 731-TA-909-912 (Preliminary).

Notice of the institution of the Commission's investigations and of a public conference to be held in connection therewith was given by posting copies of the notice in the Office of the Secretary, U.S. International Trade Commission, Washington, DC, and by publishing the notice in the **Federal Register** of December 14, 2000 (65 FR 78187). The conference was held in Washington, DC, on December 28, 2000, and all persons who requested the opportunity were permitted to appear in person or by counsel.

The Commission transmitted its determination in these investigations to the Secretary of Commerce on January 22, 2001. The views of the Commission are contained in USITC Publication 3388 (January 2001), entitled *Low Enriched Uranium From France, Germany, the Netherlands, and the United Kingdom: Investigations Nos. 701-TA-409-412 and 731-TA-909-912* (Preliminary).

Issued: January 24, 2001.

By order of the Commission.  
**Donna R. Koehnke,**  
*Secretary.*  
 [FR Doc. 01-2689 Filed 1-30-01; 8:45 am]  
 BILLING CODE 7021-02-P

**DEPARTMENT OF JUSTICE**

**Notice of Lodging of Settlement Agreement Under Comprehensive Environmental Response, Compensation and Liability Act**

In accordance with Departmental policy, 28 C.F.R. 50.7, notice is hereby given that a proposed Settlement Agreement in *In re Ecolaire Incorporated*, C.A. No. 99-2520 (Bnkr. Ct. Del.), was lodged on January 19, 2001, with the United States Bankruptcy Court for the District of Delaware. The Settlement Agreement resolves the United States' claims against *Ecolaire Incorporated* ("Ecolaire") with respect to past response costs incurred and future costs to be incurred, pursuant to Section 107 of the Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C. 9607, in connection with the clean-up of the Welsh Road Site. The Site is located in Honey Brook, Pennsylvania.

Under the Settlement Agreement, *Ecolaire* has agreed to give EPA, a general unsecured creditor, an allowed claim in the amount of \$13,277 in reimbursement of past and future response costs incurred in connection with the Site. *Ecolaire* will pay this allowed claim on the same basis as it pays the allowed claims of all other unsecured creditors.

The Department of Justice will receive, for a period of thirty (30) days from the date of this publication, comments relating to the proposed

Settlement Agreement. Comments should be addressed to the Assistant Attorney General for the Environment and Natural Resources Division, Department of Justice, Washington, D.C. 20530, and should refer to *In re Ecolaire Incorporated*, DOJ Reference No. 90-11-2-207/7.

The proposed Settlement Agreement may be examined at the Office of the United States Attorney, Chemical Bank Plaza, 1201 Market Street, Suite 1100, Wilmington, Delaware 19899; and the Region III Office of the Environmental Protection Agency, 1650 Arch Street, Philadelphia, Pennsylvania 19103. A copy of the proposed Settlement Agreement may be obtained by mail from the Department of Justice Consent Decree Library, P.O. Box 7611, Washington, D.C. 20044. In requesting a copy, please refer to the referenced case and enclose a check in the amount of \$2.00 (.25 cents per page production costs), payable to the Consent Decree Library.

**Walker B. Smith,**  
*Deputy Chief, Environmental Enforcement Section, Environment and Natural Resources Division.*  
 [FR Doc. 01-2583 Filed 1-30-01; 8:45 am]  
 BILLING CODE 4410-15-M

**DEPARTMENT OF JUSTICE**

[AAG/A Order No. 217-2001]

**Privacy Act of 1974; System of Records**

Pursuant to the provisions of the Privacy Act of 1974, 5 U.S.C. 552a, notice is given that the Department of Justice proposes to modify the following systems of records:

AAG-001	General Files System	3-10-92	57 FR 8475
ATR-001	Antitrust Division Expert Witness File	10-13-89	54 FR 42061
ATR-002	Congressional and White House Referral Correspondence Log	2-3-93	58 FR 6985
ATR-003	Index of Defendants in Pending and Terminated Antitrust Cases	10-10-95	60 FR 52690
ATR-004	Statement by Antitrust Division Officials (ATD Speech File)	10-10-95	60 FR 52691
ATR-005	Antitrust Caseload Evaluation System (ACES)—Time Reporter	10-17-88	53 FR 40502
ATR-006	Antitrust Caseload Evaluation System (ACES)—Monthly Report	2-20-98	63 FR 8659
ATR-007	Antitrust Division Case Cards	10-10-95	60 FR 52692
ATR-008	Freedom of Information/Privacy Requestor Subject Index File	10-10-95	60 FR 52693
ATR-009	Public Complaints and Inquiries File	11-17-80	45 FR 75902
ATR-014	Civil Investigative Demand (CID) Tracking System	10-10-95	60 FR 52694
BIA-001	Decisions of the Board of Immigration Appeals	30350	48 FR 5331
BIA-002	Roster of Organizations and Their Accredited Representatives Recognized by the Board of Immigration Appeals	11-17-80	45 FR 75908
BOP-001	Custodial and Security Record System	9-28-78	43 FR 44732
BOP-002	Freedom of Information Act Record System	9-30-77	42 FR 53291
BOP-003	Industrial Inmate Employment Record System	9-28-78	43 FR 44733
BOP-004	Inmate Administrative Remedy Record System	9-28-78	43 FR 44734
BOP-005	Inmate Central Records System	6-7-84	49 FR 23711
BOP-006	Inmate Commissary Accounts Record System	9-30-77	42 FR 53294
BOP-007	Inmate Physical and Mental Health Record System	9-28-78	43 FR 44735
BOP-008	Inmate Safety and Accident Compensation Record System	9-28-78	43 FR 44736
BOP-009	Federal Tort Claims Act Record System	9-28-78	43 FR 44737

BOP-010	Access Control Entry/Exit System	10-4-95	60 FR 52013
BOP-011	Telephone Activity Record System	4-21-95	60 FR 19958
BOP-012	Office of Internal Affairs Investigative Records	8-29-95	60 FR 44901
BOP-014	Employee Assistance Program (EAP) Record System	7-31-00	65 FR 46739
BOP-101	The National Institute of Corrections Technical Resource Provider Record System.	3-2-00	65 FR 11342
BOP-102	The National Institute of Corrections Field Readers List	4-18-83	48 FR 16656
BOP-103	National Institute of Corrections Academy Record System	12-16-99	64 FR 70286
BOP-104	National Institute of Corrections Mailing List & Information Center Contacts Records System.	12/16/99	64 FR 70287
CIV-001	Civil Division Case File System	2-20-98	63 FR 8659
CIV-002	Civil Division Case File System: Customs Litigation	1-10-80	45 FR 2217
CIV-003	Office of Alien Property File System	9-30-77	42 FR 53324
CIV-004	Swine Flu Administrative Claim File System	9-28-78	43 FR 44708
CIV-005	Freedom of Information/Privacy Acts File System	10-17-88	53 FR 40505
CIV-006	Consumer/Inquiry Investigatory System	10-17-88	53 FR 40506
CIV-007	Congressional and Citizen Correspondence File	10-17-88	53 FR 40507
COPS-001	Police Corps System	1-8-97	62 FR 1131
CRM-001	Central Criminal Division Index File and Associated Records	2-20-98	63 FR 8659
CRM-002	Criminal Division Witness Security File	12-11-87	52 FR 47186
CRM-003	File of Names Checked to Determine if those Individuals Have been the Subject of an Electronic Surveillance.	12-11-87	52 FR 47187
CRM-004	General Crimes Section, Criminal Division, Central Index File and Associated Records.	12-11-87	52 FR 47190
CRM-005	Index to Names of Attorneys Employed by the Criminal Division, U.S. Department of Justice, Indicating the Subject of the Memoranda on Criminal Matters They Have Written.	12-11-87	49 FR 47191
CRM-006	Information File on Individuals and Commercial Entities Known or Suspected of Being Involved in Fraudulent Activities.	9-30-77	42 FR 53336
CRM-007	Name Card File on Criminal Division Personnel Authorized to have Access to the Central Criminal Division Records.	12-11-87	52 FR 47192
CRM-008	Name Card File on Department of Justice Personnel Authorized to have Access to the Classified Files of the Department of Justice.	12-11-87	52 FR 47193
CRM-012	Organized Crime and Racketeering Section, General Index File and Associated Records.	11-26-90	55 FR 49147
CRM-014	Organized Crime and Racketeering Section, Intelligence and Special Services Unit, Information Request System.	9-30-77	42 FR 53343
CRM-017	Registration and Propaganda Files Under the Foreign Agents Registration Act of 1938, As Amended.	5-11-88	53 FR 16794
CRM-018	Registration Files of Individuals Who Have Knowledge of or Have Received Instruction or Assignment in Espionage, Counterespionage, or Sabotage Service or Tactics of a Foreign Government or of a Foreign Political Party.	12-11-87	52 FR 47197
CRM-019	Requests to the Attorney General for Approval of Applications to Federal Judges for Electronic Interceptions.	12-11-87	52 FR 47198
CRM-021	The Stocks and Bonds Intelligence Control Card File System	12-11-87	52 FR 47199
CRM-022	Witness Immunity Records	12-11-87	52 FR 47200
CRM-023	Weekly Statistical Report	1-10-80	45 FR 2195
CRM-024	Freedom of Information/Privacy Act Records	12-11-87	52 FR 47201
CRM-025	Tax Disclosure Index File and Associated Records	12-11-87	52 FR 47202
CRM-026	Index of Prisoners Transferred Under Prisoner Transfer Treaties	12-11-87	52 FR 47203
CRM-027	Office of Special Investigation (OSI) Displaced Persons Listings	12-11-87	52 FR 47204
CRS-001	Operational Data Information System	1-10-80	45 FR 2220
CRT-001	Central Civil Rights Division Index File and Associated Records	2-20-98	63 FR 8659
CRT-002	Files of Applications for the Position of Attorney with the Civil Rights Division	12-17-85	50 FR 51482
CRT-003	Civil Rights Case Load Evaluation System—Time Reporting System	10-17-88	53 FR 40510
CRT-004	Registry of Names of Interested Persons Desiring Notifications of Submissions Under Section 5 of the Voting Rights Act.	10-17-88	53 FR 40511
CRT-007	Files on Employment Civil Rights Matters Referred by the Equal Employment Opportunity Commission.	10-17-88	53 FR 40512
CRT-008	Files on Correspondence Relating to Civil Rights Matters From Persons Outside the Department of Justice.	10-17-88	53 FR 40513
CRT-009	Civil Rights Division Travel Reports	10-17-88	53 FR 40514
CRT-010	Freedom of Information; Privacy Act Records	10-17-88	57 FR 40515
DAG-003	Drug Enforcement Task Force Evaluation Reporting System	3-10-92	57 FR 8473
DAG-004	Honor Program Applicant System	10-21-85	50 FR 42605
DAG-005	Master Index File of Names	10-21-85	50 FR 42606
DAG-006	Presidential Appointee Candidate Records System	10-21-85	50 FR 42607
DAG-007	Presidential Appointee Records System	10-21-85	50 FR 42608
DAG-008	Special Candidates for Presidential Appointments and Noncareer SES Positions Records System.	8-31-94	59 FR 45005
DAG-009	Summer Intern Program Records System	10-21-85	50 FR 42611
DAG-010	United States Judge and Department of Justice Presidential Appointee Records.	10-21-85	50 FR 42612
DAG-011	Miscellaneous Attorney Personnel Records	10-21-85	50 FR 42613
DAG-012	Executive Secretariat Correspondence Control System	10-21-85	50 FR 42614
DAG-013	General Files System	3-10-92	57 FR 8475

DEA-001	Air Intelligence Program	12-11-87	52 FR 47206
DEA-INS-111	Automated Intelligence Records System (Pathfinder)	11-26-90	55 FR 49182
DEA-003	Automated Records and Consolidated Orders System/Diversion Analysis and Detection System (ARCOS/DADS).	04-28-00	65 FR 24986
DEA-004	Congressional Correspondence File	12-11-87	52 FR 47207
DEA-005	Controlled Substances Act Registration Records (CSA)	12-11-87	52 FR 47208
DEA-006	Freedom of Information/Privacy Act Records	12-11-87	42 FR 47209
DEA-008	Investigative Reporting and Filing System	10-17-96	61 FR 54219
DEA-010	Planning and Inspection Division Records	12-11-87	52 FR 47213
DEA-011	Operations Files	12-11-87	52 FR 47214
DEA-012	Registration Status/Investigation Records	12-11-87	52 FR 47215
DEA-013	Security Files	12-11-87	52 FR 47215
DEA-015	Training Files	12-11-87	52 FR 47217
DEA-017	Grants of Confidentiality File (GCF)	12-11-87	52 FR 47218
DEA-020	Essential Chemical Reporting System	12-11-87	52 FR 47219
DEA-021	DEA Aviation Unit Reporting System	4-28-00	65 FR 24986
DOJ-001	Accounting Systems for the Department of Justice	5-28-99	64 FR 29069
DOJ-002	DOJ Computer Systems Activity and Access Records	12-30-99	64 FR 73585
EOIR-001	Record and Management Information System	10-10-95	60 FR 52694
EOIR-003	Practitioner Complaint/Disciplinary Files	9-10-99	64 FR 49237
FBI-001	National Crime Information Center (NCIC)	9-28-99	64 FR 52343
FBI-002	The FBI Central Records System	2-20-98	63 FR 8671
FBI-003	Bureau Mailing Lists	10-5-93	52 FR 51872
FBI-006	Electronic Surveillance (Elsur) Indices	3-10-92	52 FR 8482
FBI-007	FBI Automated Payroll System	10-5-93	58 FR 51874
FBI-008	Personnel Information Network System (PINS)	10-5-93	58 FR 51875
FBI-009	Fingerprint Identification Records System (FIRS)	9-28-99	64 FR 52347
FBI-010	Employee Travel Vouchers and Individual Earning Records	12-11-87	52 FR 47248
FBI-011	Employee Health Records	10-5-93	58 FR 51875
FBI-012	Time Utilization Record-Keeping (TURK) System	10-5-93	58 FR 51876
FBI-013	Security Access Control System (SACS)	10-5-93	58 FR 51877
FBI-014	FBI Alcoholism Program	12-11-87	52 FR 47251
FBI-015	National Center for the Analysis of Violent Crime (NCAVC)	10-5-93	58 FR 51879
FBI-016	FBI Counterdrug Information Indices System (CIIS)	6-9-94	59 FR 29824
FBI-017	National DNA Index System (NDIS)	7-18-96	61 FR 37495
FBI-018	National Instant Criminal Background Check System (NICS)	11-25-98	63 FR 65223
		12-14-00	65 FR 78190
INS-001	The Immigration and Naturalization Service Index System	10-5-93	58 FR 51847
INS-001A	The Immigration and Naturalization Service (INS) Alien File (A-File) and Central Index System (CIS).	7-8-97	62 FR 36572
INS-002	Office of Internal Audit Investigations Index and Records	3-7-97	62 FR 10580
INS-004	The Asset Management Information System (AMIS)	4-27-98	63 FR 20651
INS-007	Orphan Petitioner Index and Files	12-11-87	52 FR 47258
INS-008	Bond Management Information System (BMIS)	12-18-98	63 FR 70159
INS-009	Alien Status Verification Index	10-10-95	60 FR 52697
INS-010	Freedom of Information Act/Privacy Act (FOIA/PA) Case Tracking and Reporting System.	10-10-95	60 FR 52698
INS-011	The Password Issuance and Control System (PICS)	3-2-89	54 FR 8837
INS-012	Deportable Alien Control System (DACS)	7-31-00	65 FR 46738
INS-013	Computer Linked Application Information Management System	11-4-97	62 FR 59734
INS-014	Security Access Control System (SACS)	5-10-90	55 FR 19674
INS-015	Port of Entry Office Management Support System	6-14-90	55 FR 24167
INS-016	Secondary Verification Automated LOG (SVAL)	10-10-95	60 FR 52699
INS-017	Global Enrollment System (GES)	3-13-97	62 FR 11919
INS-019	Employee Assistance Program (EAP) Treatment Referral Records	1-22-98	63 FR 3349
INS-021	Designated Entity Information Management System (DEIMS)	7-22-97	62 FR 39256
INS-022	INS Attorney/Representative Complaint/Petition Files	12-16-99	64 FR 70288
INS-023	Law Enforcement Support Center Database (LESC)	5-14-97	62 FR 26555
INS-024	The FD-258 Fingerprint Tracking System	7-31-00	65 FR 46741
INS-025	Worksite Enforcement Activity Record & Index (LYNX)	12-16-99	64 FR 70288, 70290
INS-026	Hiring Tracking System	12-16-99	64 FR 70288, 70291
INTERPOL-001	The INTERPOL-United States National Central Bureau (INTERPOL-USNCB) (Department of Justice) INTERPOL-USNCB Records System.	3-10-92	57 FR 8486
JMD-001	Background Investigation Check-off Card	10-13-89	54 FR 42085
JMD-002	Controlled Substances Act Nonpublic Records	11-17-80	45 FR 75931
JMD-003	Department of Justice Payroll System	4-13-99	64 FR 18051-056
		9-01-00	65 FR 53225
JMD-005	Grievance Records	10-13-89	54 FR 42086
JMD-006	Debt Collection Management System	11-12-93	58 FR 60055
JMD-007	Accounting System for the Offices, Boards and Divisions and the United States Marshals Service.	12-11-87	52 FR 47268
JMD-008	Security Clearance Information System (SCIS)	8-23-90	55 FR 34629
JMD-009	Debt Collection Offset Payment System	6-19-97	62 FR 33438
JMD-011	Office of General Counsel (OGC) Correspondence and Advice Tracking System (CATS).	9-9-94	59 FR 46661
JMD-012	Department of Justice (DOJ) Call Detail Records	9-27-96	61 FR 50870

JMD-013	Employee Locator File	12-11-87	52 FR 47270
JMD-014	Security Access Control System	1-8-97	62 FR 1132
JMD-016	Employee Assistance Program (EAP) Counseling and Referral Records	6-09-00	65 FR 36718
JMD-017	Department of Justice (DOJ) Employee Transportation Facilitation System	11-10-98	63 FR 63075
JMD-019	Freedom of Information/Privacy Act Records	12-11-87	52 FR 47272
JMD-020	Freedom of Information/Privacy Acts (FOI/PA) Requests Letters	12-11-87	52 FR 47274
ENRD-001	Appraisers, Approved Attorneys, Abstractors and Title Companies Files Database System.	2-23-00	65 FR 8989
LDN-002	Congressional Correspondence File	9-30-77	42 FR 53351
ENRD-003	Environment & Natural Resources Division Case & Related Files System	2-23-00	65 FR 8990
LDN-005	Freedom of Information Act and Privacy Act Records System	2-4-83	48 FR 5363
LDN-006	Citizens' Mail File	1-10-80	45 FR 2214
NDIC-001	National Drug Intelligence Center Data Base	4-26-93	58 FR 21995
OAG-001	General Files System	9-12-85	50 FR 37294
OIG-001	Office of the Inspector General Investigative Records System	5-22-00	65 FR 32125
OIG-003	Freedom of Information and Privacy Acts	10-9-91	56 FR 50947
OIG-004	OIG Employee Training Records	12-07-99	64 FR 68375
OIG-005	OIG Firearms Qualifications System	12-07-99	64 FR 68376
OIPR-001	Policy and Operational Records System	1-26-84	49 FR 3281
OIPR-002	Foreign Intelligence Surveillance Act Records System	1-26-84	49 FR 3282
OIPR-003	Litigation Records System	1-26-84	49 FR 3284
OIPR-004	Domestic Security/Terrorism Investigations Records System	1-26-84	49 FR 3284
OJP-001	Equipment Inventory	10-5-93	58 FR 51879
OJP-004	Grants Management Information System	10-17-88	53 FR 40526
OJP-005	Financial Management System	10-17-88	53 FR 40527
OJP-006	Congressional and Public Affairs System	12-11-87	52 FR 47276
OJP-007	Public Information System	11-17-80	45 FR 75936
OJP-008	Civil Rights Investigative System	10-17-88	53 FR 40528
OJP-009	Federal Advisory Committee Membership Files	10-17-88	53 FR 40529
OJP-010	Technical Assistance Resource Files	10-17-88	53 FR 40430
OJP-011	Registered Users File-National Criminal Justice Reference Service (NCJRS)	10-5-93	58 FR 51879
OJP-012	Public Safety Officers Benefits System	5-10-99	64 FR 25070
OJP-013	Denial of Federal Benefits Clearinghouse System (DEBAR)	5-10-99	64 FR 25071
OLA-001	Congressional Committee Chairman Correspondence File	12-11-87	52 FR 47278
OLA-002	Congressional Correspondence File	12-11-87	52 FR 47278
OLA-003	Citizen Correspondence File	12-11-87	52 FR 47279
OLC-001	Attorney Assignment Reports	9-4-85	50 FR 35879
OLC-002	Office of Legal Counsel Central File	9-4-85	50 FR 35878
OLC-003	Office of Legal Counsel Freedom of Information Act and Privacy Act Files	2-28-94	59 FR 9497
OLP-001	Freedom of Information and Privacy Appeals Index	10-21-85	50 FR 42615
OLP-002	United States Judges Records System	7-25-85	50 FR 30309
OLP-003	General Files System	9-12-85	50 FR 37299
OLP-004	Declassification Review Index System	2-7-86	51 FR 4825
OPA-001	Executive Clemency File	2-3-93	58 FR 6980
OPA-002	Miscellaneous Correspondence File	2-3-93	58 FR 6981
OPA-003	Freedom of Information/Privacy Acts (FOI/PA) Request File	2-3-93	58 FR 6982
OPI-001	News Release, Document and Index System	9-30-77	42 FR 53364
OPR-001	Office of Professional Responsibility Records Index	12-10-98	63 FR 68299
OPR-002	Freedom of Information/Privacy Acts (FOI/PA) Records	12-10-98	63 FR 68300
OSC-001	Central Index File and Associated Records	10-17-88	53 FR 40531
OSC-002	Files on Correspondence Relating to Immigration-Related, Unfair Employment Practices from Persons Outside the Department of Justice.	10-17-88	53 FR 40532
OSC-003	Special Counsel for Immigration Related Unfair Employment Practices Travel Reports.	9-15-88	53 FR 35926
OSC-004	Freedom of Information; Privacy Act Records	9-15-88	53 FR 35927
OSCW-001	Caselink Document Database for Office of Special Counsel—Waco	9-05-00	65 FR 53749
PRC-001	Docket, Scheduling and Control	12-11-87	52 FR 47281
PRC-002	Freedom of Information Act Record System	12-11-87	52 FR 47282
PRC-003	Inmate and Supervision Files	3-10-88	53 FR 7313
PRC-004	Labor and Pension Case, Legal File and General Correspondence System	10-17-88	53 FR 40533
PRC-005	Office Operation and Personnel System	10-17-88	53 FR 40535
PRC-006	Statistical, Educational and Developmental System	12-11-87	52 FR 47287
PRC-007	Workload Record, Decision Result, and Annual Report System	10-17-88	53 FR 40535
TAX-001	Tax Division Central Classification Cards, Index Docket Cards, and Associated Records—Criminal Tax Cases.	2-20-98	63 FR 8659
TAX-002	Tax Division Central Classification Cards, Index Docket Cards, and Associated Records—Civil Tax Cases.	2-20-98	63 FR 8659
TAX-003	Files of Applications for the Position of Attorney with the Tax Division	9-30-77	42 FR 53390
TAX-004	Freedom of Information—Privacy Act Request Files	2-4-83	48 FR 5377
TAX-005	Tax Division Special Projects File	9-30-77	42 FR 53391
USA-001	Administrative Files	12-22-83	48 FR 56662
USA-002	A.U.S.A. Applicant Files	8-23-83	48 FR 38329
USA-003	Citizen Complaint Files	10-13-89	54 FR 42088
USA-004	Citizen Correspondence Files	10-13-89	54 FR 42089
USA-005	Civil Case Files	2-20-98	63 FR 8659
USA-006	Consumer Complaints	10-13-89	54 FR 42090

USA-007	Criminal Case Files	2-20-98	63 FR 8659
		12-21-99	64 FR 71499
USA-008	Freedom of Information Act/Privacy Act Files	10-13-89	54 FR 42091
USA-009	Kline District of Columbia and Maryland Stock and Land Fraud Inter-relationship Filing System.	10-13-89	54 FR 42093
USA-010	Major Crimes Division Investigative Files	10-13-89	54 FR 42094
USA-011	Prosecutor's Management Information System (PROMIS)	10-13-89	54 FR 42095
USA-012	Security Clearance Forms for Grand Jury Reporters	2-4-83	48 FR 5386
USA-013	U.S. Attorney, District of Columbia Superior Court Division, Criminal Files	10-13-89	54 FR 42097
USA-014	Pre-Trial Diversion Program Files	8-23-83	48 FR 38344
USA-015	Debt Collection Enforcement System	11-12-93	58 FR 60055
USA-016	Assistant United States Attorney Applicant Records System	3-10-92	57 FR 8487
USA-017	Appointed Assistant United States Attorneys Personnel System	3-10-92	57 FR 8488
USA-018	United States Attorneys' Office Giglio Information Files	12-01-00	65 FR 75308
USM-001	United States Marshals Service Badge and Credentials File	11-08-99	64 FR 60832, 33
USM-002	United States Marshals Service Internal Inspections System	11-08-99	64 FR 60832, 34
USM-003	United States Marshals Service Prisoner Transportation System	9-06-91	56 FR 44101
USM-004	Special Deputation Files	11-08-99	64 FR 60832, 35
USM-005	U.S. Marshals Service Prisoner Processing and Population Management/Prisoner Tracking System (PPM/PTS).	11-08-99	64 FR 60832, 36
USM-006	United States Marshals Service Training Files	11-08-99	64 FR 60832, 38
USM-007	Warrant Information Network (WIN)	11-08-99	64 FR 60832, 39
USM-008	Witness Security Files Information System	11-08-99	64 FR 60832, 40
USM-009	Inappropriate Communications/Threat Information System	11-08-99	64 FR 60832, 41
USM-010	Judicial Facility Security Index System	11-08-99	64 FR 60832, 42
USM-011	Judicial Protection Information System	11-08-99	64 FR 60832, 43
USM-012	U.S. Marshals Service Freedom of Information/Privacy Act (FOIA/PA) File	11-08-99	64 FR 60832, 44
USM-013	U.S. Marshals Service Administration Pro-ceedings, Claims and Civil Litigation Files.	11-08-99	64 FR 60832, 45
USM-014	Joint Automated Booking Stations (JABS)	4-13-95	60 FR 18853
USM-015	U.S. Marshals Service (USMS) Employee Assistance Program (EAP) Records.	11-08-99	64 FR 60832, 47
USM-016	U.S. Marshals Service (USMS) Key Control Records System	11-08-99	64 FR 60832, 48
USM-017	Judicial Security Staff Inventory	11-08-99	64 FR 60849, 50
USM-018	Alternative Dispute Resolution (ADR) Files and Database Tracking System	11-08-99	64 FR 60849, 51
UST-001	Bankruptcy Case Files and Associated Records	9-23-99	64 FR 51557
UST-002	Trustee File	9-23-99	64 FR 51557, 59
UST-003	U.S. Trustee Timekeeping System	7-26-99	64 FR 40392
UST-004	United States Trustee Program Case Referral System	9-23-99	64 FR 51557, 59

The Department has modified all of its systems of records to include a new routine use that allows disclosure to former employees for purposes of responding to official inquiries by government entities or professional licensing authorities in accordance with the Department's regulation governing access under such circumstances, 28 CFR 16.300-01. The new routine use also allows disclosure to former employees when the Department requires information and/or consultation assistance from the former employee that is necessary for personnel-related or other official purposes regarding a matter within that person's former area of responsibility.

In accordance with 5 U.S.C. 552a(e)(4) and (11), the public is given a 30-day period in which to comment; and the Office of Management and Budget (OMB), which has oversight responsibility under the Privacy Act, requires a 40-day period in which to conclude its review of the system. Therefore, please submit any comments by March 2, 2001. The public, OMB, and the Congress are invited to submit any comments to Mary E. Cahill, Management and Planning Staff, Justice

Management Division, United States Department of Justice, Washington, DC 20530-0001 (room 1400, National Place Building).

A description of the modification to the Department's systems of records is provided below. In accordance with 5 U.S.C. 552a(r), the Department has provided a report to OMB and the Congress.

Dated: January 8, 2001.

**Stephen R. Colgate,**  
*Assistant Attorney General for Administration.*

#### DOJ Privacy Act Systems of Records

AAG-001 General Files Systems  
 ATR-001 Antitrust Division Expert Witness File  
 ATR-002 Congressional and White House Referral Correspondence Log File  
 ATR-003 Index of Defendants in Pending and Terminated Antitrust Cases  
 ATR-004 Statements by Antitrust Division Officials (ATD Speech File)  
 ATR-005 Antitrust Caseload Evaluation System (ACES)—Time Reporter

ATR-006 Antitrust Caseload Evaluation System (ACES)—Monthly Report  
 ATR-007 Antitrust Division Case Cards  
 ATR-008 Freedom of Information/Privacy Requestor Subject Index File  
 ATR-009 Public Complaints and Inquiries File  
 ATR-014 Civil Investigative Demand (CID) Tracking System  
 BIA-001 Decisions of the Board of Immigration Appeals  
 BIA-002 Roster of Organizations and their Accredited, Representatives Recognized by the Board of Immigration Appeals  
 BOP-001 Custodial and Security Record System  
 BOP-002 Freedom of Information Act Record System  
 BOP-003 Industrial Inmate Employment Record System  
 BOP-004 Inmate Administrative Remedy Record System  
 BOP-005 Inmate Central Records System  
 BOP-006 Inmate Commissary Accounts Record System  
 BOP-007 Inmate Physical and Mental Health Record System



- BOP-008 Inmate Safety and Accident Compensation Record System
- BOP-009 Federal Tort Claims Act Record System
- BOP-010 Access Control Entry/Exit System
- BOP-011 Telephone Activity Record System
- BOP-012 Office of Internal Affairs Investigative Records
- BOP-014 Employee Assistance Program (EAP) Record System
- BOP-101 The National Institute of Corrections Technical Resource Provider Record System
- BOP-102 The National Institute of Corrections Field Readers List
- BOP-103 National Institute of Corrections Academy Record System
- BOP-104 National Institute of Corrections Mailing List & Information Center Contacts Records System
- CIV-001 Civil Division Case File System
- CIV-002 Civil Division Case File System: Customs Litigation
- CIV-003 Office of Alien Property File System
- CIV-004 Swine Flu Administrative Claim File System
- CIV-005 Freedom of Information/Privacy Acts File System
- CIV-006 Consumer/Inquiry Investigatory System
- CIV-007 Congressional and Citizen Correspondence File
- COPS-001 Police Corps System
- CRM-001 Central Criminal Division Index File and Associated Records
- CRM-002 Criminal Division Witness Security File
- CRM-003 File of Names Checked to Determine if those Individuals Have Been The Subject of an Electronic Surveillance
- CRM-004 General Crimes Section, Criminal Division, Central Index File and Associated Records
- CRM-005 Index to Names of Attorneys Employed by the Criminal Division, U.S. Department of Justice, Indicating the Subject of the Memoranda on Criminal Matters They Have Written
- CRM-006 Information File on Individuals and Commercial Entities Known or Suspected of Being Involved in Fraudulent Activities
- CRM-007 Name Card File on Criminal Division Personnel Authorized to have Access to the Central Criminal Division Records
- CRM-008 Name Card File on Department of Justice Personnel Authorized to have Access to the Classified Files of the Department of Justice
- CRM-012 Organized Crime and Racketeering Section, General Index File and Associated Records
- CRM-014 Organized Crime and Racketeering Section, Intelligence and Special Services Unit, Information Request System
- CRM-017 Registration and Propaganda Files Under the Foreign Agents Registration Act of 1938, As Amended
- CRM-018 Registration Files of Individuals Who Have Knowledge of or Have Received Instruction or Assignment in Espionage, Counterespionage, or Sabotage Service or Tactics of a Foreign Government or of a Foreign Political Party
- CRM-019 Requests to the Attorney General for Approval of Applications to Federal Judges for Electronic Interceptions
- CRM-021 The Stocks and Bonds Intelligence Control Card File System
- CRM-022 Witness Immunity Records
- CRM-023 Weekly Statistical Report
- CRM-024 Freedom of Information/Privacy Act Records
- CRM-025 Tax Disclosure Index File and Associated Records
- CRM-026 Index of Prisoners Transferred Under Prisoner Transfer Treaties
- CRM-027 Office of Special Investigation (OSI) Displaced Persons Listings
- CRS-001 Operational Data Information System
- CRT-001 Central Civil Rights Division Index File and Associated Records
- CRT-002 Files of Applications for the Position of Attorney with the Civil Rights Division
- CRT-003 Civil Rights Case Load Evaluation System—Time Reporting System
- CRT-004 Registry of Names of Interested Persons Desiring Notifications of Submissions Under Section 5 of the Voting Rights Act
- CRT-007 Files on Employment Civil Rights Matters Referred by the Equal Employment Opportunity Commission
- CRT-008 Files on Correspondence Relating to Civil Rights Matters from persons Outside the Department of Justice
- CRT-009 Civil Rights Division Travel Reports
- CRT-010 Freedom of Information; Privacy Act Records
- DAG-003 Drug Enforcement Task Force Evaluation Reporting System
- DAG-004 Honor Program Applicant System
- DAG-005 Master Index File of Names
- DAG-006 Presidential Appointee Candidate Records System
- DAG-007 Presidential Appointee Records System
- DAG-008 Special Candidates for Presidential Appointments and Noncareer SES Positions Records System
- DAG-009 Summer Intern Program Records System
- DAG-010 United States Judge and Department of Justice Presidential Appointee Records
- DAG-011 Miscellaneous Attorney Personnel Records
- DAG-012 Executive Secretariat Correspondence Control System
- DAG-013 General Files System
- DEA-001 Air Intelligence Program
- DEA-INS-111 Automated Intelligence Records System (Pathfinder)
- DEA-003 Automated Records and Consolidated Orders System/Diversion Analysis and Detection System (ARCOS/DADS)
- DEA-004 Congressional Correspondence File
- DEA-005 Controlled Substances Act Registration Records (CSA)
- DEA-006 Freedom of Information/Privacy Act Records
- DEA-008 Investigative Reporting and Filing System
- DEA-010 Planning and Inspection Division Records
- DEA-011 Operations Files
- DEA-012 Registration Status/Investigation Records
- DEA-013 Security Files
- DEA-015 Training Files
- DEA-017 Grants of Confidentiality Files (GCF)
- DEA-020 Essential Chemical Reporting System
- DEA-021 DEA Aviation Unit Reporting System
- DOJ-001 Accounting Systems for the Department of Justice
- DOJ-002 DOJ Computer Systems Activity & Access Records
- EOIR-001 Records and Management Information System
- EOIR-003 Practitioner Complaint/Disciplinary Files
- FBI-001 National Crime Information Center (NCIC)
- FBI-002 The FBI Center Records System
- FBI-003 Bureau Mailing Lists
- FBI-006 Electronic Surveillance (Elsur) Indices
- FBI-007 FBI Automated Payroll System
- FBI-008 Personnel Information Network System (PINS)
- FBI-009 Fingerprint Identification Records System (FIRS)
- FBI-010 Employee Travel Vouchers and Individual Earning Records
- FBI-011 Employee Health Records
- FBI-012 Time Utilization Record-Keeping (TURK) System
- FBI-013 Security Access Control System (SACS)
- FBI-014 FBI Alcoholism Program

- FBI-015 National Center for the Analysis of Violent Crime (NCAVC)
- FBI-016 FBI Counterdrug Information Indices System (CIIS)
- FBI-017 National DNA Index System (NDIS)
- FBI-018 National Instant Criminal Background Check System (NICS)
- INS-001 The Immigration and Naturalization Service Index System
- INS-001A The Immigration and Naturalization Service (INS) Alien File (A-File) and Central Index System (CIS)
- INS-002 Office of Internal Audit Investigations Index and Records
- INS-004 The Asset Management Information System (AMIS)
- INS-007 Orphan Petitioner Index and Files
- INS-008 Bond Management Information System (BMIS)
- INS-009 Alien Status Verification Index
- INS-010 Freedom of Information Act/ Privacy Act (FOIA/PA) Case Tracking and Reporting System
- INS-011 The Password Issuance and Control System (PICS)
- INS-012 Deportable Alien Control System (DACS)
- INS-013 Computer Linked Application Information Management System
- INS-014 Security Access Control System (SACS)
- INS-015 Port of Entry Office Management Support System
- INS-016 Secondary Verification Automated LOG (SVAL)
- INS-017 Global Enrollment System (GES)
- INS-019 Employee Assistance Program (EAP) Treatment Referral Records
- INS-021 Designated Entity Information Management System (DEIMS)
- INS-022 INS Attorney/Representative Complaint/Petition Files
- INS-023 Law Enforcement Support Center Database (LESC)
- INS-024 The FD-258 Fingerprint Tracking System
- INS-025 Worksite Enforcement Activity Record & Index (LYNX)
- INS-026 Hiring Tracking System
- INTERPOL-001 THE INTERPOL-United States National Central Bureau (INTERPOL-USNCB) (Department of Justice) INTERPOL-USNCB Records System
- JMD-001 Background Investigation Check-off Card
- JMD-002 Controlled Substances Act Nonpublic Records
- JMD-003 Department of Justice Payroll System
- JMD-005 Grievance Records
- JMD-006 Debt Collection Management System
- JMD-007 Accounting System for the Offices, Boards and Divisions and the United States Marshals Service
- JMD-008 Security Clearance Information System (SCIS)
- JMD-009 Debt Collection Off-set Payment System
- JMD-011 Office of General Counsel (OGC) Correspondence and Advice Tracking System (CATS)
- JMD-012 Department of Justice (DOJ) Call Detail Records
- JMD-013 Employee Locator File
- JMD-014 Security Access Control System
- JMD-016 Employee Assistance Program (EAP) Counseling and Referral Records
- JMD-017 Department of Justice (DOJ) Employee Transportation Facilitation System
- JMD-019 Freedom of Information/ Privacy Act Records
- JMD-020 Freedom of Information/ Privacy Acts (FOI/PA) Requests Letters
- ENRD-001 Appraisers, Approved Attorneys, Abstractors and Title Companies Files Database System
- LDN-002 Congressional Correspondence File
- ENRD-003 Environment & Natural Resources Division Case & Related Files System
- LDN-005 Freedom of Information Act and Privacy Act Records System
- LDN-006 Citizens' Mail File
- NDIC-001 National Drug Intelligence Center Data Base
- OAG-001 General Files System
- OIG-001 Office of the Inspector General Investigative Records System
- OIG-003 Freedom of Information and Privacy Acts
- OIG-004 OIG Employee Training Records
- OIG-005 Firearms Qualifications System
- OIPR-001 Policy and Operational Records System
- OIPR-002 Foreign Intelligence Surveillance Act Records System
- OIPR-003 Litigation Records System
- OIPR-004 Domestic Security/ Terrorism Investigations Records System
- OJP-001 Equipment Inventory
- OJP-004 Grants Management Information System
- OJP-005 Financial Management System
- OJP-006 Congressional and Public Affairs System
- OJP-007 Public Information System
- OJP-008 Civil Rights Investigative System
- OJP-009 Federal Advisory Committee Membership Files
- OJP-010 Technical Assistance Resource Files
- OJP-011 Registered Users File—National Criminal Justice Reference Service (NCJRS)
- OJP-012 Public Safety Officers Benefits System
- OJP-013 Denial of Federal Benefits Clearinghouse System (DEBAR)
- OLA-001 Congressional Committee Chairman Correspondence File
- OLA-002 Congressional Correspondence File
- OLA-003 Citizen Correspondence File
- OLC-001 Attorney Assignment Reports
- OLC-002 Office of Legal Counsel Central File
- OLC-003 Office of Legal Counsel Freedom of Information Act and Privacy Act Files
- OLP-001 Freedom of Information and Privacy Appeals Index
- OLP-002 United States Judges Records System
- OLP-003 General Files System
- OLP-004 Declassification Review Index System
- OPA-001 Executive Clemency File
- OPA-002 Miscellaneous Correspondence File
- OPA-003 Freedom of Information/ Privacy Acts (FOI/PA) Request File
- OPI-001 News Release, Document and Index System
- OPR-001 Office of Professional Responsibility Records Index
- OPR-002 Freedom of Information/ Privacy Acts (FOI/PA) Records
- OSC-001 Central Index File and Associated Records
- OSC-002 Files on Correspondence Relating to Immigration-Related, Unfair Employment Practices from Persons Outside the Department of Justice
- OSC-003 Special Counsel for Immigration Related Unfair Employment Practices Travel Reports
- OSC-004 Freedom of Information; Privacy Act Records
- OSCW-001 Caselink Document Database for Office of Special Counsel—Waco
- PRC-001 Docket, Scheduling and Control
- PRC-002 Freedom of Information Act Record System
- PRC-003 Inmate and Supervision Files
- PRC-004 Labor and Pension Case, Legal File and General Correspondence System
- PRC-005 Office Operation and Personnel System
- PRC-006 Statistical, Educational and Developmental System
- PRC-007 Workload Record, Decision Result, and Annual Report System
- TAX-001 Tax Division Central Classification Cards, Index Docket

Cards, and Associated Records—  
Criminal Tax Cases  
TAX-002 Tax Division Central  
Classification Cards, Index Docket  
Cards, and Associated Records—Civil  
Tax Cases  
TAX-003 Files of Applications for the  
Position of Attorney with the Tax  
Division  
TAX-004 Freedom of Information—  
Privacy Act Request Files  
TAX-005 Tax Division Special  
Projects File  
USA-001 Administrative Files  
USA-002 A. U.S.A. Applicant Files  
USA-003 Citizen Complaint Files  
USA-004 Citizen Correspondence  
Files  
USA-005 Civil Case Files  
USA-006 Customer Complaints  
USA-007 Criminal Case Files  
USA-008 Freedom of Information Act/  
Privacy Act Files  
USA-009 Kline District of Columbia  
and Maryland Stock and Land Fraud  
Interrelationship Filing System  
USA-010 Major Crimes Division  
Investigative Files  
USA-011 Prosecutor's Management  
Information System (PROMIS)  
USA-012 Security Clearance Forms  
for Grand Jury Reporters  
USA-013 U.S. Attorney, District of  
Columbia Superior Court Division,  
Criminal Files  
USA-014 Pre-Trial Diversion Program  
Files  
USA-015 Debt Collection Enforcement  
System  
USA-016 Assistant United States  
Attorney Applicant Records System  
USA-017 Appointed Assistant United  
States Attorneys Personnel System  
USA-018 United States Attorneys'  
Office Giglio Information Files  
USM-001 United States Marshals  
Service Badge and Credentials File  
USM-002 United States Marshals  
Service Internal Inspections System  
USM-003 United States Marshals  
Service Prisoner Transportation  
System  
USM-004 Special Deputation Files  
USM-005 U.S. Marshals Service  
Prisoner Processing and Population  
Management/Prisoner Tracking  
System (PPM/PTS)  
USM-006 United States Marshals  
Service Training Files  
USM-007 Warrant Information  
Network (WIN)  
USM-008 Witness Security Files  
Information System  
USM-009 Inappropriate  
Communications/Threat Information  
System  
USM-010 Judicial Facility Security  
Index System  
USM-011 Judicial Protection  
Information System

USM-012 U.S. Marshals Service  
Freedom of Information/Privacy Act  
(FOI/PA) File  
USM-013 U.S. Marshals Service  
Administration Proceedings, Claims  
and Civil Litigation Files  
USM-014 Joint Automated Booking  
Stations (JABS)  
USM-015 U.S. Marshals Service  
(USMS) Employee Assistance  
Program (EAP) Records  
USM-016 U.S. Marshals Service  
(USMS) Key Control Record System  
USM-017 Judicial Security Staff  
Inventory  
USM-018 Alternative Dispute  
Resolution (ADR) Files and Database  
Tracking System  
UST-001 Bankruptcy Case Files and  
Associated Records  
UST-002 Trustee File  
UST-003 U.S. Trustee Timekeeping  
System  
UST-004 United States Trustee  
Program Case Referral System  
\* \* \* \* \*

*Routine Uses of Records Maintained in  
the System, Including Categories of  
Users and the Purposes of Such Uses*

Pursuant to subsection (b)(3) of the  
Privacy Act, the Department of Justice  
may disclose relevant and necessary  
information to a former employee of the  
Department for purposes of: responding  
to an official inquiry by a federal, state,  
or local government entity or  
professional licensing authority, in  
accordance with applicable Department  
regulations; or facilitating  
communications with a former  
employee that may be necessary for  
personnel-related or other official  
purposes where the Department requires  
information and/or consultation  
assistance from the former employee  
regarding a matter within that person's  
former area of responsibility.

\* \* \* \* \*

[FR Doc. 01-2397 Filed 1-30-01; 8:45 am]

BILLING CODE 4410-FB-M

**MORRIS K. UDALL SCHOLARSHIP  
AND EXCELLENCE IN NATIONAL  
ENVIRONMENTAL POLICY  
FOUNDATION**

**The United States Institute for  
Environmental Conflict Resolution;  
Agency Information Collection  
Activities; Proposed Collection;  
Comment Request; U.S. Institute for  
Environmental Conflict Resolution  
Application for the National Roster of  
Dispute Resolution and Consensus  
Building Professionals: Sub-Roster of  
Transportation Mediators & Facilitators**

AGENCY: Morris K. Udall Scholarship  
and Excellence in National  
Environmental Policy Foundation, U.S.  
Institute for Environmental Conflict  
Resolution.

ACTION: Notice.

**SUMMARY:** In compliance with the  
Paperwork Reduction Act and  
supporting regulations, this document  
announces that the U.S. Institute for  
Environmental Conflict Resolution (the  
Institute), part of the Morris K. Udall  
Foundation, is planning to submit the  
following proposed Information  
Collection Request (ICR) to the Office of  
Management and Budget (OMB):  
National Roster of Environmental  
Dispute Resolution and Consensus  
Building Professionals: Sub-Roster of  
Transportation Mediators & Facilitators.  
Before submitting the ICR to OMB for  
review and approval, the Institute is  
soliciting comments regarding the  
proposed information collection (see the  
section C. below entitled Questions to  
Consider in Making Comments.) This  
document provides information on the  
need for the Sub-Roster of  
Transportation Mediators & Facilitators,  
the information to be recorded in the  
sub-roster, and the entry criteria for  
applicants who wish to be listed. The  
sub-roster application will not be  
available until all Paperwork Reduction  
Act requirements are met.

**DATES:** Comments must be submitted on  
or before April 2, 2001.

**ADDRESSES:** Direct comments and  
requests for information, including  
copies of the proposed ICR to: Joan C.  
Calcagno, Roster Manager, U.S. Institute  
for Environmental Conflict Resolution,  
110 South Church Avenue, Suite 3350,  
Tucson, Arizona 85701, Fax: 520-670-  
5530, Phone: 520-670-5299, E-mail:  
roster@ecr.gov.

**FOR FURTHER INFORMATION CONTACT:** Joan  
C. Calcagno, Roster Manager, U.S.  
Institute for Environmental Conflict  
Resolution, 110 South Church Avenue,  
Suite 3350, Tucson, Arizona 85701, Fax:

09-12-85

50 FR 37294

clear that U.S. Steel would not be spending the total \$4,000,000, the United States brought an enforcement action against the defendant Corporation. The proposed consent decree amendment requires the defendant to pay \$200,000 and complete seven environmentally beneficial projects including a dredging operation in the Black River whereby 50,000 cubic yards of bottom sediment will be removed.

The Department of Justice will receive for a period of thirty (30) days from the date of this publication, comments relating to the proposed decree. Comments should be addressed to the Assistant Attorney General of the Land and Natural Resources Division, Department of Justice, Washington, D.C. 20530, and should refer to: *United States v. United States Steel Corporation*, D.J. Ref. 90-5-1-1-987A.

The proposed consent decree may be examined at the office of the United States Attorney or the regional office of the Environmental Protection Agency as follows:

**U.S. Attorney**

U.S. Attorney, Northern District of Ohio,  
Suite 500, 1404 East Ninth Street,  
Cleveland, Ohio 44114

**EPA**

Region V, 230 South Dearborn Street,  
Chicago, Illinois 60604.

A copy of the consent decree may be examined at the Environmental Enforcement Section, Land and Natural Resources Division of the Department of Justice, Room 1515, Ninth Street and Pennsylvania Avenue NW., Washington, D.C. 20530. A copy of the proposed consent decree may be obtained by mail from the Environmental Enforcement Section, Land and Natural Resources Division of the Department of Justice. In requesting a copy of the decree, please enclose a check payable to Treasurer of the United States in the amount of \$1.00.

F. Henry Habicht II,  
Assistant Attorney General, Land and  
Natural Resources Division.

[FR Doc. 85-21868 Filed 9-11-85; 8:45 am]

BILLING CODE 4410-01-M

[AAG/A ORDER NO. 3-85]

**Privacy Act of 1974; New System of Records**

Pursuant to the provisions of the Privacy Act of 1974 (5 U.S.C. 552a), the Department of Justice, Office of the Attorney General, publishes a system of records entitled "General Files System of the Office of the Attorney General (JUSTICE/OAG-001)."

5 U.S.C. 552a(e) (4) and (11) provide that the public be given a 30-day period in which to comment; the Office of Management and Budget (OMB), which has oversight responsibility under the Act, requires a 60-day period in which to review the system. Therefore, the Department invites the public, OMB, and the Congress to submit written comments on this system. Please submit any comments to J. Michael Clark, Acting Assistant Director, General Services Staff, Justice Management Division, United States Department of Justice, Room 7317, 10th and Constitution Avenue NW., Washington, DC 20530 by November 12, 1985.

In accordance with Privacy Act requirements, the Department has provided a report on this system to the Director, OMB, to the President of the Senate, and to the Speaker of the House of Representatives.

Dated: May 23, 1985.

W. Lawrence Wallace,  
Acting Assistant Attorney General for  
Administration.

**JUSTICE/OAG-001**

**SYSTEM NAME:**

General Files System of the Office of the Attorney General.

**SYSTEM LOCATION:**

Office of the Attorney General, United States Department of Justice, 10th and Constitution Avenue NW., Washington, DC 20530.

**CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:**

The system encompasses individuals who relate to official Federal investigations, policy decisions, and administrative matters of such significance that the Attorney General maintains information indexed to the name of that individual including, but not limited to, subjects of litigation, targets of investigations, Members and staff members of Congress, upper-echelon government officials, and individuals of national prominence or notoriety.

**CATEGORIES OF RECORDS IN THE SYSTEM:**

Records may include case files, litigation materials, exhibits, internal memoranda or reports, or other records on a given subject or individual. Records vary in number and kind according to the breadth of the Attorney General's responsibilities (28 CFR 0.5) and are limited to those which are of such significance that the Attorney General has investigative, policy, law enforcement, or administrative interest. An index to these records is described under the caption "Retrievability."

**AUTHORITY FOR MAINTENANCE OF THE SYSTEM:**

These records are maintained pursuant to 5 U.S.C. 301.

**ROUTINE USE OF RECORDS MAINTAINED IN THE SYSTEM; INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:**

These records may be disclosed to the news media and the public pursuant to 28 CFR 50.2 unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of privacy.

These records may be disclosed to a Member of Congress or staff acting on the Member's behalf when the Member or staff requests the information for investigative or policy decisionmaking purposes or to provide constituent assistance.

These records may be disclosed to members of the judicial branch of the Federal Government in response to a specific request where disclosure appears relevant to the authorized function of the recipient judicial office or court system.

These records may be disclosed to any civil or criminal law enforcement authorities, whether Federal, State, local or foreign, which require information relevant to a civil or criminal investigation.

These records may be disclosed to the National Archives and Records Administration (NARA) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

These records may be disclosed to officials and employees of the White House or any Federal agency which requires information relevant to an agency decision concerning the hiring, appointment, or retention of an employee, the issuance of a security clearance, the conducting of a security or suitability investigation, the classifying of a job, or the issuance of a grant or benefit.

These records may be disclosed to Federal, State, and local licensing agencies or associations which require information concerning the eligibility or suitability of an individual for a license or permit.

These records may be disclosed in a proceeding before a court or adjudicative body before which the Office of the Attorney General is authorized to appear when (a) the Office of the Attorney General, or any subdivision thereof, or (b) any employee of the Office of the Attorney General in his or her official capacity, or (c) any employee of the Office of the Attorney

General in his or her individual capacity where the Department of Justice has agreed to represent the employee, or (d) the United States, where the Office of the Attorney General determines that the litigation is likely to affect it or any of its subdivisions, is a party to litigation or has an interest in litigation and such records are determined by the Office of the Attorney General to be arguably relevant to the litigation.

**POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING AND DISPOSING OF RECORDS IN THE SYSTEM:**

**STORAGE:**

Records are stored in paper folders and on index cards. As of May 1982, the index record is also stored on magnetic disks.

**RETRIEVABILITY:**

Records created before 1975 are indexed and retrieved manually by subject title. Records created since 1975 are indexed and retrieved manually by subject title, individual's name, the Department component which created the record, and by name of the Attorney General under whose administration the records were created. As of May 1982 records may also be retrieved through a computerized indexing system.

**SAFEGUARDS:**

Records are maintained in locked cabinets stored in a locked room or, in the case of those records that are classified, in safes or vaults stored in a locked room. The computer is also maintained in a locked room. The computer has a key lock and may be accessed only by persons with a Top Secret clearance by use of a code.

**RETENTION AND DISPOSAL:**

Records are kept indefinitely.

**SYSTEM MANAGER(S) AND ADDRESS:**

Special Assistant to the Attorney General, Office of the Attorney General, United States Department of Justice, 10th and Constitution Avenue NW, Washington, D.C. 20530.

**NOTIFICATION PROCEDURE:**

Address all inquiries to the system manager. These records will be exempted from subsections (c) (3) and (4); (d); (e) (1), (2) and (3), (e)(4) (G) and (H), (e)(5); and (g) of the Privacy Act pursuant to 5 U.S.C. 552a(j)(2), (k)(1), (k)(2), and (k)(5).

**RECORD ACCESS PROCEDURES:**

Make all requests for access to records from this system in writing to the system manager and clearly mark both the letter and the envelope "Privacy Act Request."

**CONTESTING RECORD PROCEDURES:**

Make all requests to contest or amend information maintained in the system in writing to the system manager. State clearly and concisely what information is being contested, the reasons for contesting it, and the proposed amendment(s) to the information.

**RECORD SOURCE CATEGORIES:**

Sources of information contained in this system include individuals, State, local and foreign government agencies as appropriate, the executive and legislative branches of the Federal Government, and interested third parties.

**SYSTEM EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:**

The Attorney General has exempted this system from subsections (c) (3) and (4); (d); (e) (1), (2), and (3), (e)(4) (G) and (H), (e)(5); and (g) of the Privacy Act pursuant to 5 U.S.C. 552a(j)(2), (k)(1), (k)(2), and (k)(5). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553(b), (c) and (e) and have been published in the *Federal Register*. These exemptions apply only to the extent that information in a record pertaining to a particular individual relates to official Federal investigations and law enforcement matters. Those files indexed under an individual's name which concern policy formulation or administrative matters are not being exempted pursuant to 5 U.S.C. 552a(j)(2), (k)(1), (k)(2) or (k)(5).

[FR Doc. 85-21841 Filed 9-11-85; 8:45 am]  
BILLING CODE 4410-01-M

**(AAG/A Order No. 4-85)**

**Privacy Act of 1974; New System of Records**

Pursuant to the provisions of the Privacy Act of 1974 (5 U.S.C. 552a), the Department of Justice, Office of the Deputy Attorney General, publishes a system of records entitled "General Files System of the Office of the Deputy Attorney General (JUSTICE/DAG-013)."

5 U.S.C. 552a(e) (4) and (11) provide that the public be given a 30-day period in which to comment; the Office of Management and Budget (OMB), which has oversight responsibility under the Act, requires a 60-day period in which to review the system. Therefore, the Department invites the public, OMB, and the Congress to submit written comments on this system. Please submit any comments to J. Michael Clark, Acting Assistant Director, General Services Staff, Justice Management Division, United States Department of

Justice, Room 7317, 10th and Constitution Avenue NW., Washington, D.C. 20530 by November 12, 1985.

In accordance with Privacy Act requirements, the Department has provided a report on this system to the Director, OMB, to the President of the Senate, and to the Speaker of the House of Representatives.

Dated: May 24, 1985.

Harry H. Flickinger,  
*Acting Assistant Attorney General for Administration.*

JUSTICE/DAG-013

**SYSTEM NAME:**

General Files System of the Office of the Deputy Attorney General.

**SYSTEM LOCATION:**

Office of the Deputy Attorney General, United States Department of Justice, 10th and Constitution Avenue NW., Washington, D.C. 20530.

**CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:**

The system encompasses individuals who relate to official Federal investigations, policy decisions, and administrative matters of such significance that the Deputy Attorney General maintains information indexed to the name of that individual, including, but not limited to, subjects of litigation, targets of investigations, Members and staff members of Congress, upper-echelon government officials, and individuals of national prominence or notoriety.

**CATEGORIES OF RECORDS IN THE SYSTEM:**

Records may include case files, litigation materials, exhibits, internal memoranda and reports, or other records on a given subject or individual. Records vary in number and kind according to the breadth of the Deputy Attorney General's responsibilities (28 CFR 0.15) and are limited to those which are of such significance that the Deputy Attorney General has investigative, policy, law enforcement, or administrative interest. An index to these records is described under the caption "Retrievability."

**AUTHORITY FOR MAINTENANCE OF THE SYSTEM:**

These records are maintained pursuant to 5 U.S.C. 301.

**ROUTINE USE OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:**

These records may be disclosed to the news media and the public pursuant to 28 CFR 50.2 unless it is determined that

DAG-013	General Files System	03-10-92* 1/31/2001 1/25/2007	57 FR 8474* 66 FR 8425 72 FR 3410*
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01-25-2007

72 FR 3410



from the Consent Decree Library, P.O. Box 7611, U.S. Department of Justice, Washington, DC 20044-7611 or by faxing or e-mailing a request to Tonia Fleetwood (tonia.fleetwood@usdoj.gov), fax no. (202) 514-0097, phone confirmation number (202) 514-1547. In requesting a copy from the Consent Decree Library, please enclose a check in the amount of \$41.00 (25 cents per page reproduction cost) payable to the U.S. Treasury or, if by e-mail or fax, forward a check in that amount to the Consent Decree Library at the stated address.

Ronald G. Gluck, Assistant Chief, Environmental Enforcement Division, Environment and Natural Resources Division. [FR Doc. 07-306 Filed 1-24-07; 8:45 am] BILLING CODE 4410-15-M

DEPARTMENT OF JUSTICE

Notice of Lodging of a Consent Decree Pursuant to the Clean Water Act

Notice is hereby given that a proposed Consent Decree in United States v. Winchester Municipal Utilities, Civ. No. 06-102-KSF, was lodged on January 16, 2007, with the United States District Court for the Eastern District of Kentucky, Central Division.

The proposed Consent Decree would resolve certain claims under Sections 301 and 402 of the Clean Water Act, 33 U.S.C. 1251, et seq., against the City of Winchester ("the City") and Winchester Municipal Utilities ("WMU"), through the performance of injunctive measures, the payment of a civil penalty, and the performance of a Supplemental Environmental Project ("SEP"). The United States and the Commonwealth of Kentucky allege that the City and WMU are liable as persons who discharged a pollutant from a point source to navigable waters of the United States without a permit.

The proposed Consent Decree would resolve the liability of the City and WMU for the violations alleged in the amended complaint filed in this matter. To resolve these claims, the City and WMU would perform injunctive measures valued at over \$79 million and described in the proposed Consent Decree; would pay a civil penalty of \$75,000 to the United States Treasury;

and would perform a SEP valued at \$230,000, which is designed to abate stormwater runoff pollution to an impaired waterway.

The Department of Justice will receive comments relating to the proposed Consent Decree for a period of thirty (30) days from the date of this publication. Comments should be addressed to the Assistant Attorney General, Environmental and Natural Resources Division, P.O. Box 7611, U.S. Department of Justice, Washington, DC 20044 and should refer to United States v. Winchester Municipal Utilities, DJ No. 90-5-1-1-08806.

The proposed Consent Decree may be examined at the office of the United States Attorney for the Eastern District of Kentucky, 110 West Vine Street, Suite 400, Lexington KY 40507-1671, and at the Region 4 Office of the Environmental Protection Agency, Atlanta Federal Center, 61 Forsyth Street, SW., Atlanta GA 30303. During the public comment period, the decree may also be examined on the following Department of Justice Web site, http://www.usdoj.gov/enrd/Consent\_Decrees.html. A copy of the decree may also be obtained by mail from the Consent Decree Library, P.O. Box 7611, U.S. Department of Justice, Washington, DC 20044-7611, or by faxing or e-mailing a request to Tonia Fleetwood (tonia.fleetwood@usdoj.gov), fax no. (202) 514-0097, phone confirmation number (202) 514-1547. In requesting a copy from the Consent Decree Library, please enclose a check in the amount of \$65.50 (25 cents per page reproduction cost) payable to the U.S. Treasury. The check should refer to United States v. Winchester Municipal Utilities, DJ No. 90-5-1-1-00806.

Henry Friedman, Assistant Section Chief, Environmental Enforcement Section, Environmental and Natural Resources Division. [FR Doc. 07-305 Filed 1-24-07; 8:45 am] BILLING CODE 4410-15-M

DEPARTMENT OF JUSTICE

[AAG/A Order No. 001-2007]

Privacy Act of 1974; System of Records

Pursuant to the provisions of the Privacy Act of 1974, 5 U.S.C. 552a,

notice is given that the Department of Justice proposes to modify all of its systems of records, as identified in the list below.

On October 30, 2006, the Department modified all of its systems of records to include a new routine use that allows disclosure to appropriate persons and entities for purposes of response and remedial efforts in the event that there has been a breach of the data contained in the systems. 71 FR 63,354 (October 30, 2006).

In accordance with 5 U.S.C. 552a(e)(4) and (11), the public was given a 30-day period in which to comment; and the Office of Management and Budget (OMB), which has oversight responsibility under the Privacy Act, required a 40-day period in which to conclude its review of the systems.

As a result of comments received, the Department is making a minor modification to the language of the routine use in order to provide greater clarity. A concern was raised that the condition set forth in clause (1) of the routine use ("when (1) it is suspected or confirmed that the security or confidentiality of information in the system of records has been compromised") does not clearly identify precisely who has to suspect or confirm the compromise. While it was the intent of the drafters that it be the Department of Justice that must suspect or confirm the compromise, because that intent is expressed only implicitly in the routine use, the Department is modifying the language of the first condition to provide additional clarity.

A description of the modification to the Department's systems of records is provided below. In accordance with 5 U.S.C. 552a(r), the Department has provided a report to OMB and the Congress. The new routine use will be effective January 25, 2007.

Dated: January 22, 2007.

Lee J. Lofthus, Assistant Attorney General for Administration.

Department of Justice Privacy Act notices and citations follow. An asterisk (\*) designates the last publication of the complete document in the Federal Register.

Table with 4 columns: Record ID, System Name, Date Range, and Citation. Rows include DOJ-001 (Accounting Systems), DOJ-002 (DOJ Computer Systems Activity & Access Records), and DOJ-003 (Correspondence Management Systems for the Department of Justice; Corrections).

DOJ-004	Freedom of Information Act, Privacy Act, and Mandatory Declassification Review Requests and Administrative Appeals; Corrections.	06-04-01*	66 FR 29994*
DOJ-005	Nationwide Joint Automated Booking System (JABS)	06-29-01	66 FR 34743
DOJ-006	Personnel Investigation and Security Clearance Records for the Department of Justice.	09-07-06	71 FR 52821
DOJ-007	Reasonable Accommodations for the Department of Justice	09-24-02*	67 FR 59864*
DOJ-008	Department of Justice Grievance Records	11-10-04	69 FR 65224
DOJ-009	Emergency Contact Systems for the Department of Justice	05-16-02	67 FR 34955
DOJ-010	Leave Sharing Systems	10-29-03*	68 FR 61696*
DOJ-011	Access Control System (ACS)	08-04-04	69 FR 47179
DOJ-012	Department of Justice Regional Data Exchange System (RDEX)	01-12-04	69 FR 1762
ASG-001	General Files System of the Office of the Associate Attorney General	04-26-04*	69 FR 22557*
ATF-001	Administrative Record System	08-04-04	69 FR 47179
ATF-003	Criminal Investigation Report System	12-03-04	69 FR 70279
ATF-006	Internal Security Record System	07-11-05*	70 FR 39790*
ATF-007	Personnel Record System	12-02-05	70 FR 72315
ATF-008	Regulatory Enforcement Record System	04-27-04	69 FR 22872
ATF-009	Technical and Scientific Services Record System	01-24-03	68 FR 3551, 52
ATF-010	Training and Professional Development Record System	01-24-03	68 FR 3551, 53
ATR-001	Antitrust Division Expert Witness File	01-24-03	68 FR 3551, 55
ATR-003	Index of Defendants in Pending and Terminated Antitrust Cases	01-24-03	68 FR 3551, 56
ATR-004	Statements by Antitrust Division Officials (ATD Speech File)	01-24-03	68 FR 3551, 58
ATR-005	Antitrust Management Information System (AMIS)—Time Reporter	01-24-03	68 FR 3551, 60
ATR-006	Antitrust Management Information System (AMIS)—Monthly Report	01-24-03	68 FR 3551, 62
ATR-007	Antitrust Division Case Cards	10-13-89	54 FR 42061
ATR-009	Public Complaints and Inquiries File	10-10-95	60 FR 52690
ATR-009	Consumer Inquiry Index	10-10-95	60 FR 52691
ATR-014	Civil Investigative Demand (CID) Tracking System	10-17-88	53 FR 40502
BIA-001	Decisions of the Board of Immigration Appeals	02-20-98*	63 FR 8659*
BIA-002	Roster of Organizations and their Accredited Representatives Recognized by the Board of Immigration Appeals.	03-29-01	66 FR 17200
BOP-001	Prison Security and Intelligence Record System	10-10-95	60 FR 52692
BOP-004	Inmate Administrative Remedy Record System	11-17-80	45 FR 75902
BOP-005	Inmate Central Records System	09-30-77	42 FR 53396
BOP-006	Inmate Trust Fund Accounts and Commissary Record System	10-10-95	60 FR 52694
BOP-007	Inmate Physical and Mental Health Record System	02-04-83	48 FR 5331
BOP-008	Inmate Safety and Accident Compensation Record System	11-17-80	45 FR 75908
BOP-009	Administrative Claims Record System	06-18-02	67 FR 41449
BOP-010	Access Control Entry/Exit System	09-09-02	67 FR 57244
BOP-011	Telephone Activity Record System	05-09-02	67 FR 31371
BOP-012	Office of Internal Affairs Investigative Records	03-15-02	67 FR 11711
BOP-013	Inmate Electronic Message Record System	03-15-02	67 FR 11712
BOP-014	Employee Assistance Program (EAP) Record System	06-18-02	67 FR 41452
BOP-015	Outside Employment Requests Records System	06-18-02	67 FR 41453
BOP-101	The National Institute of Corrections Technical Resource Provider Record System.	04-08-02	67 FR 16760
BOP-103	National Institute of Corrections Academy Record System	04-08-02*	67 FR 16762*
BOP-104	National Institute of Corrections Mailing List & Information Center Contacts Records System.	02-24-06	71 FR 9606
CIV-001	Civil Division Case File System	02-28-02	67 FR 9321
CIV-002	Civil Division Case File System: Customs Litigation	11-06-05	70 FR 69594
CIV-003	Office of Alien Property File System	7-31-00	65 FR 46739
CIV-004	Swine Flu Administrative Claim File System	04-08-02	67 FR 16763
CIV-005	Annuity Brokers List System	03-02-00	65 FR 11342
CIV-006	Consumer/Inquiry Investigatory System	12-16-99	64 FR 70286
CIV-008	September 11th Victim Compensation Fund of 2001 File System	12-16-99	64 FR 70287
COPS-001	Police Corps System	02-20-98*	63 FR 8659*
CRM-001	Central Criminal Division Index File and Associated Records	03-29-01	66 FR 17200
CRM-002	Criminal Division Witness Security File	07-12-01	66 FR 36593
CRM-003	File of Names Checked to Determine if those Individuals Have Been the Subject of an Electronic Surveillance.	01-10-80	45 FR 2217
CRM-004	General Crimes Section, Criminal Division, Central Index File and Associated Records.	09-30-77	42 FR 53324
CRM-005	Index to Names of Attorneys Employed by the Criminal Division, U.S. Department of Justice, Indicating the Subject of the Memoranda on Criminal Matters They Have Written.	09-28-78	43 FR 44708
CRM-006	Information File on Individuals and Commercial Entities Known or Suspected of Being Involved in Fraudulent Activities.	04-09-03	68 FR 17401
		10-17-88	53 FR 40506
		12-21-01	66 FR 65991
		01-08-97	62 FR 1131
		02-20-98*	63 FR 8659*
		03-29-01	66 FR 17200
		12-11-87	52 FR 47186
		12-11-87	52 FR 47187
		12-11-87	52 FR 47190
		12-11-87	49 FR 47191
		09-30-77	42 FR 53336

CRM-007	Name Card File on Criminal Division Personnel Authorized to have Access to the Central Criminal Division Records.	12-11-87	52 FR 47192
CRM-008	Name Card File on Department of Justice Personnel Authorized to have Access to the Classified Files of the Department of Justice.	12-11-87	52 FR 47193
CRM-012	Organized Crime and Racketeering Section, General Index File and Associated Records.	11-26-90* 03-29-01	55 FR 49147* 66 FR 17200
CRM-014	Organized Crime and Racketeering Section, Intelligence and Special Services Unit, Information Request System.	09-30-77	42 FR 53343
CRM-017	Registration and Propaganda Files Under the Foreign Agents Registration Act of 1938, As Amended.	5-11-88	53 FR 16794
CRM-018	Registration Files of Individuals Who Have Knowledge of or Have Received Instruction or Assignment in Espionage, Counterespionage, or Sabotage Service or Tactics of a Foreign Government or of a Foreign Political Party.	12-11-87	52 FR 47197
CRM-019	Requests to the Attorney General for Approval of Applications to Federal Judges for Electronic Interceptions.	12-11-87	52 FR 47198
CRM-021	The Stocks and Bonds Intelligence Control Card File System	12-11-87	52 FR 47199
CRM-022	Witness Immunity Records	12-11-87	52 FR 47200
CRM-023	Weekly Statistical Report	01-10-80	45 FR 2195
CRM-025	Tax Disclosure Index File and Associated Records	12-11-87	52 FR 47202
CRM-026	International Prisoner Transfer Case Files/International Prisoner Transfer Tracking System.	04-29-03	68 FR 22739
CRM-027	Office of Special Investigation (OSI) Displaced Persons Listings	12-11-87	52 FR 47204
CRM-028	Organized Crime Drug Enforcement Task Force Fusion Center System.	10-18-04	69 FR 61403
CRS-001	Operational Data Information System	01-10-80	45 FR 2220
CRT-001	Central Civil Rights Division Index File and Associated Records	08-11-03* 07-29-05	68 FR 47610, 11 70 FR 43904
CRT-003	Civil Rights Interactive Case Management System	08-11-03	68 FR 47610, 13
CRT-004	Registry of Names of Interested Persons Desiring Notifications of Submissions Under Section 5 of the Voting Rights Act.	08-11-03	68 FR 47610, 14
CRT-007	Files on Employment Civil Rights Matters Referred by the Equal Employment Opportunity Commission.	08-11-03	68 FR 47610, 15
CRT-009	Civil Rights Division Travel Reports	08-11-03	68 FR 47610, 16
DAG-003	Drug Enforcement Task Force Evaluation Reporting System	03-10-92	57 FR 8473
DAG-005	Master Index File of Names	10-21-85	50 FR 42606
DAG-006	Presidential Appointee Candidate Records System	10-21-85	50 FR 42607
DAG-007	Presidential Appointee Records System	10-21-85	50 FR 42608
DAG-008	Special Candidates for Presidential Appointments and Noncareer SES Positions Records System.	8-31-94	59 FR 45005
DAG-009	Summer Intern Program Records System	10-21-85	50 FR 42611
DAG-010	United States Judge and Department of Justice Presidential Appointee Records.	10-21-85	50 FR 42612
DAG-011	Miscellaneous Attorney Personnel Records	10-21-85	50 FR 42613
DAG-013	General Files System	3-10-92	57 FR 8475
DEA-001	Air Intelligence Program	12-11-87	52 FR 47206
DEA-INS-111	Automated Intelligence Records System (Pathfinder)	11-26-90	55 FR 49182
DEA-002	Clandestine Laboratory Seizure System (CLSS)	01-27-03	68 FR 3894
DEA-003	Automated Records and Consolidated Orders System/Diversion Analysis and Detection System (ARCOS/DADS).	08-17-04	69 FR 51104
DEA-005	Controlled Substances Act Registration Records (CSA)	12-11-87	52 FR 47208
DEA-008	Investigative Reporting and Filing System	10-17-96	61 FR 54219
DEA-010	Planning and Inspection Division Records	12-11-87	52 FR 47213
DEA-011	Operations Files	12-11-87	52 FR 47214
DEA-012	Registration Status/Investigation Records	12-11-87	52 FR 47215
DEA-013	Security Files	12-11-87	52 FR 47215
DEA-015	Training Files	12-11-87	52 FR 47217
DEA-017	Grants of Confidentiality Files (GCF)	12-11-87	52 FR 47218
DEA-020	Essential Chemical Reporting System	12-11-87	52 FR 47219
DEA-021	DEA Aviation Unit Reporting System	04-28-00	65 FR 24986
DEA-022	El Paso Intelligence Center (EPIC) Seizure System (ESS)	06-26-06	71 FR 36362
ENRD-001	Appraisers, Approved Attorneys, Abstractors and Title Companies Files Database System.	02-23-00* 10-20-05	65 FR 8989* 70 FR 61159
ENRD-003	Environment & Natural Resources Division Case & Related Files System.	02-23-00* 10-20-05	65 FR 8990* 70 FR 61159
EOIR-001	Records and Management Information System	05-11-04	66 FR 26179
EOIR-003	Practitioner Complaint/Disciplinary Files	09-10-99	64 FR 49237
FBI-001	National Crime Information Center (NCIC)	09-28-99	64 FR 52343
FBI-002	The FBI Central Records System	2-20-98* 03-29-01	63 FR 8671* 66 FR 17200
FBI-003	Bureau Mailing Lists	02-14-05	70 FR 7513
FBI-006	Electronic Surveillance (ELSUR) Indices	02-14-05	70 FR 7513, 14
FBI-007	FBI Automated Payroll System	10-05-93	58 FR 51874
FBI-008	Bureau Personnel Management System	10-5-93	58 FR 51875
FBI-009	Fingerprint Identification Records System (FIRS)	09-28-99	64 FR 52347
FBI-010	Employee Travel Vouchers and Individual Earning Records	12-11-87	52 FR 47248

FBI-011	Employee Health Records	10-5-93	58 FR 51875
FBI-012	Time Utilization Record-Keeping (TURK) System	10-5-93	58 FR 51876
FBI-013	Security Access Control System (SACS)	02-14-05	70 FR 7513, 16
FBI-014	FBI Alcoholism Program	12-11-87	52 FR 47251
FBI-015	National Center for the Analysis of Violent Crime (NCAVC)	10-05-93	58 FR 51879
FBI-016	FBI Counterdrug Information Indices System (CIIS)	06-09-94	59 FR 29824
FBI-017	National DNA Index System (NDIS)	07-18-96	61 FR 37495
FBI-018	National Instant Criminal Background Check System (NICS)	11-25-98*	63 FR 65223*
		12-14-00	65 FR 78190
		01-22-01	66 FR 6676
		03-01-01	66 FR 12959
FBI-019	Terrorist Screening Records System	07-28-05*	70 FR 43715*
		12-02-05	70 FR 72315
FBI Blanket Routine Uses	FBI established ten "blanket" routine uses (BRUs) to be applicable to more than one FBI system of records.	06-22-01*	66 FR 33558*
		02-14-05	70 FR 7513
FTTF-001	Flight Training Candidates File System	06-10-02*	67 FR 39839*
		07-19-02	67 FR 47570
INTERPOL-001	INTERPOL-United States National Central Bureau (USNCB) Records System.	04-10-02	67 FR 17464
JMD-002	Controlled Substances Act Nonpublic Records	07-20-01	66 FR 38000
JMD-003	Department of Justice Payroll System	01-02-04	69 FR 107
JMD-006	Debt Collection Management System	11-12-93	58 FR 60055
JMD-009	Debt Collection Offset Payment System	06-19-97	62 FR 33438
JMD-016	Employee Assistance Program (EAP) Counseling and Referral Records.	06-09-00*	65 FR 36718*
		09-01-04	69 FR 53469
JMD-017	Department of Justice (DOJ) Employee Transportation Facilitation System.	04-24-01	66 FR 20683
JMD-022	Department of Justice Consolidated Asset Tracking System (CATS)	05-19-06	71 FR 29170
JMD-023	Federal Bureau of Investigation Whistleblower Case Files	09-07-05	70 FR 53253
JMD-024	Attorney Student Loan Repayment Program Applicant Files	11-03-06	71 FR 64740
NDIC-001	National Drug Intelligence Center Data Base	04-26-93	58 FR 21995
OAG-001	General Files System	09-12-85	50 FR 37294
OIG-001	Office of the Inspector General Investigative Records System	03-10-92*	57 FR 8476*
		05-22-00	65 FR 32125
		04-29-03	68 FR 22741
		12-07-99	64 FR 68375
OIG-004	OIG Employee Training Records	12-07-99	64 FR 68376
OIG-005	OIG Firearms Qualifications System	01-26-84	49 FR 3281
OIPR-001	Policy and Operational Records System	01-26-84	49 FR 3282
OIPR-002	Foreign Intelligence Surveillance Act Records System	01-26-84	49 FR 3284
OIPR-003	Litigation Records System	10-05-93	58 FR 51879
OJP-001	Equipment Inventory	10-17-88	53 FR 40526
OJP-004	Grants Management Information System	12-11-87	52 FR 47276
OJP-006	Congressional and Public Affairs System	11-17-80	45 FR 75936
OJP-007	Public Information System	10-17-88	53 FR 40528
OJP-008	Civil Rights Investigative System	10-17-88	53 FR 40529
OJP-009	Federal Advisory Committee Membership Files	10-17-88	53 FR 40430
OJP-010	Technical Assistance Resource Files	10-05-93	58 FR 51879
OJP-011	Registered Users File—National Criminal Justice Reference Service (NCJRS).	05-10-99	64 FR 25070
OJP-012	Public Safety Officers Benefits System	05-10-99	64 FR 25071
OJP-013	Denial of Federal Benefits Clearinghouse System (DEBAR)	08-07-06	71 FR 44709
OJP-014	Victims of International Terrorism Expense Reimbursement Program	09-04-85	50 FR 35879
OLC-001	Attorney Assignment Reports	09-04-85	50 FR 35878
OLC-002	Office of Legal Counsel Central File	07-25-85	50 FR 30309
OLP-002	United States Judges Records System	09-12-85	50 FR 37299
OLP-003	General Files System	10-31-02	67 FR 66417
OPA-001	Executive Clemency Case Files/Executive Clemency Tracking System	12-10-98*	63 FR 68299*
OPR-001	Office of Professional Responsibility Records Index	11-27-02	67 FR 70967
		04-20-04	69 FR 21160
OSCW-001	Caselink Document Database for Office of Special Counsel—Waco	09-05-00	65 FR 53749
PAO-001	News Release, Document and Index System	09-30-77	42 FR 53364
PRC-001	Docket, Scheduling and Control	12-11-87	52 FR 47281
PRC-003	Inmate and Supervision Files	03-10-88	53 FR 7313
PRC-004	Labor and Pension Case, Legal File and General Correspondence System.	10-17-88	53 FR 40533
PRC-005	Office Operation and Personnel System	10-17-88	53 FR 40535
PRC-006	Statistical, Educational and Developmental System	12-11-87	52 FR 47287
PRC-007	Workload Record, Decision Result, and Annual Report System	10-17-88	53 FR 40535
TAX-001	Criminal Tax Case Files, Special Project Files, Docket Cards, and Associated Records.	03-07-06	71 FR 11446, 47
TAX-002	Tax Division Civil Tax Case Files, Docket Cards, and Associated Records.	03-07-06	71 FR 11446, 49
TAX-003	Files of Applications for Attorney and Non-Attorney Positions with the Tax Division.	03-07-06	71 FR 11446, 51
USA-001	Administrative Files	12-22-83	48 FR 56662

USA-002	A.U.S.A. Applicant Files	08-23-83	48 FR 38329
USA-003	Citizen Complaint Files	10-13-89	54 FR 42088
USA-005	Civil Case Files	02-20-98*	63 FR 8659
		03-29-01	66 FR 17200
		10-13-89	54 FR 42090
USA-006	Consumer Complaints	02-20-98*	63 FR 8659*
USA-007	Criminal Case Files	12-21-99	64 FR 71499
		03-29-01	66 FR 17200
		10-13-89	54 FR 42093
USA-009	Kline District of Columbia and Maryland Stock and Land Fraud Inter-relationship Filing System.		
USA-010	Major Crimes Division Investigative Files	10-13-89	54 FR 42094
USA-011	Prosecutor's Management Information System (PROMIS)	10-13-89	54 FR 42095
USA-012	Security Clearance Forms for Grand Jury Reporters	02-04-83	48 FR 5386
USA-013	U.S. Attorney, District of Columbia Superior Court Division, Criminal Files.	10-13-89	54 FR 42097
USA-014	Pre-Trial Diversion Program Files	08-23-83	48 FR 38344
USA-015	Debt Collection Enforcement System	07-25-06	71 FR 42118
USA-016	Assistant United States Attorney Applicant Records System	03-10-92	57 FR 8487
USA-017	Appointed Assistant United States Attorneys Personnel System	03-10-92	57 FR 8488
USA-018	United States Attorneys' Office Giglio Information Files	12-01-00	65 FR 75308
USA-020	Employee Assistance Program (EAP) Counseling and Referral Records.	03-20-01	66 FR 15755
USM-001	United States Marshals Service Badge and Credentials File	11-08-99	64 FR 60832, 33
USM-002	United States Marshals Service Internal Affairs System	11-08-99	64 FR 60832, 34
USM-003	United States Marshals Service Prisoner Transportation System	09-06-91	56 FR 44101
USM-004	Special Deputation Files	11-08-99	64 FR 60832, 35
USM-005	U.S. Marshals Service Prisoner Processing and Population Management/Prisoner Tracking System (PPM/PTS).	04-28-04	69 FR 23213
USM-006	United States Marshals Service Training Files	11-08-99	64 FR 60832, 38
USM-007	Warrant Information Network (WIN)	11-08-99	64 FR 60832, 39
USM-008	Witness Security Files Information System	11-08-99	64 FR 60832, 40
USM-009	Inappropriate Communications/Threat Information System	11-08-00	64 FR 60832, 41
USM-010	Judicial Facility Security Index System	11-08-99	64 FR 60832, 42
USM-011	Judicial Protection Information System	11-08-99	64 FR 60832, 43
USM-013	U.S. Marshals Service Administration Proceedings, Claims and Civil Litigation Files.	11-08-99	64 FR 60832, 45
USM-015	U.S. Marshals Service (USMS) Employee Assistance Program (EAP) Records.	11-08-99	64 FR 60832, 47
USM-016	U.S. Marshals Service (USMS) Key Control Record System	11-08-99	64 FR 60832, 48
USM-017	Judicial Security Staff Inventory	11-08-99	64 FR 60849, 50
USM-018	Alternative Dispute Resolution (ADR) Files and Database Tracking System.	11-08-99	64 FR 60849, 51
USM-019	Merit Promotion Open Season Records System (MPOS)	05-23-06	71 FR 29668
UST-001	Bankruptcy Case Files and Associated Records	10-11-06	71 FR 59818, 19
UST-002	Bankruptcy Trustee Oversight Records	10-11-06	71 FR 59818, 22
UST-003	U.S. Trustee Program Timekeeping Records	10-11-06	71 FR 59818, 24
UST-004	United States Trustee Program Case Referral System	10-11-06	71 FR 59818, 25
UST-005	Credit Counseling and Debtor Education Files and Associated Records.	10-11-06	71 FR 59818, 27

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**ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:**

To appropriate agencies, entities, and persons when (1) The Department suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) the Department has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by the Department or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist

in connection with the Department's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

\* \* \* \* \*

[FR Doc. E7-1176 Filed 1-24-07; 8:45 am]  
BILLING CODE 4410-FB-P

**DEPARTMENT OF JUSTICE**

**Antitrust Division**

**Notice Pursuant to the National Cooperative Research and Production Act of 1993—AAF Association, Inc.**

Notice is hereby given that, on December 12, 2006 pursuant to Section 6(a) of the National Cooperative Research and Production Act of 1993, 14 U.S.C. 4301 *et seq.* ("the Act"), AAF

Association, Inc. has filed written notifications simultaneously with the Attorney General and the Federal Trade Commission disclosing changes in its membership. The notifications were filed for the purpose of extending the Act's provisions limiting the recovery of antitrust plaintiffs to actual damages under specified circumstances.

Specifically, Apple, Cupertino, CA; Konan Technology, Inc., Seoul, REPUBLIC OF KOREA; and SADiE, Cambridge, UNITED KINGDOM have withdrawn as parties to this venture.

No other changes have been made in either the membership or planned activity of the group research project. Membership in this group research project remains open, and AAF Association, Inc. intends to file additional written notifications disclosing all changes in membership.

01-31-2001

66 FR 8425

of all persons, or their representatives, who are parties to the investigations.

**Background**

On December 7, 2000, a petition was filed with the Commission and Commerce by USEC Inc., and its wholly owned subsidiary United States Enrichment Corp., Bethesda, MD, alleging that an industry in the United States is materially injured and threatened with material injury by reason of subsidized and LTFV imports of low enriched uranium from France, Germany, the Netherlands, and the United Kingdom. Accordingly, effective December 7, 2000, the Commission instituted countervailing duty and antidumping investigations Nos. 701-TA-409-412 and 731-TA-909-912 (Preliminary).

Notice of the institution of the Commission's investigations and of a public conference to be held in connection therewith was given by posting copies of the notice in the Office of the Secretary, U.S. International Trade Commission, Washington, DC, and by publishing the notice in the **Federal Register** of December 14, 2000 (65 FR 78187). The conference was held in Washington, DC, on December 28, 2000, and all persons who requested the opportunity were permitted to appear in person or by counsel.

The Commission transmitted its determination in these investigations to the Secretary of Commerce on January 22, 2001. The views of the Commission are contained in USITC Publication 3388 (January 2001), entitled Low Enriched Uranium From France, Germany, the Netherlands, and the United Kingdom: Investigations Nos. 701-TA-409-412 and 731-TA-909-912 (Preliminary).

Issued: January 24, 2001.

By order of the Commission.

**Donna R. Koehnke,**

*Secretary.*

[FR Doc. 01-2689 Filed 1-30-01; 8:45 am]

**BILLING CODE 7021-02-P**

**DEPARTMENT OF JUSTICE**

**Notice of Lodging of Settlement Agreement Under Comprehensive Environmental Response, Compensation and Liability Act**

In accordance with Departmental policy, 28 C.F.R. 50.7, notice is hereby given that a proposed Settlement Agreement in *In re Ecolaire Incorporated*, C.A. No. 99-2520 (Bnkr. Ct. Del.), was lodged on January 19, 2001, with the United States Bankruptcy Court for the District of Delaware. The Settlement Agreement resolves the United States' claims against Ecolaire Incorporated ("Ecolaire") with respect to past response costs incurred and future costs to be incurred, pursuant to Section 107 of the Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C. 9607, in connection with the clean-up of the Welsh Road Site. The Site is located in Honey Brook, Pennsylvania.

Under the Settlement Agreement, Ecolaire has agreed to give EPA, a general unsecured creditor, an allowed claim in the amount of \$13,277 in reimbursement of past and future response costs incurred in connection with the Site. Ecolaire will pay this allowed claim on the same basis as it pays the allowed claims of all other unsecured creditors.

The Department of Justice will receive, for a period of thirty (30) days from the date of this publication, comments relating to the proposed

Settlement Agreement. Comments should be addressed to the Assistant Attorney General for the Environment and Natural Resources Division, Department of Justice, Washington, D.C. 20530, and should refer to *In re Ecolaire Incorporated*, DOJ Reference No. 90-11-2-207/7.

The proposed Settlement Agreement may be examined at the Office of the United States Attorney, Chemical Bank Plaza, 1201 Market Street, Suite 1100, Wilmington, Delaware 19899; and the Region III Office of the Environmental Protection Agency, 1650 Arch Street, Philadelphia, Pennsylvania 19103. A copy of the proposed Settlement Agreement may be obtained by mail from the Department of Justice Consent Decree Library, P.O. Box 7611, Washington, D.C. 20044. In requesting a copy, please refer to the referenced case and enclose a check in the amount of \$2.00 (.25 cents per page production costs), payable to the Consent Decree Library.

**Walker B. Smith,**

*Deputy Chief, Environmental Enforcement Section, Environment and Natural Resources Division.*

[FR Doc. 01-2583 Filed 1-30-01; 8:45 am]

**BILLING CODE 4410-15-M**

**DEPARTMENT OF JUSTICE**

[AAG/A Order No. 217-2001]

**Privacy Act of 1974; System of Records**

Pursuant to the provisions of the Privacy Act of 1974, 5 U.S.C. 552a, notice is given that the Department of Justice proposes to modify the following systems of records:

AAG-001	General Files System	3-10-92	57 FR 8475
ATR-001	Antitrust Division Expert Witness File	10-13-89	54 FR 42061
ATR-002	Congressional and White House Referral Correspondence Log	2-3-93	58 FR 6985
ATR-003	Index of Defendants in Pending and Terminated Antitrust Cases	10-10-95	60 FR 52690
ATR-004	Statement by Antitrust Division Officials (ATD Speech File)	10-10-95	60 FR 52691
ATR-005	Antitrust Caseload Evaluation System (ACES)—Time Reporter	10-17-88	53 FR 40502
ATR-006	Antitrust Caseload Evaluation System (ACES)—Monthly Report	2-20-98	63 FR 8659
ATR-007	Antitrust Division Case Cards	10-10-95	60 FR 52692
ATR-008	Freedom of Information/Privacy Requestor Subject Index File	10-10-95	60 FR 52693
ATR-009	Public Complaints and Inquiries File	11-17-80	45 FR 75902
ATR-014	Civil Investigative Demand (CID) Tracking System	10-10-95	60 FR 52694
BIA-001	Decisions of the Board of Immigration Appeals	30350	48 FR 5331
BIA-002	Roster of Organizations and Their Accredited Representatives Recognized by the Board of Immigration Appeals.	11-17-80	45 FR 75908
BOP-001	Custodial and Security Record System	9-28-78	43 FR 44732
BOP-002	Freedom of Information Act Record System	9-30-77	42 FR 53291
BOP-003	Industrial Inmate Employment Record System	9-28-78	43 FR 44733
BOP-004	Inmate Administrative Remedy Record System	9-28-78	43 FR 44734
BOP-005	Inmate Central Records System	6-7-84	49 FR 23711
BOP-006	Inmate Commissary Accounts Record System	9-30-77	42 FR 53294
BOP-007	Inmate Physical and Mental Health Record System	9-28-78	43 FR 44735
BOP-008	Inmate Safety and Accident Compensation Record System	9-28-78	43 FR 44736
BOP-009	Federal Tort Claims Act Record System	9-28-78	43 FR 44737

BOP-010	Access Control Entry/Exit System	10-4-95	60 FR 52013
BOP-011	Telephone Activity Record System	4-21-95	60 FR 19958
BOP-012	Office of Internal Affairs Investigative Records	8-29-95	60 FR 44901
BOP-014	Employee Assistance Program (EAP) Record System	7-31-00	65 FR 46739
BOP-101	The National Institute of Corrections Technical Resource Provider Record System.	3-2-00	65 FR 11342
BOP-102	The National Institute of Corrections Field Readers List	4-18-83	48 FR 16656
BOP-103	National Institute of Corrections Academy Record System	12-16-99	64 FR 70286
BOP-104	National Institute of Corrections Mailing List & Information Center Contacts Records System.	12/16/99	64 FR 70287
CIV-001	Civil Division Case File System	2-20-98	63 FR 8659
CIV-002	Civil Division Case File System: Customs Litigation	1-10-80	45 FR 2217
CIV-003	Office of Alien Property File System	9-30-77	42 FR 53324
CIV-004	Swine Flu Administrative Claim File System	9-28-78	43 FR 44708
CIV-005	Freedom of Information/Privacy Acts File System	10-17-88	53 FR 40505
CIV-006	Consumer/Inquiry Investigatory System	10-17-88	53 FR 40506
CIV-007	Congressional and Citizen Correspondence File	10-17-88	53 FR 40507
COPS-001	Police Corps System	1-8-97	62 FR 1131
CRM-001	Central Criminal Division Index File and Associated Records	2-20-98	63 FR 8659
CRM-002	Criminal Division Witness Security File	12-11-87	52 FR 47186
CRM-003	File of Names Checked to Determine if those Individuals Have been the Subject of an Electronic Surveillance.	12-11-87	52 FR 47187
CRM-004	General Crimes Section, Criminal Division, Central Index File and Associated Records.	12-11-87	52 FR 47190
CRM-005	Index to Names of Attorneys Employed by the Criminal Division, U.S. Department of Justice, Indicating the Subject of the Memoranda on Criminal Matters They Have Written.	12-11-87	49 FR 47191
CRM-006	Information File on Individuals and Commercial Entities Known or Suspected of Being Involved in Fraudulent Activities.	9-30-77	42 FR 53336
CRM-007	Name Card File on Criminal Division Personnel Authorized to have Access to the Central Criminal Division Records.	12-11-87	52 FR 47192
CRM-008	Name Card File on Department of Justice Personnel Authorized to have Access to the Classified Files of the Department of Justice.	12-11-87	52 FR 47193
CRM-012	Organized Crime and Racketeering Section, General Index File and Associated Records.	11-26-90	55 FR 49147
CRM-014	Organized Crime and Racketeering Section, Intelligence and Special Services Unit, Information Request System.	9-30-77	42 FR 53343
CRM-017	Registration and Propaganda Files Under the Foreign Agents Registration Act of 1938, As Amended.	5-11-88	53 FR 16794
CRM-018	Registration Files of Individuals Who Have Knowledge of or Have Received Instruction or Assignment in Espionage, Counterespionage, or Sabotage Service or Tactics of a Foreign Government or of a Foreign Political Party.	12-11-87	52 FR 47197
CRM-019	Requests to the Attorney General for Approval of Applications to Federal Judges for Electronic Interceptions.	12-11-87	52 FR 47198
CRM-021	The Stocks and Bonds Intelligence Control Card File System	12-11-87	52 FR 47199
CRM-022	Witness Immunity Records	12-11-87	52 FR 47200
CRM-023	Weekly Statistical Report	1-10-80	45 FR 2195
CRM-024	Freedom of Information/Privacy Act Records	12-11-87	52 FR 47201
CRM-025	Tax Disclosure Index File and Associated Records	12-11-87	52 FR 47202
CRM-026	Index of Prisoners Transferred Under Prisoner Transfer Treaties	12-11-87	52 FR 47203
CRM-027	Office of Special Investigation (OSI) Displaced Persons Listings	12-11-87	52 FR 47204
CRS-001	Operational Data Information System	1-10-80	45 FR 2220
CRT-001	Central Civil Rights Division Index File and Associated Records	2-20-98	63 FR 8659
CRT-002	Files of Applications for the Position of Attorney with the Civil Rights Division	12-17-85	50 FR 51482
CRT-003	Civil Rights Case Load Evaluation System—Time Reporting System	10-17-88	53 FR 40510
CRT-004	Registry of Names of Interested Persons Desiring Notifications of Submissions Under Section 5 of the Voting Rights Act.	10-17-88	53 FR 40511
CRT-007	Files on Employment Civil Rights Matters Referred by the Equal Employment Opportunity Commission.	10-17-88	53 FR 40512
CRT-008	Files on Correspondence Relating to Civil Rights Matters From Persons Outside the Department of Justice.	10-17-88	53 FR 40513
CRT-009	Civil Rights Division Travel Reports	10-17-88	53 FR 40514
CRT-010	Freedom of Information; Privacy Act Records	10-17-88	57 FR 40515
DAG-003	Drug Enforcement Task Force Evaluation Reporting System	3-10-92	57 FR 8473
DAG-004	Honor Program Applicant System	10-21-85	50 FR 42605
DAG-005	Master Index File of Names	10-21-85	50 FR 42606
DAG-006	Presidential Appointee Candidate Records System	10-21-85	50 FR 42607
DAG-007	Presidential Appointee Records System	10-21-85	50 FR 42608
DAG-008	Special Candidates for Presidential Appointments and Noncareer SES Positions Records System.	8-31-94	59 FR 45005
DAG-009	Summer Intern Program Records System	10-21-85	50 FR 42611
DAG-010	United States Judge and Department of Justice Presidential Appointee Records.	10-21-85	50 FR 42612
DAG-011	Miscellaneous Attorney Personnel Records	10-21-85	50 FR 42613
DAG-012	Executive Secretarial Correspondence Control System	10-21-85	50 FR 42614
DAG-013	General Files System	3-10-92	57 FR 8475



DEA-001	Air Intelligence Program	12-11-87	52 FR 47206
DEA-INS-111	Automated Intelligence Records System (Pathfinder)	11-26-90	55 FR 49182
DEA-003	Automated Records and Consolidated Orders System/Diversion Analysis and Detection System (ARCOS/DADS).	04-28-00	65 FR 24986
DEA-004	Congressional Correspondence File	12-11-87	52 FR 47207
DEA-005	Controlled Substances Act Registration Records (CSA)	12-11-87	52 FR 47208
DEA-006	Freedom of Information/Privacy Act Records	12-11-87	42 FR 47209
DEA-008	Investigative Reporting and Filing System	10-17-96	61 FR 54219
DEA-010	Planning and Inspection Division Records	12-11-87	52 FR 47213
DEA-011	Operations Files	12-11-87	52 FR 47214
DEA-012	Registration Status/Investigation Records	12-11-87	52 FR 47215
DEA-013	Security Files	12-11-87	52 FR 47215
DEA-015	Training Files	12-11-87	52 FR 47217
DEA-017	Grants of Confidentiality File (GCF)	12-11-87	52 FR 47218
DEA-020	Essential Chemical Reporting System	12-11-87	52 FR 47219
DEA-021	DEA Aviation Unit Reporting System	4-28-00	65 FR 24986
DOJ-001	Accounting Systems for the Department of Justice	5-28-99	64 FR 29069
DOJ-002	DOJ Computer Systems Activity and Access Records	12-30-99	64 FR 73585
EOIR-001	Record and Management Information System	10-10-95	60 FR 52694
EOIR-003	Practitioner Complaint/Disciplinary Files	9-10-99	64 FR 49237
FBI-001	National Crime Information Center (NCIC)	9-28-99	64 FR 52343
FBI-002	The FBI Central Records System	2-20-98	63 FR 8671
FBI-003	Bureau Mailing Lists	10-5-93	52 FR 51872
FBI-006	Electronic Surveillance (Elsur) Indices	3-10-92	52 FR 8482
FBI-007	FBI Automated Payroll System	10-5-93	58 FR 51874
FBI-008	Personnel Information Network System (PINS)	10-5-93	58 FR 51875
FBI-009	Fingerprint Identification Records System (FIRS)	9-28-99	64 FR 52347
FBI-010	Employee Travel Vouchers and Individual Earning Records	12-11-87	52 FR 47248
FBI-011	Employee Health Records	10-5-93	58 FR 51875
FBI-012	Time Utilization Record-Keeping (TURK) System	10-5-93	58 FR 51876
FBI-013	Security Access Control System (SACS)	10-5-93	58 FR 51877
FBI-014	FBI Alcoholism Program	12-11-87	52 FR 47251
FBI-015	National Center for the Analysis of Violent Crime (NCAVC)	10-5-93	58 FR 51879
FBI-016	FBI Counterdrug Information Indices System (CIIS)	6-9-94	59 FR 29824
FBI-017	National DNA Index System (NDIS)	7-18-96	61 FR 37495
FBI-018	National Instant Criminal Background Check System (NICS)	11-25-98	63 FR 65223
INS-001	The Immigration and Naturalization Service Index System	12-14-00	65 FR 78190
INS-001A	The Immigration and Naturalization Service (INS) Alien File (A-File) and Central Index System (CIS).	10-5-93	58 FR 51847
INS-002	Office of Internal Audit Investigations Index and Records	7-8-97	62 FR 36572
INS-004	The Asset Management Information System (AMIS)	3-7-97	62 FR 10580
INS-007	Orphan Petitioner Index and Files	4-27-98	63 FR 20651
INS-008	Bond Management Information System (BMIS)	12-11-87	52 FR 47258
INS-009	Alien Status Verification Index	12-18-98	63 FR 70159
INS-010	Freedom of Information Act/Privacy Act (FOIA/PA) Case Tracking and Reporting System.	10-10-95	60 FR 52697
INS-011	The Password Issuance and Control System (PICS)	10-10-95	60 FR 52698
INS-012	Deportable Alien Control System (DACS)	3-2-89	54 FR 8837
INS-013	Computer Linked Application Information Management System	7-31-00	65 FR 46738
INS-014	Security Access Control System (SACS)	11-4-97	62 FR 59734
INS-015	Port of Entry Office Management Support System	5-10-90	55 FR 19674
INS-016	Secondary Verification Automated LOG (SVAL)	6-14-90	55 FR 24167
INS-017	Global Enrollment System (GES)	10-10-95	60 FR 52699
INS-019	Employee Assistance Program (EAP) Treatment Referral Records	3-13-97	62 FR 11919
INS-021	Designated Entity Information Management System (DEIMS)	1-22-98	63 FR 3349
INS-022	INS Attorney/Representative Complaint/Petition Files	7-22-97	62 FR 39256
INS-023	Law Enforcement Support Center Database (LESC)	12-16-99	64 FR 70288
INS-024	The FD-258 Fingerprint Tracking System	62 FR 70288	62 FR 26555
INS-025	Worksite Enforcement Activity Record & Index (LYNX)	5-14-97	62 FR 26555
INS-026	Hiring Tracking System	7-31-00	65 FR 46741
INTERPOL-001	The INTERPOL-United States National Central Bureau (INTERPOL-USNCB) (Department of Justice) INTERPOL-USNCB Records System.	12-16-99	64 FR 70288, 70290
JMD-001	Background Investigation Check-off Card	12-16-99	64 FR 70288, 70291
JMD-002	Controlled Substances Act Nonpublic Records	3-10-92	57 FR 8486
JMD-003	Department of Justice Payroll System	10-13-89	54 FR 42085
JMD-005	Grievance Records	11-17-80	45 FR 75931
JMD-006	Debt Collection Management System	4-13-99	64 FR 18051-056
JMD-007	Accounting System for the Offices, Boards and Divisions and the United States Marshals Service.	9-01-00	65 FR 53225
JMD-008	Security Clearance Information System (SCIS)	10-13-89	54 FR 42086
JMD-009	Debt Collection Offset Payment System	11-12-93	58 FR 60055
JMD-011	Office of General Counsel (OGC) Correspondence and Advice Tracking System (CATS).	12-11-87	52 FR 47268
JMD-012	Department of Justice (DOJ) Call Detail Records	8-23-90	55 FR 34629
		6-19-97	62 FR 33438
		9-9-94	59 FR 46661
		9-27-96	61 FR 50870

JMD-013	Employee Locator File	12-11-87	52 FR 47270
JMD-014	Security Access Control System	1-8-97	62 FR 1132
JMD-016	Employee Assistance Program (EAP) Counseling and Referral Records	6-09-00	65 FR 36718
JMD-017	Department of Justice (DOJ) Employee Transportation Facilitation System	11-10-98	63 FR 63075
JMD-019	Freedom of Information/Privacy Act Records	12-11-87	52 FR 47272
JMD-020	Freedom of Information/Privacy Acts (FOI/PA) Requests Letters	12-11-87	52 FR 47274
ENRD-001	Appraisers, Approved Attorneys, Abstractors and Title Companies Files Database System.	2-23-00	65 FR 8989
LDN-002	Congressional Correspondence File	9-30-77	42 FR 53351
ENRD-003	Environment & Natural Resources Division Case & Related Files System	2-23-00	65 FR 8990
LDN-005	Freedom of Information Act and Privacy Act Records System	2-4-83	48 FR 5363
LDN-006	Citizens' Mail File	1-10-80	45 FR 2214
NDIC-001	National Drug Intelligence Center Data Base	4-26-93	58 FR 21995
OAG-001	General Files System	9-12-85	50 FR 37294
OIG-001	Office of the Inspector General Investigative Records System	5-22-00	65 FR 32125
OIG-003	Freedom of Information and Privacy Acts	10-9-91	56 FR 50947
OIG-004	OIG Employee Training Records	12-07-99	64 FR 68375
OIG-005	OIG Firearms Qualifications System	12-07-99	64 FR 68376
OIPR-001	Policy and Operational Records System	1-26-84	49 FR 3281
OIPR-002	Foreign Intelligence Surveillance Act Records System	1-26-84	49 FR 3282
OIPR-003	Litigation Records System	1-26-84	49 FR 3284
OIPR-004	Domestic Security/Terrorism Investigations Records System	1-26-84	49 FR 3284
OJP-001	Equipment Inventory	10-5-93	58 FR 51879
OJP-004	Grants Management Information System	10-17-88	53 FR 40526
OJP-005	Financial Management System	10-17-88	53 FR 40527
OJP-006	Congressional and Public Affairs System	12-11-87	52 FR 47276
OJP-007	Public Information System	11-17-80	45 FR 75936
OJP-008	Civil Rights Investigative System	10-17-88	53 FR 40528
OJP-009	Federal Advisory Committee Membership Files	10-17-88	53 FR 40529
OJP-010	Technical Assistance Resource Files	10-17-88	53 FR 40430
OJP-011	Registered Users File-National Criminal Justice Reference Service (NCJRS)	10-5-93	58 FR 51879
OJP-012	Public Safety Officers Benefits System	5-10-99	64 FR 25070
OJP-013	Denial of Federal Benefits Clearinghouse System (DEBAR)	5-10-99	64 FR 25071
OLA-001	Congressional Committee Chairman Correspondence File	12-11-87	52 FR 47278
OLA-002	Congressional Correspondence File	12-11-87	52 FR 47278
OLA-003	Citizen Correspondence File	12-11-87	52 FR 47279
OLC-001	Attorney Assignment Reports	9-4-85	50 FR 35879
OLC-002	Office of Legal Counsel Central File	9-4-85	50 FR 35878
OLC-003	Office of Legal Counsel Freedom of Information Act and Privacy Act Files	2-28-94	59 FR 9497
OLP-001	Freedom of Information and Privacy Appeals Index	10-21-85	50 FR 42615
OLP-002	United States Judges Records System	7-25-85	50 FR 30309
OLP-003	General Files System	9-12-85	50 FR 37299
OLP-004	Declassification Review Index System	2-7-86	51 FR 4825
OPA-001	Executive Clemency File	2-3-93	58 FR 6980
OPA-002	Miscellaneous Correspondence File	2-3-93	58 FR 6981
OPA-003	Freedom of Information/Privacy Acts (FOI/PA) Request File	2-3-93	58 FR 6982
OPI-001	News Release, Document and Index System	9-30-77	42 FR 53364
OPR-001	Office of Professional Responsibility Records Index	12-10-98	63 FR 68299
OPR-002	Freedom of Information/Privacy Acts (FOI/PA) Records	12-10-98	63 FR 68300
OSC-001	Central Index File and Associated Records	10-17-88	53 FR 40531
OSC-002	Files on Correspondence Relating to Immigration-Related, Unfair Employment Practices from Persons Outside the Department of Justice.	10-17-88	53 FR 40532
OSC-003	Special Counsel for Immigration Related Unfair Employment Practices Travel Reports.	9-15-88	53 FR 35926
OSC-004	Freedom of Information; Privacy Act Records	9-15-88	53 FR 35927
OSCW-001	Caselink Document Database for Office of Special Counsel—Waco	9-05-00	65 FR 53749
PRC-001	Docket, Scheduling and Control	12-11-87	52 FR 47281
PRC-002	Freedom of Information Act Record System	12-11-87	52 FR 47282
PRC-003	Inmate and Supervision Files	3-10-88	53 FR 7313
PRC-004	Labor and Pension Case, Legal File and General Correspondence System	10-17-88	53 FR 40533
PRC-005	Office Operation and Personnel System	10-17-88	53 FR 40535
PRC-006	Statistical, Educational and Developmental System	12-11-87	52 FR 47287
PRC-007	Workload Record, Decision Result, and Annual Report System	10-17-88	53 FR 40535
TAX-001	Tax Division Central Classification Cards, Index Docket Cards, and Associated Records—Criminal Tax Cases.	2-20-98	63 FR 8659
TAX-002	Tax Division Central Classification Cards, Index Docket Cards, and Associated Records—Civil Tax Cases.	2-20-98	63 FR 8659
TAX-003	Files of Applications for the Position of Attorney with the Tax Division	9-30-77	42 FR 53390
TAX-004	Freedom of Information—Privacy Act Request Files	2-4-83	48 FR 5377
TAX-005	Tax Division Special Projects File	9-30-77	42 FR 53391
USA-001	Administrative Files	12-22-83	48 FR 56662
USA-002	A.U.S.A. Applicant Files	8-23-83	48 FR 38329
USA-003	Citizen Complaint Files	10-13-89	54 FR 42088
USA-004	Citizen Correspondence Files	10-13-89	54 FR 42089
USA-005	Civil Case Files	2-20-98	63 FR 8659
USA-006	Consumer Complaints	10-13-89	54 FR 42090

USA-007	Criminal Case Files	2-20-98 12-21-99	63 FR 8659 64 FR 71499
USA-008	Freedom of Information Act/Privacy Act Files	10-13-89	54 FR 42091
USA-009	Kline District of Columbia and Maryland Stock and Land Fraud Inter-relationship Filing System.	10-13-89	54 FR 42093
USA-010	Major Crimes Division Investigative Files	10-13-89	54 FR 42094
USA-011	Prosecutor's Management Information System (PROMIS)	10-13-89	54 FR 42095
USA-012	Security Clearance Forms for Grand Jury Reporters	2-4-83	48 FR 5386
USA-013	U.S. Attorney, District of Columbia Superior Court Division, Criminal Files	10-13-89	54 FR 42097
USA-014	Pre-Trial Diversion Program Files	8-23-83	48 FR 38344
USA-015	Debt Collection Enforcement System	11-12-93	58 FR 60055
USA-016	Assistant United States Attorney Applicant Records System	3-10-92	57 FR 8487
USA-017	Appointed Assistant United States Attorneys Personnel System	3-10-92	57 FR 8488
USA-018	United States Attorneys' Office Giglio Information Files	12-01-00	65 FR 75308
USM-001	United States Marshals Service Badge and Credentials File	11-08-99	64 FR 60832, 33
USM-002	United States Marshals Service Internal Inspections System	11-08-99	64 FR 60832, 34
USM-003	United States Marshals Service Prisoner Transportation System	9-06-91	56 FR 44101
USM-004	Special Deputation Files	11-08-99	64 FR 60832, 35
USM-005	U.S. Marshals Service Prisoner Processing and Population Management/Prisoner Tracking System (PPM/PTS).	11-08-99	64 FR 60832, 36
USM-006	United States Marshals Service Training Files	11-08-99	64 FR 60832, 38
USM-007	Warrant Information Network (WIN)	11-08-99	64 FR 60832, 39
USM-008	Witness Security Files Information System	11-08-99	64 FR 60832, 40
USM-009	Inappropriate Communications/Threat Information System	11-08-99	64 FR 60832, 41
USM-010	Judicial Facility Security Index System	11-08-99	64 FR 60832, 42
USM-011	Judicial Protection Information System	11-08-99	64 FR 60832, 43
USM-012	U.S. Marshals Service Freedom of Information/Privacy Act (FOIA/PA) File	11-08-99	64 FR 60832, 44
USM-013	U.S. Marshals Service Administration Proceedings, Claims and Civil Litigation Files.	11-08-99	64 FR 60832, 45
USM-014	Joint Automated Booking Stations (JABS)	4-13-95	60 FR 18853
USM-015	U.S. Marshals Service (USMS) Employee Assistance Program (EAP) Records.	11-08-99	64 FR 60832, 47
USM-016	U.S. Marshals Service (USMS) Key Control Records System	11-08-99	64 FR 60832, 48
USM-017	Judicial Security Staff Inventory	11-08-99	64 FR 60849, 50
USM-018	Alternative Dispute Resolution (ADR) Files and Database Tracking System	11-08-99	64 FR 60849, 51
UST-001	Bankruptcy Case Files and Associated Records	9-23-99	64 FR 51557
UST-002	Trustee File	9-23-99	64 FR 51557, 59
UST-003	U.S. Trustee Timekeeping System	7-26-99	64 FR 40392
UST-004	United States Trustee Program Case Referral System	9-23-99	64 FR 51557, 59

The Department has modified all of its systems of records to include a new routine use that allows disclosure to former employees for purposes of responding to official inquiries by government entities or professional licensing authorities in accordance with the Department's regulation governing access under such circumstances, 28 CFR 16.300-01. The new routine use also allows disclosure to former employees when the Department requires information and/or consultation assistance from the former employee that is necessary for personnel-related or other official purposes regarding a matter within that person's former area of responsibility.

In accordance with 5 U.S.C. 552a(e)(4) and (11), the public is given a 30-day period in which to comment; and the Office of Management and Budget (OMB), which has oversight responsibility under the Privacy Act, requires a 40-day period in which to conclude its review of the system. Therefore, please submit any comments by March 2, 2001. The public, OMB, and the Congress are invited to submit any comments to Mary E. Cahill, Management and Planning Staff, Justice

Management Division, United States Department of Justice, Washington, DC 20530-0001 (room 1400, National Place Building).

A description of the modification to the Department's systems of records is provided below. In accordance with 5 U.S.C. 552a(r), the Department has provided a report to OMB and the Congress.

Dated: January 8, 2001.

**Stephen R. Colgate,**  
*Assistant Attorney General for Administration.*

**DOJ Privacy Act Systems of Records**

- AAG-001 General Files Systems
- ATR-001 Antitrust Division Expert Witness File
- ATR-002 Congressional and White House Referral Correspondence Log File
- ATR-003 Index of Defendants in Pending and Terminated Antitrust Cases
- ATR-004 Statements by Antitrust Division Officials (ATD Speech File)
- ATR-005 Antitrust Caseload Evaluation System (ACES)—Time Reporter

- ATR-006 Antitrust Caseload Evaluation System (ACES)—Monthly Report
- ATR-007 Antitrust Division Case Cards
- ATR-008 Freedom of Information/Privacy Requestor Subject Index File
- ATR-009 Public Complaints and Inquiries File
- ATR-014 Civil Investigative Demand (CID) Tracking System
- BIA-001 Decisions of the Board of Immigration Appeals
- BIA-002 Roster of Organizations and their Accredited, Representatives Recognized by the Board of Immigration Appeals
- BOP-001 Custodial and Security Record System
- BOP-002 Freedom of Information Act Record System
- BOP-003 Industrial Inmate Employment Record System
- BOP-004 Inmate Administrative Remedy Record System
- BOP-005 Inmate Central Records System
- BOP-006 Inmate Commissary Accounts Record System
- BOP-007 Inmate Physical and Mental Health Record System

- BOP-008 Inmate Safety and Accident Compensation Record System
- BOP-009 Federal Tort Claims Act Record System
- BOP-010 Access Control Entry/Exit System
- BOP-011 Telephone Activity Record System
- BOP-012 Office of Internal Affairs Investigative Records
- BOP-014 Employee Assistance Program (EAP) Record System
- BOP-101 The National Institute of Corrections Technical Resource Provider Record System
- BOP-102 The National Institute of Corrections Field Readers List
- BOP-103 National Institute of Corrections Academy Record System
- BOP-104 National Institute of Corrections Mailing List & Information Center Contacts Records System
- CIV-001 Civil Division Case File System
- CIV-002 Civil Division Case File System: Customs Litigation
- CIV-003 Office of Alien Property File System
- CIV-004 Swine Flu Administrative Claim File System
- CIV-005 Freedom of Information/Privacy Acts File System
- CIV-006 Consumer/Inquiry Investigatory System
- CIV-007 Congressional and Citizen Correspondence File
- COPS-001 Police Corps System
- CRM-001 Central Criminal Division Index File and Associated Records
- CRM-002 Criminal Division Witness Security File
- CRM-003 File of Names Checked to Determine if those Individuals Have Been The Subject of an Electronic Surveillance
- CRM-004 General Crimes Section, Criminal Division, Central Index File and Associated Records
- CRM-005 Index to Names of Attorneys Employed by the Criminal Division, U.S. Department of Justice, Indicating the Subject of the Memoranda on Criminal Matters They Have Written
- CRM-006 Information File on Individuals and Commercial Entities Known or Suspected of Being Involved in Fraudulent Activities
- CRM-007 Name Card File on Criminal Division Personnel Authorized to have Access to the Central Criminal Division Records
- CRM-008 Name Card File on Department of Justice Personnel Authorized to have Access to the Classified Files of the Department of Justice
- CRM-012 Organized Crime and Racketeering Section, General Index File and Associated Records
- CRM-014 Organized Crime and Racketeering Section, Intelligence and Special Services Unit, Information Request System
- CRM-017 Registration and Propaganda Files Under the Foreign Agents Registration Act of 1938, As Amended
- CRM-018 Registration Files of Individuals Who Have Knowledge of or Have Received Instruction or Assignment in Espionage, Counterespionage, or Sabotage Service or Tactics of a Foreign Government or of a Foreign Political Party
- CRM-019 Requests to the Attorney General for Approval of Applications to Federal Judges for Electronic Interceptions
- CRM-021 The Stocks and Bonds Intelligence Control Card File System
- CRM-022 Witness Immunity Records
- CRM-023 Weekly Statistical Report
- CRM-024 Freedom of Information/Privacy Act Records
- CRM-025 Tax Disclosure Index File and Associated Records
- CRM-026 Index of Prisoners Transferred Under Prisoner Transfer Treaties
- CRM-027 Office of Special Investigation (OSI) Displaced Persons Listings
- CRS-001 Operational Data Information System
- CRT-001 Central Civil Rights Division Index File and Associated Records
- CRT-002 Files of Applications for the Position of Attorney with the Civil Rights Division
- CRT-003 Civil Rights Case Load Evaluation System—Time Reporting System
- CRT-004 Registry of Names of Interested Persons Desiring Notifications of Submissions Under Section 5 of the Voting Rights Act
- CRT-007 Files on Employment Civil Rights Matters Referred by the Equal Employment Opportunity Commission
- CRT-008 Files on Correspondence Relating to Civil Rights Matters from persons Outside the Department of Justice
- CRT-009 Civil Rights Division Travel Reports
- CRT-010 Freedom of Information; Privacy Act Records
- DAG-003 Drug Enforcement Task Force Evaluation Reporting System
- DAG-004 Honor Program Applicant System
- DAG-005 Master Index File of Names
- DAG-006 Presidential Appointee Candidate Records System
- DAG-007 Presidential Appointee Records System
- DAG-008 Special Candidates for Presidential Appointments and Noncareer SES Positions Record System
- DAG-009 Summer Intern Program Records System
- DAG-010 United States Judge and Department of Justice Presidential Appointee Records
- DAG-011 Miscellaneous Attorney Personnel Records
- DAG-012 Executive Secretariat Correspondence Control System
- DAG-013 General Files System
- DEA-001 Air Intelligence Program
- DEA-INS-111 Automated Intelligence Records System (Pathfinder)
- DEA-003 Automated Records and Consolidated Orders System/Diversion Analysis and Detection System (ARCOS/DADS)
- DEA-004 Congressional Correspondence File
- DEA-005 Controlled Substances Act Registration Records (CSA)
- DEA-006 Freedom of Information/Privacy Act Records
- DEA-008 Investigative Reporting and Filing System
- DEA-010 Planning and Inspection Division Records
- DEA-011 Operations Files
- DEA-012 Registration Status/Investigation Records
- DEA-013 Security Files
- DEA-015 Training Files
- DEA-017 Grants of Confidentiality Files (GCF)
- DEA-020 Essential Chemical Reporting System
- DEA-021 DEA Aviation Unit Reporting System
- DOJ-001 Accounting Systems for the Department of Justice
- DOJ-002 DOJ Computer Systems Activity & Access Records
- EOIR-001 Records and Management Information System
- EOIR-003 Practitioner Complaint/Disciplinary Files
- FBI-001 National Crime Information Center (NCIC)
- FBI-002 The FBI Center Records System
- FBI-003 Bureau Mailing Lists
- FBI-006 Electronic Surveillance (Elsur) Indices
- FBI-007 FBI Automated Payroll System
- FBI-008 Personnel Information Network System (PINS)
- FBI-009 Fingerprint Identification Records System (FIRS)
- FBI-010 Employee Travel Vouchers and Individual Earning Records
- FBI-011 Employee Health Records
- FBI-012 Time Utilization Record-Keeping (TURK) System
- FBI-013 Security Access Control System (SACS)
- FBI-014 FBI Alcoholism Program

FBI-015 National Center for the Analysis of Violent Crime (NCAVC)  
 FBI-016 FBI Counterdrug Information Indices System (CIIS)  
 FBI-017 National DNA Index System (NDIS)  
 FBI-018 National Instant Criminal Background Check System (NICS)  
 INS-001 The Immigration and Naturalization Service Index System  
 INS-001A The Immigration and Naturalization Service (INS) Alien File (A-File) and Central Index System (CIS)  
 INS-002 Office of Internal Audit Investigations Index and Records  
 INS-004 The Asset Management Information System (AMIS)  
 INS-007 Orphan Petitioner Index and Files  
 INS-008 Bond Management Information System (BMIS)  
 INS-009 Alien Status Verification Index  
 INS-010 Freedom of Information Act/ Privacy Act (FOIA/PA) Case Tracking and Reporting System  
 INS-011 The Password Issuance and Control System (PICS)  
 INS-012 Deportable Alien Control System (DACS)  
 INS-013 Computer Linked Application Information Management System  
 INS-014 Security Access Control System (SACS)  
 INS-015 Port of Entry Office Management Support System  
 INS-016 Secondary Verification Automated LOG (SVAL)  
 INS-017 Global Enrollment System (GES)  
 INS-019 Employee Assistance Program (EAP) Treatment Referral Records  
 INS-021 Designated Entity Information Management System (DEIMS)  
 INS-022 INS Attorney/Representative Complaint/Petition Files  
 INS-023 Law Enforcement Support Center Database (LESC)  
 INS-024 The FD-258 Fingerprint Tracking System  
 INS-025 Worksite Enforcement Activity Record & Index (LYNX)  
 INS-026 Hiring Tracking System  
 INTERPOL-001 THE INTERPOL-United States National Central Bureau (INTERPOL-USNCB) (Department of Justice) INTERPOL-USNCB Records System  
 JMD-001 Background Investigation Check-off Card  
 JMD-002 Controlled Substances Act Nonpublic Records  
 JMD-003 Department of Justice Payroll System  
 JMD-005 Grievance Records  
 JMD-006 Debt Collection Management System  
 JMD-007 Accounting System for the Offices, Boards and Divisions and the United States Marshals Service  
 JMD-008 Security Clearance Information System (SCIS)  
 JMD-009 Debt Collection Off-set Payment System  
 JMD-011 Office of General Counsel (OGC) Correspondence and Advice Tracking System (CATS)  
 JMD-012 Department of Justice (DOJ) Call Detail Records  
 JMD-013 Employee Locator File  
 JMD-014 Security Access Control System  
 JMD-016 Employee Assistance Program (EAP) Counseling and Referral Records  
 JMD-017 Department of Justice (DOJ) Employee Transportation Facilitation System  
 JMD-019 Freedom of Information/ Privacy Act Records  
 JMD-020 Freedom of Information/ Privacy Acts (FOI/PA) Requests Letters  
 ENRD-001 Appraisers, Approved Attorneys, Abstractors and Title Companies Files Database System  
 LDN-002 Congressional Correspondence File  
 ENRD-003 Environment & Natural Resources Division Case & Related Files System  
 LDN-005 Freedom of Information Act and Privacy Act Records System  
 LDN-006 Citizens' Mail File  
 NDIC-001 National Drug Intelligence Center Data Base  
 OAG-001 General Files System  
 OIG-001 Office of the Inspector General Investigative Records System  
 OIG-003 Freedom of Information and Privacy Acts  
 OIG-004 OIG Employee Training Records  
 OIG-005 Firearms Qualifications System  
 OIPR-001 Policy and Operational Records System  
 OIPR-002 Foreign Intelligence Surveillance Act Records System  
 OIPR-003 Litigation Records System  
 OIPR-004 Domestic Security/ Terrorism Investigations Records System  
 OJP-001 Equipment Inventory  
 OJP-004 Grants Management Information System  
 OJP-005 Financial Management System  
 OJP-006 Congressional and Public Affairs System  
 OJP-007 Public Information System  
 OJP-008 Civil Rights Investigative System  
 OJP-009 Federal Advisory Committee Membership Files  
 OJP-010 Technical Assistance Resource Files  
 OJP-011 Registered Users File—National Criminal Justice Reference Service (NCJRS)  
 OJP-012 Public Safety Officers Benefits System  
 OJP-013 Denial of Federal Benefits Clearinghouse System (DEBAR)  
 OLA-001 Congressional Committee Chairman Correspondence File  
 OLA-002 Congressional Correspondence File  
 OLA-003 Citizen Correspondence File  
 OLC-001 Attorney Assignment Reports  
 OLC-002 Office of Legal Counsel Central File  
 OLC-003 Office of Legal Counsel Freedom of Information Act and Privacy Act Files  
 OLP-001 Freedom of Information and Privacy Appeals Index  
 OLP-002 United States Judges Records System  
 OLP-003 General Files System  
 OLP-004 Declassification Review Index System  
 OPA-001 Executive Clemency File  
 OPA-002 Miscellaneous Correspondence File  
 OPA-003 Freedom of Information/ Privacy Acts (FOI/PA) Request File  
 OPI-001 News Release, Document and Index System  
 OPR-001 Office of Professional Responsibility Records Index  
 OPR-002 Freedom of Information/ Privacy Acts (FOI/PA) Records  
 OSC-001 Central Index File and Associated Records  
 OSC-002 Files on Correspondence Relating to Immigration-Related, Unfair Employment Practices from Persons Outside the Department of Justice  
 OSC-003 Special Counsel for Immigration Related Unfair Employment Practices Travel Reports  
 OSC-004 Freedom of Information; Privacy Act Records  
 OSCW-001 Caselink Document Database for Office of Special Counsel—Waco  
 PRC-001 Docket, Scheduling and Control  
 PRC-002 Freedom of Information Act Record System  
 PRC-003 Inmate and Supervision Files  
 PRC-004 Labor and Pension Case, Legal File and General Correspondence System  
 PRC-005 Office Operation and Personnel System  
 PRC-006 Statistical, Educational and Developmental System  
 PRC-007 Workload Record, Decision Result, and Annual Report System  
 TAX-001 Tax Division Central Classification Cards, Index Docket

Cards, and Associated Records—  
Criminal Tax Cases  
TAX-002 Tax Division Central  
Classification Cards, Index Docket  
Cards, and Associated Records—Civil  
Tax Cases  
TAX-003 Files of Applications for the  
Position of Attorney with the Tax  
Division  
TAX-004 Freedom of Information—  
Privacy Act Request Files  
TAX-005 Tax Division Special  
Projects File  
USA-001 Administrative Files  
USA-002 A.U.S.A. Applicant Files  
USA-003 Citizen Complaint Files  
USA-004 Citizen Correspondence  
Files  
USA-005 Civil Case Files  
USA-006 Customer Complaints  
USA-007 Criminal Case Files  
USA-008 Freedom of Information Act/  
Privacy Act Files  
USA-009 Kline District of Columbia  
and Maryland Stock and Land Fraud  
Interrelationship Filing System  
USA-010 Major Crimes Division  
Investigative Files  
USA-011 Prosecutor's Management  
Information System (PROMIS)  
USA-012 Security Clearance Forms  
for Grand Jury Reporters  
USA-013 U.S. Attorney, District of  
Columbia Superior Court Division,  
Criminal Files  
USA-014 Pre-Trial Diversion Program  
Files  
USA-015 Debt Collection Enforcement  
System  
USA-016 Assistant United States  
Attorney Applicant Records System  
USA-017 Appointed Assistant United  
States Attorneys Personnel System  
USA-018 United States Attorneys'  
Office Giglio Information Files  
USM-001 United States Marshals  
Service Badge and Credentials File  
USM-002 United States Marshals  
Service Internal Inspections System  
USM-003 United States Marshals  
Service Prisoner Transportation  
System  
USM-004 Special Deputation Files  
USM-005 U.S. Marshals Service  
Prisoner Processing and Population  
Management/Prisoner Tracking  
System (PPM/PTS)  
USM-006 United States Marshals  
Service Training Files  
USM-007 Warrant Information  
Network (WIN)  
USM-008 Witness Security Files  
Information System  
USM-009 Inappropriate  
Communications/Threat Information  
System  
USM-010 Judicial Facility Security  
Index System  
USM-011 Judicial Protection  
Information System

USM-012 U.S. Marshals Service  
Freedom of Information/Privacy Act  
(FOI/PA) File  
USM-013 U.S. Marshals Service  
Administration Proceedings, Claims  
and Civil Litigation Files  
USM-014 Joint Automated Booking  
Stations (JABS)  
USM-015 U.S. Marshals Service  
(USMS) Employee Assistance  
Program (EAP) Records  
USM-016 U.S. Marshals Service  
(USMS) Key Control Record System  
USM-017 Judicial Security Staff  
Inventory  
USM-018 Alternative Dispute  
Resolution (ADR) Files and Database  
Tracking System  
UST-001 Bankruptcy Case Files and  
Associated Records  
UST-002 Trustee File  
UST-003 U.S. Trustee Timekeeping  
System  
UST-004 United States Trustee  
Program Case Referral System  
\* \* \* \* \*

*Routine Uses of Records Maintained in  
the System, Including Categories of  
Users and the Purposes of Such Uses*

Pursuant to subsection (b)(3) of the  
Privacy Act, the Department of Justice  
may disclose relevant and necessary  
information to a former employee of the  
Department for purposes of: responding  
to an official inquiry by a federal, state,  
or local government entity or  
professional licensing authority, in  
accordance with applicable Department  
regulations; or facilitating  
communications with a former  
employee that may be necessary for  
personnel-related or other official  
purposes where the Department requires  
information and/or consultation  
assistance from the former employee  
regarding a matter within that person's  
former area of responsibility.

\* \* \* \* \*

[FR Doc. 01-2397 Filed 1-30-01; 8:45 am]

BILLING CODE 4410-FB-M

**MORRIS K. UDALL SCHOLARSHIP  
AND EXCELLENCE IN NATIONAL  
ENVIRONMENTAL POLICY  
FOUNDATION**

**The United States Institute for  
Environmental Conflict Resolution;  
Agency Information Collection  
Activities; Proposed Collection;  
Comment Request; U.S. Institute for  
Environmental Conflict Resolution  
Application for the National Roster of  
Dispute Resolution and Consensus  
Building Professionals: Sub-Roster of  
Transportation Mediators & Facilitators**

AGENCY: Morris K. Udall Scholarship  
and Excellence in National  
Environmental Policy Foundation, U.S.  
Institute for Environmental Conflict  
Resolution.

ACTION: Notice.

**SUMMARY:** In compliance with the  
Paperwork Reduction Act and  
supporting regulations, this document  
announces that the U.S. Institute for  
Environmental Conflict Resolution (the  
Institute), part of the Morris K. Udall  
Foundation, is planning to submit the  
following proposed Information  
Collection Request (ICR) to the Office of  
Management and Budget (OMB):  
National Roster of Environmental  
Dispute Resolution and Consensus  
Building Professionals: Sub-Roster of  
Transportation Mediators & Facilitators.  
Before submitting the ICR to OMB for  
review and approval, the Institute is  
soliciting comments regarding the  
proposed information collection (see the  
section C. below entitled Questions to  
Consider in Making Comments.) This  
document provides information on the  
need for the Sub-Roster of  
Transportation Mediators & Facilitators,  
the information to be recorded in the  
sub-roster, and the entry criteria for  
applicants who wish to be listed. The  
sub-roster application will not be  
available until all Paperwork Reduction  
Act requirements are met.

**DATES:** Comments must be submitted on  
or before April 2, 2001.

**ADDRESSES:** Direct comments and  
requests for information, including  
copies of the proposed ICR to: Joan C.  
Calcagno, Roster Manager, U.S. Institute  
for Environmental Conflict Resolution,  
110 South Church Avenue, Suite 3350,  
Tucson, Arizona 85701, Fax: 520-670-  
5530, Phone: 520-670-5299, E-mail:  
roster@ecr.gov.

**FOR FURTHER INFORMATION CONTACT:** Joan  
C. Calcagno, Roster Manager, U.S.  
Institute for Environmental Conflict  
Resolution, 110 South Church Avenue,  
Suite 3350, Tucson, Arizona 85701, Fax:

03-10-92

57 FR 8474

Office of the Deputy Attorney General is authorized to appear when (a) the Office of the Deputy Attorney General, or any subdivision thereof, or (b) any employee of the Office of the Deputy Attorney General in his or her official capacity, or (c) any employee of the Office of the Deputy Attorney General in his or her individual capacity where the Department of Justice has agreed to represent the employee, or (d) the United States, where the Office of the Deputy Attorney General determines that litigation is likely to affect it or any of its subdivisions, is a party to litigation or has an interest in litigation and such records are determined by the Office of the Deputy Attorney General to be arguably relevant to the litigation.

**POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:**

**STORAGE:**

All records are stored in paper folders. All records, with the exception of indictment forms, are stored also on magnetic disks.

**RETRIEVABILITY:**

Records are generally retrieved by case number. Records may be retrieved by individual name or name of criminal organization.

**SAFEGUARDS:**

Paper folders are stored in a combination safe which is inside a locked room. This room is part of a locked suite of offices. The magnetic disks and computer are located in the same room; the computer has a key lock. Only those persons with a Top Secret clearance may actually access the computer by using a code.

**RETENTION AND DISPOSAL:**

Records are kept indefinitely.

**SYSTEM MANAGER(S) AND ADDRESS:**

Staff Director, Drug Enforcement Task Force, Office of the Deputy Attorney General, 10th and Constitution Avenue, NW., Washington, D.C. 20530.

**NOTIFICATION PROCEDURE:**

*This system of records has been exempted from subsections (d) and (e)(4)(G) pursuant to subsections (j)(2) and (k)(2) of the Privacy Act. Thus no records are available under the Privacy Act. Nevertheless, Privacy Act (PA) requests are routinely processed under the Freedom of Information Act (FOIA) also. Therefore, to determine whether the system may contain a record which may be available under the FOIA, requests may be addressed to the System Manager listed above. Clearly*

*mark the envelope and the letter "FOIA/PA request."*

**RECORD ACCESS PROCEDURE:**

*This system of records has been exempted from subsections (d) and (e)(4)(H) pursuant to subsection (j)(2) and (k)(2) of the Privacy Act. Thus, no records are available under the Privacy Act. Nevertheless, Privacy Act (PA) requests are routinely processed under the Freedom of Information Act (FOIA) also. Therefore, to determine whether any records in the system are available under the FOIA, requests may be addressed to the System Manager listed above. Clearly mark the envelope and the letter "FOIA/PA request."*

**CONTESTING RECORD PROCEDURES:**

*The system of records has been exempted from subsections (d) and (e)(4)(H) of the Privacy Act. While the Freedom of Information Act permits access to certain records (see Record Access Procedures above), it contains no records contesting provisions.*

**RECORD SOURCE CATEGORIES:**

Sources of information contained in this system include Federal, State, and local government agencies as appropriate, informants, and interested third parties.

**SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:**

The Attorney General has exempted this system from subsections (c)(3) and (4); (d); (e)(1), (2) and (3), (e)(4)(G) and (H), (e)(5); and (g) of the Privacy Act pursuant to 5 U.S.C. 552a (j)(2) and (k)(2). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c) and (e) and have been published Federal Register.

**JUSTICE/DAG-013**

**SYSTEM NAME:**

General Files System of the Office of the Deputy Attorney General.

**SYSTEM LOCATION:**

Office of the Deputy Attorney General, United States Department of Justice, 10th and Constitution Avenue NW., Washington, DC 20530.

**CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:**

The system encompasses individuals who relate to official Federal investigations, policy decisions, and administrative matters of such significance that the Deputy Attorney General maintains information indexed to the name of that individual, including, but not limited to, subjects of litigation, targets of investigations, Members and staff members of Congress, upper-

echelon government officials, and individuals of national prominence or notoriety.

**CATEGORIES OF RECORDS IN THE SYSTEM:**

Records may include case files, litigation materials, exhibits, internal memoranda and reports, or other records on a given subject or individual. Records vary in number and kind according to the breadth of the Deputy Attorney General's responsibilities (28 CFR 0.15) and are limited to those which are of such significance that the Deputy Attorney General has investigative, policy, law enforcement, or administrative interest. An index to these records is described under the caption "Retrievability."

**AUTHORITY FOR MAINTENANCE OF THE SYSTEM:**

These records are maintained pursuant to 5 U.S.C. 301.

**ROUTINE USE OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:**

These records may be disclosed to the news media and the public pursuant to 28 CFR 50.2 unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of privacy.

These records may be disclosed to a Member of Congress or staff acting on the Member's behalf when the Member or staff requests the information for investigative or policy decisionmaking purposes or to provide constituent assistance.

These records may be disclosed to members of the judicial branch of the Federal Government in response to a specific request where disclosure appears relevant to the authorized function of the recipient judicial office or court system.

These records may be disclosed to any civil or criminal law enforcement authorities, whether Federal, State, local, or foreign, which require information relevant to a civil or criminal investigation.

These records may be disclosed to the National Archives and Records Administration (NARA) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

These records may be disclosed to officials and employees of the White House or any Federal agency which requires information relevant to an agency decision concerning the hiring, appointment, or retention of an employee, the issuance of a security clearance, the conducting of a security



or suitability investigation, the classifying of a job, or the issuance of a grant or benefit.

These records may be disclosed to Federal, State, and local licensing agencies or associations which require information concerning the eligibility or suitability of an individual for a license or permit.

These records may be disclosed in a proceeding before a court or adjudicative body before which the Office of the Deputy Attorney General is authorized to appear when (a) the Office of the Deputy Attorney General, or any subdivision thereof, or (b) any employee of the Office of the Deputy Attorney General in his or her official capacity; or (c) any employee of the Office of the Deputy Attorney General in his or her individual capacity where the Department of Justice has agreed to represent the employee, or (d) the United States, where the Office of the Deputy Attorney General determines that the litigation is likely to affect it or any of its subdivisions, is a party to litigation or has an interest in litigation and such records are determined by the Office of the Deputy Attorney General to be arguably relevant to the litigation.

**POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING AND DISPOSING OF RECORDS IN THE SYSTEM**

**STORAGE:**

Records are stored in paper folders and on index cards. As of April, 1982, the index record is also stored on magnetic disks.

**RETRIEVABILITY:**

Deputy Attorney General records created prior to 1973 were incorporated into Attorney General files, and are retrievable from the index to the General Files System of the Office of the Attorney General. Records created by the Office of the Deputy Attorney General since 1973 are indexed and retrieved manually by use of the subject title, individual's name, or Department component which created the record. As of April 1982, records may also be retrieved through a computerized logging system.

**SAFEGUARDS:**

Records are maintained in locked cabinets stored in a locked room or, in the case of those records that are classified, in safes or vaults stored in a locked room. The computer is also maintained in a locked room. The computer has a key lock and may be accessed only by persons with a Top Secret clearance by use of a code.

**RETENTION AND DISPOSAL:**

Records are kept indefinitely.

**SYSTEM MANAGER(S) AND ADDRESS:**

Associate Deputy Attorney General, Office of the Deputy Attorney General, United States Department of Justice, 10th and Constitution Avenue, NW., Washington, DC 20530.

**NOTIFICATION PROCEDURE:**

Address all inquiries to the system manager. These records will be exempted from subsections (c)(3) and (4); (d); (e)(1), (2), (3) and (5); and (g) of the Privacy Act pursuant to 5 U.S.C. 552a(j)(2), (k)(1), (k)(2), and (k)(5).

**RECORD ACCESS PROCEDURES:**

Make all requests for access to records from this system in writing to the system manager, and clearly mark both the letter and envelope "Privacy Act Request."

**CONTESTING RECORD PROCEDURES:**

Make all requests to contest or amend information maintained in the system in writing to the system manager. State clearly and concisely what information is being contested, the reasons for contesting it, and the proposed amendment(s) to the information.

**RECORD SOURCE CATEGORIES:**

Sources of information contained in this system include individuals, State, local and foreign government agencies as appropriate, the executive and legislative branches of the Federal Government, and interested third parties.

**SYSTEM EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:**

The Attorney General has exempted this system from subsections (c)(3) and (4); (d); (e)(1), (2), (3) and (5); and (g) of the Privacy Act pursuant to 5 U.S.C. 552a(j)(2), (k)(1), (k)(2), and (k)(5). Rules have been promulgated in accordance with the requirement of 5 U.S.C. 553(b), (c) and (e) and have been published in the Federal Register. These exemptions apply only to the extent that information in a record pertaining to a particular individual relates to official Federal investigations and law enforcement matters. Those files indexed under an individual's name and which concern policy formulation or administrative matters are not being exempted pursuant to 5 U.S.C. 552(j)(2), (k)(1), (k)(2), or (k)(5).

Justice/AAG-001

**SYSTEM NAME:**

General Files System of the Office of the Associate Attorney General.

**SYSTEM LOCATION:**

Office of the Associate Attorney General, United States Department of Justice, 10th and Constitution Avenue, NW, Washington, DC 20530.

**CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:**

The system encompasses individuals who relate to official federal investigations, policy decisions and administrative matters of such significance that the Associate Attorney General maintains information indexed to the name of that individual including, but not limited to, subjects of litigation, targets of investigations, Members and staff members of Congress, upper-echelon government officials, and individuals of national prominence or notoriety.

**CATEGORIES OF RECORDS IN THE SYSTEM:**

Records may include case files, litigation materials, exhibits, internal memoranda and reports, or other records on a given subject or individual. Records vary in number and kind according to the breadth of the Associate Attorney General's responsibilities (28 CFR 0.10) and are limited to those which are of such significance that the Associate Attorney General has investigative, policy, law enforcement, or administrative interest. An index record containing the subject title and/or individual's name is also maintained in the form of a paper logging system.

**AUTHORITY FOR MAINTENANCE OF THE SYSTEM:**

These records are maintained pursuant to 5 U.S.C. 301.

**ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:**

These records may be disclosed to the news media and the public pursuant to 28 CFR 50.2 unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of privacy.

These records may be disclosed to a Member of Congress or staff acting on the Member's behalf when the Member or staff requests the information for investigative or policymaking purposes or to provide constituent assistance.

These records may be disclosed to members of the judicial branch of the Federal Government in response to a specific request where disclosure appears relevant to the authorized function of the recipient judicial office or court system.

**OFFICE OF THE ASSOCIATE ATTORNEY GENERAL (ASG)**

<b>SYSTEM</b>	<b>TITLE</b>	<b>DATE PUBLISHED</b>	<b>FEDERAL REGISTER</b>
ASG-001	General Files System of the Office of the Associate Attorney General	04-27-04* 1/25/2007	69 FR 22872* 72 FR 3410

04-27-04

69 FR 22872

[Federal Register: April 27, 2004 (Volume 69, Number 81)]  
[Notices]  
[Page 22872-22874]  
From the Federal Register Online via GPO Access [wais.access.gpo.gov]  
[DOCID:fr27ap04-89]

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DEPARTMENT OF JUSTICE

[AAG/A Order No. 005-2004]

Privacy Act of 1974; System of Records

Pursuant to the provisions of the Privacy Act of 1974 (5 U.S.C. 552a), notice is given that the Department of Justice proposes to modify a system of records last published on March 10, 1992, in Federal Register Volume 57, page 8475, and identified as the ``General Files System of the Office of the Associate Attorney General, JUSTICE/ASG-001.'' Specifically, the Office of the Associate Attorney General is modifying the system by updating the location and system manager addresses; correcting and editing the text for the CFR citation in the ``Categories of Records'' section; and adding a new ``Purpose(s)'' section. Minor revisions have been made to several routine uses. New routine uses have been added for disclosure to complainants and/or victims (routine use I); to contractors (routine use J); to former employees under certain circumstances (routine use K); and to recipients as are mandated by federal statute or treaties (routine use L). Updates and revisions are made to the sections on storage, retrieval, and safeguarding procedures; and to the retention and disposal schedule. Updates and edits are made to the notification procedure; record access procedures; and the contesting records procedures. In addition, two record source categories are added.

In accordance with 5 U.S.C. 552a(e)(4) and (11), the public is given a 30-day period in which to comment; and the Office of Management and Budget (OMB), which has oversight responsibility under the Privacy Act, requires a 40-day period in which to conclude its review of the system. Therefore, please submit any comments by May 27, 2004. The public, OMB, and the Congress are invited to submit any comments to Mary E. Cahill, Management and Planning Staff, Justice Management Division, Department of Justice, Washington, DC 20530 (Room 1400, National Place Building).

In accordance with 5 U.S.C. 552a(r), the Department has provided a report to Congress and OMB.

Dated: April 6, 2004.

Paul R. Corts,  
Assistant Attorney General for Administration.  
DEPARTMENT OF JUSTICE

SYSTEM NAME:

General Files System of the Office of the Associate Attorney General, JUSTICE/ASG-001.

SECURITY CLASSIFICATION:

Not classified.

SYSTEM LOCATION:

August 5, 2022 Production 000236

Office of the Associate Attorney General, United States Department of Justice, 950 Pennsylvania Avenue, NW., Washington, DC 20530-0001.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

The system encompasses individuals who relate to official federal investigations, policy decisions, and administrative matters of such significance that the Associate Attorney General maintains information indexed to the name of that individual including, but not limited to, subjects of litigation, targets of investigations, Members and staff members of Congress, upper-echelon government officials, and individuals of national prominence or notoriety.

[[Page 22873]]

CATEGORIES OF RECORDS IN THE SYSTEM:

Records may include case files, litigation materials, exhibits, internal memoranda or reports, or other records on a given subject or individual. Records vary in number and kind according to the breadth of the Associate Attorney General's responsibilities (28 CFR 0.19) and are limited to those which are of such significance that the Associate Attorney General has investigative, policy, law enforcement, or administrative interest.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

These records are maintained pursuant to 5 U.S.C. 301.

PURPOSE(S):

This system is maintained for the purpose of assisting the Associate Attorney General in carrying out the responsibilities of the Office such as, but not limited to, advising the Attorney General and Deputy Attorney General in formulating Departmental policies and programs, providing overall supervision to organizational units as assigned, and other duties as assigned by the Attorney General (28 CFR 0.19).

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSE OF SUCH USES:

Information may be disclosed from this system as follows:

A. To the news media and the public pursuant to 28 CFR 50.2 unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

B. To a Member of Congress or staff acting on the Member's behalf when the Member or staff requests the information on behalf of, and at the request of, the individual who is the subject of the record.

C. Law enforcement records may be disclosed to any civil or criminal law enforcement authorities, whether federal, state, local, foreign, or tribal, which require information relevant to a civil or criminal investigation.

D. Where a record, either on its face or in conjunction with other information, indicates a violation or potential violation of law--criminal, civil, or regulatory in nature--the relevant records may be referred to the appropriate federal, state, local, foreign, or tribal, law enforcement authority or other appropriate agency charged with the responsibility of investigating or prosecuting such a violation or enforcing or implementing such law.

E. To the National Archives and Records Administration (NARA) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

F. To appropriate officials and employees of a federal agency or

entity which requires information relevant to a decision concerning the hiring, appointment, or retention of an employee; the issuance, renewal, suspension, or revocation of a security clearance; the execution of a security or suitability investigation; the letting of a contract, or the issuance of a grant or benefit.

G. To federal, state, local, tribal, foreign, or international licensing agencies or associations which require information concerning the suitability or eligibility of an individual for a license or permit.

H. In an appropriate proceeding before a court, or administrative or adjudicative body, when the Department of Justice determines that the records are arguably relevant to the proceeding; or in an appropriate proceeding before an administrative or adjudicative body when the adjudicator holds the records to be relevant to the proceeding.

I. To complainants and/or victims to the extent necessary to provide such persons with information and explanations concerning the progress and/or results of the investigation or case arising from the matters of which they complained and/or of which they were a victim.

J. To contractors, grantees, experts, consultants, students, and others performing or working on a contract, service, grant, cooperative agreement, or other assignment for the Federal Government, when necessary to accomplish an agency function related to this system of records.

K. The Department of Justice may disclose relevant and necessary information to a former employee of the Department for the purposes of: Responding to an official inquiry by a federal, state, or local government entity or professional licensing authority, in accordance with applicable Department regulations; or facilitating communications with a former employee that may be necessary for personnel-related or other official purposes where the Department requires information and/or consultation assistance from the former employee regarding a matter within that person's former area of responsibility.

L. To such recipients and under such circumstances and procedures as are mandated by federal statute or treaty.

#### DISCLOSURE TO CONSUMER REPORTING AGENCIES:

Not applicable.

#### POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

##### STORAGE:

Records in this system are stored in electronic form and on paper. Records that contain national security information and are classified are stored in accordance with applicable executive orders, statutes, and agency implementing regulations.

##### RETRIEVABILITY:

Information can be retrieved by correspondence control number; name of individual; subject matter of topic; or in some cases, by other identifying search term employed.

##### SAFEGUARDS:

Information in this system is safeguarded in accordance with applicable laws, rules, and policies, including the Department's automated systems security and access policies. Classified information is appropriately stored in safes and in accordance with other applicable requirements. In general, records and technical equipment are maintained in buildings with restricted access. The required use of password protection identification features and other system protection

methods also restrict access. Access is limited to those officers and employees of the agency who have an official need for access in order to perform their duties.

RETENTION AND DISPOSAL:

Records are retained and disposed of in accordance with guidelines approved by the National Archives and Records Administration.

SYSTEM MANAGER(S) AND ADDRESS:

Deputy Associate Attorney General, Office of the Associate Attorney General, United States Department of Justice, 950 Pennsylvania Avenue, NW., Washington, DC 20530-0001.

NOTIFICATION PROCEDURE:

Address inquiries to the System Manager named above.

RECORD ACCESS PROCEDURES:

Requests for access should be directed in writing or in person to the System Manager named above. When requests are in writing, the envelope and letter should be clearly marked ``Privacy Act Access Request.'' The request should include a general description of the records sought and must include the requester's full name, current address,

[[Page 22874]]

and date and place of birth. The request must be signed, dated and either notarized or submitted under penalty of perjury. Some information may be exempt from access provisions as described in the section entitled ``EXEMPTIONS CLAIMED FOR THE SYSTEM.'' An individual who is the subject of a record in this system may access those records that are not exempt from disclosure. A determination whether a record may be accessed will be made at the time a request is received. Although no specific form is required, you may obtain forms for this purpose from the FOIA/PA Mail Referral Unit, Justice Management Division, United States Department of Justice, 950 Pennsylvania Avenue, NW., Washington, DC 20530-0001, or on the Department of Justice Web site at [http://www.usdoj.gov/04foia/att\\_d.htm](http://www.usdoj.gov/04foia/att_d.htm).

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information maintained in the system should direct their request to the System Manager listed above, stating clearly and concisely what information is being contested, the reasons for contesting it, and the proposed amendment to the information sought. Some information is not subject to amendment, such as tax return information. Some information may be exempt from contesting record procedures as described in the section entitled ``Exemptions Claimed for the System.'' An individual who is the subject of a record in this system may amend those records that are not exempt. A determination whether a record may be amended will be made at the time a request is received.

RECORD SOURCE CATEGORIES:

Sources of information contained in this system include individuals, state, local, tribal, and foreign government agencies, as appropriate, the executive and legislative branches of the Federal Government, the Judiciary, and interested third parties.

EXEMPTIONS CLAIMED FOR THE SYSTEM:

The Attorney General has exempted this system from subsections (c) (3) and (4); (d); (e) (1), (2), (3), and (5); and (g) of the Privacy Act pursuant to 5 U.S.C. 552a(j) (2), (k) (1), (k) (2), and (k) (5). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553(b), (c) and (e) and have been published in the Federal Register and at 28 CFR 16.72. These exemptions apply only to the extent that information in the system is subject to exemption pursuant to 5 U.S.C. 552a(j) (2), (k) (1), (k) (2) and (k) (5). A determination as to exemption shall be made at the time a request for access or amendment is received.

[FR Doc. 04-9512 Filed 4-26-04; 8:45 am]

BILLING CODE 4410-22-P



01-25-2007

72 FR 3410

[Federal Register: January 25, 2007 (Volume 72, Number 16)]  
[Notices]  
[Page 3410-3414]  
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DEPARTMENT OF JUSTICE

[AAG/A Order No. 001-2007]

Privacy Act of 1974; System of Records

Pursuant to the provisions of the Privacy Act of 1974, 5 U.S.C. 552a, notice is given that the Department of Justice proposes to modify all of its systems of records, as identified in the list below.

On October 30, 2006, the Department modified all of its systems of records to include a new routine use that allows disclosure to appropriate persons and entities for purposes of response and remedial efforts in the event that there has been a breach of the data contained in the systems. 71 FR 63,354 (October 30, 2006).

In accordance with 5 U.S.C. 552a(e)(4) and (11), the public was given a 30-day period in which to comment; and the Office of Management and Budget (OMB), which has oversight responsibility under the Privacy Act, required a 40-day period in which to conclude its review of the systems.

As a result of comments received, the Department is making a minor modification to the language of the routine use in order to provide greater clarity. A concern was raised that the condition set forth in clause (1) of the routine use ("when (1) it is suspected or confirmed that the security or confidentiality of information in the system of records has been compromised") does not clearly identify precisely who has to suspect or confirm the compromise. While it was the intent of the drafters that it be the Department of Justice that must suspect or confirm the compromise, because that intent is expressed only implicitly in the routine use, the Department is modifying the language of the first condition to provide additional clarity.

A description of the modification to the Department's systems of records is provided below. In accordance with 5 U.S.C. 552a(r), the Department has provided a report to OMB and the Congress. The new routine use will be effective January 25, 2007.

Dated: January 22, 2007.

Lee J. Lofthus,  
Assistant Attorney General for Administration.

Department of Justice Privacy Act notices and citations follow. An asterisk (\*) designates the last publication of the complete document in the Federal Register.

DOJ-001.....	Accounting Systems for the Department of Justice.	06-03-04 * 01-03-06
DOJ-002.....	DOJ Computer Systems Activity & Access Records.	12-30-99

DOJ-003.....	Correspondence Management Systems for the Department of Justice; Corrections.	06-04-01 * 06-29-01 10-25-02
[[Page 3411]]		
DOJ-004.....	Freedom of Information Act, Privacy Act, and Mandatory Declassification Review Requests and Administrative Appeals; Corrections.	06-04-01 * 06-29-01
DOJ-005.....	Nationwide Joint Automated Booking System (JABS).	09-07-06
DOJ-006.....	Personnel Investigation and Security Clearance Records for the Department of Justice.	09-24-02 * 11-10-04
DOJ-007.....	Reasonable Accommodations for the Department of Justice.	05-16-02
DOJ-008.....	Department of Justice Grievance Records.	10-29-03 * 08-04-04
DOJ-009.....	Emergency Contact Systems for the Department of Justice.	01-12-04
DOJ-010.....	Leave Sharing Systems....	04-26-04 * 08-04-04
DOJ-011.....	Access Control System (ACS).	12-03-04
DOJ-012.....	Department of Justice Regional Data Exchange System (RDEX).	07-11-05 * 12-02-05
ASG-001.....	General Files System of the Office of the Associate Attorney General.	04-27-04
ATF-001.....	Administrative Record System.	01-24-03
ATF-003.....	Criminal Investigation Report System.	01-24-03
ATF-006.....	Internal Security Record System.	01-24-03
ATF-007.....	Personnel Record System..	01-24-03
ATF-008.....	Regulatory Enforcement Record System.	01-24-03
ATF-009.....	Technical and Scientific Services Record System.	01-24-03
ATF-010.....	Training and Professional Development Record System.	01-24-03
ATR-001.....	Antitrust Division Expert Witness File.	10-13-89
ATR-003.....	Index of Defendants in Pending and Terminated Antitrust Cases.	10-10-95

ATR-004.....	Statements by Antitrust Division Officials (ATD Speech File).	10-10-95
ATR-005.....	Antitrust Management Information System (AMIS)--Time Reporter.	10-17-88
ATR-006.....	Antitrust Management Information System (AMIS)--Monthly Report.	02-20-98 * 03-29-01
ATR-007.....	Antitrust Division Case Cards.	10-10-95
ATR-009.....	Public Complaints and Inquiries File.	11-17-80
ATR-009.....	Consumer Inquiry Index...	09-30-77
ATR-014.....	Civil Investigative Demand (CID) Tracking System.	10-10-95
BIA-001.....	Decisions of the Board of Immigration Appeals.	02-04-83
BIA-002.....	Roster of Organizations and their Accredited Representatives Recognized by the Board of Immigration Appeals.	11-17-80
BOP-001.....	Prison Security and Intelligence Record System:	06-18-02
BOP-004.....	Inmate Administrative Remedy Record System.	09-09-02
BOP-005.....	Inmate Central Records System.	05-09-02
BOP-006.....	Inmate Trust Fund Accounts and Commissary Record System.	03-15-02
BOP-007.....	Inmate Physical and Mental Health Record System.	03-15-02
BOP-008.....	Inmate Safety and Accident Compensation Record System.	06-18-02
BOP-009.....	Administrative Claims Record System.	06-18-02
BOP-010.....	Access Control Entry/Exit System.	04-08-02
BOP-011.....	Telephone Activity Record System.	04-08-02\*\ 02-24-06
BOP-012.....	Office of Internal Affairs Investigative Records.	02-28-02
BOP-013.....	Inmate Electronic Message Record System.	11-06-05
BOP-014.....	Employee Assistance Program (EAP) Record System.	7-31-00
BOP-015.....	Outside Employment Requests Records System.	04-08-02
BOP-101.....	The National Institute of Corrections Technical Resource Provider Record System.	03-02-00

BOP-103.....	National Institute of Corrections Academy Record System.	12-16-99
BOP-104.....	National Institute of Corrections Mailing List & Information Center Contacts Records System.	12-16-99
CIV-001.....	Civil Division Case File System.	02-20-98\*\ 03-29-01 07-12-01
CIV-002.....	Civil Division Case File System: Customs Litigation.	01-10-80
CIV-003.....	Office of Alien Property File System.	09-30-77
CIV-004.....	Swine Flu Administrative Claim File System.	09-28-78
CIV-005.....	Annuity Brokers List System.	04-09-03
CIV-006.....	Consumer/Inquiry Investigatory System.	10-17-88
CIV-008.....	September 11th Victim Compensation Fund of 2001 File System.	12-21-01
COPS-001.....	Police Corps System.....	01-08-97
CRM-001.....	Central Criminal Division Index File and Associated Records.	02-20-98\*\ 03-29-01
CRM-002.....	Criminal Division Witness Security File.	12-11-87
CRM-003.....	File of Names Checked to Determine if those Individuals Have Been the Subject of an Electronic Surveillance.	12-11-87
CRM-004.....	General Crimes Section, Criminal Division, Central Index File and Associated Records.	12-11-87
CRM-005.....	Index to Names of Attorneys Employed by the Criminal Division, U.S. Department of Justice, Indicating the Subject of the Memoranda on Criminal Matters They Have Written.	12-11-87
CRM-006.....	Information File on Individuals and Commercial Entities Known or Suspected of Being Involved in Fraudulent Activities.	09-30-77

[[Page 3412]]

CRM-007.....	Name Card File on Criminal Division Personnel Authorized to	12-11-87
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	have Access to the Central Criminal Division Records.	
CRM-008.....	Name Card File on Department of Justice Personnel Authorized to have Access to the Classified Files of the Department of Justice.	12-11-87
CRM-012.....	Organized Crime and Racketeering Section, General Index File and Associated Records.	11-26-90 * 03-29-01
CRM-014.....	Organized Crime and Racketeering Section, Intelligence and Special Services Unit, Information Request System.	09-30-77
CRM-017.....	Registration and Propaganda Files Under the Foreign Agents Registration Act of 1938, As Amended.	5-11-88
CRM-018.....	Registration Files of Individuals Who Have Knowledge of or Have Received Instruction or Assignment in Espionage, Counterespionage, or Sabotage Service or Tactics of a Foreign Government or of a Foreign Political Party.	12-11-87
CRM-019.....	Requests to the Attorney General for Approval of Applications to Federal Judges for Electronic Interceptions.	12-11-87
CRM-021.....	The Stocks and Bonds Intelligence Control Card File System.	12-11-87
CRM-022.....	Witness Immunity Records.	12-11-87
CRM-023.....	Weekly Statistical Report	01-10-80
CRM-025.....	Tax Disclosure Index File and Associated Records.	12-11-87
CRM-026.....	International Prisoner Transfer Case Files/ International Prisoner Transfer Tracking System.	04-29-03
CRM-027.....	Office of Special Investigation (OSI) Displaced Persons Listings.	12-11-87
CRM-028.....	Organized Crime Drug Enforcement Task Force Fusion Center System.	10-18-04
CRS-001.....	Operational Data Information System.	01-10-80
CRT-001.....	Central Civil Rights	08-11-03 *

	Division Index File and Associated Records.	07-29-05
CRT-003.....	Civil Rights Interactive Case Management System.	08-11-03
CRT-004.....	Registry of Names of Interested Persons Desiring Notifications of Submissions Under Section 5 of the Voting Rights Act.	08-11-03
CRT-007.....	Files on Employment Civil Rights Matters Referred by the Equal Employment Opportunity Commission.	08-11-03
CRT-009.....	Civil Rights Division Travel Reports.	08-11-03
DAG-003.....	Drug Enforcement Task Force Evaluation Reporting System.	03-10-92
DAG-005.....	Master Index File of Names.	10-21-85
DAG-006.....	Presidential Appointee Candidate Records System.	10-21-85
DAG-007.....	Presidential Appointee Records System.	10-21-85
DAG-008.....	Special Candidates for Presidential Appointments and Noncareer SES Positions Records System.	8-31-94
DAG-009.....	Summer Intern Program Records System.	10-21-85
DAG-010.....	United States Judge and Department of Justice Presidential Appointee Records.	10-21-85
DAG-011.....	Miscellaneous Attorney Personnel Records.	10-21-85
DAG-013.....	General Files System.....	3-10-92
DEA-001.....	Air Intelligence Program.	12-11-87
DEA-INS-111.....	Automated Intelligence Records System (Pathfinder).	11-26-90
DEA-002.....	Clandestine Laboratory Seizure System (CLSS).	01-27-03
DEA-003.....	Automated Records and Consolidated Orders System/Diversion Analysis and Detection System (ARCOS/DADS).	08-17-04
DEA-005.....	Controlled Substances Act Registration Records (CSA).	12-11-87
DEA-008.....	Investigative Reporting and Filing System.	10-17-96
DEA-010.....	Planning and Inspection Division Records.	12-11-87
DEA-011.....	Operations Files.....	12-11-87
DEA-012.....	Registration Status/ Investigation Records.	12-11-87

DEA-013.....	Security Files.....	12-11-87
DEA-015.....	Training Files.....	12-11-87
DEA-017.....	Grants of Confidentiality Files (GCF).	12-11-87
DEA-020.....	Essential Chemical Reporting System.	12-11-87
DEA-021.....	DEA Aviation Unit Reporting System.	04-28-00
DEA-022.....	El Paso Intelligence Center (EPIC) Seizure System (ESS).	06-26-06
ENRD-001.....	Appraisers, Approved Attorneys, Abstractors and Title Companies Files Database System.	02-23-00 * 10-20-05
ENRD-003.....	Environment & Natural Resources Division Case & Related Files System.	02-23-00 * 10-20-05
EOIR-001.....	Records and Management Information System.	05-11-04
EOIR-003.....	Practitioner Complaint/ Disciplinary Files.	09-10-99
FBI-001.....	National Crime Information Center (NCIC).	09-28-99
FBI-002.....	The FBI Central Records System.	2-20-98* 03-29-01
FBI-003.....	Bureau Mailing Lists.....	02-14-05
FBI-006.....	Electronic Surveillance (ELSUR) Indices.	02-14-05
FBI-007.....	FBI Automated Payroll System.	10-05-93
FBI-008.....	Bureau Personnel Management System.	10-5-93
FBI-009.....	Fingerprint Identification Records System (FIRS).	09-28-99
FBI-010.....	Employee Travel Vouchers and Individual Earning Records.	12-11-87

[[Page 3413]]

FBI-011.....	Employee Health Records..	10-5-93
FBI-012.....	Time Utilization Record- Keeping (TURK) System.	10-5-93
FBI-013.....	Security Access Control System (SACS).	02-14-05
FBI-014.....	FBI Alcoholism Program...	12-11-87
FBI-015.....	National Center for the Analysis of Violent Crime (NCAVC).	10-05-93
FBI-016.....	FBI Counterdrug Information Indices System (CIIS).	06-09-94
FBI-017.....	National DNA Index System (NDIS).	07-18-96
FBI-018.....	National Instant Criminal Background Check System	11-25-98* 12-14-00



	(NICS) .	01-22-01
		03-01-01
FBI-019.....	Terrorist Screening Records System.	07-28-05*
FBI Blanket Routine Uses.....	FBI established ten ``blanket'' routine uses (BRUs) to be applicable to more than one FBI system of records.	12-02-05 06-22-01* 02-14-05
FTTTF-001.....	Flight Training Candidates File System.	06-10-02* 07-19-02
INTERPOL-001.....	INTERPOL-United States National Central Bureau (USNCB) Records System.	04-10-02
JMD-002.....	Controlled Substances Act Nonpublic Records.	07-20-01
JMD-003.....	Department of Justice Payroll System.	01-02-04
JMD-006.....	Debt Collection Management System.	11-12-93
JMD-009.....	Debt Collection Offset Payment System.	06-19-97
JMD-016.....	Employee Assistance Program (EAP) Counseling and Referral Records.	06-09-00* 09-01-04
JMD-017.....	Department of Justice (DOJ) Employee Transportation Facilitation System.	04-24-01
JMD-022.....	Department of Justice Consolidated Asset Tracking System (CATS).	05-19-06
JMD-023.....	Federal Bureau of Investigation Whistleblower Case Files.	09-07-05
JMD-024.....	Attorney Student Loan Repayment Program Applicant Files.	11-03-06
NDIC-001.....	National Drug Intelligence Center Data Base.	04-26-93
OAG-001.....	General Files System.....	09-12-85
OIG-001.....	Office of the Inspector General Investigative Records System.	03-10-92* 05-22-00 04-29-03
OIG-004.....	OIG Employee Training Records.	12-07-99
OIG-005.....	OIG Firearms Qualifications System.	12-07-99
OIPR-001.....	Policy and Operational Records System.	01-26-84
OIPR-002.....	Foreign Intelligence Surveillance Act Records System.	01-26-84
OIPR-003.....	Litigation Records System	01-26-84
OJP-001.....	Equipment Inventory.....	10-05-93
OJP-004.....	Grants Management Information System.	10-17-88
OJP-006.....	Congressional and Public Affairs System.	12-11-87

OJP-007.....	Public Information System	11-17-80
OJP-008.....	Civil Rights Investigative System.	10-17-88
OJP-009.....	Federal Advisory Committee Membership Files.	10-17-88
OJP-010.....	Technical Assistance Resource Files.	10-17-88
OJP-011.....	Registered Users File-- National Criminal Justice Reference Service (NCJRS).	10-05-93
OJP-012.....	Public Safety Officers Benefits System.	05-10-99
OJP-013.....	Denial of Federal Benefits Clearinghouse System (DEBAR).	05-10-99
OJP-014.....	Victims of International Terrorism Expense Reimbursement Program.	08-07-06
OLC-001.....	Attorney Assignment Reports.	09-04-85
OLC-002.....	Office of Legal Counsel Central File.	09-04-85
OLP-002.....	United States Judges Records System.	07-25-85
OLP-003.....	General Files System.....	09-12-85
OPA-001.....	Executive Clemency Case Files/Executive Clemency Tracking System.	10-31-02
OPR-001.....	Office of Professional Responsibility Records Index.	12-10-98* 11-27-02 04-20-04
OSCW-001.....	Caselink Document Database for Office of Special Counsel--Waco.	09-05-00
PAO-001.....	News Release, Document and Index System.	09-30-77
PRC-001.....	Docket, Scheduling and Control.	12-11-87
PRC-003.....	Inmate and Supervision Files.	03-10-88
PRC-004.....	Labor and Pension Case, Legal File and General Correspondence System.	10-17-88
PRC-005.....	Office Operation and Personnel System.	10-17-88
PRC-006.....	Statistical, Educational and Developmental System.	12-11-87
PRC-007.....	Workload Record, Decision Result, and Annual Report System.	10-17-88
TAX-001.....	Criminal Tax Case Files, Special Project Files, Docket Cards, and Associated Records.	03-07-06
TAX-002.....	Tax Division Civil Tax Case Files, Docket Cards, and Associated Records.	03-07-06

TAX-003.....	Files of Applications for Attorney and Non- Attorney Positions with the Tax Division.	03-07-06
USA-001.....	Administrative Files.....	12-22-83
[[Page 3414]]		
USA-002.....	A.U.S.A. Applicant Files.	08-23-83
USA-003.....	Citizen Complaint Files..	10-13-89
USA-005.....	Civil Case Files.....	02-20-98\*\
		03-29-01
USA-006.....	Consumer Complaints.....	10-13-89
USA-007.....	Criminal Case Files.....	02-20-98*
		12-21-99
		03-29-01
USA-009.....	Kline District of Columbia and Maryland Stock and Land Fraud Inter-relationship Filing System.	10-13-89
USA-010.....	Major Crimes Division Investigative Files.	10-13-89
USA-011.....	Prosecutor's Management Information System (PROMIS).	10-13-89
USA-012.....	Security Clearance Forms for Grand Jury Reporters.	02-04-83
USA-013.....	U.S. Attorney, District of Columbia Superior Court Division, Criminal Files.	10-13-89
USA-014.....	Pre-Trial Diversion Program Files.	08-23-83
USA-015.....	Debt Collection Enforcement System.	07-25-06
USA-016.....	Assistant United States Attorney Applicant Records System.	03-10-92
USA-017.....	Appointed Assistant United States Attorneys Personnel System.	03-10-92
USA-018.....	United States Attorneys' Office Giglio Information Files.	12-01-00
USA-020.....	Employee Assistance Program (EAP) Counseling and Referral Records.	03-20-01
USM-001.....	United States Marshals Service Badge and Credentials File.	11-08-99
USM-002.....	United States Marshals Service Internal Affairs System.	11-08-99
USM-003.....	United States Marshals Service Prisoner Transportation System.	09-06-91
USM-004.....	Special Deputation Files.	11-08-99
USM-005.....	U.S. Marshals Service	04-28-04

	Prisoner Processing and Population Management/ Prisoner Tracking System (PPM/PTS).	
USM-006.....	United States Marshals Service Training Files.	11-08-99
USM-007.....	Warrant Information Network (WIN).	11-08-99
USM-008.....	Witness Security Files Information System.	11-08-99
USM-009.....	Inappropriate Communications/Threat Information System.	11-08-00
USM-010.....	Judicial Facility Security Index System.	11-08-99
USM-011.....	Judicial Protection Information System.	11-08-99
USM-013.....	U.S. Marshals Service Administration Proceedings, Claims and Civil Litigation Files.	11-08-99
USM-015.....	U.S. Marshals Service (USMS) Employee Assistance Program (EAP) Records.	11-08-99
USM-016.....	U.S. Marshals Service (USMS) Key Control Record System.	11-08-99
USM-017.....	Judicial Security Staff Inventory.	11-08-99
USM-018.....	Alternative Dispute Resolution (ADR) Files and Database Tracking System.	11-08-99
USM-019.....	Merit Promotion Open Season Records System (MPOS).	05-23-06
UST-001.....	Bankruptcy Case Files and Associated Records.	10-11-06
UST-002.....	Bankruptcy Trustee Oversight Records.	10-11-06
UST-003.....	U.S. Trustee Program Timekeeping Records.	10-11-06
UST-004.....	United States Trustee Program Case Referral System.	10-11-06
UST-005.....	Credit Counseling and Debtor Education Files and Associated Records.	10-11-06

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\* \* \* \* \*

[FR Doc. E7-1176 Filed 1-24-07; 8:45 am]

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# *National Archives and Records Administration*

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8601 Adelphi Road  
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November 2, 2010

Curt Francisco  
Department of Justice  
Office of Records Management Policy  
Suite 1209  
1331 Pennsylvania Avenue NW  
Washington DC 20530

Dear Mr. Francisco:

Enclosed is a copy of the Standard Form 258 (our number NN3-060-10-013) for 148 cubic feet of unclassified records of your agency recently transferred to the custody of the National Archives and Records Administration. The signature on Line 16 confirms that the records have been accepted into the Archives of the United States.

If you have any questions about this transfer, please let me know by telephone (301)-837-0615 or by e-mail [mlisa.whitney@nara.gov](mailto:mlisa.whitney@nara.gov).

Sincerely,

MLISA M. WHITNEY  
Archivist  
Textual Access Programs

Enclosure: SF 258 copy

See also N3-59-86-3

Accession N3 59 86 8

Records of the Bureau of Far Eastern Affairs

MISCELLANEOUS SUBJECT FILES FOR THE YEAR 1956 (Lot 58D3)

Records of the Assistant Secretary of State for Far Eastern Affairs

MISCELLANEOUS SUBJECT FILES, 1954-56 (Lot 56D206)

Records of the Office of the Special Assistant for Regional Programs

SUBJECT FILES, 1953-57 (Lot 60D11)

Records of the Office of Philippine and Southeast Asian Affairs

Records of the Officer in Charge of Burma Affairs

SUBJECT FILES, 1948-55 (Lot 58D321)

Records of the Office of Southwest Pacific Affairs

Records of the Officer in Charge of Philippine Affairs

SUBJECT FILES, 1946-57 (Lot 63D51)

Records of the Officer in Charge of Australian and New Zealand Affairs

SUBJECT FILES, 1949-58 (Lot 58D614)

Records of the Officer in Charge of Indonesian Affairs

SUBJECT FILES, 1950-56 (Lots 60D60 and 59D344)

Records of the Office of Northeast Asian Affairs

SUBJECT FILES RELATING TO JAPAN, 1947-56 (Lots 58D118 and 58D637)

RECORDS RELATING TO FOREIGN POLICY DECISIONS, 1950-56  
(Lots 58D184, 58D208, and 59D476)

Volume: .58 m<sup>3</sup> (17 cubic feet)

Restrictions: The records have not been reviewed for declassification

Finding Aids: Box lists

Accession Number: N3-59-86-8

Location: 6E3

East Asia and Pacific - Binder IV

August 5, 2022 Production 000255

N3-59-86-8

Records of the Bureau of Far Eastern Affairs, Subject Files, 1956  
Lot 58D3

Box 1

Far East General October-Dec  
Far East (General) July-Sept 1956  
Far East (General) April-June 1956  
Far East (General) Jan thru March 1956  
Australia 1956  
Technicians for Burma  
Burma 1956  
Cambodia 1956  
ChiCom Trade Controls 1956  
Americans in China 1956  
White, William L. clearance of book  
Communist China May 1956  
Communist China Jan-April 1956  
Plane Incidents  
Nationalist China 1956  
Untitled 1956  
Walter S. Robertson Papers on the Geneva Talks between  
Ambassador Johnson and Ambassador Wang  
Geneva Talks 1956  
Indochina, Associated States of 1956  
Indonesia 1956  
Japan October-December 1956  
Japan 1956 July-Sept  
Japan Jan-July 1956

Box 2

Korea 1956  
Korea NNSC  
Laos 1956  
Malaya 1956  
USSR 1956  
Thailand 1956  
Allison, John M.  
Bishop, Max W.  
Chiang Kai-Shek 1956  
Durbrow, Elbridge  
Spratly Islands  
Paracel Islands  
Administration (General) July 1956  
Administration (General) Jan-July 1956  
Chiefs of Mission Conference March 1956  
Operations Coordinating Board and NSC Burma 1956  
Passport and Citizenship Matters 1956  
Personnel (General) 1956  
Atomic Research (Nuclear Test) 1956  
Asian Nuclear Center 1956  
Colombo Plan Nuclear Center  
Educational Exchange 1956



Box 2 (cont'd)

The Philippines 1956  
Philippine Trade Agreement 1956  
Joint Chiefs of Staff 1956  
United Nations 1956  
Nixon Visit 1956  
The Honorable John Foster Dulles Secretary of State  
"E" 1956  
Eden Visit

Box 3

Secretary's Visit to Indonesia March 1956  
"K" 1956  
"M" 1956  
Menzies Visit 1956  
"R" 1956  
"S" 1956  
Visit of  
Cambodian Prince Sihanouk  
Sebald Visit 1956  
Secretary's Visit to the Far East  
Sukarno Visit 1956  
Papers Relating to the Conference on China and Japan at the  
University of Vermont August 18-20, 1956

Box 1

N3-59-86-8

Secret (Howard P. Jones) Briefing Book - Itinerary, Japan, Korea,  
Taiwan, Philippines, Vol I.

Secret (Howard P. Jones) Briefing Book - Vietnam, Laos, Cambodia,  
Burma, Thailand, Vol II

Indonesia (Djakarta)

Telegrams - Hoover-Hollister trip

Japan

Mr. Howard P. Jones

Korea

Box 2

Taipei (China)

Mr. Walter K. Scott - Program of Visit

Mr. Walter K. Scott - Consulate General Personnel

Mr. Walter K. Scott - Basic Briefing Data-Malaya

Mr. Walter K. Scott - Maps

Mr. Walter K. Scott - Fact Sheet and Travel Information

Philippines (Manila)

Malaya

Mr. Howard P. Jones - Program of Visit

Mr. Howard P. Jones - Consulate General Personnel

Mr. Howard P. Jones - Basic Briefing Data

Mr. Howard P. Jones - Maps

Mr. Howard P. Jones - Fact Sheet and Travel Information

Vietnam (Saigon)

Box 3

U. S. Policy in Far East

Regional Grouping (Non-Economic)

Far East Economic Organization (Correspondence with field)

Far East Economic Organization (SEATO Aspects)

Far East Economic Organization (Intra-Departmental Communications)

Secret Report of the Asian Economic Working Group

U. K. Proposals re Economic Aid

Far East Economic Organization (Economic Development Bank)

Far East Economic Organization (Miscellaneous)

Press Releases - Economic Aid Program

Working Group Report - Comments

Far East Economic Organization (Study Projects)

Asian Economic Working Group

Box 4

Ad Hoc Committee of the NSC on Asian Economic Grouping

Far East Inspection Trip

Foreign Aid 1954

N3-59-86-8

Records of the Bureau of Far Eastern Affairs; Office of the  
Special Assistant for Regional Programs, 1953-57.  
Lot 60D11.

Box 1

Economic Program Approvals 1956

FY 1956 Tables

Thailand Military Program

Philippines Military MAAG

Philippines Economic

Philippines General Policy

Korea Economic UNKRA

Korea - General Policy

Indonesia Military Program

Indonesia Military MAAG

Indochina Military Supply

Indochina Economic Programs (ECA, MSA, STEM)

Indochina General Common-Use Program

Formosa Economic Programs (ECA, MSA, STEM)

Economic United Nations Programs 1956

Economic ICA-State Relationship and Agreements 1953-56

Burma Military Supply

Economic Regional 1956

Economic Coordination of Foreign Commodity Requirements 1953-56

Economic Asian Economic Development 1955-58.

N3-59-86-8

Office of Philippine and Southeast Asian Affairs  
Records of the Officer in Charge of Burma Affairs, 1948-55.  
Lot 58D321

Box 1

320 China KMT Troops and General 1950

KMT Miscellaneous 1954

008 Official-Informal Letters 1954-55

520.7 Timber 1954-55

032 Secretary's Visit to Rangoon 1955

440.01 Col. Palmer's Visit to Rangoon after Completion of KMT  
Evacuation 1954

First Phase Minutes Complete

Second Phase Minutes Complete

Committee Minutes - Third Phase

N3-59-86-8

Southwest Pacific Desk Files, 1946-58.  
Lots 63D51, 58D614, 60D60, 59D344.

Box 1

- C.1 Congressional Relations (1951-53) Communications and Correspondence Official and Informal Correspondence Post Administration
- C.3 Summary Letters to and From Embassy 1956
- C.3 Summary Letters to and From Embassy 1953
- C.3 Summary Letters to and From Embassy 1952
- D.2 American Embassy Ambassador and Personnel 1952-53
- D.1 General Consulate, Commercial and Diplomatic Relations, Establishment of
- D.2 American Embassy Ambassador and Personnel 1955-56
- D.2 American Embassy Ambassador and Personnel 1954
- D.2 American Embassy Ambassador and Personnel 1946-1950
- D.4 Budget, Administration and FBO Property 1952-55
- D.6 Visits to New Zealand 1950-56
- D.6 Asst Sec'y Robertson's Trip 1956
- D.6 Vice President Nixon Trip 1953
- D.7 New Zealand Embassy in Washington (Ambassadors Berendsen and Munro) and Personnel 1951-55
- D.8 New Zealand Representatives Abroad 1950-56
- D.10 Visits from New Zealand 1953-56
- D.10 Visits from New Zealand Prime Minister Holland 1951-52 1953-55-56
- D.11 Protocol 1950-54
- D.12 Protective Services 1951-56
- E.3 Foreign Trade and Balance of Payments-General 1953-56
- E.3.a United States Foreign Trade and Balance of Payments (Sterling Balance) General, 1949-55, 1956
- E.3.a Others (Australia, China, Japan, Soviet, etc.) Foreign Trade and Balance of Payments (Sterling Balances) General 1954-56
- E.7 Agriculture, Land, Wool, Dairying, Timber, Sugar 1955
- E.7 Agriculture, Land, Wool, Dairying, Timber, Sugar 1954
- E.12 Aviation, 1954-56
- G.1 The Cabinet 1952-56
- G.3 Parliament 1954-56
- H.2 Commonwealth Aid Program (Colombo Plan) 1954-56
- I.1 General Policy Statements 1951-55
- I.2 Southeast Asia 1950-56
- I.3 Japan Foreign Relations
- I.4 China and Formosa 1954-55
- I.5 Korea 1954-55
- I.6 Others 1950-56
- J.1 U.S. Policy Paper: New Zealand Country Studies requested 1950-55
- J.5 Others
- K.1 United Nations General 1954-57

Box 2

- 2.1.c Security Council 1953
- K.2 GATT and ITO 1954-56
- B.2 Biographic Data A-Z 1951
- C.1 Congressional Relations 1951
- C.3 Summary Letters to and From Embassy 1956
- C.3 Summary Letters to and From Embassy 1953
- C.3 Summary Letters to and From Embassy 1954
- C.3 Summary Letters to and From Embassy 1951-53
- D.1 Consular, Commercial and Diplomatic Relations, General 1951-56
- D.2 American Embassy- Canberra- Ambassador and Personnel 1956
- D.2 Ambassador D. W. Moffat 1956
- D.2 American Embassy-Canberra- Ambassador and Personnel 1955
- D.2 American Embassy-Canberra-Ambassador and Personnel 1951-54
- D.2 Ambassador Peaslee-Briefing in Washington, June-July 1953
- D.3 Adelaide and Brisbane Closing and Reopening of 1953-57
- D.3 Consulate-Brisbane Administration Personnel, etc. 1951-55
- D.3 Consulates- Perth Administration 1951-56
- D.3 Consulates-Sydney Administration 1951-56
- D.3.a Consulates-Adelaide-Comments on Despatches and Developments in Consular District 1951-56
- D.3.a Consulates-Brisbane-Comments on Despatches and Developments in Consular District 1951-53
- D.3.a Consulates-Perth-Comments on Despatches and Developments in Consular District 1951-55
- D.4 Budget, Administration and FBO Property 1951-56
- D.5 Post Reports; Inspection Reports 1956-58
- D.5 Canberra Post and Inspection Reports 1951
- D.5 Post and Inspection Reports-Adelaide 1951
- D.5 Post Reports Inspection Reports Melbourne 1951-54
- D.5 Post Reports and Inspection Reports-Perth 1951
- D.6 Asst Sec'y Robertson's Trip 1956
- D.6 Visits to Australia 1956
- D.6 Visits to Australia 1955
- D.6 Visits to Australia 1954
- D.6 Vice President Nixon Trip 1953 with 1954 Report
- D.6 Visits to Australia-OLYMPICS 1955-56

Box 3

- D.6 Visits to Australia 1951-54
- D.7 Australia Embassy in Washington-Ambassador Spender and Personnel 1954-56
- D.7 Australia Embassy in Washington-Ambassador Spender and Personnel 1951-53
- D.7.a Australian Representatives in the U. S. 1952-56
- D.8 Australian Representatives Abroad 1951-56
- D.9 Information and Cultural Activities in U. S. 1951
- D.10 McEwen Visit 1956
- D.10.b Visits from Australia-Prime Minister Menzies 1955
- D.10 Menzies Visit from Australia May 1952
- D.10 Briefing Papers Used in Connection with PM Menzies Visit 1955
- Preliminaries to Menzies' Visit June 1954 to Feb 1955
- D.10 Visits from Australia 1955
- D.10 Visits from Australia 1956
- D.10 Visits from Australia File No 3 1953-54
- D.10 Visits from Australia File No 2 1952
- D.10 Visits from Australia Menzies 1956
- D.10 Visits from Australia File No 1 1951
- D.11 Protocol 1952-54
- D.11 Protocol 1955
- D.10 Casey Visit Sept 1955 Australia
- D.10 Casey Visit 1956
- D.12 Protective Services 1951-56

Box 4

- E.1 Economic Report-Current Developments: Prices, Employment, Welfare, Social Insurance. 1956
- E.2 Legislation and Public Policy 1951-56
- E.3 Foreign Trade and Balance of Payments-General 1955-56
- E.3 Foreign Trade and Balance of Payments (Sterling Balances) General (Including Reports) 1953-54
- E.3.c Foreign Trade and Balance of Payments, Japan 1951-54
- E.3.g Foreign Trade and Balance of Payments-United States 1954
- E.3.h Foreign Trade and Balance of Payments U.S.S.R. 1954-56
- E.3.i Foreign Trade and Balance of Payments-Others 1951-55
- E.3.j Import Restrictions 1955-56
- E.4 Fiscal Affairs (Investment, Taxation, Devaluation) 1954-55
- E.5.b(ii) Scarce Supplies Requirements Semi-Fabricated Materials Products 1951-52
- E.5.b(iii) Scarce Supplies Requirements Manufactured Goods 1951-52
- E.6 Minerals 1954-56
- E.6.a Coal 1954-55
- E.6.(b) Oil 1953-56
- E.7 Agriculture, Land, Wool, Dairying, Timber 1956
- E.7 Agriculture, Land, Wool, Dairying, Timber 1955
- E.7 Agriculture, Land, Wool, Dairying, Timber 1954
- E.8 Labor and Trade Union Activities 1956
- E.8 Labor and Trade Union 1954-55
- E.10 Immigration 1953-56

Box 4 (cont'd)

E.10.a Visa Case of Dr. Marcus Oliphant  
E.10.a Visa Cases 1954-56  
E.12 Transport 1954-57  
E.13.b Aviation 1946-56  
E.14 Fishing and Whaling 1955-56  
E.14 Fishing and Whaling 1954  
E.15 Scientific, Cultural and Educational Activities 1954-56  
F.1 U. S. Information Policy and U. S. I. S. Reports 1956  
F.1 U. S. Information Policy and U. S. I. S. Reports 1955  
F.1 U. S. Information Policy and U. S. I. S. Reports 1954  
F.2 Fulbright and Smith-Mundt Programs 1953-54  
G.2 Cabinet 1951-56  
G.6 Politics General Elections 1954-55  
G.8 Communism (General) 1956 Australia  
G.8 Communism in Australia General, (Including Soviet Propaganda  
in) 1953-54  
G.8(1) Communism (Petrov) 1956-57  
G.8 Communism-Petrov Case 1954-55  
H.1 General and Conferences 1954-56  
H.2 Colombo Plan 1954-56  
H.3 United Kingdom (Including such topics as European  
Integration, etc) 1955  
I.1 General Policy Statements 1951-52  
I.2 Southeast Asia 1956  
I.2 Southeast Asia 1955  
I.2 Southeast Asia Harding Proposals 1954  
I.2 Southeast Asia (1951-53)  
I.2.a Geneva Conference-Indochina and United Action March-May  
1954  
I.2.a Geneva Conference-Indochina and United Action  
June-September 1954  
I.2.b Five Power Staff Agency 1954  
I.2(d) SEATO 1955-56 Australia  
I.2.d Southeast Asia Treaty Organization (SEATO) 1954

Box 5

I.2.d(1) SEATO-Manila Pact September 9, 1954-Dec 1954  
I.3 Japan 1955-56  
I.3 Japan 1953-54  
I.3.a Jap Map Survey (New Britain Is.) 1954-56  
I.4 China and Formosa 1956  
I.4 China and Formosa 1955  
I.5 Indonesia 1955-56  
I.5 Indonesia 1951-54  
I.6 Netherlands New Guinea 1956  
I.6 Netherlands New Guinea 1955  
I.6 Netherlands New Guinea 1954  
I.7 Korea 1954-56  
I.7 Korea 1954  
I.7.a Geneva Conference Korean Phase (1954)  
I.8 India, Pakistan, Kashmir 1951-54



Box 5 (cont'd)

I.9 Germany 1950  
I.10 Others-Foreign Relations & Policy 1956  
I.10 Others (1951-55)  
J.1 U. S. Policy Paper Country Studies Requested by ECA (1951-)  
J.2 FCN Double Taxation and Conventions 1954  
J.4 Technical and Economic (Non-Military) Assistance--Lend-Lease  
1951-56  
J.5 U. S.-Australia Treaties--Miscellaneous 1951-56  
J.6 Legal Questions (1951-)  
K.1 UN General 1956  
K.1 United Nations and Specialized Agencies-General (1954-55)  
K.1.a ECOSOC (1951-56)  
K.1.b General Assembly 1953-54  
K.1.d Trusteeship Council (1954-56)  
K.1.j IBRD and Fund 1954  
K.1.k.2 Human Rights Convention (1951-55)  
K.1.m FAO 1954-56  
K.3 GATT 1956  
K.3 GATT and ITO 1954  
K.3 GATT 1955  
K.4 SPC (South Pacific Commission) 1954-56  
K.3 Commodity Agreements and Conferences General 1954  
L.3.a Commodity Agreements and Conferences-Wheat 1954  
M.1 Australian Defense General 1951-54  
M.1 Australian Defense General 1955-56  
M.1.a LORAN Installations-Australia 1954-55

Box 6

M.2. Australia-U.S. Security Agreement 1951-56  
M.2.f Atomic Energy Commission; Uranium Research 1956  
M.2.f Atomic Energy Commission 1953-55  
M.4 Collective Security Arrangements 1952-55  
Sixth ANZUS Council Meeting Sept 1955  
M.4.a Seventh ANZUS Council Meeting Nov 1956  
M.4.a Pacific Pact (ANZUS) 1955  
M.4.a Pacific Pact (ANZUS) 1954  
M.4.a Pacific Pact (ANZUS) (August-December 1953)  
Manila Pact-International Working Group Papers for February  
23-25, 1955 Meeting at Bangkok and U. S. Position Papers on  
Agenda Items at Bangkok Feb 23-25, 1955  
M.4.a Pacific Pact (ANZUS) (January-July 1953)  
M.4.a Pacific Pact (ANZUS) (Sept-Dec 1953)  
M.4.a Pacific Pact (ANZUS) August 1952  
M.4.a Pacific Pact (ANZUS) July 1952  
M.4.a Pacific Pact (ANZUS) Jan-June 1952  
M.4.a Pacific Pact (ANZUS) 1949-51

Box 7

M.4.a(1) Summary Memos on ANZUS 1951-55  
M.4.b North Atlantic Treaty Organization (NATO) 1954-55  
Mr. Foster's files for Mr. Klavanaugh] to look through  
Andrew Foster's old files ANZUS 1953 Papers for Mr. Klavanaugh]  
to look through  
Background memos and Council Meeting Minutes (loose in original  
box)  
C.3 Summary Letters to and From Embassy 1951 Top Secret  
D.2.a American Embassy 1951-53 Ambassador and Personnel Comments  
on Despatches  
First ANZUS Council Meeting Honolulu August 1952 Book No. 1 of 3  
Top Secret  
1st ANZUS Council Meeting Honolulu August 1952 Book No. 2 of 3

Box 8

First ANZUS Council Meeting-Honolulu August 1952 Book No. 3 of 3  
Top Secret  
6th ANZUS Council Meeting Washington, September 24, 1955 Secret  
ANZUS Council Meeting Washington November 17, 1956 Secret  
Fourth ANZUS Council Meeting June 1954  
ANZUS Council Meeting-Honolulu-8/4/52

Box 9

Meeting of ANZUS Council Deputies 1954 None prior to 1954  
Third ANZUS Council Meeting May 1954  
Fifth ANZUS Council Meeting Oct 1954  
Meeting of Military Representatives to the ANZUS Council 9/22/52  
Meeting of the Staff Planners to the Military Reps. 11/6/52  
Memos re Visits of Australian Government Officials 1950-51 (loose  
in original box)  
M.4.a Pacific Pact (ANZUS) Top Secret 1952  
D.10 Menzies Visit from Australia May 1952 Top Secret  
I.2.b Five Power Staff Agency 1954 Top Secret  
I.2.d Southeast Asia Treaty Organization (SEATO) 1954 Top Secret  
I.2.d(i) SEATO Manila Pact 1954 Top Secret  
I.3 Japan 1953 Top Secret  
I.5 Indonesia 1951-54 Top Secret  
I.8 India, Pakistan, Kashmir 1951 Top Secret  
M.1.e Evacuation Plan 1952-53 Top Secret  
M.2 Australian-U. S. Defense Relations- General Top Secret 1953  
M.2.c Australian-U. S. Security Agreement 1953 Top Secret  
M.2.f Atomic Energy Commission: Uranium 1954 Top Secret  
M.4.a Pacific Pact (ANZUS) 1954 Top Secret  
M.4.a Pacific Pact (ANZUS) 1953 Top Secret  
M.4.a(1) Summary Memos on ANZUS 1954 Top Secret  
M.4.a Pacific Pact (ANZUS) 1950-51 Top Secret  
I.2.a Geneva Conference Indochina and United Action Mar.-May  
June-Sept. 1954 Top Secret  
123 Personnel 1954  
123 Cummings, Hugh S.

Box 9 (cont'd)

123 Fales, Herbert P.  
123 Galbraith, F. J. 1954  
123 Haring, Philip E.

Box 10

123 Steeves, John M. 1954  
\*\*\*\*\*Classified\*\*\*\*\*  
230 Protection Cases 1954  
234 Deaths and Estates 1954  
250 Legal Cases 1954  
300 General. Policy Papers, Jan to June 1954  
300 General. Policy Papers, Jul to Dec 1954 NSC papers, etc. 1954  
300.1 Implementation of Policy Papers NSC (OCB) 1954  
300.2 Projects and Proposals FOA-CIA Implementation NSC 1954  
312 United Nations 1954  
320 Miscellaneous (A through L) 1954  
320 Australia 1954  
320 India 1954  
320 Indochina 1954  
320 Japan  
320 Philippines 1954  
320.1 Treaties, Pacts 1954  
350.1 Political Parties 1954  
350.2 Anti-Communism 1954  
350.21 Communism  
360.3 Elections 1954  
370.3 Crime, Dissidents and Anti-Government Forces 1954  
400 General Estimates, Analyses 1954  
410.3 Training Activities Air Force 1954  
420.1 Organization of Armed Forces Army 1954  
430.1 Supplies and Equipment of Armed Forces 1954  
440.2 Armed Forces Navy 1954  
440.4 Constabulary-Visits and Missions, Armed Forces 1954  
441. U. S. Mission  
531 Postal Services and Regulations 1954  
570.1 Race Problems Chinese 1954  
123 Cumming, Hugh S., Jr. 1955  
123 Galbraith, Francis J. 1955  
123 Haring, Philip E. 1955  
123 Underhill, Francis T. Jr. 1955  
200 General Citizenship, Immigration 1955  
210 Immigration and Travel Control in Indonesia 1955  
220 Citizenship and Passport Cases 1955  
230 Protective Cases 1955  
250 Legal Cases 1955  
300 General, Policy Papers, Natl Intell Est; Natl Security Council, etc. Apr to June 1955  
300 General. Policy Papers, Natl Intelligence Est; Natl Security Council, etc. Jan-Mar 1955  
300.1 Implementation and Policy Programs (OCB) Jan-June 1955

Box 11

312 Indonesian Activities in the United Nations 1955  
320 Australia 1955  
320 Indo-China 1955  
320 Relations between Indonesia and Other Countries (general)  
1955  
325.5 Celebes 1955  
325.5 Atjeh 1955  
350.1 Political Parties July to Dec 1955  
350.1 Political Parties Jan to June 1955/361.3 Governmental  
Agencies other than of cabinet rank 1955  
370 Public Order and Safety 1955  
370.3 Crime, Dissident and Anti-Governmental Forces 1955  
400.1 Intelligence Activities of Country, Subversive Activities,  
1955  
410.1 Training Activities of Army 1955  
410.2 Training Activities of Navy 1955  
430 Supplies and Equipment of Armed Forces 1955  
430.1 Supplies and Equipment of Army 1955  
430.2 Supplies and Equipment of Navy 1955  
430.3 Aircraft for Indonesia 1955  
441 U. S. Missions 1955  
505.9 Soviet Bloc Economic Infiltration 1955  
124 American Personnel 1956  
123 Hugh S. Cumming 1956  
123 Francis T. Underhill 1956  
122 Indonesian Embassy 1956  
200 General Citizenship, Immigration, Protective and Legal 1956  
220 Citizenship and Passport Cases 1956  
230 Protection Cases 1956  
250 Legal Cases 1956  
300 General Policy papers, Natl Intel Est; Natl Security Council,  
etc/July-Dec 1955  
300 General Natl Intelligence, NSC Papers, Estimates of  
Situation, etc 1956  
300.1 OCB Implementation & Policy July-December 1956  
300.1 OCB Implementation and Policy January-June 1956  
310 International Conferences and Organizations: IMF; IBRD;  
ECAFE; GATT 1956  
312 United Nations Indonesian Activities 1956  
320 Australia 1956  
320 Egypt 1956  
320 Germany East & West 1956  
320 India 1956  
320 Indonesian Relations with Other Countries 1956  
320 Philippines 1956  
325.3 East Indonesia 1956  
325.5 Atjeh 1956  
350.21 Communism 1956  
362 Parliament 1956  
370.1 Police 1956  
505.8 Surplus Property Credit 1956  
521 Agriculture General 1956

Box 11 (cont'd)

521.1 PL-480 1956  
521.71 Rubber (American companies, contracts) 1956  
521.71 Rubber  
523 Mines and Mining General 1956  
523.1 Petroleum (American companies, contracts) 1956  
523/2 CALTEX 1956  
523.3 STANVAC 1956  
550 Manufacturing General 1956  
560 Labor Affairs  
620 Education 1956  
630 Press, Newspapers, Other Publications 1956  
505.4 TCA Operations July-December 1956  
505.4 TCA Operations 1956

Box 12

505.6 UN Technical Assistance 1956  
505.9 Soviet Bloc Infiltration 1956  
400 Armed Forces-General Army, Navy, Air Force, Constabulary 1956  
410.3 Training Activities of Air Force 1956  
500 General Economic 1956  
670 USIA 1956  
670.1 Sponsorship of Educational Institutions; Foundations:  
Ford, Rockefeller, MIT, California, etc 1956  
670.3 Exchange of Persons 1956  
690 Scientific Matters, General 1956 Lot 60D60  
505.1 MSA (Mutual Security) April and May 1952 Lot 59D344  
Communism August thru September 1950  
123 Cochran 1951-52  
MSA (Mutual Security) Nov-Jan 1952 505.1  
570.3 Religion  
441 U. S. Military Mission  
570.1 Race Problems Chinese  
570.3 Religion-Mohammed  
100 Administration 1954  
100.5 Evacuation Plans 1954  
110 Budget and Problem Papers 1954  
120 Administration Djakarta 1954  
120 Administration Medan 1954  
120 Administration Noumea 1954  
120.1 Embassy Djakarta Housing 1954  
122 Indonesian Embassy 1954  
122.3 Indonesian Embassy Personnel 1954  
200 General (Citizenship, Immigration, Protective and Legal) 1954  
220 Citizenship Passport Cases 1954  
310 International Conferences and Organizations (Miscellaneous)  
1954  
310 GATT 1954  
310 IBRD 1954  
310 IMF 1954  
321.3 UN Embargo Act 1954 July 1 December 31  
321.3 UN Embargo Battle Act 1954 January 1 - June 30

Box 12 (cont'd)

500 General Economic Analyses 1954  
505 Economic Aid-General 1954  
505.1 FOA-Negotiations 1954  
505.2 EX/IM Bank Loan 1954  
505.3 Foreign Investment Policy; Investment Guaranty Program;  
Info-Media Guaranty Program 1954  
505.4 FOA Operations January 1954  
505.8 Surplus Property Credit 1954  
510 Trade Relations 1954  
510.3 Chamber of Commerce-American in Indonesia 1954

Box 13

521 Agriculture-General 1954  
521.31 RICE 1954  
521.71 Rubber 1954  
521.71 U. S. Rubber Co. 1954  
521.71 Goodyear Tire and Rubber Co. 1954  
523.1 Petroleum 1954  
523.2 CALTEX 1954  
523.3 STANVAC 1954  
523.5 Tin 1954  
542 Civil Aviation 1954  
543.2 Harbors, Docks Port Facilities 1954  
550 Manufacturing, General 1954  
560 Labor Affairs  
570 Social Studies - General 1954  
600 Information and Cultural Affairs General 1954  
620 Education, School, Teaching 1954  
630 Press, Newspapers, Censorship 1954  
670 USIA 1954  
670.3 Exchange of Persons 1954  
690 Scientific Matters, Scientific Relations 1954  
691 Atomic Energy and Thermonuclear Fission 1954  
695 Ford Foundation 1954  
696 MIT Project 1954  
120 Administrative Actions and Instructions 1955  
697 California-Indonesia Project  
000 General/Miscellaneous file 1955  
100 General 1955  
110 Budget Presentations affecting the posts 1955  
120.1 Housing, Buildings 1955  
121 Security. Security Measures and Regulations. Security  
Violations 1955  
122 Indonesia Embassy 1955  
310 International Conferences and Organizations IMF; IBRD; ECAFE;  
GATT 1955  
321.3 Economic Warfare. Battle Act 1955  
500 General Economic Analyses; Quarterly and Annual Economic  
Reviews; etc. 1955  
505 Economic Aid (General) 1955  
505.1 ECA, MSA, and TCA Negotiations 1955

Box 13 (cont'd)

505.2 EX/IM Bank Loan 1955  
505.3 Foreign Investment Policy: Investment Guaranty Program;  
etc 1955  
505.4 TCA Operations 1955  
  
505.41 FOA Training Program 1955  
510.1 Trade Agreements, Arrangements 1955  
521 Agriculture General  
521.1 PL-480  
521.71 Rubber. GSA Rubber Contract. Goodyear Tire and Rubber Co.  
U. S. Rubber Co. 1955  
523.1 Petroleum. American Oil Companies  
523.2 CALTEX 1955  
523.3 STANVAC  
523.5 Tin 1955  
560 Labor Affairs 1955  
600 Informational and Cultural Affairs. General 1955  
620 Education. Schools, Teaching 1955  
670 USIS 1955  
670.3 Exchange of Persons 1955  
695 Ford Foundation 1955  
696 MIT Program 1955 Lot 59D344

Box 14

Refund of Appropriations - Expenses - Army of the Philippines 1-7  
Folder #1  
Refund of Appropriations - Expenses - Army of the Philippines 1-7  
Folder #2  
Laurel Claims Mission D9c  
Agreements and Memos of Understanding  
Philippines - Romulo-Snyder Agreement 1959 19.1  
Philippines Romulo-Snyder Agreement 1960 19.1  
Romulo-Snyder  
Romulo-Snyder Negotiations  
1st Draft Army Papers  
MDAP 1-2 1956-57 Phil  
H.57 A Complete Set of Draft U. S. Memoranda on Phil. "Omnibus"  
Claims  
1-4d Military Port-Manila (aka Manila Air Station 1956-57 Phil.  
1-4f Camp Wallace 1956-57 Phil  
1-4h Property Rights (Roper Report) 1957 Phil File #3  
M-1 Philippine Trade Agreement Folder No. 7 June 1954-Sept 14,  
1954  
1-4h Property Rights (Roper Report) May 16- June 1956 File #2  
1-4h Property Rights (Roper Report) Jan-May 15, 1956 File #1  
1-9 Negotiating Mission 1-9 (Property Rights & Expansion of  
Lapsed Military Bases in Philippines Oct 20- Dec 31, 1956  
File #4

Box 15

I-8 Appointment to U. S. Service Schools 1956-57 Phil  
I-26a Jurisdiction over U. S. Military Personnel (from 7/8/57)  
File #1 June -Oct 1957 Phil  
I-29 Airfields in North Borneo  
I-9 Negotiating Mission (Property Rights & Expansion of Lapses  
Military Bases in Philippines) File #5 Jan-Dec 1957  
I-9 Negotiating Mission (Property Rights & Expansion of Lapses  
Military Bases in Philippines) File #3 Sept 11 - Oct 29, 1956  
I-9 Negotiating Mission (Property Rights & Expansion of Lapses  
Military Bases in Philippines) File #2 Aug 16-Sept 10, 1956  
I-9 Negotiating Mission (Property Rights & Expansion of Lapses  
Military Bases in Philippines) File #1 Jan-Aug 1956  
I-10 Organization of Philippine Armed Forces 1956-57 Phil  
I-11 Veterans in the Philippines Jan-Dec 1957 Phil  
I-11 Veterans in the Philippines Jan-Dec 1956 File No. 1 Phil  
I-12 Hospitalization 1956-57 Phil  
I-13 Guerrillas 1956-57 Phil  
I-25 Establishment of Psychological Warfare Training School in  
the Philippines File #1 Feb-July 1956  
I-26 Base Negotiations Problem of U. S. Bases 1955  
I-26a Jurisdiction over U. S. Military Personnel File #2 Oct-Dec  
1957 Phil M-1 Philippine Trade Agreement Folder No. 11 June  
1955 - Dec 1955  
M-1 Philippine Trade Agreement Folder No. 9 November 18 -  
December 1954  
M-1 Philippine Trade Agreement Folder No. 8 Sept 16, 1954 - Nov  
15, 1954  
M-1 Philippine Trade Agreement Folder No. 6 Feb 5 - May 25, 1954  
M-1 Philippine Trade Agreement Folder No. 5 Jan- Feb 5, 1954

Box 16

M-1 Philippine Trade Agreement Folder No. 4 June-Dec 1953  
M-1 Philippine Trade Agreement Folder No. 3 Jan-May 1953  
M-1 Philippine Trade Agreement Folder No. 2 June-Dec 1952  
M-1 Philippine Trade Agreement Folder No. 1 Aug 1950- May 1952  
M-2 Treaty of Friendship, Commerce and Navigation Jan 1950-Sept  
1954  
M-3 Quirino-Foster Agreement November 1950 - August 1952  
M-4 ECA Bilateral Jan 1951 - July 1952  
M-5 Mutual Defense Treaty TA-2529 August 27, 1952  
M-5 Treaty of Alliance-Mutual Defense Treaty Between U. S. and  
Phils. Folder No 5 Aug 1954-Oct 1956  
M-5 Treaty of Alliance-Mutual Defense Treaty Between United  
States and Philippines Folder No 4 July-Aug 25, 1954  
M-5 Treaty of Alliance-Mutual Defense Treaty Between U. S. and  
Phils. Folder No 3 Jan-June 30, 1954  
M-5 Treaty of Alliance-Mutual Defense Treaty Between U. S. and  
Phils. Folder No 2 April-Dec 1952  
M-5 Treaty of Alliance-Mutual Defense Treaty Between U. S. and  
Phils. Folder No 1 1951-Dec 1951



Box 16 (cont'd)

- M-5a Foster-Nash-Radford-Allison Talks October 1952 - February 1953
- M-10 General Relations Miscellaneous 1946-Feb 14, 1957
- M-13 Treaty of Conciliation with the Republic of the Philippines 1953 File Phil Desk
- M-13 Treaty of Conciliation with the Republic of the Philippines 1946 thru Dec 1955

N3-59-86-8

Records of the Office of Northeast Asian Affairs, Japan Subject Files, 1947-56.

Lots 58D118, 58D637.

Box 1

5.4 Relief 1955

A-5.5 Technical Assistance 1955

A-5.8 MSA/Mutual Security 1955

A-5.8/1a&b Surplus Agricultural Commodities 1955

A-5.8/1(b) Surplus Agricultural Commodities. PL 480. Agricultural Trade and Assistance Development Act Jan-June 1955

A-5.8/1(b) Surplus Agricultural Commodities. PL 480. Agricultural Trade and Assistance Development Act July-Dec 1955

A-5.10 ICA Program

A-5.12 FE Economic Developments 1955

A-6.2 Military Aviation

C-2.7/6(b) FAC 1955

C-2.11/1 Offshore Procurement 1955

C-2.11/2 Buy American

C-2.12 Trade Complaints and Practices 1955-56

C-2.13/1 Randall Commission

C-4.1/1 Grains

C-4.1/1(a) Rice

C-4.3/1 Alaska Timber 1955-56

C-4.5/1 Petroleum Oil and Lubricants

C-4.7/12 Coal

C-4.8/3 Iron and Steel

C-4.8/3(a) Ferro-Alloys

C-4.8/3(a) Electronics

C-4.8/7 Scrap

C-4.12 Munitions and Armaments

C-4.12/2 Japanese Technical Missions on Munition Production 1955-56

C-4.14 Industrial Mobilization 1955-56

C-5.1 Economic Conferences

C-5.2/1 Asian-African Conference 1955

C-5.2/1 Summit Meeting Geneva 1955

C-5.3 Technical and Scientific Conferences

Correspondence between Mr. McClurkin and Tokyo 1954

A-3.5 Agriculture and Forestry

A-5.1/2 IBRD Loans

C-6.1 Congressional Appropriations

C-7 Communism

D-1.1 Documents-Japanese (Captured)

Box 2

E-1.5/1 Cultural Relations  
E-1.1 Art and E-1.2 Education  
E-1.5/1(a) #1 Exchange Program (Fullbright, etc.) 1955-56  
F-1.7/1 Dodge Correspondence (A Report) 1953-56  
F-1.11 Insurance  
F-1.12 Taxation and Income  
F-2.5 Fur Sealing  
G-1.3 Elections  
G-1.2 Constitution and Laws  
G-1.4/2 Relations with Communist China  
G-1.4/2 Relations with Southeast Asia, including Ceylon  
G-1.4/2 Jap Reils with USSR and Soviet Bloc  
G-1.10 Political Parties  
I-1.1 USIE  
G-1.11 Internal Pol Situation  
I-2.1 Japan Intelligence 1955-56  
I-1.5/2 Psychological Warfare: Propaganda  
I-4.1/1 Securities 1955-56  
I-4.2/2 US Investment in Japan  
L-1.3 Unions  
L-1.5 US Garrison Labor Force Problems  
M-2.1/3(a) Facilities Assistance Program  
O-1.3 Opinion on Occupation 1953-56  
M-2.1/5 Transfer of Naval Vessels  
Deployment of Weapons  
P-1.3 Copyrights  
P-2.3 Public Welfare  
P-9.1  
P-8.1 Public Opinion - U. S.  
P-8.2 Public Opinion  
P-3.7 Great Power Relations  
P-3.4/1 Jap Policy - Asia  
P-3.4 U. S. Policy - Asia  
P-3.1/1 Briefing Papers. Hoover-Hollister Trip Sept-Oct 1955  
P-3.1 U. S. Jap Policy  
P-6.3/1 External Assets - Switzerland 1947-55  
S-2.1 Speeches - President Eisenhower  
S-2.1 Speeches - United States 1955-56  
S-4.1 Atomic Research and Development August 1955 - Dec 1955  
S-4.1 Atomic Research and Development Jan-July 1955  
S-4.1/1 Atoms for Peace  
T-2.2/2 Administrative Agreement Drafts  
T-2.2/2(b) Administrative Agreement. Tax Agreement/Tax  
Negotiations 1955-56  
T-3.1/1 Shigemitsu Trip 1955  
T-3.2 Hoover's Trip 1955  
T-2.3/1 Cong. Travel  
T-2.3 No title

Box 3

T-2.6 Consular Convention  
U-1.5 United Nations  
M-2.7 War Criminals 1954  
M-2.7 War Criminals June-Dec 1953  
M-2.7 War Criminals To June 1953  
M-2.7 War Criminals 1952  
M-2.7 War Criminals 1955  
I-5.5/1 Administration of Ryukyu Islands  
I-5.12/1 Angaur Island (phosphate mining) 1954 and previous  
I-5.12 Trust Territories (Marshalls, Carolines, and Marianas)  
1953-54  
I-5.10 Liancourt Rocks (Takeshima or Dok-to Island) 1952 and  
previous  
I-5.10 Liancourt Rocks (Takeshima or Dok-to Island)  
I-5.5/6 Ryukyus Economic Conditions 1955-5  
I-5.5/8 Ryukyus Foreign Political Relations 1955-56  
I-5.5/11(a) Immigration and Emigration  
I-5.5/14 Ryukyus Labor (ICFTU Survey) 1955-56  
I-5.5/15 Land Problems Ryukyus Islands  
I-5.5/18 Ryukyus Relationship of US Military Authorities with  
Natives 1955-56  
I-5.5/21 Ryukyus - Status Under Jap Peace Treaty  
I-5.5/5 Ryukyus Disasters (typhoons, etc.) 1955-56  
I-5.5 Ryukyus 1954  
I-5.5 Ryukyus (Civil Affairs) 1953-54  
I-5.5 Ryukyus 1953  
I-5.5 Amami Oshima October-November 1953  
I-5.5 Amami Oshima December 1953  
I-5.5 Amami Oshima Undated Material  
I-5.5 Amami Oshima January-September 1953  
I-5.1 Bonin Islands 1953-54  
Geneva Conference Briefing Materials

Box 4

Minutes of the Joint Committee, 29th Meeting  
Joint Committee Meetings, #32  
Joint Committee Meetings, #33  
Joint Committee Meetings, #34  
Joint Committee Meetings, #35  
Joint Committee Meetings, #36  
Joint Committee Meetings, #37  
Joint Committee Meetings, #38  
Joint Committee Meetings, #39  
Joint Committee Meetings, #42  
Joint Committee Meetings, #43  
Joint Committee Meetings, #44  
Joint Committee Meetings, #45  
Joint Committee Meetings, #46  
Joint Committee Meetings, #47

Box 5

Arrangements Implementing the Administrative Agreement -  
Secretariat Joint Committee March 25, 1953  
Arrangements Implementing the Administrative Agreement -  
Secretariat Joint Committee November 7, 1953  
Arrangements Implementing the Administrative Agreement -  
Secretariat Joint Committee November 7, 1953

Box 6

Arrangements Implementing the Administrative Agreement -  
Secretariat Joint Committee March 24, 1955  
Letters Sent to RM/R  
Hemmendinger 1955-56  
McClurkin 1955-56  
Fred Rich's Letters to Mr. Hemmendinger  
F-2.7 Fisheries Statistics  
A-1.4 State Department with Other Agencies  
K A-5.10 FOA Program 1955  
A-5.13 Peaceful Uses of Atomic Energy  
K I-3.15 International Bureau of Weights and Measures 1955-5 Lot  
58D118  
A-1.1 Communications 1956 Lot 58D637  
A-1.2/1 Departmental Organization and Reorganization 1955-56  
A-1.2/6 Security 1956  
A-1.3 Field Administration 1956  
A-5.4/4 UN & Specialized Agencies 1956  
A-5.8/1 Surplus Agricultural Commodities  
A-5.8/1(b) Surplus Agricultural Commodities. Agricultural Trade  
and Assistance Development Act Jan-June 1956  
A-5.10 ICA Program 1956  
C-2.7/1 COCOM & UN Embargoes 1956 (1 month-exemptions cables)  
C-2.6 Misc. Countries  
C-2.6 Communist China 1956  
C-2.6 United States - Trade and Commercial Policy 1956  
C-2.3 MFN (Most Favored Nation)  
A-6.1 Civil Aviation 1956  
C-4.1/2(a) Tuna Fish 1955-56  
C-4.2 Fibers and Textiles 1955-56  
C-4.2/1 Cotton 1956

Box 7

C-4.2/1 Cotton 1955  
C-4.2/2 Wool  
C-4.2/5 US Textile Legislation 1955-56  
C-4.8/3(a) Ferro-Alloys  
C-4.8/7 Scrap 1956  
C-5 Conferences - General  
D-1.1 Documents - Japanese 1956  
D-1.2 Documents - Other  
E-1.5/1(a) #2 Exchange Program (Fullbright, etc) 1955-56  
E-1.5/1(a) #3 Exchange Program (Fullbright, etc) 1955-56  
E-1.5/1(d) Gifts 1955-56  
F-1.11 Insurance  
F-1.12 Taxation and Income 1956  
F-2.2 Japanese-Russian Fishing Relation 1956  
G-1.4/2 Japan-Communist China Relations  
G-1.4/2 Japan-USSR Nominations HFP File  
G-1.4/2 Japan Relations with the USSR & Soviet Bloc 1956  
G-1.4/1 US-Japanese Relations 1955-56  
G-1.4/1 Jap Embassy in the US  
G-1.4/2 Japan-North Korea Relations 1955-56  
G-1.4/2 Relations Japan & Southeast Asia  
G-1.4/2  
G-1.11 Internal Political Situation 1956  
I-1.5/2 Psychological Warfare Propaganda 1956  
I-2.1 Defectors 1955-56  
I-2.3 Other Intelligence 1956  
I-3.1 ECAFE - Economic Commission for the Far East 1955-56  
I-3.3 International Atomic Energy Agency  
I-3.8 International Labor Organization 1956  
I-4.2/2 US Investment in Japan 1956  
I-4.3 Japanese Investment Abroad 1955-56  
M-2.1/3 MDAA (Agreement)  
M-2.1/3 Mutual Defense Assistance Agreement (Text)  
M-2.1/3 MAAG Terms of Reference  
M-2.1/3 Military Assistance  
M-2.1/3 Facilities Assistance Program

Box 8

P-3.5/1 US Economic Defense Policy 1955-56  
P-3.7 Great Power Relations  
P-3.4/2 US - Japanese Consultations on Asian Policy 1956  
P-3.2 Health and Welfare (incl. Narcotics) 1956  
P-2.6/2(b) Naturalization - American Citizens in Japan 1955-56  
P-3.1 US - Jap Policy - Political  
P-3.2 US - Japan Policy - Economic 1956  
P-3.4/1 Japanese Policy - Asia  
M-2.8 US Post Treaty - Forces in Japan  
M-2.8/2 Deployment of Weapons 1955-56  
M-2.10 Embassy - CINCFE Relations  
M-2.7 War Criminals 1956  
Japanese War Criminals  
M-2.1/6 Joint Defense Planning  
M-2.1/6 Runway Extension  
L-1.6 Japanese Labor in the United States 1955-56  
L-1.7 Miscellaneous (ICFTU, etc.) 1956  
M-2.1 Rearmament - General  
M-2.1/4 Loan/Transfer of Equipment  
L-1.3 Unions 1956  
L-1.5 US Garrison Labor Force Problems  
M-2.1/1 National Self-Defense Force  
M-2.1/1 Maritime Self-Defense Force  
M-2.1/1 Air Self-Defense Force  
M-2.1/2 Japanese Defense Budget (Burden Sharing) 1956  
M-2.1/2 Japanese Defense Budget (Burden Sharing) 1955 to August  
M-2.1/2 Japanese Defense Budget (Burden Sharing) Sept - Dec 1955  
M-2.1/2 Ad Hoc Joint (US & Japan Committee on Defense Budget  
M-2.1/3 Aircraft Production Program 1955-56  
P-3.8 NSC

Box 9

P-3.8/1 OCB 1956  
P-3.8/2 International Security Plan 1955-56  
P-6.1/1(a)(1) Individual Restoration Cases 1956  
P-6.1/1 Restoration of US Property in Japan  
P-6.1/1 Compensation for US Property in Japan  
P-6.1/1 Property and Claims  
P-6.1/1 Individual Compensation Cases  
P-6.1/1(d) Use of Land and Facilities by US Military  
P-6.1/3 German Property (assets) in Japan - Tripartite Commission  
1955-56  
P-6.1/3 German Assets in Japan Individual Cases 1955-56  
P-6.2 Prewar Claims of US Nationals Against Japan  
P-6.2/1 Prewar Claims of Non-US Nationals Against Japan 1955-56  
P-6.3/1 External Assets - Afghanistan 1955-56  
P-6.3/1 Japanese Assets in the US  
P-6.2/2(a) Return of Japanese diplomatic & Consular Property  
1955-56  
P-6.4 Property and Claims in Territory formerly under Japanese  
rule  
P-6.4/2 Settlement of Claims (Japan and Korea)  
P-6.4/5 Reparations Claims 1955-56  
P-6.6 Claims Under the Administrative Agreement 1955-56  
P-6.5/1 Claims vs. SCAP and Occupation Forces 1955-56  
P-6.7 POW Claims (non Article 16) 1955-56  
P-6.9 Other Legislation or Treaty Provision for Claims Settlement  
P-6.12 Claims by Japanese Nationals vs. US Government 1955-56  
P-6.13 Claims by Jap. Government vs. US Government 1955-56  
P-6.14 MAAG Claims 1955-56  
P-8.1 Public Opinion - United States 1955-56  
P-8.2 Public Opinion - Japanese or Korean (including press  
opinion). 1956  
P-8.3 Public Opinion - Foreign 1956  
P-8.4 Public Opinion  
P-9.1 Appointments  
S-1.3 Merchant Marine and Fishing Vessels 1955-56  
S-4 Scientific Research and Development 1956  
S-4.1 Atomic Research and Development June-Dec 1956  
American Prisoners in Japanese Prisons



Box 10

S-4.1/1 "Atoms for Peace" Agreement 1956  
S-4.1 Atomic Research and Development January 1956  
T-2.1 Japanese Peace Treaty 1953-56  
T-2.1 Japanese Peace Treaty (Negotiation)  
T-2.1/1 Japanese Peace Treaty Ratification 1955-56  
T-2.1/3 China - Japan Treaty Negotiations  
T-2.1/3 China - Japan Treaty (Text)  
T-2 List and Texts of Treaties and Agreements Between US and  
Japan  
T-2.2/2 Trials Under Administrative Agreement 1956  
T-2.2/2 Trials A-C (Admin. Agreement)  
T-2.2/2 Administrative Trials D-G  
T-2.2/2 Joint Committee on the Administrative Agreement  
T-2.8 Safety of Life at Sea Convention  
T-2.11 Japanese - Soviet Declaration 1956  
T-3.1 Travel to US from Japan 1955-56  
T-3.1/1 Visits to the United States of Japanese Government  
Officials 1955-56  
T-3.2/1 Travel to Japan from US - Congressional Travel 1955-56  
T-3.4 Travel - General - Visas, Passports, etc  
T-3.5 Dulles Trip 1956  
FCN 1956  
U-1.5 Membership in the UN 1955-56  
U-1.3 UNESCO and ECOSOC 1955-56  
U-1.7 UN Resolutions 1955-56  
U-2.1 Internal Subversion 1956  
U-2.2 Security Procedures 1955-56  
F-1.6 Military Currency  
P-6.4/3 Settlement of TRUST Territory Claims vs. Japan  
M-2.1/3(d) Mutual Weapons Development Program 1956

*N3-59-86-8*

Records of the Office of Northeast Asian Affairs; records relating to foreign policy decisions, 1950-56. Lots 58D184, 58D208, 59D476.

Box 1

Far East Redeployment 1954-56  
Far East re: NSC 5506 1955  
Far East re: NSC 135 1952-53  
Japan NSC 125 et al & Progress Report 1953-54  
Japan NSC 5516/1 and Progress Report 1955  
Japan 1290-D 1956  
Korea NSC 170/1 and Progress Report 1953-54  
Korea "Detailed Development" 1954-56  
Korea "Outline Plan of Operations" 1955-56 Lot 58D208  
NA Top Secret File (by FE Number) 1955-56 Lot 59D476  
I-1 OCB Progress Lot 58D184  
Miscellaneous-Collective Defense in Asia 1955  
I-5 Miscellaneous Conferences 1953-54  
I-7 Miscellaneous Island - Trust Territory 1953-54  
I-8 Miscellaneous Military 1953-54  
I-8 Miscellaneous NSC Documents 1953-54  
I-15 Miscellaneous US Aid 1953-54  
I-17 Miscellaneous US Relations with the Far East (other than Japan and Korea) 1953-54  
I-11 Miscellaneous Political General 1953-54  
I-18 Miscellaneous US Relations with Soviets 1953-54  
II-1 Japan Administrative Agreement, NATO and UN Forces Agreement 1953-54  
II-2 Japan Economic General 1953-54  
II-4 Japan Intelligence 1953-54  
II-8 Japan Islands-Bonins and Ryukyus 1951-52  
III-15 Korea NSC Papers 1953-54  
III-16 Korea Political 1953-54  
III-17 Korea Political Conference 1953-54

Box 2

III-18 Korea Political Conference (Memoranda of Conversation) 1953  
III-20 Korea Prisoners of War 1953-54  
III-21 Robertson-Rhee Meetings 1953  
III-22 Korea Truman-Atlee Talks 1950  
III-23 Korea, US-Korean Relations 1953-54  
III-25 Korea US Policy 1955  
III-26 Korea US Policy 1953-54  
III-28 Documentation for United Nations Action in Korea and Free World Unity  
III-30 Documentation for Attitudes in the UN on Localizing Hostilities in Korea  
III-31 NA 3018 Copy No 17  
III-12 Korea Military 1953-54

Box 3

- III-5 Korea Armistice Policy (ROK) 1953-54
- III-6 Korea Dulles-Rhee Talks 1953
- III-7 Korea Economic General 1953-54
- III-9 Korea Intelligence 1953-54
- III-24 Background Papers (Robertson-Rhee Talks) June 1953
- III-11 Korea Military 1955
- Background Material for US Policy Toward Japan "Formulation and Content"
- III-1 Korea Administration 1953-54
- III-2 Korea Armistice 1953-54
- III-4 Korea Armistice Negotiations 1953-54
- II-17 Japan NSC Papers 1955
- II-18 Japan NSC Papers 1953-54
- II-20 Japan US-Japanese Relations 1953-54
- II-24 Japan US Policy Towards Japan 1951-54
- II-12 Japanese Military Defense
- II-5 Japan Islands Amami Oshima 1953-54

Box 4

- II-6 Japan Islands Bonins and Ryukyus 1953-54
- II-14 Japan Military 1955
- II-16 Japan Mutual Defense Assistance Agreement 1953-54

ACCESSION CONTROL RECORD

See NAR P 1848.1A  
for procedures

Number <i>N3-59-86-8</i>	Record Group <i>59</i>	Volume on SF 258 <i>15 cu-ft.</i>	Number of Series
Signed to <i>Wolfe</i>	Date of Assignment <i>10-16-86</i>	Brief Identification of Records <i>Bureau of Far Eastern Affairs, 1942-57</i>	
ORIGINAL OF SF 258 IS KEPT IN ACCESSION FOLDER		<i>Lots</i> ✓ <i>58D 206</i> ✓ <i>58D 614</i> ✓ <i>58D 3</i> ✓ <i>58D 637</i> ✓ <i>58D 118</i> ✓ <i>59D 344</i> ✓ <i>58D 184</i> ✓ <i>59D 476</i> ✓ <i>58D 208</i> <i>60D 11</i> <i>58D 321</i> ✓ <i>60D 60</i> ✓ <i>63D 51</i>	
ARRANGE TRANSFER WITH FIRST COPY OF SF 258			
Date SF 258 signed (7) <i>8-18-86</i>	Date of Transfer Req. <i>9-3-86</i>		
RECEIPT OF RECORDS SEE CH. 2-51			
Date Records Received <i>9-16-86</i>	Date SF 258 Signed (8) <i>9-16-86</i>		
Date Records Boxed <i>4-4</i>	Date Boxes Labeled Ch. 4-5	Location of Records <i>6E3</i>	Date Entered on Location Register
Volume in Cubic Meters (Ch. 4-63 to 4-90) <i>.58</i>			
CHANGE OF STATUS REPORTS FOR NARS A-1 SEE CHAPTER 7			
Date Restriction Statement Prepared		Date Privacy Act Statement Prepared	
Date 6710 Prepared <i>9-24-86</i>			
Date 6710A's Prepared <i>1-9-87</i>			
Date Control Numbers Assigned <i>1-9-87</i>			
Date Folder List Prepared <i>1-9-87</i>			
Date Records Screened for Restrictions			
Date Records Declassified		Declassification Authority Number	
Date Paragraph for News Notes Completed <i>2-20-87</i>			
Date Records Described for "List of Special Files" <i>2-20-86</i>			

REPRODUCED AT THE NATIONAL ARCHIVES

<b>CHANGE OF STATUS RECORD</b> RECORD GROUP LEVEL				FOR NNB USE				UNIT OF ARCHIVAL CONTROL NNF		RG. NO.	
				ORIGINATOR'S TRANSACTION NO. N3-59-86-8				TOTAL NO. OF SHEETS ATTACHED 16		059	
STATISTICAL TRANSACTIONS (Enter code from table below in left column)										OTHER TRANSACTIONS	
INCREASE			DECREASE			TRANSFER					
1. ACCESSION			5. DISPOSAL			9. CHANGE PHYSICAL CUSTODY				<input type="checkbox"/> 11. CHANGE UNIT OF ARCHIVAL CONTROL <input type="checkbox"/> 12. NEW RECORD GROUP <input checked="" type="checkbox"/> 13. INITIAL INPUT <input checked="" type="checkbox"/> 14. CORRECTION/REVISION (Explain below) <input type="checkbox"/> 15. NATIONAL ARCHIVES GUIDE NARRATIVE <input type="checkbox"/> 16. RESTRICTION STATEMENT <input type="checkbox"/> 17. PRIVACY STATEMENT <input type="checkbox"/> 18. CHANGE FINDING AID CITATIONS <input type="checkbox"/> 19. CHANGE SERIES LOCATIONS <input type="checkbox"/> 20. OTHER (Explain below)	
2. ACCRETION			6. PERM. WITHDRAWAL			10. CHANGE OF RECORD GROUP					
3. REMEASUREMENT			7. REMEASUREMENT			(Enter RG nos. in unit of physical custody column)					
4. OTHER (Explain below)			8. OTHER (Explain below)								
TRANS. ACTION CODE	NUMBER OF SHEETS ATTACHED	UNIT OF PHYSICAL CUSTODY	CHECK		VOLUME (m <sup>3</sup> )		ITEMS				
			+	-	Check here if cu. ft. <input type="checkbox"/>		ITYPE CODE	NUMBER OF ITEMS			
					THIS CHANGE	AFTER CHANGE		THIS CHANGE	AFTER CHANGE		
3	0	NNFD	+		.01	750.15					
13	12	NNFD									
14	4	NNFD									
TOTAL VOLUME AFTER CHANGE (To be completed by NNB)											

REMARKS

Transaction code 3 represents remeasurement of this job, which was initially reported in September 1986 and assigned control No. NN 86-669,

Transaction code 13 covers all records accessioned in this job,

Transaction code 14 covers the following corrections:

- (1) A052, B130, C030, D020, F (addition of narrative description)
- (2) A052, B130, C030, D020, S00001, F (addition to narrative description)
- (3) A052, B130, C035, D010, F (addition to narrative description)
- (4) A052, B130, C035, D010, S00001, F (addition to narrative description)

REVIEWS AND APPROVALS								FOR NNB USE	
1. PREPARED BY J. Dane Hartgoove		3. REVIEWED BY <i>[Signature]</i>		5. REVIEWED BY		7. ARCHIVAL CONTROL DIVISION		REVIEWED	
UNIT NNFD	DATE 1-9-87	UNIT NNFD	DATE 2/19/87	UNIT	DATE	UNIT	DATE		
2. REVIEWED BY <i>[Signature]</i>		4. REVIEWED BY		6. REVIEWED BY		8. APPROVED BY		POSTED	
UNIT NNFD	DATE 2-19-87	UNIT	DATE	UNIT	DATE	UNIT	DATE		

**CHANGE OF STATUS RECORD**

**A. TYPE OF TRANSACTION**

1. ACCESSION   
  2. INITIAL INPUT   
  3. CHANGE OF PHYSICAL CUSTODY   
  4. CORRECTION  
 5. ACCRETION   
  6. CHANGE OF LOCATION   
  7. CHANGE OF RECORD GROUP   
  8. OTHER CHANGE

BELOW RECORD GROUP LEVEL

**B. CONTROL NUMBER AND LEVEL**

1. RG	SUBGROUPS									SERIES AND SUBSERIES			13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS		
59	05	21	30						00	00	04		10

**C. ENTRY TITLE AND DATES**

1. ENTRY NO. \_\_\_\_\_ 2. BEGIN DATE 1956 3. END DATE 1956 4. FINDING AID CITATION (Short Form) \_\_\_\_\_  
 5. TITLE  
*Miscellaneous Subject Files for the year 1956*

**D. STATISTICS**  
(Complete Linear Measurement and items for levels 10-12 only.)

1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT (Indicate m., cm., ft., or in.)		1 TYPE CODE	NO. OF ITEMS	3. ITEMS TYPE OF ITEM
	12	in			

**E. LOCATIONS**

1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
//			Box 1-3
//			
//			
//			

ARE LOCATIONS CONTINUED ON REVERSE?  
 YES     NO

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

*randomly*  
 Arranged by subject.

Memoranda, correspondence among United States government officials, reports, briefing materials, position papers, and newspaper clippings pertaining to U.S. foreign policy in the Far East. Topics include Sino-Soviet trade with the West, trade sanctions against the Peoples' Republic of China, military assistance to Nationalist China, the Geneva Ambassadorial Talks with the Peoples' Republic of China, tariff policy, normalization of relations between Japan and the U.S.S.R, administration of the Ryukyus, modernization of U.S. forces in South Korea, political discontent in South Korea, the

CHECK if continued on reverse.

**G. ACCESSIONED MICROFILM**

MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

Asian Nuclear Center, and a trade agreement with the Philippines.

~~Lot 58D3.~~

Security classified.

REPRODUCED AT THE NATIONAL ARCHIVES

**CHANGE OF STATUS RECORD**

**A. TYPE OF TRANSACTION**

BELOW RECORD GROUP LEVEL

1. ACCESSION   
  2. INITIAL INPUT   
  3. CHANGE OF PHYSICAL CUSTODY   
  4. CORRECTION  
 5. ACCRETION   
  6. CHANGE OF LOCATION   
  7. CHANGE OF RECORD GROUP   
  8. OTHER CHANGE

**B. CONTROL NUMBER AND LEVEL**

I. RG	SUBGROUPS									SERIES AND SUBSERIES				13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS			
59	052	130	015						00001			10		

**C. ENTRY TITLE AND DATES**

1. ENTRY NO.	2. BEGIN DATE	3. END DATE	4. FINDING AID CITATION (Short form)
	1954	1956	
5. TITLE <i>Miscellaneous Subject File</i>			
<del>Records of the Office of the Assistant Secretary for Far Eastern Affairs</del>			

**D. STATISTICS**  
(Complete Linear Measurement and items for levels 10-12 only).

1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT (Indicate m, cm, ft., or in.)		3. ITEMS		
	I TYPE CODE	NO. OF ITEMS	TYPE OF ITEM		
	19	in			

**E. LOCATIONS**

1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
/ /			Box 1 - 4
/ /			
/ /			
/ /			

ARE LOCATIONS CONTINUED ON REVERSE?

YES     NO

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

Arranged <sup>at random by</sup> by subject.

Briefing books, correspondence, memorandums, papers, studies, minutes, statistical charts and other materials pertaining to U. S. relations with Japan, Korea, Taiwan, the Philippines, Vietnam, Laos, Cambodia, Burma and Thailand. Included is briefing material prepared in advance of the visit to the above countries by Under Secretary of State Herbert Hoover, Jr., and Director of the International Cooperation Administration John B. Hollister. Among subjects covered are U. S. policy in the Far East, the development of SEATO, and political, administrative, economic, military, geographical, and historical information on the countries visited. ~~Contains security classified records.~~ <sup>c</sup> Lot 56D206.  CHECK if continued on reverse.

**G. ACCESSIONED MICROFILM**

MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	



CHANGE OF STATUS RECORD

A. TYPE OF TRANSACTION

- 1. ACCESSION       2. INITIAL INPUT       3. CHANGE OF PHYSICAL CUSTODY       4. CORRECTION
- 5. ACCRETION       6. CHANGE OF LOCATION       7. CHANGE OF RECORD GROUP       8. OTHER CHANGE

BELOW RECORD GROUP LEVEL

B. CONTROL NUMBER AND LEVEL

1. RG	SUBGROUPS										SERIES AND SUBSERIES			13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS			
59	0	5	2	1	3	0	0	1	5	0	2	0	0	5

C. ENTRY TITLE AND DATES

1. ENTRY NO.      2. BEGIN DATE      3. END DATE      4. FINDING AID CITATION (Short form)

5. TITLE  
*Records of the*  
 Office of the Special Assistant for Regional Programs.

D. STATISTICS (Complete Linear Measurement and Items for levels 10-12 only).

1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT (Indicate m., cm., ft., or in.)	3. ITEMS		
		I TYPE CODE	NO. OF ITEMS	TYPE OF ITEM

E. LOCATIONS

ARE LOCATIONS CONTINUED ON REVERSE?

YES     NO

1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
//			
//			
//			
//			

F. ARRANGEMENT AND NARRATIVE DESCRIPTION

CHECK if continued on reverse.

G. ACCESSIONED MICROFILM

MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

**CHANGE OF STATUS RECORD**

**A. TYPE OF TRANSACTION**

1. ACCESSION   
  2. INITIAL INPUT   
  3. CHANGE OF PHYSICAL CUSTODY   
  4. CORRECTION  
 5. ACCRETION   
  6. CHANGE OF LOCATION   
  7. CHANGE OF RECORD GROUP   
  8. OTHER CHANGE

BELOW RECORD GROUP LEVEL

**B. CONTROL NUMBER AND LEVEL**

1. RG	SUBGROUPS									SERIES AND SUBSERIES			13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS		
519	052	130	015	020					000	01		10	

**C. ENTRY TITLE AND DATES**

1. ENTRY NO. \_\_\_\_\_ 2. BEGIN DATE 1953 3. END DATE 1957 4. FINDING AID CITATION (Short form) \_\_\_\_\_  
 5. TITLE  
 Subject files.

**D. STATISTICS**  
(Complete Linear Measurement and items for levels 10-12 only.)

1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT (Indicate m., cm., ft., or in.)		1. TYPE CODE	NO. OF ITEMS	3. ITEMS TYPE OF ITEM
	2	in			

**E. LOCATIONS**

ARE LOCATIONS CONTINUED ON REVERSE?

YES  NO

1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
/ /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	Box 1
/ /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	
/ /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	
/ /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

Arranged <sup>alphabetically</sup> by subject.  
 Memoranda reports, telegrams and tables relating to various U.S. military, technical and economic assistance projects in Vietnam, the Philippines, Thailand, Burma, and Korea.  
 chot 60D11.  
 Security classified.

CHECK if continued on reverse.

**G. ACCESSIONED MICROFILM**

MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

**CHANGE OF STATUS RECORD**

**A. TYPE OF TRANSACTION**

1. ACCESSION   
  2. INITIAL INPUT   
  3. CHANGE OF PHYSICAL CUSTODY   
  4. CORRECTION  
 5. ACCRETION   
  6. CHANGE OF LOCATION   
  7. CHANGE OF RECORD GROUP   
  8. OTHER CHANGE

BELOW RECORD GROUP LEVEL

**B. CONTROL NUMBER AND LEVEL**

1. RG	SUBGROUPS									SERIES AND SUBSERIES			13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS		
59	05	21	30	03	00	20				0000	2		10

**C. ENTRY TITLE AND DATES**

1. ENTRY NO. \_\_\_\_\_  
 2. BEGIN DATE: 1948  
 3. END DATE: 1955  
 4. FINDING AID CITATION (Short form) \_\_\_\_\_  
 5. TITLE:

Subject Files.

**D. STATISTICS**  
(Complete Linear Measurement and Items for levels 10-12 only.)

1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT (Indicate m, cm, ft., or in.)		1. TYPE CODE	NO. OF ITEMS	3. ITEMS
					TYPE OF ITEM
	5	in			

**E. LOCATIONS**

1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
/	/	/	Box 1
/	/	/	
/	/	/	
/	/	/	

ARE LOCATIONS CONTINUED ON REVERSE?

YES   
  NO

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

Arranged <sup>randomly</sup> by subject.

Memoranda, correspondence among U.S. government officials, reports, briefing papers, and meeting minutes pertaining to U.S. involvement in repatriating Kuomintang troops in northern Burma. Topics include U.S. military, economic and technical assistance. *Retired as Lot 58D321.*

~~Lot 58D321~~

Security classified.

CHECK if continued on reverse.

**G. ACCESSIONED MICROFILM**

MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

REPRODUCED AT THE NATIONAL ARCHIVES

<b>CHANGE OF STATUS RECORD</b>  BELOW RECORD GROUP LEVEL	<b>A. TYPE OF TRANSACTION</b>
	<input checked="" type="checkbox"/> 1. ACCESSION <input checked="" type="checkbox"/> 2. INITIAL INPUT <input type="checkbox"/> 3. CHANGE OF PHYSICAL CUSTODY <input type="checkbox"/> 4. CORRECTION <input type="checkbox"/> 5. ACCRETION <input type="checkbox"/> 6. CHANGE OF LOCATION <input type="checkbox"/> 7. CHANGE OF RECORD GROUP <input type="checkbox"/> 8. OTHER CHANGE

B. CONTROL NUMBER AND LEVEL													
1. RG	SUBGROUPS								SERIES AND SUBSERIES				13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS		
	159	052	130	035	010				000	002		10	

C. ENTRY TITLE AND DATES	1. ENTRY NO.	2. BEGIN DATE	3. END DATE	4. FINDING AID CITATION (Short form)
		1946	1957	
5. TITLE				
Subject files.				

D. STATISTICS <i>(Complete Linear Measurement and items for levels 10-12 only).</i>	1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT <i>(Indicate m, cm, ft., or in.)</i>		3. ITEMS		
		I TYPE CODE	NO. OF ITEMS	TYPE OF ITEM		
		11	in			

E. LOCATIONS	1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	Box 14-16
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	
ARE LOCATIONS CONTINUED ON REVERSE? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

*according to an internal filing scheme system.*  
 Arranged alphanumerically ~~by subject~~.  
 Memorand, correspondence among U. S. officials, telegrams, position papers, briefing materials, and treaties pertaining to the Philippines. Topics include negotiations on base rights, trade agreements, and mutual defense matters. *Retired as Lot 63D51.*  
 Security classified.      ~~Lot 63D51~~

CHECK if continued on reverse.

G. ACCESSIONED MICROFILM						
MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

<b>CHANGE OF STATUS RECORD</b>  BELOW RECORD GROUP LEVEL	<b>A. TYPE OF TRANSACTION</b>
	<input checked="" type="checkbox"/> 1. ACCESSION <input checked="" type="checkbox"/> 2. INITIAL INPUT <input type="checkbox"/> 3. CHANGE OF PHYSICAL CUSTODY <input type="checkbox"/> 4. CORRECTION <input type="checkbox"/> 5. ACCRETION <input type="checkbox"/> 6. CHANGE OF LOCATION <input type="checkbox"/> 7. CHANGE OF RECORD GROUP <input type="checkbox"/> 8. OTHER CHANGE

B. CONTROL NUMBER AND LEVEL													
I. RG	SUBGROUPS								SERIES AND SUBSERIES				13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS		
5   9	0   5   2	1   3   0	0   3   5	0   2   0								5	

C. ENTRY TITLE AND DATES	1. ENTRY NO.	2. BEGIN DATE	3. END DATE	4. FINDING AID CITATION <i>(Short form)</i>
	5. TITLE  Records of the Officer in Charge of Australia and New Zealand Affairs.			

D. STATISTICS <i>(Complete Linear Measurement and items for levels 10-12 only).</i>	1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT <i>(Indicate m, cm, ft., or in.)</i>	3. ITEMS		
			I TYPE CODE	NO. OF ITEMS	TYPE OF ITEM

E. LOCATIONS  ARE LOCATIONS CONTINUED ON REVERSE?  <input type="checkbox"/> YES <input type="checkbox"/> NO	1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE <i>(Optional)</i>
	/			
	/			
	/			
	/			

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

CHECK if continued on reverse.

G. ACCESSIONED MICROFILM						
MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

<b>CHANGE OF STATUS RECORD</b>  BELOW RECORD GROUP LEVEL	<b>A. TYPE OF TRANSACTION</b>							
	<input checked="" type="checkbox"/> 1. ACCESSION	<input checked="" type="checkbox"/> 2. INITIAL INPUT	<input type="checkbox"/> 3. CHANGE OF PHYSICAL CUSTODY	<input type="checkbox"/> 4. CORRECTION	<input type="checkbox"/> 5. ACCRETION	<input type="checkbox"/> 6. CHANGE OF LOCATION	<input type="checkbox"/> 7. CHANGE OF RECORD GROUP	<input type="checkbox"/> 8. OTHER CHANGE

B. CONTROL NUMBER AND LEVEL													
1. RG	SUBGROUPS								SERIES AND SUBSERIES				13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS		
59052	130035	020							00001			10	

<b>C. ENTRY TITLE AND DATES</b>	1. ENTRY NO.	2. BEGIN DATE	3. END DATE	4. FINDING AID CITATION (Short form)
		1949	1958	
5. TITLE				
Subject files.				

<b>D. STATISTICS</b> <i>(Complete Linear Measurement and items for levels 10-12 only).</i>	1. UNIT OF PHYSICAL CUSTODY		2. LINEAR MEASUREMENT <i>(Indicate m, cm, ft., or in.)</i>		3. ITEMS	
			I TYPE CODE	NO. OF ITEMS	TYPE OF ITEM	
			44	in		

<b>E. LOCATIONS</b>  ARE LOCATIONS CONTINUED ON REVERSE?  <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	Box 1-9
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

*according to an internal filing ~~scheme~~ system.*

Arranged alphanumerically ~~by subject~~.

Memoranda<sup>ms</sup>, correspondence among U. S. officials, ~~meeting minutes~~, *minutes of meetings*, telegrams, position papers, and briefing materials pertaining to Australia and New Zealand. Topics include visits of dignitaries, general economic reporting, general political reporting, relations with third countries, multilateral agreements, and bilateral and ANZUS defense matters.

*To, 582614*

Security classified.

CHECK if continued on reverse.

G. ACCESSIONED MICROFILM						
MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

<b>CHANGE OF STATUS RECORD</b>	<b>A. TYPE OF TRANSACTION</b>
BELOW RECORD GROUP LEVEL	<input checked="" type="checkbox"/> 1. ACCESSION <input checked="" type="checkbox"/> 2. INITIAL INPUT <input type="checkbox"/> 3. CHANGE OF PHYSICAL CUSTODY <input type="checkbox"/> 4. CORRECTION <input type="checkbox"/> 5. ACCRETION <input type="checkbox"/> 6. CHANGE OF LOCATION <input type="checkbox"/> 7. CHANGE OF RECORD GROUP <input type="checkbox"/> 8. OTHER CHANGE

B. CONTROL NUMBER AND LEVEL												
1. RG	SUBGROUPS							SERIES AND SUBSERIES				13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS	
59052130035030												5

<b>C. ENTRY TITLE AND DATES</b>	1. ENTRY NO. 2. BEGIN DATE 3. END DATE 4. FINDING AID CITATION (Short form)
	5. TITLE  Records of the Officer in Charge of Indonesian Affairs.

<b>D. STATISTICS</b> <i>(Complete Linear Measurement and items for levels 10-12 only.)</i>	1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT <i>(Indicate m, cm, ft., or in.)</i>	3. ITEMS		
			I TYPE CODE	NO. OF ITEMS	TYPE OF ITEM

<b>E. LOCATIONS</b>	1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
	/ /			
ARE LOCATIONS CONTINUED ON REVERSE?  <input type="checkbox"/> YES <input type="checkbox"/> NO	/ /			
	/ /			
	/ /			

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

CHECK if continued on reverse.

G. ACCESSIONED MICROFILM						
MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

# CHANGE OF STATUS RECORD

BELOW RECORD GROUP LEVEL

## A. TYPE OF TRANSACTION

1. ACCESSION   
  2. INITIAL INPUT   
  3. CHANGE OF PHYSICAL CUSTODY   
  4. CORRECTION  
 5. ACCRETION   
  6. CHANGE OF LOCATION   
  7. CHANGE OF RECORD GROUP   
  8. OTHER CHANGE

## B. CONTROL NUMBER AND LEVEL

1. RG	SUBGROUPS										SERIES AND SUBSERIES			13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS			
159052	130035	030							00001				10	

## C. ENTRY TITLE AND DATES

1. ENTRY NO.	2. BEGIN DATE	3. END DATE	4. FINDING AID CITATION (Short form)
	1950	1956	
5. TITLE			
Subject files.			

## D. STATISTICS (Complete Linear Measurement and items for levels 10-12 only).

1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT (Indicate m, cm, ft., or in.)		3. ITEMS	
	I TYPE CODE	NO. OF ITEMS	TYPE OF ITEM	
	21	in		

## E. LOCATIONS

ARE LOCATIONS CONTINUED ON REVERSE?

YES     NO

1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
//			Box 9-13
//			
//			
//			

## F. ARRANGEMENT AND NARRATIVE DESCRIPTION

Arranged by ~~date~~ <sup>year</sup>, and thereunder ~~numerically by subject~~ <sup>according to a numerical filing system.</sup>

Memoranda <sup>and</sup> correspondence among U. S. officials, reports, telegrams, despatches, minutes <sup>of meetings</sup>, meeting minutes, and briefing materials pertaining to Indonesia. Topics include personnel, consular matters, Indonesian relations with third countries, general military, economic, cultural, and political reporting, and informational activities, such as USIS and public and private exchange of persons. ~~lots 60060, 591344~~

Security classified.

CHECK if continued on reverse.

## G. ACCESSIONED MICROFILM

MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	



<b>CHANGE OF STATUS RECORD</b> <b>BELOW RECORD GROUP LEVEL</b>	<b>A. TYPE OF TRANSACTION</b>
	<input checked="" type="checkbox"/> 1. ACCESSION <input checked="" type="checkbox"/> 2. INITIAL INPUT <input type="checkbox"/> 3. CHANGE OF PHYSICAL CUSTODY <input type="checkbox"/> 4. CORRECTION <input type="checkbox"/> 5. ACCRETION <input type="checkbox"/> 6. CHANGE OF LOCATION <input type="checkbox"/> 7. CHANGE OF RECORD GROUP <input type="checkbox"/> 8. OTHER CHANGE

B. CONTROL NUMBER AND LEVEL													
1. RG	SUBGROUPS								SERIES AND SUBSERIES				13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS		
59	05	21	30	04					010	001		10	

<b>C. ENTRY TITLE AND DATES</b>	1. ENTRY NO.	2. BEGIN DATE	3. END DATE	4. FINDING AID CITATION (Short form)
		1947	1956	
5. TITLE				
<i>Japan Subject Files Relating to Japan</i>				

<b>D. STATISTICS</b> <small>(Complete Linear Measurement and items for levels 10-12 only).</small>	1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT <small>(Indicate m, cm, ft., or in.)</small>		3. ITEMS		
				I TYPE CODE	NO. OF ITEMS	TYPE OF ITEM
		48	in			

<b>E. LOCATIONS</b>	1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
	//			Box 1-10
	//			
	//			
ARE LOCATIONS CONTINUED ON REVERSE?				
<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

*according to an alphanumeric filing system.*

Arranged ~~alphanumerically~~ by subject.

Memoranda <sup>(M's)</sup> reports, correspondence among United States government officials, *minutes of meetings,* inter-departmental staff studies, ~~meeting minutes~~ and position papers concerning U. S. relations with Japan. Topics include ~~minutes of~~ the negotiations over the U. S.-Japanese Security Treaty, ~~information on~~ deployment of U. S. forces in Japan, the Japanese defense budget, <sup>the</sup> status of Japanese war criminals, U. S. loans to Japan, Japan's foreign trade, <sup>and</sup> the Japanese-Soviet ~~Union~~ fishing dispute, as well as medical treatment of A-bomb survivors, Japanese public opinion, and the

CHECK if continued on reverse.

G. ACCESSIONED MICROFILM						
MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

Fullbright program.

~~Lots 58D118, 58D637~~

Security classified.

# CHANGE OF STATUS RECORD

BELOW RECORD GROUP LEVEL

## A. TYPE OF TRANSACTION

- 1. ACCESSION
- 2. INITIAL INPUT
- 3. CHANGE OF PHYSICAL CUSTODY
- 4. CORRECTION
- 5. ACCRETION
- 6. CHANGE OF LOCATION
- 7. CHANGE OF RECORD GROUP
- 8. OTHER CHANGE

## B. CONTROL NUMBER AND LEVEL

1. RG	SUBGROUPS										SERIES AND SUBSERIES				13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS				
519	01512	11310	0040						0101012					10	

## C. ENTRY TITLE AND DATES

1. ENTRY NO. 2. BEGIN DATE 1950 3. END DATE 1956 4. FINDING AID CITATION (Short form)

5. TITLE

Records relating to foreign policy decisions.

## D. STATISTICS (Complete Linear Measurement and Items for levels 10-12 only).

1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT (Indicate m, cm, ft., or in.)		1 TYPE CODE	NO. OF ITEMS	3. ITEMS TYPE OF ITEM
	16	in			

## E. LOCATIONS

ARE LOCATIONS CONTINUED ON REVERSE?

YES  NO

1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
/ /			Box 1-4
/ /			
/ /			
/ /			

## F. ARRANGEMENT AND NARRATIVE DESCRIPTION

*according to a numerical filing system.*  
 Arranged numerically by subject.

Correspondence among United States government officials, memoranda<sup>MS</sup> working papers, notes, telegrams, reports, minutes of meetings, briefing materials, staff studies, and background materials pertaining to the formulation of US policy in northeast Asia. Topics include the redeployment of US military forces in Japan, US economic policy in the Far East, the Korean war and armistice, ANZAM<sup>MS</sup> military alliance, and Japanese defense forces. *the Australia, New Zealand, and Malaya*

~~Lots 58-184, 58D208, 59D476~~ Security classified.

CHECK if continued on reverse.

## G. ACCESSIONED MICROFILM

MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	



**CHANGE OF STATUS RECORD**

**A. TYPE OF TRANSACTION**

BELOW RECORD GROUP LEVEL

1. ACCESSION   
  2. INITIAL INPUT   
  3. CHANGE OF PHYSICAL CUSTODY   
  4. CORRECTION  
 5. ACCRETION   
  6. CHANGE OF LOCATION   
  7. CHANGE OF RECORD GROUP   
  8. OTHER CHANGE

**B. CONTROL NUMBER AND LEVEL**

1. RG	SUBGROUPS								SERIES AND SUBSERIES				13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS		
01 of 5-9	01 of 5-2	130 of 310	01 of 310	01 of 210					01010	01		10	

C. ENTRY TITLE AND DATES	1. ENTRY NO.	2. BEGIN DATE	3. END DATE	4. FINDING AID CITATION (Short form)
	5. TITLE			

D. STATISTICS (Complete Linear Measurement and items for levels 10-12 only).	1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT (Indicate m, cm, ft., or in.)	3. ITEMS	
			I TYPE CODE	NO. OF ITEMS
			TYPE OF ITEM	

E. LOCATIONS  ARE LOCATIONS CONTINUED ON REVERSE?  <input type="checkbox"/> YES <input type="checkbox"/> NO	1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

Add the following: Retired as Lot 59 D612.

CHECK if continued on reverse.

**G. ACCESSIONED MICROFILM**

MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

REPRODUCED AT THE NATIONAL ARCHIVES

<b>CHANGE OF STATUS RECORD</b>  BELOW RECORD GROUP LEVEL	<b>A. TYPE OF TRANSACTION</b>
	<input type="checkbox"/> 1. ACCESSION <input type="checkbox"/> 2. INITIAL INPUT <input type="checkbox"/> 3. CHANGE OF PHYSICAL CUSTODY <input checked="" type="checkbox"/> 4. CORRECTION <input type="checkbox"/> 5. ACCRETION <input type="checkbox"/> 6. CHANGE OF LOCATION <input type="checkbox"/> 7. CHANGE OF RECORD GROUP <input type="checkbox"/> 8. OTHER CHANGE

B. CONTROL NUMBER AND LEVEL													
I. RG	SUBGROUPS								SERIES AND SUBSERIES				13. LEVEL
0 0 5 9	2. A 0 5 2	3. B 1 3 0	4. C 0 3 5	5. D 0 1 0	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS	5	

<b>C. ENTRY TITLE AND DATES</b>	1. ENTRY NO.	2. BEGIN DATE	3. END DATE	4. FINDING AID CITATION <i>(Short form)</i>
	5. TITLE			

<b>D. STATISTICS</b> <i>(Complete Linear Measurement and items for levels 10-12 only).</i>	1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT <i>(Indicate m, cm, ft., or in.)</i>	3. ITEMS		
			I TYPE CODE	NO. OF ITEMS	TYPE OF ITEM

<b>E. LOCATIONS</b>  <b>ARE LOCATIONS CONTINUED ON REVERSE?</b>  <input type="checkbox"/> YES <input type="checkbox"/> NO	1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE <i>(Optional)</i>
	/ /			
	/ /			
	/ /			
	/ /			

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

Add the following:

v The records of the Officer-in-Charge of Philippine Affairs were retired as separate "lot files." Thus the series described below, while similar in content and coverage, were apparently maintained as separate files, designated as Lots 58D312, 61D26, and 63D51.

CHECK if continued on reverse.

G. ACCESSIONED MICROFILM						
MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

<b>CHANGE OF STATUS RECORD</b>  <b>BELOW RECORD GROUP LEVEL</b>	<b>A. TYPE OF TRANSACTION</b>
	<input type="checkbox"/> 1. ACCESSION <input type="checkbox"/> 2. INITIAL INPUT <input type="checkbox"/> 3. CHANGE OF PHYSICAL CUSTODY <input checked="" type="checkbox"/> 4. CORRECTION  <input type="checkbox"/> 5. ACCRETION <input type="checkbox"/> 6. CHANGE OF LOCATION <input type="checkbox"/> 7. CHANGE OF RECORD GROUP <input type="checkbox"/> 8. OTHER CHANGE

B. CONTROL NUMBER AND LEVEL													
I. RG	SUBGROUPS								SERIES AND SUBSERIES				13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS		
0 0 5 9	0 5 2	1 3 0	0 3 5	0 1 0					0 0 0	0 0 1		10	

<b>C. ENTRY TITLE AND DATES</b>	1. ENTRY NO. 2. BEGIN DATE 3. END DATE 4. FINDING AID CITATION (Short form)
	5. TITLE

<b>D. STATISTICS</b> <i>(Complete Linear Measurement and items for levels 10-12 only).</i>	1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT <i>(Indicate m, cm, ft., or in.)</i>	3. ITEMS		
			I TYPE CODE	NO. OF ITEMS	TYPE OF ITEM

<b>E. LOCATIONS</b>  ARE LOCATIONS CONTINUED ON REVERSE?  <input type="checkbox"/> YES <input type="checkbox"/> NO	1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

Add the following: Retired as Lots 58D312 and 61D26.

CHECK if continued on reverse.

G. ACCESSIONED MICROFILM						
MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

CHANGE OF STATUS RECORD RECORD GROUP LEVEL				FOR NNB USE <i>NN 86-669</i>		UNIT OF ARCHIVAL CONTROL NNF	RG. NO.
				ORIGINATOR'S TRANSACTION NO. <i>N3-59-86-8</i>		TOTAL NO. OF SHEETS ATTACHED 0	59
STATISTICAL TRANSACTIONS (Enter code from table below in left column)						OTHER TRANSACTIONS	
INCREASE		DECREASE		TRANSFER			
1. ACCESSION		5. DISPOSAL		9. CHANGE PHYSICAL CUSTODY		<input type="checkbox"/> 11. CHANGE UNIT OF ARCHIVAL CONTROL	
2. ACCRETION		6. PERM. WITHDRAWAL		10. CHANGE OF RECORD GROUP		<input type="checkbox"/> 12. NEW RECORD GROUP	
3. REMEASUREMENT		7. REMEASUREMENT		(Enter RG nos. in unit of physical custody column)		<input type="checkbox"/> 13. INITIAL INPUT	
4. OTHER (Explain below)		8. OTHER (Explain below)				<input type="checkbox"/> 14. CORRECTION/REVISION (Explain below)	
TRANS-ACTION CODE	NUMBER OF SHEETS ATTACHED	UNIT OF PHYSICAL CUSTODY	CHECK		VOLUME (m <sup>3</sup> )		ITEMS
			+	-	Check here if cu. ft. <input type="checkbox"/>		
					THIS CHANGE	AFTER CHANGE	ITYPE CODE
							NUMBER OF ITEMS
							THIS CHANGE
							AFTER CHANGE
1	0	NNFD	+		.57	749.28	
TOTAL VOLUME AFTER CHANGE (To be completed by NNB)							

- 11. CHANGE UNIT OF ARCHIVAL CONTROL
- 12. NEW RECORD GROUP
- 13. INITIAL INPUT
- 14. CORRECTION/REVISION (Explain below)
- 15. NATIONAL ARCHIVES GUIDE NARRATIVE
- 16. RESTRICTION STATEMENT
- 17. PRIVACY STATEMENT
- 18. CHANGE FINDING AID CITATIONS
- 19. CHANGE SERIES LOCATIONS
- 20. OTHER (Explain below)

REMARKS

Records of the Bureau of Far Eastern Affairs, 1942-1957  
(6710As will be sent later)

SEP 29 1986

COPY

INPUT INTO  
NARS A-1  
SYSTEM

REVIEWS AND APPROVALS						FOR NNB USE	
1. PREPARED BY <i>R.E. Swerczek</i>		3. REVIEWED BY		5. REVIEWED BY		7. ARCHIVAL CONTROL DIVISION	
UNIT	DATE	UNIT	DATE	UNIT	DATE	UNIT	DATE
NNFD	9-24-86					NNF	9-25-86
2. REVIEWED BY <i>Milton D. Jaffe</i>		4. REVIEWED BY		6. REVIEWED BY		APPROVED BY	
UNIT	DATE	UNIT	DATE	UNIT	DATE	POSTED	
NNFD	9/25/86					<i>Virginia C. Puidy</i>	
						UNIT	DATE
						NNF	10/10/86



<b>CHANGE OF STATUS RECORD</b>				FOR NNB USE				UNIT OF ARCHIVAL CONTROL NNF		RG. NO.	
RECORD GROUP LEVEL				ORIGINATOR'S TRANSACTION NO. N3-59-86-8				TOTAL NO. OF SHEETS ATTACHED 0		59	
STATISTICAL TRANSACTIONS <i>(Enter code from table below in left column)</i>								OTHER TRANSACTIONS			
INCREASE			DECREASE			TRANSFER					
1. ACCESSION		5. DISPOSAL		9. CHANGE PHYSICAL CUSTODY				<input type="checkbox"/> 11. CHANGE UNIT OF ARCHIVAL CONTROL			
2. ACCRETION		6. PERM. WITHDRAWAL		10. CHANGE OF RECORD GROUP				<input type="checkbox"/> 12. NEW RECORD GROUP			
3. REMEASUREMENT		7. REMEASUREMENT		<i>(Enter RG nos. in unit of physical custody column)</i>				<input type="checkbox"/> 13. INITIAL INPUT			
4. OTHER <i>(Explain below)</i>		8. OTHER <i>(Explain below)</i>						<input type="checkbox"/> 14. CORRECTION/REVISION <i>(Explain below)</i>			
TRANS. ACTION CODE	NUMBER OF SHEETS ATTACHED	UNIT OF PHYSICAL CUSTODY	CHECK		VOLUME (m <sup>3</sup> )		ITEMS				
			+	-	Check here if cu. ft. <input type="checkbox"/>		ITYPE CODE	NUMBER OF ITEMS			
					THIS CHANGE	AFTER CHANGE			THIS CHANGE	AFTER CHANGE	
1	0		+		.57	749.28				<input type="checkbox"/> 15. NATIONAL ARCHIVES GUIDE NARRATIVE	
										<input type="checkbox"/> 16. RESTRICTION STATEMENT	
										<input type="checkbox"/> 17. PRIVACY STATEMENT	
										<input type="checkbox"/> 18. CHANGE FINDING AID CITATIONS	
										<input type="checkbox"/> 19. CHANGE SERIES LOCATIONS	
TOTAL VOLUME AFTER CHANGE <i>(To be completed by NNB)</i>											
REMARKS											

Records of the Bureau of Far Eastern Affairs, 1942-1957

(6710As will be sent later)

REVIEWS AND APPROVALS								FOR NNB USE			
1. PREPARED BY R.E. Swerczek		3. REVIEWED BY		5. REVIEWED BY		7. ARCHIVAL CONTROL DIVISION		REVIEWED			
UNIT NNFD	DATE 9-24-86	UNIT	DATE	UNIT	DATE	UNIT	DATE				
2. REVIEWED BY <i>Milton D. [Signature]</i>		4. REVIEWED BY		6. REVIEWED BY		8. APPROVED BY		POSTED			
UNIT NNFD	DATE 9/25/86	UNIT	DATE	UNIT	DATE	UNIT	DATE				

<b>APPRAISAL REPORT ON OFFER OF RECORDS</b>	NUMBER OF SERIES 7	JOB NUMBER N3-59-86-8
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**SECTION I – GENERAL DESCRIPTION**

Lot Files of the Bureau of Far Eastern Affairs, 1942-1957.

1. Bureau Subject Files
2. Northeast Asian Subject Files
3. Southeast Asian Subject Files
4. Southwest Pacific Subject Files
5. Aid Program Advisor Subject Files
6. Office of Japan & Korea Subject Files
7. Office of Assistant Secretary for Far Eastern Affairs Subject Files

**SECTION II – ACTION TAKEN**

*(See attachments for evaluation and description of individual series)*

1. **APPROVED FOR TRANSFER TO THE NATIONAL ARCHIVES:** The records offered for transfer, except those that may be cited in block 2 of this section, have sufficient historical or other research value to warrant transfer to the National Archives of the United States.

a. **DIRECT OFFER:** The series listed below have not been previously approved for transfer to the National Archives.

Items 1-7

b. **SCHEDULED OFFER:** The series listed below have been approved for transfer to the National Archives by disposition schedules cited in the attached evaluation.

c. **ACCRETION:** As shown in the attached evaluation, the series listed below are extensions of series already accessioned into the National Archives.

2. **RECOMMENDED FOR DISPOSAL:** The records or nonrecord material cited below in blocks a and/or b are recommended for disposal because they do not have sufficient value for purposes of historical or other research, functional documentation, or the protection of individual rights to warrant permanent retention by the Federal Government.

a. **DISPOSAL AUTHORITY NEEDED:** The agency will be asked to submit a Standard Form 115 requesting authority to dispose of the series listed below.

b. **AUTHORIZED FOR DISPOSAL:** The series listed below are already authorized for disposal under authorities cited in the attached evaluation.

**SECTION III – RECOMMENDATION/APPRaisal**

	TITLE	SIGNATURE	DATE
APPRaisal	APPRaiser	<i>Mary Beallo</i>	7/23/86
	DIRECTOR, RECORDS DISPOSITION DIVISION	<i>Benjamin F. Rossman</i>	7/28/86
CON-CURRENCES	DIRECTOR, NNF	<i>Danett Faggi</i>	8-18-86

<b>APPRAISAL REPORT ON OFFER OF RECORDS</b>	NUMBER OF SERIES 7	JOB NUMBER N3-59-86-8
---	-----------------------	--------------------------

**SECTION I – GENERAL DESCRIPTION**

Lot Files of the Bureau of Far Eastern Affairs, 1942-1957.

1. Bureau Subject Files
2. Northeast Asian Subject Files
3. Southeast Asian Subject Files
4. Southwest Pacific Subject Files
5. Aid Program Advisor Subject Files
6. Office of Japan & Korea Subject Files
7. Office of Assistant Secretary for Far Eastern Affairs Subject Files

**SECTION II – ACTION TAKEN**

*(See attachments for evaluation and description of individual series)*

1. **APPROVED FOR TRANSFER TO THE NATIONAL ARCHIVES:** The records offered for transfer, except those that may be cited in block 2 of this section, have sufficient historical or other research value to warrant transfer to the National Archives of the United States.

a. **DIRECT OFFER:** The series listed below have not been previously approved for transfer to the National Archives.

Items 1-7

b. **SCHEDULED OFFER:** The series listed below have been approved for transfer to the National Archives by disposition schedules cited in the attached evaluation.

c. **ACCRETION:** As shown in the attached evaluation, the series listed below are extensions of series already accessioned into the National Archives.

2. **RECOMMENDED FOR DISPOSAL:** The records or nonrecord material cited below in blocks a and/or b are recommended for disposal because they do not have sufficient value for purposes of historical or other research, functional documentation, or the protection of individual rights to warrant permanent retention by the Federal Government.

a. **DISPOSAL AUTHORITY NEEDED:** The agency will be asked to submit a Standard Form 115 requesting authority to dispose of the series listed below.

b. **AUTHORIZED FOR DISPOSAL:** The series listed below are already authorized for disposal under authorities cited in the attached evaluation.

**SECTION III – RECOMMENDATION/APPRAISAL**

	TITLE	SIGNATURE	DATE
APPRAISAL	APPRaiser	<i>Mary Regallo</i>	7/23/86
	DIRECTOR, RECORDS DISPOSITION DIVISION	<i>for John W. Roberts</i>	
CONCURRENCES	DIRECTOR, NNF	<i>Kenneth F. Rossman</i>	7/28/86
		<i>Walter T. Hagg</i>	8-18-86

Date: July 23, 1986

From: RLHeise, NIRC

Re: N3-59-86-6  
N3-59-86-8 ✓

To: Director, NIR

These offers of records were appraised by two trainees on their assignment to NIR. John Roberts reviewed the records in the DOS Records Service Center at Prince George's Plaza but did not have sufficient time to review the records in the vault in Washington. Mary Rephlo completed both jobs after reviewing the records in the vault. I made a cursory review of the records in early June. I recommend approval of both appraisal reports.



Ronald L. Heise

# National Archives



Washington, DC 20408

Date : July 18, 1986  
Reply to  
Attn of : Mary E. Rephlo, NIRM  
Subject : Appraisal of Records from the State Department Vault Covered  
To : by N3-59-86-8  
Director, NIR

The Department of State is offering the following lot files from the records of the Bureau of Far Eastern Affairs: 56 D 206, 58 D 184, 58 D 208, and 59 D 476. These lot files cover the years 1950-1956. They contain memorandums, messages, correspondence, notes, reports, drafts, briefing material, studies, and other material pertaining to U.S. relations in the Far East, including Japan, Korea, China, the Philippines, Vietnam, Laos, Cambodia, Burma, Thailand, Australia, and New Zealand.

The records provide insight into the development and implementation of U.S. policies and programs vis a vis the Far East and offer assessments of the official positions of the governments of the region. Among the topics covered are the development of SEATO, the redeployment of U.S. troops in Japan, the Korean War and armistice, certain Japanese islands, and Japanese defense forces. All of these lot files contain security classified material.

These records are of high historical and research value. They document the U.S. effort to contain Communism in the region during the years immediately after the fall of China and during the Korean War. Moreover, the records are similar to other geographical lot files accessioned by the National Archives, supplement or continue Far Eastern Bureau lot files accessioned under NC3-59-84-1, and complement the State Department's central decimal files.

Therefore, I recommend acceptance of this offer. I would appreciate the comments of NNF on this appraisal.

MARY E. REPHLO  
Records Appraisal and Disposition Division

**CHANGE OF STATUS RECORD**

**A. TYPE OF TRANSACTION**

1. ACCESSION     2. INITIAL INPUT     3. CHANGE OF PHYSICAL CUSTODY     4. CORRECTION  
 5. ACCRETION     6. CHANGE OF LOCATION     7. CHANGE OF RECORD GROUP     8. OTHER CHANGE

BELOW RECORD GROUP LEVEL

**B. CONTROL NUMBER AND LEVEL**

1. RG 010519	SUBGROUPS								SERIES AND SUBSERIES				13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS		

C. ENTRY TITLE AND DATES	1. ENTRY NO.	2. BEGIN DATE 1950	3. END DATE 1956	4. FINDING AID CITATION (Short form)
	5. TITLE SUBJECT FILES OF THE OFFICE OF JAPAN & KOREA, BUREAU OF FAR EASTERN AFFAIRS			

D. STATISTICS (Complete Linear Measurement and items for levels 10-12 only).	1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT (Indicate m, cm, ft., or in.) 1 1/4 cu ft.	3. ITEMS		
			I TYPE CODE	NO. OF ITEMS	TYPE OF ITEM

E. LOCATIONS  ARE LOCATIONS CONTINUED ON REVERSE? <input type="checkbox"/> YES <input type="checkbox"/> NO	1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
	/			
	/			
	/			

F. ARRANGEMENT AND NARRATIVE DESCRIPTION  
Arranged by subject.

Correspondence, memorandums, Official-Informal correspondence, working papers, notes, reports, minutes of meetings, briefing materials, staff studies, and background materials mainly concerning U.S. policies toward Japan and Korea. Among the topics covered are the redeployment of U.S. troops in Japan, U.S. economic policy in the Far East, U.S. security programs in the Far East, the Korean war and armistice, talks with Australia and New Zealand, China, certain Japanese islands, and Japanese defense forces.

Contains security classified material.

✗ LOTS 58 D 184, 58 D 208, 59 D 476

CHECK if continued on reverse.

**G. ACCESSIONED MICROFILM**

MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

<b>CHANGE OF STATUS RECORD</b>	<b>A. TYPE OF TRANSACTION</b>							
	<input type="checkbox"/> 1. ACCESSION	<input type="checkbox"/> 2. INITIAL INPUT	<input type="checkbox"/> 3. CHANGE OF PHYSICAL CUSTODY	<input type="checkbox"/> 4. CORRECTION	<input checked="" type="checkbox"/> 5. ACCRETION	<input type="checkbox"/> 6. CHANGE OF LOCATION	<input type="checkbox"/> 7. CHANGE OF RECORD GROUP	<input type="checkbox"/> 8. OTHER CHANGE
<b>B. CONTROL NUMBER AND LEVEL</b>								

1. RG	SUBGROUPS										SERIES AND SUBSERIES		13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS		
	010159052	11310015							010002			10	

<b>C. ENTRY TITLE AND DATES</b>	1. ENTRY NO.	2. BEGIN DATE	3. END DATE	4. FUNDING AID CITATION (Short form)
		1954	1956	
5. TITLE				
RECORDS OF OFFICE OF ASSISTANT SECRETARY FOR FAR EASTERN AFFAIRS				

<b>D. STATISTICS</b> <i>(Complete Linear Measurement and items for levels 10-12 only).</i>	1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT <i>(Indicate m, cm, ft., or in.)</i>	1. TYPE CODE	NO. OF ITEMS	3. ITEMS TYPE OF ITEM
		1 2/3 cu. ft			

<b>E. LOCATIONS</b>	1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
	/ / / / / / / / / / / / / / / /			Box 1-4
	/ / / / / / / / / / / / / / / /			
	/ / / / / / / / / / / / / / / /			
ARE LOCATIONS CONTINUED ON REVERSE? <input type="checkbox"/> YES <input type="checkbox"/> NO				

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

Arranged by subject.

Briefing books, correspondence, memorandums, papers, studies, minutes, statistical charts and other material pertaining to U.S. relations with Japan, Korea, Taiwan, the Philippines, Vietnam, Laos, Cambodia, Burma, and Thailand. Included is briefing material prepared in advance of the visit to the countries listed by Under Secretary of State Herbert Hoover, Jr., and Director of the International Cooperation Administration John B. Hollister. Among subjects covered are U.S. policy in the Far East, the development of SEATO, and political, administrative, economic, military, geographical, and historical information on the countries visited. Contains security classified records.

X LOT 56 d 206

CHECK if continued on reverse.

G. ACCESSIONED MICROFILM						
MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

# National Archives



Washington, DC 20408

Date : June 25, 1986  
Reply to : John W. Roberts, NIRC  
Attn of :  
Subject : Appraisal of Records Covered by N3-59-86-8  
To : Director, NIR

The Department of State is offering the following lot files from the records of the Bureau for Far Eastern Affairs: 58 D 3; 58 D 118; 58 D 321; 58 D 614; 58 D 637; 59 D 344; ~~59 D 344~~; 60 D 11; 60 D 60; and 63 D 51. These lot files cover the years 1942-1957, but concentrate on 1953-1957. They are arranged by subject and contain memorandums, correspondence, briefing papers, position papers, meeting minutes, reports, and other papers pertaining to U.S. relations with Japan, Vietnam, Korea, Australia, New Zealand, the People's Republic of China, Nationalist China, Burma, Thailand, and the Philippines.

The records describe and analyze U.S. policies, document efforts to implement those policies, assess the foreign policies and domestic situations of the Far Eastern states, and shed light on the official positions of Far Eastern governments. Further, the records often reflect the varying opinions toward U.S. policy of State Department officials in Washington and in the field, and in some cases reflect the views of interested parties in other Federal agencies. Among the topics covered by the records are collective security arrangements, trade policy, sanctions against the People's Republic of China, various treaty negotiations, economic and technical assistance, cultural exchanges, atomic energy, and the Korean armistice.

The historical and research value of these records is obviously considerable. They deal with U.S. policy in a particularly sensitive region during the height of the Cold War, and show attempts at pursuing the economic and military containment of Communism, the early support given to South Vietnam, the attitudes towards the People's Republic of China, the efforts to effect economic development in the region, and the aftermath of the Korean War. Moreover, the records are similar to other geographical lot files accessioned by the National Archives, supplement or continue Far Eastern Bureau lot files accessioned under NC3-59-84-1, and complement the State Department's central decimal files.



Therefore, I recommend acceptance of this offer. I would appreciate the comments of NNF on this appraisal.

A handwritten signature in black ink, appearing to read "John W. Roberts". The signature is fluid and cursive, with a large loop at the beginning and a long horizontal stroke at the end.

JOHN W. ROBERTS  
Records Appraisal and Disposition Division

**CHANGE OF STATUS RECORD**

**A. TYPE OF TRANSACTION**

**BELOW RECORD GROUP LEVEL**

1. ACCESSION   
  2. INITIAL INPUT   
  3. CHANGE OF PHYSICAL CUSTODY   
  4. CORRECTION  
 5. ACCRETION   
  6. CHANGE OF LOCATION   
  7. CHANGE OF RECORD GROUP   
  8. OTHER CHANGE

**B. CONTROL NUMBER AND LEVEL**

1. RG 01059	SUBGROUPS										SERIES AND SUBSERIES		13. LEVEL 2
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS		

**C. ENTRY TITLE AND DATES**

1. ENTRY NO.	2. BEGIN DATE	3. END DATE	4. FINDING AID CITATION (Short form)
5. TITLE Bureau of Far Eastern Affairs			

**D. STATISTICS**  
(Complete Linear Measurement and items for levels 10-12 only).

1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT (Indicate m, cm, ft., or in.)	3. ITEMS		
		I TYPE CODE	NO. OF ITEMS	TYPE OF ITEM

**E. LOCATIONS**

ARE LOCATIONS CONTINUED ON REVERSE?

YES     NO

1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
/ /			
/ /			
/ /			
/ /			

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

CHECK if continued on reverse.

**G. ACCESSIONED MICROFILM**

MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

<b>CHANGE OF STATUS RECORD</b>	<b>A. TYPE OF TRANSACTION</b>
<b>BELOW RECORD GROUP LEVEL</b>	<input type="checkbox"/> 1. ACCESSION <input type="checkbox"/> 2. INITIAL INPUT <input type="checkbox"/> 3. CHANGE OF PHYSICAL CUSTODY <input type="checkbox"/> 4. CORRECTION <input type="checkbox"/> 5. ACCRETION <input type="checkbox"/> 6. CHANGE OF LOCATION <input type="checkbox"/> 7. CHANGE OF RECORD GROUP <input type="checkbox"/> 8. OTHER CHANGE

B. CONTROL NUMBER AND LEVEL													
1. RG	SUBGROUPS									SERIES AND SUBSERIES			13. LEVEL
01059	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS	10	

<b>C. ENTRY TITLE AND DATES</b>	1. ENTRY NO.	2. BEGIN DATE 1956	3. END DATE 1956	4. FINDING AID CITATION (Short form)
	5. TITLE  SUBJECT FILES			

<b>D. STATISTICS</b> <small>(Complete Linear Measurement and items for levels 10-12 only).</small>	1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT <small>(Indicate m., cm., ft., or in.)</small>	3. ITEMS		
		1 u.f.	I TYPE CODE	NO. OF ITEMS	TYPE OF ITEM

<b>E. LOCATIONS</b>	1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
<b>ARE LOCATIONS CONTINUED ON REVERSE?</b>				
<input type="checkbox"/> YES <input type="checkbox"/> NO				

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

Arranged by subject.

Memorandums, correspondence, reports, briefing papers, position papers, and newspaper clippings pertaining to U.S. policies towards Nationalist China, the People's Republic of China, Japan, Korea, and the Philippines. Among the topics are Sino-Soviet Trade with the West, trade sanctions against the People's Republic of China, military assistance to Nationalist China, the Geneva Ambassadorial Talks with the People's Republic of China in 1955-56, tariff policy, normalization of relations between Japan and the U.S.S.R., administration of the Ryukus, modernization of U.S. forces in South Korea, political discontent in South Korea, the Asian Nuclear Center, and a trade agreement with the Philippines. Includes security classified material.

X LOT 58 D 3  CHECK if continued on reverse.

G. ACCESSIONED MICROFILM						
MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

August 5, 2022 Production 000915

**CHANGE OF STATUS RECORD**

BELOW RECORD GROUP LEVEL

**A. TYPE OF TRANSACTION**

1. ACCESSION   
  2. INITIAL INPUT   
  3. CHANGE OF PHYSICAL CUSTODY   
  4. CORRECTION  
 5. ACCRETION   
  6. CHANGE OF LOCATION   
  7. CHANGE OF RECORD GROUP   
  8. OTHER CHANGE

**B. CONTROL NUMBER AND LEVEL**

1. RG	SUBGROUPS									SERIES AND SUBSERIES			13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS		
01059													3

**C. ENTRY TITLE AND DATES**

1. ENTRY NO.	2. BEGIN DATE	3. END DATE	4. FINDING AID CITATION (Short form)
5. TITLE Office of Northeast Asian Affairs			

**D. STATISTICS**  
(Complete Linear Measurement and items for levels 10-12 only).

1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT (Indicate m, cm, ft., or in.)	3. ITEMS		
		1 TYPE CODE	NO. OF ITEMS	TYPE OF ITEM

**E. LOCATIONS**

ARE LOCATIONS CONTINUED ON REVERSE?

YES     NO

1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
/ /	/ /	/ /	
/ /	/ /	/ /	
/ /	/ /	/ /	
/ /	/ /	/ /	

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

CHECK if continued on reverse.

**G. ACCESSIONED MICROFILM**

MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

<b>CHANGE OF STATUS RECORD</b>	<b>A. TYPE OF TRANSACTION</b>			
<b>BELOW RECORD GROUP LEVEL</b>	<input type="checkbox"/> 1. ACCESSION	<input type="checkbox"/> 2. INITIAL INPUT	<input type="checkbox"/> 3. CHANGE OF PHYSICAL CUSTODY	<input type="checkbox"/> 4. CORRECTION
	<input type="checkbox"/> 5. ACCRETION	<input type="checkbox"/> 6. CHANGE OF LOCATION	<input type="checkbox"/> 7. CHANGE OF RECORD GROUP	<input type="checkbox"/> 8. OTHER CHANGE

B. CONTROL NUMBER AND LEVEL												
1. RG	SUBGROUPS									SERIES AND SUBSERIES		13. LEVEL
01059	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS	10

<b>C. ENTRY TITLE AND DATES</b>	1. ENTRY NO.	2. BEGIN DATE	3. END DATE	4. FINDING AID CITATION (Short form)
	5. TITLE			
SUBJECT FILES				

<b>D. STATISTICS</b> <i>(Complete Linear Measurement and items for levels 10-12 only).</i>	1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT <i>(Indicate m, cm, ft., or in.)</i>	3. ITEMS		
		4 mpt.	I TYPE CODE	NO. OF ITEMS	TYPE OF ITEM

<b>E. LOCATIONS</b>	1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /
<b>ARE LOCATIONS CONTINUED ON REVERSE?</b> <input type="checkbox"/> YES <input type="checkbox"/> NO				

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

Arranged by subject.

Memorandums, reports, correspondence, inter-departmental staff studies, meeting minutes, and position papers concerning U.S. relations with Japan and Korea. Included are minutes of the negotiations over the U.S.-Japanese Security Treaty, as well as information on deployment of U.S. troops and weapons in Japan, the Japanese defense budget, the status of Japanese war criminals, U.S. loans to Japan, Japan's foreign trade, the Japanese fishing dispute with the Soviet Union, medical treatment of A-bomb survivors, Japanese public opinion, and the Fulbright Program. Contains security classified material.

✓ LOTS 58 D 637; 58 D 118  
~~LOT 60 D 114~~       CHECK if continued on reverse.

G. ACCESSIONED MICROFILM						
MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

**CHANGE OF STATUS RECORD**

**A. TYPE OF TRANSACTION**

1. ACCESSION   
  2. INITIAL INPUT   
  3. CHANGE OF PHYSICAL CUSTODY   
  4. CORRECTION  
 5. ACCRETION   
  6. CHANGE OF LOCATION   
  7. CHANGE OF RECORD GROUP   
  8. OTHER CHANGE

BELOW RECORD GROUP LEVEL

**B. CONTROL NUMBER AND LEVEL**

1. RG 01059	SUBGROUPS									SERIES AND SUBSERIES			13. LEVEL 3
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS		

**C. ENTRY TITLE AND DATES**

1. ENTRY NO.	2. BEGIN DATE	3. END DATE	4. FINDING AID CITATION (Short form)
5. TITLE Office of Southeast Asian Affairs			

**D. STATISTICS**  
(Complete Linear Measurement and items for levels 10-12 only).

1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT (Indicate m, cm, ft., or in.)	3. ITEMS		
		1 TYPE CODE	NO. OF ITEMS	TYPE OF ITEM

**E. LOCATIONS**

ARE LOCATIONS CONTINUED ON REVERSE?

YES     NO

1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATICN	4. LOCATION REFERENCE (Optional)
/ /	/ /	/ /	
/ /	/ /	/ /	
/ /	/ /	/ /	
/ /	/ /	/ /	

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

CHECK if continued on reverse.

**G. ACCESSIONED MICROFILM**

MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

**CHANGE OF STATUS RECORD**

**A. TYPE OF TRANSACTION**

BELOW RECORD GROUP LEVEL

1. ACCESSION     2. INITIAL INPUT     3. CHANGE OF PHYSICAL CUSTODY     4. CORRECTION  
 5. ACCRETION     6. CHANGE OF LOCATION     7. CHANGE OF RECORD GROUP     8. OTHER CHANGE

**B. CONTROL NUMBER AND LEVEL**

1. RG 010159	SUBGROUPS									SERIES AND SUBSERIES			13. LEVEL 10
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS		

**C. ENTRY TITLE AND DATES**

1. ENTRY NO.	2. BEGIN DATE 1948	3. END DATE 1955	4. FINDING AID CITATION (Short form)
5. TITLE  SUBJECT FILES			

**D. STATISTICS**  
(Complete Linear Measurement and items for levels 10-12 only).

1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT (Indicate m, cm, ft., or in.)	3. ITEMS		
		I TYPE CODE	NO. OF ITEMS	TYPE OF ITEM
	1/3 in ft			

**E. LOCATIONS**

ARE LOCATIONS CONTINUED ON REVERSE?

YES     NO

1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
/ /			
/ /			
/ /			
/ /			

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

Arranged by subject.

Memorandums, correspondence, reports, briefing papers, and meeting minutes concerning U.S. relations with Vietnam, Burma, and Thailand. Included are assessments of the internal situations in those countries, evaluations of those countries' relations with other countries, and discussions of U.S. policy. Topics include U.S. military, economic, and technical assistance, Asian regional economic integration, the military and economic containment of Communism, the role of Japan in the Southeast Asian economy, trade sanctions against North Vietnam, and Nationalist Chinese guerilla activity in Burma. Contains security classified material.

LOTS ~~59 D 544~~, 58 D 321

CHECK if continued on reverse.

**G. ACCESSIONED MICROFILM**

MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

**CHANGE OF STATUS RECORD**

BELOW RECORD GROUP LEVEL

**A. TYPE OF TRANSACTION**

1. ACCESSION   
  2. INITIAL INPUT   
  3. CHANGE OF PHYSICAL CUSTODY   
  4. CORRECTION  
 5. ACCRETION   
  6. CHANGE OF LOCATION   
  7. CHANGE OF RECORD GROUP   
  8. OTHER CHANGE

**B. CONTROL NUMBER AND LEVEL**

1. RG	SUBGROUPS									SERIES AND SUBSERIES			13. LEVEL
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS		
010519													3

**C. ENTRY TITLE AND DATES**

1. ENTRY NO.	2. BEGIN DATE	3. END DATE	4. FINDING AID CITATION (Short form)
5. TITLE			
Office of Southwest Pacific Affairs			

**D. STATISTICS**  
(Complete Linear Measurement and items for levels 10-12 only).

1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT (Indicate m, cm, ft., or in.)	3. ITEMS		
		1 TYPE CODE	NO. OF ITEMS	TYPE OF ITEM

**E. LOCATIONS**

ARE LOCATIONS CONTINUED ON REVERSE?

YES     NO

1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
/ /			
/ /			
/ /			
/ /			

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

CHECK if continued on reverse.

**G. ACCESSIONED MICROFILM**

MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	



**CHANGE OF STATUS RECORD**

**A. TYPE OF TRANSACTION**

1. ACCESSION     2. INITIAL INPUT     3. CHANGE OF PHYSICAL CUSTODY     4. CORRECTION  
 5. ACCRETION     6. CHANGE OF LOCATION     7. CHANGE OF RECORD GROUP     8. OTHER CHANGE

BELOW RECORD GROUP LEVEL

**B. CONTROL NUMBER AND LEVEL**

1. RG 010519	SUBGROUPS								SERIES AND SUBSERIES			13. LEVEL 10
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS	

C. ENTRY TITLE AND DATES	1. ENTRY NO.	2. BEGIN DATE 1942	3. END DATE 1957	4. FINDING AID CITATION (Short form)
	5. TITLE SUBJECT FILES			

D. STATISTICS (Complete Linear Measurement and items for levels 10-12 only).	1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT (Indicate m., cm., ft., or in.) 6 mft	3. ITEMS		
			1. TYPE CODE	NO. OF ITEMS	TYPE OF ITEM

E. LOCATIONS	1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	

ARE LOCATIONS CONTINUED ON REVERSE?  
 YES     NO

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

Arranged by subject, in alpha-numeric order.

Memorandums, correspondence, reports, meeting minutes, position papers, briefing papers, and press releases pertaining to U.S. relations with Indonesia, Australia, New Zealand, and the Philippines. Included are explanations and evaluations of U.S. policy and of the policies of the Southwest Pacific states. Among subjects covered are the U.S.-Philippine Mutual Defense Treaty, trade sanctions against the People's Republic of China, implementation of N.S.C. policy papers, Indonesian economic development, ANZUS Council meetings, atomic energy, cultural relations, SEATO and GATT negotiations, U.S. military and economic assistance, and internal political matters in the Pacific countries. Contains security classified records.

X LOTS 63 D 51; 60 D 60; 59 D 344; 58 D 614     CHECK if continued on reverse.

**G. ACCESSIONED MICROFILM**

MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

**CHANGE OF STATUS RECORD**

BELOW RECORD GROUP LEVEL

**A. TYPE OF TRANSACTION**

1. ACCESSION     2. INITIAL INPUT     3. CHANGE OF PHYSICAL CUSTODY     4. CORRECTION  
 5. ACCRETION     6. CHANGE OF LOCATION     7. CHANGE OF RECORD GROUP     8. OTHER CHANGE

**B. CONTROL NUMBER AND LEVEL**

1. RG	SUBGROUPS								SERIES AND SUBSERIES				13. LEVEL
01059	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS	3	

**C. ENTRY TITLE AND DATES**

1. ENTRY NO.	2. BEGIN DATE	3. END DATE	4. FINDING AID CITATION (Short form)
5. TITLE			
Office of Aid Program Advisor			

**D. STATISTICS**  
(Complete Linear Measurement and items for levels 10-12 only).

1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT (Indicate m, cm, ft., or in.)	3. ITEMS		
		1 TYPE CODE	NO. OF ITEMS	TYPE OF ITEM

**E. LOCATIONS**

ARE LOCATIONS CONTINUED ON REVERSE?

YES     NO

1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
/ /	/ /	/ /	
/ /	/ /	/ /	
/ /	/ /	/ /	
/ /	/ /	/ /	

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

CHECK if continued on reverse.

**G. ACCESSIONED MICROFILM**

MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

**CHANGE OF STATUS RECORD**

**A. TYPE OF TRANSACTION**

1. ACCESSION     2. INITIAL INPUT     3. CHANGE OF PHYSICAL CUSTODY     4. CORRECTION  
 5. ACCRETION     6. CHANGE OF LOCATION     7. CHANGE OF RECORD GROUP     8. OTHER CHANGE

BELOW RECORD GROUP LEVEL

**B. CONTROL NUMBER AND LEVEL**

1. RG 010519	SUBGROUPS								SERIES AND SUBSERIES			13. LEVEL 10
	2. A	3. B	4. C	5. D	6. E	7. F	8. G	9. H	10. S	11. SS	12. SSS	

C. ENTRY TITLE AND DATES	1. ENTRY NO.	2. BEGIN DATE 1953	3. END DATE 1957	4. FINDING AID CITATION (Short form)
	5. TITLE  SUBJECT FILES			

D. STATISTICS (Complete Linear Measurement and items for levels 10-12 only).	1. UNIT OF PHYSICAL CUSTODY	2. LINEAR MEASUREMENT (Indicate m., cm., ft., or in.)  2 in.	3. ITEMS		
			1 TYPE CODE	NO. OF ITEMS	TYPE OF ITEM

E. LOCATIONS  ARE LOCATIONS CONTINUED ON REVERSE?  <input type="checkbox"/> YES <input type="checkbox"/> NO	1. BLDG. & STACK	2. BEGIN LOCATION	3. END LOCATION	4. LOCATION REFERENCE (Optional)
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	
	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	/ / / / / / / / / / / / / / / /	

**F. ARRANGEMENT AND NARRATIVE DESCRIPTION**

Arranged by subject.

Memorandums, reports, telegrams, and tables relating to various U.S. military, technical and economic assistance projects in Vietnam, the Philippines, Thailand, Burma, and Korea. Contains security classified material.

LOT 60 D 11

CHECK if continued on reverse.

**G. ACCESSIONED MICROFILM**

MICROFILM NUMBER	NUMBER OF		NEGATIVE LOCATION	NUMBER OF		POSITIVE LOCATION
	16mm ROLLS NEGATIVE	35mm ROLLS NEGATIVE		16mm ROLLS POSITIVE	35mm ROLLS POSITIVE	

**REQUEST TO TRANSFER, APPROVAL, AND  
RECEIPT OF RECORDS TO NATIONAL ARCHIVES  
OF THE UNITED STATES**  
(See Instructions on reverse)

LEAVE BLANK		RG
UNIT	DATE RECEIVED	UNIT
JOB NUMBER <b>W3-59-868</b>		JOB NUMBER

1. TYPE OF ACTION	2. TO	3. UNIT THAT CREATED THE RECORDS	
<input checked="" type="checkbox"/> A. OFFER OF UNSCHEDULED RECORDS	<input checked="" type="checkbox"/> A. RECORDS DISPOSITION DIVISION MAILING ADDRESS: GENERAL SERVICES ADMINISTRATION (NCD) WASHINGTON, DC 20408	A. AGENCY OR ESTABLISHMENT Department of State	
<input type="checkbox"/> B. TRANSFER OF SCHEDULED RECORDS	<input type="checkbox"/> B. NATIONAL ARCHIVES MAILING ADDRESS: GENERAL SERVICES ADMINISTRATION (NNB) WASHINGTON, DC 20408	B. MAJOR SUBDIVISION Bureau of Far Eastern Affairs	
	<input type="checkbox"/> C. REGIONAL ARCHIVES FEDERAL ARCHIVES AND RECORD CENTER GENERAL SERVICES ADMINISTRATION	C. MINOR SUBDIVISION	
		D. NAME OF UNIT	
		E. NAME OF PERSON WITH WHOM TO CONFER ABOUT THE CONTENT OF THE RECORDS John E. Morse	F. TELEPHONE (Include area code) 647-3524
4. CURRENT LOCATION OF RECORDS	A. AGENCY SPACE (Give location) <input checked="" type="checkbox"/> RSC SA-13 6505 Belcrest Rd. Hyattsville, Maryland		C. REQUESTED TRANSFER DATE ASAP
	<input type="checkbox"/> B. FEDERAL RECORDS CENTER (Identify center and FRC accession no. and enter location)		

**5. RECORDS DATA**

A. DESCRIPTION OF RECORDS (Give overall title of records, individual documents, dates, and attach Standard Form 135, if records are now in FRC. Continue on separate sheet of paper, if necessary.)

SF 135 ATTACHED Bureau of Far Eastern Affairs - Lot Files from various offices within the bureau 1942-1957. SEE ATTACHED LIST

SEPARATE SHEET(S) ATTACHED

B. EST. VOLUME cu. ft. <b>15</b> cu. mtr. <del>33 1/3</del>	C. ARE RECORDS SUBJECT TO PRIVACY ACT? (If yes, cite agency system number and F.R. volume and page number for most recent notice and attach a copy)  No	D. SPECIFIC RESTRICTIONS TO BE IMPOSED (Include justification and cite statute or FOI exemption that authorizes such restrictions)  Access is subject to the systematic Declassification Review Agreement between NARA and State for pre-1955 records, and pending agreement for more recent records.
E. RECORDS CONTROL SCHEDULE/ITEM NO. OR APPRAISAL JOB NO.	F. AGENCY REMARKS:	

**6. STATEMENT OF AGENCY REPRESENTATIVE**

The records described above and on the attached pages are hereby offered for deposit with the National Archives of the United States in accordance with 44 U.S.C. 2103. It is agreed that these records will be administered in accordance with the provisions of 44 U.S.C. Chapter 21, 41 C.F.R. 101-11.411, 41 C.F.R. 105-61, and such other rules or regulations as may be prescribed by the Administrator of General Services or the Archivist of the United States. Unless specified and justified above, there are no restrictions on the use of these records other than the general and specific record group restrictions on the use of records in the National Archives of the United States that have been published in 41 C.F.R. 105-61.53 or in the Guide to the National Archives of the United States. The Archivist of the United States may destroy, donate, or otherwise dispose of any containers, duplicate records, unused forms, blank stationery, nonarchival printed or processed material, or other nonrecord material in any manner authorized by law or regulation without further consent of this agency. I certify that any restrictions specified by this agency on the use of these records are in conformance with the requirements of 5 U.S.C. 552 and that I am authorized by the head of this agency to act for the agency on matters pertaining to the disposition of agency records.

A. SIGNATURE OF AGENCY REPRESENTATIVE <i>John E. Morse</i>	B. TITLE Director, FAIM	C. MAILING ADDRESS Department of State - FAIM Rm. 1239 Wash. D.C. 20520	D. DATE
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**7. ACTION TAKEN BY NATIONAL ARCHIVES AND RECORDS SERVICE, GENERAL SERVICES ADMINISTRATION**

A. ACTION <input checked="" type="checkbox"/> APPROVED <input type="checkbox"/> DISAPPROVED	B. NARS CONCURRENCES						C. FOR NARS USE ONLY
	INITIALS	UNIT	DATE	INITIALS	UNIT	DATE	
	<i>Jan</i>	<i>NNDA</i>	<i>7/30/86</i>				
	<i>Mny</i>	<i>NNFP</i>	<i>8/15/86</i>				

D. NARS REMARKS REGARDING DISPOSITION/SHIPPING  
*Security classified*

E. SIGNATURE OF NARS AUTHORIZED REPRESENTATIVE <i>Wanell D. Duggan</i>	F. TITLE <i>NNF</i>	G. DATE <i>8/16/86</i>
---	------------------------	---------------------------

8. RECORDS RECEIVED NARS DEPOSITORY	A. DATE <i>9/16/86</i>	B. SIGNATURE <i>Milton D. Duggan</i>	C. TITLE <i>Chief, NNFD</i>
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**August 5, 2022 Production 000324**

*Copy sent to SF to NN/NR*

<b>REQUEST TO TRANSFER, APPROVAL, AND RECEIPT OF RECORDS TO NATIONAL ARCHIVES OF THE UNITED STATES</b> <i>(See Instructions on reverse)</i>		LEAVE BLANK		RG	
		UNIT	DATE RECEIVED	UNIT	DATE RECEIVED
		JOB NUMBER N3-59-868		JOB NUMBER	
1. TYPE OF ACTION		2. TO		3. UNIT THAT CREATED THE RECORDS	
<input checked="" type="checkbox"/> A. OFFER OF UNSCHEDULED RECORDS <input type="checkbox"/> B. TRANSFER OF SCHEDULED RECORDS		<input checked="" type="checkbox"/> A. RECORDS DISPOSITION DIVISION MAILING ADDRESS: GENERAL SERVICES ADMINISTRATION (NCD) WASHINGTON, DC 20408 <input type="checkbox"/> B. NATIONAL ARCHIVES MAILING ADDRESS: GENERAL SERVICES ADMINISTRATION (NNB) WASHINGTON, DC 20408 <input type="checkbox"/> C. REGIONAL ARCHIVES FEDERAL ARCHIVES AND RECORD CENTER GENERAL SERVICES ADMINISTRATION		A. AGENCY OR ESTABLISHMENT <b>Department of State</b> B. MAJOR SUBDIVISION <b>Bureau of Far Eastern Affairs</b> C. MINOR SUBDIVISION D. NAME OF UNIT E. NAME OF PERSON WITH WHOM TO CONFER ABOUT THE CONTENT OF THE RECORDS <b>John E. Morse</b> F. TELEPHONE (Include area code) <b>647-3524</b>	
4. CURRENT LOCATION OF RECORDS		<input checked="" type="checkbox"/> A. AGENCY SPACE (Give location) <b>RSC SA-13 6505 Belcrest Rd. Hyattsville, Maryland</b> <input type="checkbox"/> B. FEDERAL RECORDS CENTER (Identify center and FRC accession no. and enter location)		C. REQUESTED TRANSFER DATE <b>ASAP</b>	

5. RECORDS DATA			
A. DESCRIPTION OF RECORDS (Give overall title of records, individual documents, dates, and attach Standard Form 135, if records are now in FRC. Continue on separate sheet of paper, if necessary.)			
<input type="checkbox"/> SF 135 ATTACHED <input type="checkbox"/> SEPARATE SHEET(S) ATTACHED <b>Bureau of Far Eastern Affairs - Lot Files from various offices within the bureau 1942-1957. SEE ATTACHED LIST</b>			
B. EST. VOLUME		C. ARE RECORDS SUBJECT TO PRIVACY ACT? (If yes, cite agency system number and F.R. volume and page number for most recent notice and attach a copy)	
cu. ft.	cu. mtr.	No	
15	33-1/3	D. SPECIFIC RESTRICTIONS TO BE IMPOSED (Include justification and cite statute or FOI exemption that authorizes such restrictions)	
		Access is subject to the systematic Declassification Review Agreement between NARA and State for pre-1955 records, and pending agreement for more recent records.	
E. RECORDS CONTROL SCHEDULE/ITEM NO. OR APPRAISAL JOB NO.		F. AGENCY REMARKS:	

6. STATEMENT OF AGENCY REPRESENTATIVE			
The records described above and on the attached pages are hereby offered for deposit with the National Archives of the United States in accordance with 44 U.S.C. 2103. It is agreed that these records will be administered in accordance with the provisions of 44 U.S.C. Chapter 21, 41 C.F.R. 101-11.411, 41 C.F.R. 105-61, and such other rules or regulations as may be prescribed by the Administrator of General Services or the Archivist of the United States. Unless specified and justified above, there are no restrictions on the use of these records other than the general and specific record group restrictions on the use of records in the National Archives of the United States that have been published in 41 C.F.R. 105-61.53 or in the Guide to the National Archives of the United States. The Archivist of the United States may destroy, donate, or otherwise dispose of any containers, duplicate records, unused forms, blank stationery, nonarchival printed or processed material, or other nonrecord material in any manner authorized by law or regulation without further consent of this agency. I certify that any restrictions specified by this agency on the use of these records are in conformance with the requirements of 5 U.S.C. 552 and that I am authorized by the head of this agency to act for the agency on matters pertaining to the disposition of agency records.			
A. SIGNATURE OF AGENCY REPRESENTATIVE	B. TITLE	C. MAILING ADDRESS	D. DATE
<i>[Signature]</i>	Director, FAIM	Department of State - FAIM Rm. 1239 Wash. D.C. 20520	

7. ACTION TAKEN BY NATIONAL ARCHIVES AND RECORDS SERVICE, GENERAL SERVICES ADMINISTRATION							
A. ACTION	B. NARS CONCURRENCES						C. FOR NARS USE ONLY
	INITIALS	UNIT	DATE	INITIALS	UNIT	DATE	
<input checked="" type="checkbox"/> APPROVED							
<input type="checkbox"/> DISAPPROVED	<i>[Signature]</i>	NDFP	8/15/86				

D. NARS REMARKS REGARDING DISPOSITION/SHIPPING			
E. SIGNATURE OF NARS AUTHORIZED REPRESENTATIVE		F. TITLE	G. DATE
<i>[Signature]</i>		NDF	8/15/86
8. RECORDS RECEIVED NARS DEPOSITORY	A. DATE	B. SIGNATURE	C. TITLE
	8/15/86	<i>[Signature]</i>	

August 5, 2022 Production 000325

State Department Lot Files - Bureau of Far Eastern Affairs  
(Record Group 59)

Lot Number	Description	Date	Volume Cu.Ft.
56 D 206	Office of Assistant Secretary for Far Eastern Affairs	1954-1956	1-2/3
<del>56 D 679</del>	<del>Bureau of Far Eastern Affairs</del>	<del>1955</del>	<del>4</del>
58 D 3	"" "" ""	1956	1
58 D 118	Office of Japan & Korea	1955	2
58 D 184	"" "" ""	1953-1955	1
58 D 208	Files from the Director's Office for Japan & Korea	1951-1957	1/4
<del>58 D 209</del>	<del>Files of the Deputy Assistant Secretary for Far Eastern Economic Affairs</del>	<del>1954-1957</del>	<del>1</del>
<del>58 D 257</del>	<del>Office of Viet Nam</del>	<del>1954-1955</del>	<del>1/3</del>
<del>58 D 266</del>	<del>Office of Southeast Asian Affairs</del>	<del>1954-1956</del>	<del>1-1/2</del>
58 D 321	"" "" ""	1948-1955	1/3
58 D 614	Australia-New Zealand Political Desk	1951-1956	3-1/2
58 D 637	Japanese Office Files	1955-1956	2
<del>58 D 726</del>	<del>Southeast Asian Affairs</del>	<del>1955-1956</del>	<del>1/4</del>
<del>58 D 789</del>	<del>Southeast Asian Office of Economic Affairs</del>	<del>1956</del>	<del>1/2</del>
<del>59 D 19</del>	<del>Bureau of Far Eastern Affairs</del>	<del>1957</del>	<del>1</del>
59 D 344	Southwest Pacific Affairs	1950-1955	3/4
59 D 476	Office of Japan & Korea	1956	-1/12
<del>59 D 541</del>	<del>Southeast Asian Office of Economic Affairs</del>	<del>1956-1957</del>	<del>3/4</del>
60 D 11	Office of Aid Program Advisor	1953-1957	1/6
60 D 60	Southwest Pacific Affairs	1950-1955	1
<del>60 D 171</del>	<del>Office of Chinese Affairs</del>	<del>1954-1956</del>	<del>6-3/4</del>
<del>60 D 648</del>	<del>"" "" ""</del>	<del>1957</del>	<del>3</del>
63 D 51	Southwest Pacific Affairs	1942-1957	1

Lined-out lots are included in N3-59-86-3

MIMP

REQUEST TO TRANSFER, APPROVAL, AND RECEIPT OF RECORDS TO NATIONAL ARCHIVES OF THE UNITED STATES (See Instructions on reverse)

LEAVE BLANK

RG 59

UNIT, DATE RECEIVED, UNIT NNT, JOB NUMBER NN3-59-90-012

1. TYPE OF ACTION (B. TRANSFER OF SCHEDULED RECORDS), 2. TO (B. NATIONAL ARCHIVES), 3. UNIT THAT CREATED THE RECORDS (DEPARTMENT OF STATE, Bureau of Security and Consular Affairs), 4. CURRENT LOCATION OF RECORDS (WNRCC Acc. No. 59-65A987)

5. RECORDS DATA

A. DESCRIPTION OF RECORDS (Records Relating to the Prisoner of War Committee and International Red Cross Conferences, 1946-1957. Lot 59D539)

B. EST. VOLUME (6 cu. ft.), C. ARE RECORDS SUBJECT TO PRIVACY ACT? (No), D. SPECIFIC RESTRICTIONS TO BE IMPOSED (SECURITY CLASSIFIED)

E. RECORDS CONTROL SCHEDULE/ITEM NO. OR APPRAISAL JOB NO. (N1-59-90-6/2), F. AGENCY REMARKS

6. STATEMENT OF AGENCY REPRESENTATIVE

The records described above and on the attached pages are hereby offered for deposit with the National Archives of the United States in accordance with 44 U.S.C. 2103. It is agreed that these records will be administered in accordance with the provisions of 44 U.S.C. Chapter 21, 41 C.F.R. 101-11.411, 41 C.F.R. 105-61, and such other rules or regulations as may be prescribed by the Administrator of General Services or the Archivist of the United States.

A. SIGNATURE OF AGENCY REPRESENTATIVE (Handwritten signature), B. TITLE (Director, OIS), C. MAILING ADDRESS (Department of State, Washington, D.C. 20520), D. DATE (4/24/90)

7. ACTION TAKEN BY NATIONAL ARCHIVES AND RECORDS SERVICE, GENERAL SERVICES ADMINISTRATION

A. ACTION (APPROVED), B. NARS CONCURRENCES (Table with initials, unit, and date), C. FOR NARS USE ONLY

D. NARS REMARKS REGARDING DISPOSITION/SHIPPING (Security Classified, Deliver to Stack Area 6E-3, National Archives Bldg, Wash. DC 20408. Contact Bill Walsh (501-5097))

E. SIGNATURE OF NARS AUTHORIZED REPRESENTATIVE (Handwritten signature), F. TITLE (Director, Textual Projects Division), G. DATE (5-14-90)

8. RECORDS RECEIVED NARS DEPOSITORY (9-28-90), A. DATE, B. SIGNATURE (Handwritten signature), C. TITLE (Chief, Archival Projects Branch)

August 5, 2022 Production 000327

# INSTRUCTIONS

This form may be initiated by either the transferring agency or a Federal records center.

## INITIATED BY AGENCY:

Agency completes items 1 through 6 (see specific instructions below). Item 6 must be signed and dated. Send original and 4 copies to the appropriate address in item 2 sixty days before planned date of transfer.

## INITIATED BY FEDERAL RECORDS CENTER:

Federal records center completes items 1, 2, 3A-F, if known, 4B, 5A and B, D and E and sends original and 3 copies to transferring agency records officer.

Agency completes/corrects items 3, 5A, 5C-F, and 6. Item 6 must be signed and dated. Agency sends original and 3 copies to the address indicated in item 2 sixty days before planned date of transfer.

## ITEM 1, TYPE OF ACTION:

If 1B is checked, a reference to the records control schedule number or NARS appraisal job number must be included in 5E. (Item 1B may be checked for unscheduled records if an appropriate appraisal job is cited to reflect an accretion according to prior evaluation of the series).

## ITEM 2, TO:

When 1B is checked, requests are sent to the National Archives (NNA) unless the records control schedule or appraisal job specifies a regional archives or Presidential library.

When 2C is checked, include in that block address (number and street, city, State, and zip code) of the regional archives branch being offered the records.

## ITEM 3, UNIT THAT CREATED THE RECORDS:

Fully identify the agency, subdivision, and unit that created or originated the records (not the agency records office). If this is not possible, or a successor unit or agency is transferring the records, then explain under Agency Remarks, item 5F.

## ITEM 4, CURRENT LOCATION OF RECORDS:

Identify the agency location or the particular records center in which the records are located and provide FRC accession number. The stack location in the center may also be provided.

## ITEM 5, RECORDS DATA:

5A. Describe the records. If the records are in a Federal records center, attach SF 135. Arrangement statement must be provided and enough description to substantiate responses in items 5B (with volume for each item) 5C and 5D.

5B. Estimated volume may be indicated in either cubic feet or cubic meters.

5C. Privacy Act notices must be cited for records subject to the Privacy Act (5 U.S.C. 552a) and should be attached.

5D. Specific restrictions must be fully justified and may not violate the Freedom of Information Act (5 U.S.C. 552).

5E. If the records have previously been scheduled in a records control schedule, the schedule and item number must be cited, and the schedule itself may be attached. If the records are not scheduled but a part of the series has been appraised as permanent in the past and transferred to a NARS depository, then cite the appraisal job number as authority and treat as a scheduled offer.

## ITEM 6, STATEMENT OF AGENCY REPRESENTATIVE:

Signature and title of agency records officer is placed here and normally is not the name or office given in item 3.

## ITEM 7, ACTION TAKEN BY NARS:

NARS will indicate approval and provide shipping or delivery instructions, or disapproval and recommend appropriate disposition of the records. NARS will indicate quantity of offer approved, disapproved and for which action is deferred.

If NARS approves the transfer, the Office of the National Archives sends SF 258 to the agency (or to the Federal records center if the records are in a center) with a transfer date and shipping or delivery.

If NARS disapproves the transfer, the Records Disposition Division returns SF 258 to the agency with suggestions for disposition of the records.

## ITEM 8, RECORDS RECEIVED:

After receipt of records by a NARS depository NARS will sign and return one copy of SF 258 to the agency.



CHANGE OF STATUS RECORD RECORD GROUP LEVEL				FOR NNB USE				UNIT OF ARCHIVAL CONTROL NNT		RG. NO. 059	
				ORIGINATOR'S TRANSACTION NO. NNTA-N-90-59-134				TOTAL NO. OF SHEETS ATTACHED 1			
STATISTICAL TRANSACTIONS (Enter code from table below in left column)								OTHER TRANSACTIONS			
INCREASE		DECREASE		TRANSFER							
1. ACCESSION		5. DISPOSAL		9. CHANGE PHYSICAL CUSTODY				<input type="checkbox"/> 11. CHANGE UNIT OF ARCHIVAL CONTROL			
2. ACCRETION		6. PERM. WITHDRAWAL		10. CHANGE OF RECORD GROUP				<input type="checkbox"/> 12. NEW RECORD GROUP			
3. REMEASUREMENT		7. REMEASUREMENT		(Enter RG nos. in unit of physical custody column)				<input checked="" type="checkbox"/> 13. INITIAL INPUT			
4. OTHER (Explain below)		8. OTHER (Explain below)						<input type="checkbox"/> 14. CORRECTION/REVISION (Explain below)			
TRANS. ACTION CODE	NUMBER OF SHEETS ATTACHED	UNIT OF PHYSICAL CUSTODY	CHECK		VOLUME (m <sup>3</sup> )		ITEMS				
			+	-	Check here if cu. ft. <input checked="" type="checkbox"/>		ITYPE CODE	NUMBER OF ITEMS			
					THIS CHANGE	AFTER CHANGE			THIS CHANGE	AFTER CHANGE	
1	1	NNTA-N	X		8 cu. ft.						
TOTAL VOLUME AFTER CHANGE (To be completed by NNB)											

REMARKS

Accession of NN3-59-90-012, Records Relating to the Prisoner of War Committee and International Red Cross Conferences, 1946-57 (Lot 59D539) into RG 59, General Records of the Department of State.

Please insert into the appropriate hierarchy level of RG 59 - records received after July 1, 1987.

Volume totaled 8 cu. ft. after reboxing.

REVIEWS AND APPROVALS								FOR NNB USE	
1. PREPARED BY <i>William Walsh</i>		3. REVIEWED BY <i>Michael Goldman</i>		5. REVIEWED BY		7. ARCHIVAL CONTROL DIVISION		REVIEWED	
UNIT	DATE	UNIT	DATE	UNIT	DATE	UNIT	DATE		
NNTA	12/11/90	NNTA	12-12-90						
2. REVIEWED BY <i>Michael Goldman</i>		4. REVIEWED BY		6. REVIEWED BY		8. APPROVED BY		POSTED	
UNIT	DATE	UNIT	DATE	UNIT	DATE	UNIT	DATE		
NNTA-N	12-12-90								

August 5, 2022 Production 000330

FORMAT X-A

1. Record Group Number: 59
3. Records Unit Title: Records Relating to the Prisoner of War Committee and International Red Cross Conferences, 1946-57 (Lot 59D539)
4. General Materials Designator(s): TEX, PRM
4. Linear Measurement: 8 linear ft. 6. Item Count: N/A
7. Bulk Dates: 1946-57
8. Arrangement Statement: Arrangement appears to be by committee, conference, and subject.
- 9a. National Security Classification Status: NSI
- 9b. National Security Classification Level: S
10. Other Access Restrictions: N/A
11. Privacy Act System Status: N/A
- 12a/b. Creating Organizational Unit/~~Individual's Name~~: The Prisoner of War Committee (POWC) and the Steering Committees for International Red Cross Conferences, Bureau of Security and Consular Affairs
23. Finding Aids: N/A
24. NN Accession Number: NN3-59-90-012
28. Local ID: See note on 14044 29. Location: NA/6E3/13/10/4-6
30. Processing Unit: NNTA Container Type/Count: 18 LGA-S
35. Number of Component Series: SS MS IS
36. Priority for Series Description: I II III IV
37. Processing Comments: Accession of records appraised by N1-59-90-6/2. Records are in good to fair condition, with some brittle thermofax copies present; minimal preservation seems necessary.
- To be done: Series identification and description; preservation actions; NNDA review.
38. Cubic Measurement: 8 cu. ft.
- PD. Preparer and Date: William J. Walsh, 12/11/1990



**REQUEST FOR RECORDS DISPOSITION AUTHORITY**

*(See Instructions on reverse)*

LEAVE BLANK

JOB NO.

N1-59-90-6

TO: **GENERAL SERVICES ADMINISTRATION  
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408**

DATE RECEIVED

3/28/90

1. FROM (Agency or establishment)

DEPARTMENT OF STATE

NOTIFICATION TO AGENCY

2. MAJOR SUBDIVISION

Bureau of Security and Consular Affairs

In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10. If no records are proposed for disposal, the signature of the Archivist is not required.

3. MINOR SUBDIVISION


4. NAME OF PERSON WITH WHOM TO CONFER

5. TELEPHONE EXT.

DATE

4/6/90

ARCHIVIST OF THE UNITED STATES



6. CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of \_\_\_\_\_ page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, if required under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, is attached.

A. GAO concurrence:  is attached; or  is unnecessary.

B. DATE 3/19/90	C. SIGNATURE OF AGENCY REPRESENTATIVE <i>Kathleen Lannan</i>	D. TITLE <i>Chief, RM</i>
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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARS USE ONLY)
1.	<p><b><u>Consular Conference Files, 1967-1972.</u></b></p> <p>Arranged by conference. Airgrams, telegrams, correspondence, schedules, "official-informal" correspondence, minutes of meetings, lists of attendees, agendas, briefing books, reports of the conferences, and other material.</p> <p>WMBC Acc. No. 59-76-40</p> <p>PERMANENT. Transfer to the National Archives in 2003 or sooner if negotiated between the National Archives and the Department of State.</p>		
2.	<p><b><u>Records Relating to the Prisoner of War Committee and International Red Cross Conferences, 1946-1957.</u></b></p> <p>Arranged by committee or conference and thereunder by type of document or subject. The files include minutes and documents of the Prisoner of War Committee (POWC) as well as background information collected by the committee. The records on the</p>		



REQUEST FOR RECORDS DISPOSITION AUTHORITY – CONTINUATION

JOB NO.

NL-59-90-6

PAGE

2 OF 2

7.  
ITEM  
NO.

8. DESCRIPTION OF ITEM  
*(With Inclusive Dates or Retention Periods)*

9. GRS OR  
SUPERSEDED  
JOB  
CITATION

10. ACTION  
TAKEN  
*(NARS USE  
ONLY)*

International Red Cross conferences include memorandums, reports, despatches, delegation papers, publications, documents, minutes of meetings, and other documentation for the conferences themselves, the U.S. delegations to the conferences, and the U.S. steering groups.

WNRC Acc. No. 59-65A987 boxes 1460-1465

PERMANENT. Transfer to the National Archives immediately.





**RECOMMENDATION TO THE ARCHIVIST ON RECORDS  
DISPOSITION REQUEST**

JOB NUMBER N1-59-90-6
ITEM COUNT 2

**SUMMARY**

The Department of State submits this schedule to reflect our appraisal of certain records of the Bureau of Security and Consular Affairs (SCA). These records cover Consular Conferences (1967-1972) and records relating to the Prisoner of War Committee and International Red Cross Conferences (1946-1957). These records are of sufficient value to warrant immediate or eventual transfer to the National Archives.

I recommend approval of this job.

**RECOMMENDATION**

1. APPROVED FOR DISPOSAL. The records described under all items of the schedule, except those that may be listed in blocks 2, 3, and 4 of this section, are disposable because they do not, or will not after the lapse of the period specified, have sufficient administrative, legal, research, or other value to warrant their continued preservation by the Government.
2. APPROVED FOR PERMANENT RETENTION. The records described under the following item or items have been appraised by the National Archives and Records Administration (NARA) and are determined to have sufficient historical or other value to warrant their continued preservation by the United States Government. The agency will offer these records to the National Archives as specified.  
Items 1 and 2.
3. DISPOSITION NOT APPROVED. The records described under the following item or items are not approved for disposition.
4. WITHDRAWN. The records described under the following item or items have been withdrawn at the request of the agency and/or NARA.

**FEDERAL REGISTER NOTICE**

Not Required.       Required — Publication Date:  
Copies Requested:  
Comments Received:

**SIGNATURES**

	TITLE	SIGNATURE	DATE
APPRAISAL	APPRAISER	<i>Doreen A. Lambert</i>	4/2/90
	DIRECTOR, RECORDS APPRAISAL AND DISPOSITION DIVISION	<i>Kenneth F. Rossmore</i>	4/2/90
CONCURRENCES	Director, NNT	<i>Benedict A. Phillips</i>	4-4-90
	Director, NN-W	<i>Wamel T. Dagg</i>	4-5-90



February 9, 1990

Ms. Kathleen Lannon  
Chief, OIS/RA/RD  
Room 1239  
Department of State  
Washington, DC 20520

Dear Kathleen:

The National Archives and Records Administration recently located and appraised unscheduled records of the Bureau of Security and Consular Affairs and the Bureau of Educational and Cultural Affairs. We have determined that all of the records warrant immediate or eventual transfer to the National Archives. We have prepared the enclosed SF 115s to cover the records.

I would appreciate it if you would sign, date, and return all but one copy of the forms to me as soon as possible. Please call me on 724-2712 if you have any questions.

Sincerely,

DAVID A. LANGBART  
Military Personnel Branch  
Records Management  
and Distribution Division

Enclosures

Official File-NI  
Day File-NIR

cc: Langbart (w/enclosures)  
N1-59-90-1 Job File  
N1-59-90-6 Job File

DALangbart:mj

t2/9/90

doc:DAL^RL^MISC2:FILE

File: DEPARTMENT OF STATE

August 5, 2022 Production 000339



# National Archives



INFORMAL REVIEW: RECOMMENDED ACTION  
ON

Washington, DC 20408

REQUEST FOR RECORDS DISPOSITION AUTHORITY (SF-115)  
NIR SPECIAL RECORDS DISPOSITION STUDY  
WNRC RECORDS DISPOSITION PROJECT REPORTS

Job No. or Title: ~~AT 90-6~~ 11-59-90-6-P

**Instructions:**

1. Each reviewer must fill in data below, using one line.
2. Check appropriate "recommendation" box.
3. Attach written comments if "concur if modified" or "do not concur" box is checked. Comments are optional if "concur" box is checked.
4. Heads (representative) of reviewing NN units must complete this form.

Unit Symbol	Date (mm-dd-yy)	RECOMMENDATION			RECOMMENDED BY
		Concur	Concur if Modified	Do Not Concur	Signature (do not use initials)
NNTA-S	01/22/90	✓			<i>Stanley D. ...</i>
NNTA	1-24-90	✓			<i>Ronald E. ...</i>
NNT	1-29-90	✓			<i>Geraldine N. ...</i>

**ACTION TAKEN BY NN-W OR AUTHORIZED REPRESENTATIVE**

NN-W	2-2-90	✓			<i>Franklin W. ...</i>
------	--------	---	--	--	------------------------

(NN-W: Rev. 10/89)









# National Archives



Washington, DC 20408

Date : January 11, 1990  
Reply to : David A. Langbart, NIRM  
Attn of :  
Subject : Appraisal of records covered by N1-59-90-6-P  
To : Director, NIR<sup>3</sup> JF 1/12/90

I have located a number of Lot Files retired by the Bureau of Security and Consular Affairs (SCA) that are carried on the O1 Report as unscheduled.

The Bureau of Security and Consular Affairs (SCA) finds its origins in the aftermath of World War II. Shortly after the end of the war, the consular functions of passports, visas, and citizen protective services were combined with refugee and migration affairs, munitions control, and security under the Office of Controls (CON) in the Bureau of Administration (A). In 1953, CON was upgraded to bureau status and renamed the Bureau of Security and Consular Affairs. The Kennedy Administration brought about adjustments to SCA. In 1962, the security function was transferred to the Deputy Under Secretary for Administration (O) and was headed by the Deputy Assistant Secretary for Security (O/SY). The word "security," however, was not taken out of the title of SCA for 17 years. The munitions control and refugee and migration affairs functions were eventually transferred out of SCA and in 1979 the bureau was renamed the Bureau of Consular Affairs (CA).

{1} Consular Conference Files, 1967-1972. 2 feet. WNRC Acc. No. 59-76-40 (lot 74D172). Arranged by conference. The files consist of airgrams, telegrams, correspondence, "official-informal" correspondence, minutes of meetings, schedules, lists of attendees, agendas, briefing books, reports of the conferences, and other material. The briefing books contain sections on the following issues: welfare and whereabouts cases, passports, medical evacuations, financial assistance, U.S. government agency matters (i.e.-Social Security for overseas residents), and visas. The conferences took place at various U.S. embassies around the world and were used to ensure that posts handled consular matters consistently and to keep headquarters informed of various problems. A significant proportion of the material in these files will not be found among other Department of State records. Since these records are worthy of eventual transfer to the National Archives, I recommend that they be scheduled as permanent. Transfer should come in 2003, or sooner if negotiated between the National

August 5, 2022 Production 000345



{2} Records Relating to the Prisoner of War Committee and International Red Cross Conferences, 1946-1957. 6 feet. WNRC Acc. No. 59-65A987 boxes 1460-1465 (lot 59D539). Arranged by committee or conference and thereunder by type of document or subject. The Prisoner of War Committee (POWC) was established in 1946 for the purpose of "crystallizing and coordinating" the views of the Department of State (which chaired the committee), the Department of War, the Department of the Navy, the Department of the Air Force, and the American Red Cross on the possible revision of the international convention on the treatment of prisoners of war (the Geneva Convention). This effort arose from the experiences of World War II. There are minutes and documents of the committee itself as well as background information to which references are sometimes made. The latter category of documentation includes reports on "German Regulations Pertaining to POWS" (1945), "Prisoner of War and Civilian Internment Camps, Occupied China" (1946), "Treatment of American Nationals in Japan Proper During World War II" (1946), "Steps Taken by the Allied Powers in an Endeavor to Expedite the Repatriation of and Accounting for German Prisoners of War in Soviet Custody" (c. 1950), and "U.S. Draft for Revision of the Geneva Convention" (1948). The records on the International Red Cross conferences cover the 17th, 18th, and 19th meetings. Included are memorandums, reports, despatches, delegation papers, publications, documents, minutes of meetings, and other documentation for the conferences themselves, the U.S. delegations to the conferences, and the U.S. steering groups. All of these records are of sufficient value to warrant transfer to the National Archives. I recommend that they be scheduled for immediate transfer.

{3} Property Claims Case Files, 1969-1972. 15 feet. WNRC Acc. Nos. 59-75-55, 59-75-56, and 59-76-82 (Lots 73D37, 73D431, and 75D69). After examining these records, I have determined that they are covered by RMH(A) 150007(c) which provides for the destruction of the files three years after the case is closed. I will send information to this effect to NCF.

{4} Conference Protective Security Files, 1954-1960. 2 feet. WNRC Acc. No. 59-62A624 boxes 464-465 (Lot 62D27). After examining these records, I have determined that they are covered by RMH(A) 110205 which provides for the destruction of the records 1 year after the completion of the meeting or conference. I will inform NCF of this.

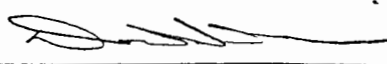


I would appreciate the comments of the Office of the National Archives on items 1 and 2. After we receive those comments, I will prepare an SF 115 for the Department of State to sign.

*David A Langbart*


DAVID A. LANGBART  
Military Appraisal Branch  
Records Appraisal  
and Disposition Division



<b>REQUEST FOR RECORDS DISPOSITION AUTHORITY</b> (See Instructions on reverse)		LEAVE BLANK JOB NO. <b>N1-59-91-14</b>	
TO: <b>GENERAL SERVICES ADMINISTRATION</b> <b>NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408</b>		DATE RECEIVED <b>12-10-90</b>	
1. FROM (Agency or establishment) <b>DEPARTMENT OF STATE</b>		NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10. If no records are proposed for disposal, the signature of the Archivist is not required.	
2. MAJOR SUBDIVISION <b>Under Secretary for Political Affairs</b>		DATE <b>11/16/91</b>	
3. MINOR SUBDIVISION <b>Operations Coordinator</b>		ARCHIVIST OF THE UNITED STATES 	
4. NAME OF PERSON WITH WHOM TO CONFER		5. TELEPHONE EXT.	
6. CERTIFICATE OF AGENCY REPRESENTATIVE			

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of \_\_\_\_\_ page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, if required under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, is attached.

A. GAO concurrence:  is attached; or  is unnecessary.

B. DATE <b>11/26/90</b>	C. SIGNATURE OF AGENCY REPRESENTATIVE 	D. TITLE <b>Chief, Records Service Center</b>
----------------------------	--	--

7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARS USE ONLY)
1.	<p><b><u>Subject Files (Administrative Files), 1953-1961.</u></b></p> <p>Arranged alphabetically by subject. Memorandums, extracts, notes and excerpts, briefing material, drafts, guidances, reports, chits, notes, correspondence, telegrams, documents, minutes of meetings, clippings, intelligence estimates, and other material. Records relate to organization, activities, policies, plans, and activities of the Operations Coordinating Board.</p> <p>Volume on hand: 12 feet Annual accumulation: 0</p> <p>Lot 61D385 RSC boxes 2464-2473 and 2482-2483</p> <p>PERMANENT. Transfer to the National Archives immediately.</p>		





REQUEST FOR RECORDS DISPOSITION AUTHORITY – CONTINUATION		JOB NO.	PAGE
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARS USE ONLY)
2.	<p><u>Country and Regional Files, 1953-1961.</u></p> <p>Arranged alphabetically by name of country. Memorandums, chits, notes, extracts, notes of meetings, drafts, telegrams, reports, documents, clippings, briefing material, intelligence estimates, correspondence, and operations plans. Records document activities of the Operations Coordinating Board as they relate to specific countries and regions.</p> <p>Volume on hand: 8 feet Annual accumulation: 0</p> <p>Lot 61D385 RSC boxes 2474-2481</p> <p>PERMANENT. Transfer to the National Archives immediately.</p>		
3.	<p><del><u>Top Secret Cover Sheets.</u></del></p> <p><del>DS-747s for Top Secret documents that have been destroyed.</del></p> <p><del>Lot 61D385 RSC box 2484</del></p> <p><del>Destroy immediately.</del></p>	GRS 18, Item 5(b)	
4.	<p><u>Miscellaneous Files, 1953-1961.</u></p> <p>Arranged by subject or type of records. Memorandums, reports, notes, chits, correspondence, minutes and notes of meetings, excerpts, extracts, agendas, and other material. Also included is a chronological file. Records cover a variety of subjects including meetings, procedures, history, organization, and reorganization of the Operations Coordinating Board.</p> <p>Volume on hand: 4 feet Annual accumulation: 0</p> <p>Lot 61D385 boxes 2485-2488</p> <p>PERMANENT. Transfer to the National Archives immediately.</p>		



RECOMMENDATION TO THE ARCHIVIST ON RECORDS  
DISPOSITION REQUEST

JOB NUMBER  
N1-59-91-14

ITEM COUNT  
3

SUMMARY

The Department of State submits this job to cover records of the Operations Coordinator, 1953-1961. The Operations Coordinator was responsible for supporting Department of State participation in the Operations Coordinating Board. With the exception of the one series covered by the General Records Schedules, all of these records are of sufficient archival and historical value to warrant transfer to the National Archives.

I recommend approval of this job.

RECOMMENDATION

1. APPROVED FOR DISPOSAL. The records described under all items of the schedule, except those that may be listed in blocks 2, 3, and 4 of this section, are disposable because they do not, or will not after the lapse of the period specified, have sufficient administrative, legal, research, or other value to warrant their continued preservation by the Government.
2. APPROVED FOR PERMANENT RETENTION. The records described under the following item or items have been appraised by the National Archives and Records Administration (NARA) and are determined to have sufficient historical or other value to warrant their continued preservation by the United States Government. The agency will offer these records to the National Archives as specified.  
Items 1, 2, and 4.
3. DISPOSITION NOT APPROVED. The records described under the following item or items are not approved for disposition.
4. WITHDRAWN. The records described under the following item or items have been withdrawn at the request of the agency and/or NARA.

FEDERAL REGISTER NOTICE

- Not Required.       Required — Publication Date:  
Copies Requested:  
Comments Received:

SIGNATURES

	TITLE	SIGNATURE	DATE
APPRAISAL	APPRaiser	David A Langbart	1/7/91
	DIRECTOR, RECORDS APPRAISAL AND DISPOSITION DIVISION	Jean E. Keating	1/7/91
CONCURRENCES	Director, NNT	Geraldine Phillips	1/14/91
	Director, NN-W	Daniel F. Rogers	1-14-91

August 5, 2022 Production 000355



# National Archives



Washington, DC 20408

Date : January 7, 1991  
Reply to  
Attn of : David A. Langbart, NIRM  
Subject : Appraisal of records covered by N1-59-91-14  
To : Director, NIRM

The Department of State submits this schedule to cover unscheduled records of the Operations Coordinator that I located in the Records Service Center. These records, comprising Lot 61D385, were retired by the office of the Under Secretary of State for Political Affairs (M).

On September 2, 1953, President Eisenhower established the Operations Coordinating Board (OCB). The purpose of the OCB was to take those national security policies assigned to it by the President and supervise their implementation in a coordinated fashion by the departments and agencies involved. In addition, the OCB prepared progress reports on those policies and initiated proposals for additional policy actions. When first established, the designated members of the OCB included the Under Secretary of State (Chairman), the Deputy Secretary of Defense, the Director of Central Intelligence, the Director of USIA, the Director of ICA, and one or more representatives of the President. In addition, the Under Secretary of the Treasury and the Chairman of the AEC had standing invitations to meetings. Representatives of other agencies participated whenever subjects bearing on their responsibilities came under OCB consideration. In September 1959, the Department of State representative was switched to the Under Secretary of State for Political Affairs (M), who retained the chairmanship. President Kennedy terminated the OCB in February 1961.

On September 14, 1953, the Department created the Operations Coordinator within the office of the Under Secretary of State (U). The new office's symbol was U/OC. It soon changed to U/CP. When the Under the Under Secretary of State for Political Affairs became the Department's member on the OCB, the Operations Coordinator moved to that office and changed its symbol to M/OP. The duties of the Operations Coordinator were to provide support for the Department of State member of the OCB, maintain liaison with the Board and other departments and agencies on the board, to coordinate the Department's operation planning responsibilities, and to provide for timely and adequate progress reports. The Operations Coordinator worked closely with the various

August 5, 2022 Production 000357



bureaus of the Department responsible for substantive operations. The incumbent also participated in the Secretary's Staff Meeting and maintained a close relationship with the Department's member on the NSC Planning Board. The Operations Coordinator briefed and debriefed the Department's OCB member, attended weekly OCB meetings, and represented the Department at the weekly meeting of the Board Assistants, and oversaw the activities of the Department of State member of almost 50 interdepartmental working groups. M/OP was terminated along with the OCB in February 1961.

There are four series of records:

{1} Subject Files (Administrative Files), 1953-1961. 12 feet. Arranged alphabetically by subject. The records are somewhat disorganized, apparently from use in answering FOIA requests. The files contain memorandums, extracts, notes and excerpts, briefing material, drafts, guidances, reports, chits, notes, correspondence, telegrams, documents, minutes of meetings, clippings, intelligence estimates, and other material. Specific topics include agricultural surpluses, Antarctica, aviation, broadcasting, Buddhism, chemical warfare, Communist conspiracy, cultural affairs, civil defense, disarmament, escapees and defectors, economic policy, intelligence matters, labor, law of the sea, NATO, the NSC, nuclear energy, the Olympics, Open Skies, prisoners of war, and psychological warfare. The office considered these records to be "Administrative Files" but the title "Subject Files" is much more appropriate.

These records are of sufficient archival and historical value to warrant transfer to the National archives. They contain unique and important documentation on the Department of State's participation in the formulation of basic national security policies during the Eisenhower Administration and the participation of the Department of State in the OCB. These documents also include important marginalia that will be found nowhere else. I recommend approval of this item. The files in boxes 2482 and 2483 are part of the main series that were somehow separated before boxing. I recommend that they be interfiled during archival processing.

{2} Country and Regional Files, 1953-1961. 8 feet. Arranged alphabetically by name of country or region. There are sometimes subject files under a specific country (i.e. Korea-MiG Pilot Defection). The files include memorandums, chits, notes, extracts, notes of meetings, drafts, telegrams, reports, documents, clippings, intelligence estimates, correspondence, and operations plans. The files document





activities of the Department of State in the OCB and the OCB with respect to the formulation of policies toward various countries and regions of the world.

These records are of sufficient archival and historical value to warrant transfer to the National Archives. They contain unique and important documentation on the Department of State's participation in the formulation of operations plans toward various countries and regions during the Eisenhower Administration. I recommend approval of this item.

{3} Top Secret Cover Sheet. 1 foot. These records are covered by GRS 18, Item 5(b) and are not under appraisal here.

{4} Miscellaneous Files, 1953-1961. 4 feet. arranged by subject or type of record. This series consists of memorandums, reports, notes, chits, correspondence, minutes and notes of meetings, excerpts, extracts, agendas, and other material. Also included is a chronological file. Specific subjects and types of records include minutes and preliminary notes, agenda, records of action, organization and functions, history of the OCB, reorganization, and procedures.

These records are of sufficient archival and historical value to warrant transfer to the National Archives. They contain unique and important documentation on the activities of the Operations Coordinator and the OCB, the history and organization of both offices, and national security policies during the Eisenhower Administration. I recommend approval of the item.

I recommend that this job be sent for immediate signing.

*David A. Langbart*  
DAVID A. LANGBART  
Military Appraisal Branch  
Records Appraisal  
and Disposition Division



**REQUEST TO TRANSFER, APPROVAL, AND RECEIPT OF RECORDS TO NATIONAL ARCHIVES OF THE UNITED STATES**  
(See Instructions on reverse)

<b>1. TYPE OF ACTION</b> A. OFFER OF UNSCHEDULED RECORDS <input type="checkbox"/> B. TRANSFER OF SCHEDULED RECORDS <input checked="" type="checkbox"/>		<b>2. TO</b> A. RECORDS DISPOSITION DIVISION MAILING ADDRESS: GENERAL SERVICES ADMINISTRATION (NCD) WASHINGTON, DC 20408 <input type="checkbox"/> B. NATIONAL ARCHIVES MAILING ADDRESS: GENERAL SERVICES ADMINISTRATION (NNB) WASHINGTON, DC 20408 <input checked="" type="checkbox"/> C. REGIONAL ARCHIVES FEDERAL ARCHIVES AND RECORD CENTER GENERAL SERVICES ADMINISTRATION <input type="checkbox"/>	
<b>4. CURRENT LOCATION OF RECORDS</b> A. AGENCY SPACE (Give location) <input checked="" type="checkbox"/> <b>See attached sheet.</b> B. FEDERAL RECORDS CENTER (Identify center and FRC accession no. and enter location) <input type="checkbox"/>		<b>3. UNIT THAT CREATED THE RECORDS</b> A. AGENCY OR ESTABLISHMENT <b>DEPARTMENT OF STATE</b> B. MAJOR SUBDIVISION <b>Under Secretary for Political Affairs</b> C. MINOR SUBDIVISION <b>Operations Coordinator</b> D. NAME OF UNIT  E. NAME OF PERSON WITH WHOM TO CONFER ABOUT THE CONTENT OF THE RECORDS <b>Melvin Clark</b> F. TELEPHONE (Include area code) <b>202 647-3524</b> C. REQUESTED TRANSFER DATE <b>ASAP</b>	

**5. RECORDS DATA**

A. DESCRIPTION OF RECORDS (Give overall title of records, individual documents, dates, and attach Standard Form 135, if records are now in FRC. Continue on separate sheet of paper, if necessary.)

**Records of the Operations Coordinator, 1953-1961**  
**(Lot File 61D385)**

SF 135 ATTACHED  
 SEPARATE SHEET(S) ATTACHED

<b>B. EST. VOLUME</b> cu. ft. <b>24</b> cu. mtr.	<b>C. ARE RECORDS SUBJECT TO PRIVACY ACT?</b> (If yes, cite agency system number and F.R. volume and page number for most recent notice and attach a copy)	<b>D. SPECIFIC RESTRICTIONS TO BE IMPOSED</b> (Include justification and cite statute or FOI exemption that authorizes such restrictions) <p style="text-align: center;"><b>SECURITY CLASSIFIED</b></p>
--	--	--

<b>E. RECORDS CONTROL SCHEDULE/ITEM NO. OR APPRAISAL JOB NO.</b> <b>N1-59-91-14</b>	<b>F. AGENCY REMARKS:</b>
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**6. STATEMENT OF AGENCY REPRESENTATIVE**

The records described above and on the attached pages are hereby offered for deposit with the National Archives of the United States in accordance with 44 U.S.C. 2103. It is agreed that these records will be administered in accordance with the provisions of 44 U.S.C. Chapter 21, 41 C.F.R. 101-11.411, 41 C.F.R. 105-61, and such other rules or regulations as may be prescribed by the Administrator of General Services or the Archivist of the United States. Unless specified and justified above, there are no restrictions on the use of these records other than the general and specific record group restrictions on the use of records in the National Archives of the United States that have been published in 41 C.F.R. 105-61.53 or in the Guide to the National Archives of the United States. The Archivist of the United States may destroy, donate, or otherwise dispose of any containers, duplicate records, unused forms, blank stationery, nonarchival printed or processed material, or other nonrecord material in any manner authorized by law or regulation without further consent of this agency. I certify that any restrictions specified by this agency on the use of these records are in conformance with the requirements of 5 U.S.C. 552 and that I am authorized by the head of this agency to act for the agency on matters pertaining to the disposition of agency records.

<b>A. SIGNATURE OF AGENCY REPRESENTATIVE</b> 	<b>B. TITLE</b> Director Office of Information Services	<b>C. MAILING ADDRESS</b> 2201 C St. N.W. Wash. D.C. 20520-1512	<b>D. DATE</b> <b>1/30/91</b>
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**7. ACTION TAKEN BY NATIONAL ARCHIVES AND RECORDS SERVICE, GENERAL SERVICES ADMINISTRATION**

<b>A. ACTION</b> <input type="checkbox"/> APPROVED <input type="checkbox"/> DISAPPROVED	<b>B. NARS CONCURRENCES</b>						<b>C. FOR NARS USE ONLY</b>
	INITIALS	UNIT	DATE	INITIALS	UNIT	DATE	
	OP	NADA	2/11/91				
	RM	NNR	2-14-91				

**D. NARS REMARKS REGARDING DISPOSITION/SHIPPING**  
*Security classified* Please deliver to Stack Area 12E2A, National Archives Bldg, Wash. DC 20408. Contact Bill Walsh (501-5097)

<b>E. SIGNATURE OF NARS AUTHORIZED REPRESENTATIVE</b> 	<b>F. TITLE</b> Dir., Textual Prog. Div	<b>G. DATE</b> <b>2/14/91</b>
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<b>8. RECORDS RECEIVED NARS DEPOSITORY</b>	<b>A. DATE</b> <b>12-30-92</b>	<b>B. SIGNATURE</b> 	<b>C. TITLE</b> Chief, Archival
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# INSTRUCTIONS

This form may be initiated by either the transferring agency or a Federal records center.

## INITIATED BY AGENCY:

Agency completes items 1 through 6 (see specific instructions below). Item 6 must be signed and dated. Send original and 4 copies to the appropriate address in item 2 sixty days before planned date of transfer.

## INITIATED BY FEDERAL RECORDS CENTER:

Federal records center completes items 1, 2, 3A-F, if known, 4B, 5A and B, D and E and sends original and 3 copies to transferring agency records officer.

Agency completes/corrects items 3, 5A, 5C-F, and 6. Item 6 must be signed and dated. Agency sends original and 3 copies to the address indicated in item 2 sixty days before planned date of transfer.

## ITEM 1, TYPE OF ACTION:

If 1B is checked, a reference to the records control schedule number or NARS appraisal job number must be included in 5E. (Item 1B may be checked for unscheduled records if an appropriate appraisal job is cited to reflect an accretion according to prior evaluation of the series).

## ITEM 2, TO:

When 1B is checked, requests are sent to the National Archives (NNA) unless the records control schedule or appraisal job specifies a regional archives or Presidential library.

When 2C is checked, include in that block address (number and street, city, State, and zip code) of the regional archives branch being offered the records.

## ITEM 3, UNIT THAT CREATED THE RECORDS:

Fully identify the agency, subdivision, and unit that created or originated the records (not the agency records office). If this is not possible, or a successor unit or agency is transferring the records, then explain under Agency Remarks, item 5F.

## ITEM 4, CURRENT LOCATION OF RECORDS:

Identify the agency location or the particular records center in which the records are located and provide FRC accession number. The stack location in the center may also be provided.

## ITEM 5, RECORDS DATA:

5A. Describe the records. If the records are in a Federal records center, attach SF 135. Arrangement statement must be provided and enough description to substantiate responses in items 5B (with volume for each item) 5C and 5D.

5B. Estimated volume may be indicated in either cubic feet or cubic meters.

5C. Privacy Act notices must be cited for records subject to the Privacy Act (5 U.S.C. 552a) and should be attached.

5D. Specific restrictions must be fully justified and may not violate the Freedom of Information Act (5 U.S.C. 552).

5E. If the records have previously been scheduled in a records control schedule, the schedule and item number must be cited, and the schedule itself may be attached. If the records are not scheduled but a part of the series has been appraised as permanent in the past and transferred to a NARS depository, then cite the appraisal job number as authority and treat as a scheduled offer.

## ITEM 6, STATEMENT OF AGENCY REPRESENTATIVE:

Signature and title of agency records officer is placed here and normally is not the name or office given in item 3.

## ITEM 7, ACTION TAKEN BY NARS:

NARS will indicate approval and provide shipping or delivery instructions, or disapproval and recommend appropriate disposition of the records. NARS will indicate quantity of offer approved, disapproved and for which action is deferred.

If NARS approves the transfer, the Office of the National Archives sends SF 258 to the agency (or to the Federal records center if the records are in a center) with a transfer date and shipping or delivery.

If NARS disapproves the transfer, the Records Disposition Division returns SF 258 to the agency with suggestions for disposition of the records.

## ITEM 8, RECORDS RECEIVED:

After receipt of records by a NARS depository NARS will sign and return one copy of SF 258 to the agency.

N1-59-91-14/1 ✓  
RSC boxes 2464-2473 ✓  
RSC boxes 2482-2483 ✓  
[Lot 61D385 (partial)]

N1-59-91-14/2 ✓  
RSC boxes 2474-2481 ✓  
[Lot 61D385 (partial)]

N1-59-91-14/4 ✓  
RSC boxes 2485-2488 ✓  
[Lot 61D385 (partial)]



CHANGE OF STATUS RECORD RECORD GROUP LEVEL				FOR NN USE				UNIT OF ARCHIVAL CONTROL NNT		RG NO. 059	
				ORIGINATOR'S TRANSACTION NO. NNTA-N-91-96				TOTAL NO. OF SHEETS ATTACHED 1			
STATISTICAL TRANSACTIONS (Enter code from table below in left column)								OTHER TRANSACTIONS			
INCREASE			DECREASE			TRANSFER					
1. ACCESSION			5. DISPOSAL			9. CHANGE PHYSICAL CUSTODY			<input type="checkbox"/> 11. CHANGE UNIT OF ARCHIVAL CONTROL		
2. ACCRETION			6. PERM. WITHDRAWAL			10. CHANGE OF RECORD GROUP			<input type="checkbox"/> 12. NEW RECORD GROUP		
3. REMEASUREMENT			7. REMEASUREMENT			(Enter RG nos. in unit of physical custody column)			<input type="checkbox"/> 13. INITIAL INPUT		
4. OTHER (Explain below)			8. OTHER (Explain below)						<input checked="" type="checkbox"/> 14. CORRECTION/REVISION (Explain below)		
TRANS. ACTION CODE	NUMBER OF SHEETS ATTACHED	UNIT OF PHYSICAL CUSTODY	CHECK		VOLUME (m <sup>3</sup> )		ITEMS				
			+	-	Check here if cu. ft. <input checked="" type="checkbox"/>		ITYPE CODE		NUMBER OF ITEMS		
					THIS CHANGE	AFTER CHANGE			THIS CHANGE	AFTER CHANGE	
1	1	NNTA-N	X		26.66	cu. ft.					
TOTAL VOLUME AFTER CHANGE (To be completed by NN-E)											

REMARKS

Accession of NN3-59-91-021, Records of the Operations Coordinator, 1953-1961 (Lot File 61D385), into RG 59, General Records of the Department of State.

Please insert into the appropriate RG 59 hierarchy level - records received after July 1, 1987.

Records totaled 26.66 cu. ft. after reboxing.

A copy of this change of status report has been forwarded to NNTR together with with the usual information forms.

REVIEWS AND APPROVALS								FOR NN-E USE	
1. PREPARED BY <i>William Walsh</i>		3. REVIEWED BY		5. REVIEWED BY		7. ARCHIVAL CONTROL DIVISION		REVIEWED	
UNIT	DATE	UNIT	DATE	UNIT	DATE	UNIT	DATE		
NNTA-N	1/15/93								
2. REVIEWED BY <i>Richard [unclear]</i>		4. REVIEWED BY		6. REVIEWED BY		8. APPROVED BY		POSTED	
UNIT	DATE	UNIT	DATE	UNIT	DATE	UNIT	DATE		
NNTA-N	1-15-93								



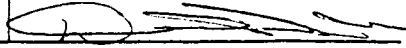


FORMAT X-A

1. Record Group Number: 59
3. Records Unit Title: Records of the Operations Coordinator, 1953-1961  
(Lot File 61D385)
4. General Materials Designator(s): TEX; PRM
4. Linear Measurement: 25 ft., 10 in.    6. Item Count: N/A
7. Bulk Dates: 1953-1961
8. Arrangement Statement: Mixed arrangement among multiple series, but chiefly by subject, by geographic area, and chronologically.
- 9a. National Security Classification Status: NSI
- 9b. National Security Classification Level: TS
10. Other Access Restrictions: N/A
11. Privacy Act System Status: N/A
- 12a/b. Creating Organizational Unit/Individual's Name: The Office of the Operations Coordinator, in the Office of the Undersecretary of State for Political Affairs (M/OP)
23. Finding Aids: State Dept. Form DS-693 provided, and placed in Box 1.
24. NN Accession Number: NN3-59-91-021
28. Local ID: See note on 14044    29. Location: NA/12E2A/22/2/4 - 4/1
30. Processing Unit: NNTA-N    Container Type/Count: 62 LGA-S
35. Number of Component Series:    SS    MS    IS
36. Priority for Series Description:    I    II    III    IV
37. Processing Comments: Accession of records appraised under N1-59-91-14. The records are in good to fair condition and may require some preservation action.  
  
To be done: Series identification and description; NNDA review; any preservation actions needed.
38. Cubic Measurement: 26.66 cu. ft.
- PD. Preparer and Date: William J. Walsh, 01/15/1993



mg 1771

<b>REQUEST FOR RECORDS DISPOSITION AUTHORITY</b> <i>(See Instructions on reverse)</i>		LEAVE BLANK JOB NO. <b>NI-59-91-13</b>	
TO: <b>GENERAL SERVICES ADMINISTRATION</b> <b>NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408</b>		DATE RECEIVED <b>12/10/90</b>	
1. FROM (Agency or establishment) <b>DEPARTMENT OF STATE</b>		NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10. If no records are proposed for disposal, the signature of the Archivist is not required.	
2. MAJOR SUBDIVISION <b>Executive Secretariat</b>		ARCHIVIST OF THE UNITED STATES 	
3. MINOR SUBDIVISION		DATE <b>1/23/91</b>	
4. NAME OF PERSON WITH WHOM TO CONFER		5. TELEPHONE EXT.	
6. CERTIFICATE OF AGENCY REPRESENTATIVE			

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 3 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, if required under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, is attached.

A. GAO concurrence:  is attached; or  is unnecessary.

B. DATE <b>11/26/90</b>	C. SIGNATURE OF AGENCY REPRESENTATIVE 	D. TITLE <b>Chief, Records Service Center</b>
----------------------------	--	--

7. ITEM NO.	8. DESCRIPTION OF ITEM <i>(With Inclusive Dates or Retention Periods)</i>	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN <i>(NARS USE ONLY)</i>
1.	<b>RECORDS RELATING TO PARTICIPATION IN THE OPERATIONS COORDINATING BOARD</b>  <b>Miscellaneous Files, 1953-1961.</b>  Minutes of meetings, reports, memorandums, agendas, drafts, telegrams, briefing materials, correspondence, notes, chits, and other material. Records relate to a wide variety of Operation Coordinating Board activities that relate to the Department of State.  Volume on hand: 5 feet Annual accumulation: 0  Lot 62D430 RSC boxes 1367-1371  PERMANENT. Transfer to the National Archives immediately.		



REQUEST FOR RECORDS DISPOSITION AUTHORITY – CONTINUATION		JOB NO.	PAGE OF
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARS USE ONLY)
2.	<p><b><u>Regional and Country Operations Files, 1953-1961.</u></b></p> <p>Arranged alphabetically by name of country or region. Memorandums, reports, extracts, notes, chits, briefing, correspondence, telegrams, agendas, operations plans, documents, and other material. The files document Department of State involvement in the activities of the Operations Coordinating Boards<sup>as</sup> they relate to countries and regions.</p> <p>Volume on hand: 7 feet Annual accumulation: 0</p> <p>Lot 62D430 RSC boxes 1372-1378</p> <p>PERMANENT. Transfer to the National Archives immediately.</p>		
3.	<p><b><u>Subject and Special Files, 1953-1961.</u></b></p> <p>Arranged alphabetically by subject. Extracts, operations plans, documents, reports, memorandums, notes, chits, briefing material, correspondence, telegrams, agendas, and other material. Records relate to the organization, activities, and plans of the Department of State in the Operations Coordinating Board.</p> <p>Volume on hand: 5 feet Annual accumulation: 0</p> <p>Lot 62D430 RSC boxes 1379-1383</p> <p>PERMANENT. Transfer to the National Archives immediately.</p> <p><b>RECORDS RELATING TO PARTICIPATION IN THE NATIONAL SECURITY COUNCIL</b></p>		
4.	<p><b><u>Records Relating to Policy Papers, 1947-1961.</u></b></p> <p>Memorandums, briefing materials, correspondence, documents, chits, notes, drafts, reports, and other material. Records cover Department of State input and comments on NSC policy papers.</p> <p>Volume on hand: 22-1/2 feet Annual accumulation: 0</p>		



REQUEST FOR RECORDS DISPOSITION AUTHORITY – CONTINUATION		JOB NO.	PAGE OF
7. ITEM NO.	8. DESCRIPTION OF ITEM <i>(With Inclusive Dates or Retention Periods)</i>	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN <i>(NARS USE ONLY)</i>
5.	<p>Lot 63D351 RSC boxes 4205-4224  Lot 66D95 RSC boxes 1800-1802 (partial)</p> <p>PERMANENT. Transfer to the National Archives immediately.</p> <p><b><u>Subject Files, 1947-1963.</u></b></p> <p>Memorandums, briefing material, documents, correspondence, drafts, notes, chits, reports, agendas, minutes of meetings, and other material. Files relate to general Department of State participation in the National Security Council.</p> <p>Volume on hand: 8-1/2 feet  Annual accumulation: 0</p> <p>Lot 66D95 RSC boxes 1798-1799  RSC boxes 1802 (partial)-1805  Lot 66D148 RSC boxes 1555-1557</p> <p>PERMANENT. Transfer to the National Archives immediately.</p>		
6.	<p><b><u>Logs and Distribution Sheets, n.d.</u></b></p> <p>Lot 66D95 RSC box 1797</p> <p>Destroy immediately.</p>	GRS 23, Item 8	





**RECOMMENDATION TO THE ARCHIVIST ON RECORDS  
DISPOSITION REQUEST**

JOB NUMBER  
N1-59-91-13

ITEM COUNT  
5

**SUMMARY**

The Department of State submits this schedule to cover certain specialized records maintained by the Executive Secretariat. These files cover Department of State participation in the Operations Coordinating Board (OCB) and the National Security Council (NSC). With the exception of the one series covered by the General Records Schedules, all of these records are clearly of sufficient archival and historical value to warrant immediate transfer to the National Archives.

I recommend approval of this job.

**RECOMMENDATION**

1. APPROVED FOR DISPOSAL. The records described under all items of the schedule, except those that may be listed in blocks 2, 3, and 4 of this section, are disposable because they do not, or will not after the lapse of the period specified, have sufficient administrative, legal, research, or other value to warrant their continued preservation by the Government.
2. APPROVED FOR PERMANENT RETENTION. The records described under the following item or items have been appraised by the National Archives and Records Administration (NARA) and are determined to have sufficient historical or other value to warrant their continued preservation by the United States Government. The agency will offer these records to the National Archives as specified.  
**Items 1, 2, 3, 4, and 5.**
3. DISPOSITION NOT APPROVED. The records described under the following item or items are not approved for disposition.
4. WITHDRAWN. The records described under the following item or items have been withdrawn at the request of the agency and/or NARA.

**FEDERAL REGISTER NOTICE**

- Not Required.       Required — Publication Date:  
Copies Requested:  
Comments Received:

**SIGNATURES**

	TITLE	SIGNATURE	DATE
APPRaisal	APPRaiser	<i>David A. Longbert</i>	1/16/91
	DIRECTOR, RECORDS APPRAISAL AND DISPOSITION DIVISION	<i>Kenneth Rosman</i>	1/16/91
CONCURRENCES	Director, NNT	<i>Geraldine N. Phillips</i>	1-18-91
	Director, NN-W	<i>Donald T. Higgins</i>	1-22-91

**August 5, 2022 Production 000377**



# National Archives



Washington, DC 20408

Date : January 16, 1991  
Reply to :  
Attn of : David A. Langbart, NIRM  
Subject : Appraisal of records covered by N1-59-91-13  
To : Director, NIR

The Department of State submits this schedule to cover certain unscheduled records of the Executive Secretariat (S/S) that I located in the Records Service Center. There are four Lot Files (62D430, 63D351, 66D95, and 66D148) documenting Department of State participation in the Operations Coordinating Board (OCB) and the National Security Council (NSC).

Secretary of State George C. Marshall established the Executive Secretariat (S/S) in March 1947. The new organization, headed by the Executive Secretary, incorporated the functions of the Executive Assistants, the Division of Protocol, the Secretariat, and the Policy Reports Staff. The primary mission of S/S has been to assure coordination of work presented to the office of the Secretary of State and other senior officials of the Department and for regulating the flow of documentation to, within, and from those same offices.

Coordination of Department of State participation in the Operations Coordinating Board for the period 1953 to 1961, and the National Security Council from the early 1950s on, eventually became one of S/S's major responsibilities. The range of subjects covered was so wide and responsibility for responding to assignments made pursuant to NSC business was so decentralized within the Department, that a serious problem of coordination arose. After a period of some disorganization, S/S took over the function of coordinating action on NSC papers, controlling procedures for effective participation by the Department in the NSC, and maintaining files relating to that work for the Secretary of State. At the time that it assumed those duties, S/S apparently created retrospective files covering the Department's participation in the NSC back to 1947. After creation of the OCB in 1953, S/S undertook the same responsibilities for Department participation in that body.

There are six series of records:



**RECORDS RELATING TO PARTICIPATION IN  
THE OPERATIONS COORDINATING BOARD**

{1} Miscellaneous Files, 1953-1961. 5 feet. Arranged by subject or type of records. Minutes of meetings, reports, memorandums, agendas, drafts, telegrams, briefing materials, correspondence, notes, chits, and other material. Files document OCB activities, especially as they relate to the Department of State. Specific files include "OCB Minutes," "Status Reports," "OCB Agenda," and "OCB Luncheon Notes," "Board Assistants Record of Actions," and "Organization and Functions."

These records contain unique documentation on the coordination of Department of State participation in the OCB and OCB activities. This documentation also contains significant marginalia. They are clearly of sufficient archival and historical value to warrant transfer to the National Archives. I recommend approval of this item.

{2} Regional and Country Operations Files, 1953-1961. 7 feet. Arranged alphabetically by name of country or region. For the USSR, there are further breakdowns by subject. The files include memorandums, reports, extracts, notes, chits, briefing materials, correspondence, telegrams, agendas, operations plans, documents, and other material. The files document coordination of Department of State involvement in the activities of the OCB as they relate to virtually every country and region of the world. A sampling of countries and regions include Afghanistan, Africa, Arctic and Antarctic, Burma, Far East, Germany, Iran, Iraq, Latin America, Outer Mongolia, Scandinavia, Southeast Asia, the Soviet Union, Spain, and Yugoslavia.

These records contain unique documentation of the Department of State's input into various country plans developed by the OCB. They are clearly of sufficient archival and historical value to warrant transfer to the National Archives. I recommend approval of this item. These records have become somewhat disarranged through use (apparently the answering of FOIA requests and the Historical Office). They can easily be reordered during archival processing.

{3} Subject and Special Files, 1953-1961. 5 feet. Arranged alphabetically by subject. Extracts, operations plans, documents, reports, memorandums, notes, chits, briefing material, correspondence, telegrams, agendas, and other material. These records document coordination of Department of State involvement in the activities of the OCB as they



relate to a wide variety of subjects. Specific topics include aid programs, atomic and nuclear energy, aviation, conferences and meetings, CARE, civil defense, defectors and escapees, East-West relations, economic matters, educational exchange, international broadcasting, Middle East oil reserves, outer space, refugee relief, SEATO, and the Volunteer Freedom corps.

These records contain unique documentation of the Department of State's input into consideration of a wide variety of subjects by the OCB. They are clearly of sufficient archival and historical value to warrant transfer to the National Archives. I recommend approval of this item. These records have also become somewhat disarranged through use (apparently the answering of FOIA requests and the Historical Office). They can easily be reordered during archival processing.

#### **RECORDS RELATING TO PARTICIPATION IN THE NATIONAL SECURITY COUNCIL**

{4} Records Relating to Policy Papers, 1947-1961. 22-1/2 feet. Arranged in numerical order. Memorandums, briefing materials, correspondence, documents, notes, chits, drafts, reports, and other material relating to NSC policy Papers. Coverage extends from NSC 1 through NSC 6109. The files include the copy of each policy paper assigned to the Secretary of State. These files document Department of State input and comments on NSC Policy Papers.

These records are clearly of sufficient archival and historical value to warrant transfer to the National Archives. They contain important and unique documentation on Department of State input for and consideration of NSC policy papers.

{5} Subject Files, 1947-1963. 8-1/2 feet. Arranged by subject. Correspondence, briefing material, documents, memorandums, drafts, notes, chits, reports, agendas, minutes of meetings, and other material relating to Department of State contributions to NSC discussions of a wide variety of subjects. Specific topics include aluminum, Asian regional development, defense mobilization, international scientific activities, law of the sea, propaganda, internal security, nuclear weapons, the Middle East, outer space, overseas bases, Project Solarium, the effect of Stalin's death, U.S. prestige abroad, and the Planning Coordinating Group. Also included is the compilation Policies of the United States Government Relating to the National Security.





12E2A/23/13B-22/2D MMF

**REQUEST TO TRANSFER, APPROVAL, AND RECEIPT OF RECORDS TO NATIONAL ARCHIVES OF THE UNITED STATES**  
(See Instructions on reverse)

LEAVE BLANK		RG <b>59</b>
UNIT	DATE RECEIVED	UNIT
		<b>NNT</b>
JOB NUMBER		JOB NUMBER
<b>NN3-59-91-023</b>		

1. TYPE OF ACTION	2. TO	3. UNIT THAT CREATED THE RECORDS	
<input type="checkbox"/> A. OFFER OF UNSCHEDULED RECORDS	<input type="checkbox"/> A. RECORDS DISPOSITION DIVISION MAILING ADDRESS: GENERAL SERVICES ADMINISTRATION (NCD) WASHINGTON, DC 20408	A. AGENCY OR ESTABLISHMENT <b>DEPARTMENT OF STATE</b>	
<input checked="" type="checkbox"/> B. TRANSFER OF SCHEDULED RECORDS	<input checked="" type="checkbox"/> B. NATIONAL ARCHIVES MAILING ADDRESS: GENERAL SERVICES ADMINISTRATION (NNB) WASHINGTON, DC 20408	B. MAJOR SUBDIVISION <b>Executive Secretariat</b>	
	<input type="checkbox"/> C. REGIONAL ARCHIVES FEDERAL ARCHIVES AND RECORD CENTER GENERAL SERVICES ADMINISTRATION	C. MINOR SUBDIVISION	
		D. NAME OF UNIT	
		E. NAME OF PERSON WITH WHOM TO CONFER ABOUT THE CONTENT OF THE RECORDS <b>Melvin Clark</b>	F. TELEPHONE (Include area code) <b>202 647-3524</b>
4. CURRENT LOCATION OF RECORDS	A. AGENCY SPACE (Give location) <input checked="" type="checkbox"/> See attached sheet.		C. REQUESTED TRANSFER DATE <b>ASAP</b>
	<input type="checkbox"/> B. FEDERAL RECORDS CENTER (Identify center and FRC accession no. and enter location)		

**5. RECORDS DATA**

A. DESCRIPTION OF RECORDS (Give overall title of records, individual documents, dates, and attach Standard Form 135, if records are now in FRC. Continue on separate sheet of paper, if necessary.)

SF 135 ATTACHED

SEPARATE SHEET(S) ATTACHED

**State Dept.**  
Records relating to participation in the Operations Coordinating Board and the National Security Council, 1947-1963 (Lot Files 62D430, 63D351, 66D85, + 66D148)

B. EST. VOLUME	C. ARE RECORDS SUBJECT TO PRIVACY ACT? (If yes, cite agency system number and F.R. volume and page number for most recent notice and attach a copy)	D. SPECIFIC RESTRICTIONS TO BE IMPOSED (Include justification and cite statute or FOI exemption that authorizes such restrictions)
cu. ft.    cu. mtr.		
<b>48</b>		<b>SECURITY CLASSIFIED</b>

E. RECORDS CONTROL SCHEDULE/ITEM NO. OR APPRAISAL JOB NO.	F. AGENCY REMARKS:
<b>N1-59-91-13</b>	

**6. STATEMENT OF AGENCY REPRESENTATIVE**

The records described above and on the attached pages are hereby offered for deposit with the National Archives of the United States in accordance with 44 U.S.C. 2103. It is agreed that these records will be administered in accordance with the provisions of 44 U.S.C. Chapter 21, 41 C.F.R. 101-11.411, 41 C.F.R. 105-61, and such other rules or regulations as may be prescribed by the Administrator of General Services or the Archivist of the United States. Unless specified and justified above, there are no restrictions on the use of these records other than the general and specific record group restrictions on the use of records in the National Archives of the United States that have been published in 41 C.F.R. 105-61.53 or in the Guide to the National Archives of the United States. The Archivist of the United States may destroy, donate, or otherwise dispose of any containers, duplicate records, unused forms, blank stationery, nonarchival printed or processed material, or other nonrecord material in any manner authorized by law or regulation without further consent of this agency. I certify that any restrictions specified by this agency on the use of these records are in conformance with the requirements of 5 U.S.C. 552 and that I am authorized by the head of this agency to act for the agency on matters pertaining to the disposition of agency records.

A. SIGNATURE OF AGENCY REPRESENTATIVE	B. TITLE	C. MAILING ADDRESS	D. DATE
	<b>Director</b> Office of Information Services	<b>2201 C ST. N.W.</b> Washington, D.C. 20520-1512	<b>1/30/91</b>

**7. ACTION TAKEN BY NATIONAL ARCHIVES AND RECORDS SERVICE, GENERAL SERVICES ADMINISTRATION**

A. ACTION	B. NARS CONCURRENCES						C. FOR NARS USE ONLY
	INITIALS	UNIT	DATE	INITIALS	UNIT	DATE	
	<input type="checkbox"/> APPROVED	<input type="checkbox"/> DISAPPROVED					
	<b>JP</b>	<b>NNDA</b>	<b>2/11/91</b>				
	<b>LM</b>	<b>NNR</b>	<b>2-14-91</b>				

D. NARS REMARKS REGARDING DISPOSITION/SHIPPING

**Security classified** Please deliver to Stack Area 12E2A, National Archives Bldg, Wash. DC 20408. Contact Bill Walsh (501-5097).

E. SIGNATURE OF NARS AUTHORIZED REPRESENTATIVE	F. TITLE	G. DATE
	<b>Dir., Textual Prog. Div.</b>	<b>2/4/91</b>

B. RECORDS RECEIVED NARS DEPOSITORY	A. DATE	B. SIGNATURE	C. TITLE
	<b>12-30-92</b>		<b>Chief Archivist</b>

August 5, 2022 Production 000385

# INSTRUCTIONS

This form may be initiated by either the transferring agency or a Federal records center.

## INITIATED BY AGENCY:

Agency completes items 1 through 6 (see specific instructions below). Item 6 must be signed and dated. Send original and 4 copies to the appropriate address in item 2 sixty days before planned date of transfer.

## INITIATED BY FEDERAL RECORDS CENTER:

Federal records center completes items 1, 2, 3A-F, if known, 4B, 5A and B, D and E and sends original and 3 copies to transferring agency records officer.

Agency completes/corrects items 3, 5A, 5C-F, and 6. Item 6 must be signed and dated. Agency sends original and 3 copies to the address indicated in item 2 sixty days before planned date of transfer.

## ITEM 1, TYPE OF ACTION:

If 1B is checked, a reference to the records control schedule number or NARS appraisal job number must be included in 5E. (Item 1B may be checked for unscheduled records if an appropriate appraisal job is cited to reflect an accretion according to prior evaluation of the series).

## ITEM 2, TO:

When 1B is checked, requests are sent to the National Archives (NNA) unless the records control schedule or appraisal job specifies a regional archives or Presidential library.

When 2C is checked, include in that block address (number and street, city, State, and zip code) of the regional archives branch being offered the records.

## ITEM 3, UNIT THAT CREATED THE RECORDS:

Fully identify the agency, subdivision, and unit that created or originated the records (not the agency records office). If this is not possible, or a successor unit or agency is transferring the records, then explain under Agency Remarks, item 5F.

## ITEM 4, CURRENT LOCATION OF RECORDS:

Identify the agency location or the particular records center in which the records are located and provide FRC accession number. The stack location in the center may also be provided.

## ITEM 5, RECORDS DATA:

5A. Describe the records. If the records are in a Federal records center, attach SF 135. Arrangement statement must be provided and enough description to substantiate responses in items 5B (with volume for each item) 5C and 5D.

5B. Estimated volume may be indicated in either cubic feet or cubic meters.

5C. Privacy Act notices must be cited for records subject to the Privacy Act (5 U.S.C. 552a) and should be attached.

5D. Specific restrictions must be fully justified and may not violate the Freedom of Information Act (5 U.S.C. 552).

5E. If the records have previously been scheduled in a records control schedule, the schedule and item number must be cited, and the schedule itself may be attached. If the records are not scheduled but a part of the series has been appraised as permanent in the past and transferred to a NARS depository, then cite the appraisal job number as authority and treat as a scheduled offer.

## ITEM 6, STATEMENT OF AGENCY REPRESENTATIVE:

Signature and title of agency records officer is placed here and normally is not the name or office given in item 3.

## ITEM 7, ACTION TAKEN BY NARS:

NARS will indicate approval and provide shipping or delivery instructions, or disapproval and recommend appropriate disposition of the records. NARS will indicate quantity of offer approved, disapproved and for which action is deferred.

If NARS approves the transfer, the Office of the National Archives sends SF 258 to the agency (or to the Federal records center if the records are in a center) with a transfer date and shipping or delivery.

If NARS disapproves the transfer, the Records Disposition Division returns SF 258 to the agency with suggestions for disposition of the records.

## ITEM 8, RECORDS RECEIVED:

After receipt of records by a NARS depository NARS will sign and return one copy of SF 258 to the agency.

N1-59-91-13/1  
RSC boxes 1365 boxes 1367-1371 ✓  
[Lot 62D430 (partial)]

N1-59-91-13/2  
RSC boxes 1372-1378 ✓  
[Lot 62D430 (partial)]

N1-59-91-13/3  
RSC boxes 1379-1383 ✓  
[Lot 62D430 (partial)]

N1-59-91-13/4  
RSC boxes 4205-4224 ✓  
RSC boxes 1800-1802 (partial) ✓  
[Lots 63D351 and 66D95 (partial)]

N1-59-91-13/5  
RSC boxes 1798-1799 ✓  
RSC boxes 1802 (partial)-1805 ✓  
RSC boxes 1555-1557 (66D148 - 53 FRCs) ✓  
[Lots 66D95 (partial) and 66D148]

Operations  
Coordinating  
Board

NSC



CHANGE OF STATUS RECORD RECORD GROUP LEVEL				FOR NN USE		UNIT OF ARCHIVAL CONTROL		RG NO.	
				ORIGINATOR'S TRANSACTION NO. NNTA-N-91-98		NNT		TOTAL NO. OF SHEETS ATTACHED 1	
STATISTICAL TRANSACTIONS (Enter code from table below in left column)								OTHER TRANSACTIONS	
INCREASE		DECREASE		TRANSFER					
1. ACCESSION		5. DISPOSAL		9. CHANGE PHYSICAL CUSTODY				<input type="checkbox"/> 11. CHANGE UNIT OF ARCHIVAL CONTROL	
2. ACCRETION		6. PERM. WITHDRAWAL		10. CHANGE OF RECORD GROUP				<input type="checkbox"/> 12. NEW RECORD GROUP	
3. REMEASUREMENT		7. REMEASUREMENT		(Enter RG nos. in unit of physical custody column)				<input checked="" type="checkbox"/> 13. INITIAL INPUT	
4. OTHER (Explain below)		8. OTHER (Explain below)						<input type="checkbox"/> 14. CORRECTION/REVISION (Explain below)	
TRANS. ACTION CODE	NUMBER OF SHEETS ATTACHED	UNIT OF PHYSICAL CUSTODY	CHECK		VOLUME (m <sup>3</sup> )		ITEMS		
			+	-	Check here if cu. ft. <input checked="" type="checkbox"/>		ITYPE CODE	NUMBER OF ITEMS	
					THIS CHANGE	AFTER CHANGE		THIS CHANGE	AFTER CHANGE
1	1	NNTA-N	X		55.47	cu. ft.			
TOTAL VOLUME AFTER CHANGE (To be completed by NN-E)									

REMARKS

Accession of NN3-59-91-023, Records Relating to State Department Participation in the Operations Coordinating Board and the National Security Council, 1947-1963 (Lot Files 62D430, 63D351, 66D95, and 66D148), into RG 59, General Records of the Department of State.

Please insert into the appropriate RG 59 hierarchy level - records were received after July 1, 1987.

Records totaled 55.47 cu. ft. after reboxing.

A copy of this change of status report has been forwarded to NNTR together with the usual informational forms.

REVIEWS AND APPROVALS

FOR NN-E USE

1. PREPARED BY <i>William J. Welch</i>		3. REVIEWED BY		5. REVIEWED BY		7. ARCHIVAL CONTROL DIVISION		REVIEWED	
UNIT	DATE	UNIT	DATE	UNIT	DATE	UNIT	DATE		
NNTA	1/14/1993								
2. REVIEWED BY <i>[Signature]</i>		4. REVIEWED BY		6. REVIEWED BY		8. APPROVED BY		POSTED	
UNIT	DATE	UNIT	DATE	UNIT	DATE	UNIT	DATE		
NNTA	1-14-93								



FORMAT X-A

1. Record Group Number: 59
3. Records Unit Title: Records Relating to State Department Participation in the Operations Coordinating Board, and the National Security Council, 1947-1963 (Lot Files 62D430, 63D351, 66D95, and 66D148)
4. General Materials Designator(s): TEX; PRM
4. Linear Measurement: 53 ft., 9 in.      6. Item Count: N/A
7. Bulk Dates: 1947-1963
8. Arrangement Statement: Mixed arrangement among multiple series, but chiefly arranged by subject, by geographic area, and chronologically.
- 9a. National Security Classification Status: NSI
- 9b. National Security Classification Level: TS
10. Other Access Restrictions: N/A
11. Privacy Act System Status: N/A
- 12a/b. Creating Organizational Unit/Individual's Name: The Executive Secretariat (S/S)
23. Finding Aids: State Dept. Forms DS-693 provided, and placed in Box 1.
24. NN Accession Number: NN3-59-91-023
28. Local ID: See note on 14044      29. Location: NA/12E2A/23/13/2 - 22/2/4
30. Processing Unit: NNTA-~~N~~      Container Type/Count: 129 LGA-S
35. Number of Component Series:      SS      MS      IS
36. Priority for Series Description:      I      II      III      IV
37. Processing Comments: Accession of records appraised under N1-59-91-13. The records are in fair condition and may probably require some degree of preservation.  
  
To be done: Series identification and description; NNDA review; any preservation action needed.
38. Cubic Measurement: 55.47 cu. ft.
- PD. Preparer and Date: William J. Walsh, 01/14/1993





12E2A/23/13B-22/2D

MMF 12/24

REQUEST TO TRANSFER, APPROVAL, AND RECEIPT OF RECORDS TO NATIONAL ARCHIVES OF THE UNITED STATES (See Instructions on reverse)

LEAVE BLANK UNIT DATE RECEIVED UNIT NNT DATE RECEIVED JOB NUMBER NN3-59-91-023

1. TYPE OF ACTION: B. TRANSFER OF SCHEDULED RECORDS
2. TO: B. NATIONAL ARCHIVES
3. UNIT THAT CREATED THE RECORDS: DEPARTMENT OF STATE, Executive Secretariat
4. CURRENT LOCATION OF RECORDS: See attached sheet.

5. RECORDS DATA: A. DESCRIPTION OF RECORDS: State Dept. Records relating to participation in the Operations Coordinating Board and the National Security Council, 1947-1963
B. EST. VOLUME: 48
C. ARE RECORDS SUBJECT TO PRIVACY ACT?
D. SPECIFIC RESTRICTIONS TO BE IMPOSED: SECURITY CLASSIFIED
E. RECORDS CONTROL SCHEDULE/ITEM NO. OR APPRAISAL JOB NO.: N1-59-91-13

6. STATEMENT OF AGENCY REPRESENTATIVE: The records described above and on the attached pages are hereby offered for deposit with the National Archives of the United States in accordance with 44 U.S.C. 2103.
A. SIGNATURE OF AGENCY REPRESENTATIVE: [Signature]
B. TITLE: Director, Office of Information Services
C. MAILING ADDRESS: 2201 C ST. N.W., Washington, D.C. 20520-1512
D. DATE: 1/30/91

7. ACTION TAKEN BY NATIONAL ARCHIVES AND RECORDS SERVICE, GENERAL SERVICES ADMINISTRATION
A. ACTION: [ ] APPROVED, [ ] DISAPPROVED
B. NARS CONCURRENCES: [Signature] NNDA 2/11/91, [Signature] NNT 2-14-91

D. NARS REMARKS REGARDING DISPOSITION/SHIPPING: Security classified. Please deliver to Stack Area 12E2A, National Archives Bldg, Wash. DC 20408. Contact Bill Walsh (501-5097).

E. SIGNATURE OF NARS AUTHORIZED REPRESENTATIVE: [Signature]
F. TITLE: Dir., Textual Prog. Div.
G. DATE: 2/4/91

B. RECORDS RECEIVED NARS DEPOSITORY: 12-30-92
A. DATE: 12-30-92
B. SIGNATURE: [Signature]
C. TITLE: Chief Archivist



N1-59-91-13/1  
RSC boxes 1365 boxes 1367-1371 ✓  
[Lot 62D430 (partial)]

N1-59-91-13/2  
RSC boxes 1372-1378 ✓  
[Lot 62D430 (partial)]

N1-59-91-13/3  
RSC boxes 1379-1383 ✓  
[Lot 62D430 (partial)]

N1-59-91-13/4  
RSC boxes 4205-4224 ✓  
RSC boxes 1800-1802 (partial) ✓  
[Lots 63D351 and 66D95 (partial)]

N1-59-91-13/5  
RSC boxes 1798-1799 ✓  
RSC boxes 1802 (partial)-1805 ✓  
RSC boxes 1555-1557 (66D148-13 FRC's) ✓  
[Lots 66D95 (partial) and 66D148]

Operations  
Coordinating  
Board

NSC



*Shelf*

CHANGE OF STATUS RECORD				FOR NN USE				UNIT OF ARCHIVAL CONTROL		RG NO.	
RECORD GROUP LEVEL				ORIGINATOR'S TRANSACTION NO.				NNT		059	
				NNTA-N-91-98				TOTAL NO. OF SHEETS ATTACHED		1	
STATISTICAL TRANSACTIONS (Enter code from table below in left column)								OTHER TRANSACTIONS			
INCREASE			DECREASE			TRANSFER					
1. ACCESSION			5. DISPOSAL			9. CHANGE PHYSICAL CUSTODY			<input type="checkbox"/> 11. CHANGE UNIT OF ARCHIVAL CONTROL		
2. ACCRETION			6. PERM. WITHDRAWAL			10. CHANGE OF RECORD GROUP			<input type="checkbox"/> 12. NEW RECORD GROUP		
3. REMEASUREMENT			7. REMEASUREMENT			(Enter RG nos. in unit of physical custody column)			<input checked="" type="checkbox"/> 13. INITIAL INPUT		
4. OTHER (Explain below)			8. OTHER (Explain below)						<input type="checkbox"/> 14. CORRECTION/REVISION (Explain below)		
TRANS. ACTION CODE	NUMBER OF SHEETS ATTACHED	UNIT OF PHYSICAL CUSTODY	CHECK		VOLUME (m <sup>3</sup> )		ITEMS				
			+	-	Check here if cu. ft. <input checked="" type="checkbox"/>		ITYPE CODE	NUMBER OF ITEMS			
						THIS CHANGE		AFTER CHANGE	THIS CHANGE		
1	1	NNTA-N	X		55.47	cu.ft.					<input type="checkbox"/> 15. NATIONAL ARCHIVES GUIDE NARRATIVE
											<input type="checkbox"/> 16. RESTRICTION STATEMENT
											<input type="checkbox"/> 17. PRIVACY STATEMENT
											<input type="checkbox"/> 18. CHANGE FINDING AID CITATIONS
											<input type="checkbox"/> 19. CHANGE SERIES LOCATIONS
TOTAL VOLUME AFTER CHANGE (To be completed by NN-E)											<input type="checkbox"/> 20. OTHER (Explain below)

REMARKS

Accession of NN3-59-91-023, Records Relating to State Department Participation in the Operations Coordinating Board and the National Security Council, 1947-1963 (Lot Files 62D430, 63D351, 66D95, and 66D148), into RG 59, General Records of the Department of State.

Please insert into the appropriate RG 59 hierarchy level - records were received after July 1, 1987.

Records totaled 55.47 cu. ft. after reboxing.

A copy of this change of status report has been forwarded to NNTR together with the usual informational forms.

REVIEWS AND APPROVALS										FOR NN-E USE		
1. PREPARED BY			3. REVIEWED BY			5. REVIEWED BY			7. ARCHIVAL CONTROL DIVISION		REVIEWED	
UNIT	DATE		UNIT	DATE		UNIT	DATE		UNIT	DATE		
NNTA	1/14/1993											
2. REVIEWED BY			4. REVIEWED BY			6. REVIEWED BY			8. APPROVED BY		POSTED	
UNIT	DATE		UNIT	DATE		UNIT	DATE		UNIT	DATE		
NNTA	1-14-93											



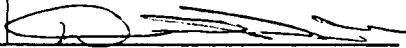
FORMAT X-A

1. Record Group Number: 59
3. Records Unit Title: Records Relating to State Department Participation in the Operations Coordinating Board, and the National Security Council, 1947-1963 (Lot Files 62D430, 63D351, 66D95, and 66D148)
4. General Materials Designator(s): TEX; PRM
4. Linear Measurement: 53 ft., 9 in.      6. Item Count: N/A
7. Bulk Dates: 1947-1963
8. Arrangement Statement: Mixed arrangement among multiple series, but chiefly arranged by subject, by geographic area, and chronologically.
- 9a. National Security Classification Status: NSI
- 9b. National Security Classification Level: TS
10. Other Access Restrictions: N/A
11. Privacy Act System Status: N/A
- 12a/b. Creating Organizational Unit/Individual's Name: The Executive Secretariat (S/S)
23. Finding Aids: State Dept. Forms DS-693 provided, and placed in Box 1.
24. NN Accession Number: NN3-59-91-023
28. Local ID: See note on 14044      29. Location: NA/12E2A/23/13/2 - 22/2/4
30. Processing Unit: NNTA-~~N~~      Container Type/Count: 129 LGA-S
35. Number of Component Series:      SS      MS      IS
36. Priority for Series Description:      I      II      III      IV
37. Processing Comments: Accession of records appraised under N1-59-91-13. The records are in fair condition and may probably require some degree of preservation.  
  
To be done: Series identification and description; NNDA review; any preservation action needed.
38. Cubic Measurement: 55.47 cu. ft.
- PD. Preparer and Date: William J. Walsh, 01/14/1993



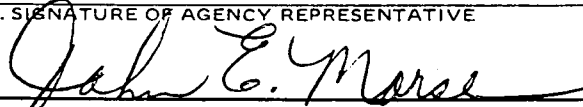


Shelly

REQUEST FOR RECORDS DISPOSITION AUTHORITY <i>(See Instructions on reverse)</i>		LEAVE BLANK	
TO: GENERAL SERVICES ADMINISTRATION NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408		JOB NO.	N1-59-91-13
1. FROM (Agency or establishment) DEPARTMENT OF STATE		DATE RECEIVED	12/10/90
2. MAJOR SUBDIVISION Executive Secretariat		NOTIFICATION TO AGENCY	
3. MINOR SUBDIVISION		In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10. If no records are proposed for disposal, the signature of the Archivist is not required.	
4. NAME OF PERSON WITH WHOM TO CONFER	5. TELEPHONE EXT.	DATE	ARCHIVIST OF THE UNITED STATES
		1/23/91	
6. CERTIFICATE OF AGENCY REPRESENTATIVE			

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 3 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, if required under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, is attached.

A. GAO concurrence:  is attached; or  is unnecessary.

B. DATE	C. SIGNATURE OF AGENCY REPRESENTATIVE	D. TITLE
11/26/90		Chief, Records Service Center

7. ITEM NO.	8. DESCRIPTION OF ITEM <i>(With Inclusive Dates or Retention Periods)</i>	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN <i>(NARS USE ONLY)</i>
1.	<p><b>RECORDS RELATING TO PARTICIPATION IN THE OPERATION, COORDINATING BOARD</b></p> <p><b>Miscellaneous Files, 1953-1961.</b></p> <p>Minutes of meetings, reports, memorandums, agendas, drafts, telegrams, briefing materials, correspondence, notes, chits, and other material. Records relate to a wide variety of Operation Coordinating Board activities that relate to the Department of State.</p> <p>Volume on hand: 5 feet Annual accumulation: 0</p> <p>Lot 62D430 RSC boxes 1367-1371</p> <p>PERMANENT. Transfer to the National Archives immediately.</p>		



REQUEST FOR RECORDS DISPOSITION AUTHORITY – CONTINUATION

JOB NO.

PAGE

7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARS USE ONLY)
2.	<p><b><u>Regional and Country Operations Files, 1953-1961.</u></b></p> <p>Arranged alphabetically by name of country or region. Memorandums, reports, extracts, notes, chits, briefing, correspondence, telegrams, agendas, operations plans, documents, and other material. The files document Department of State involvement in the activities of the Operations Coordinating Boards<sup>as</sup> they relate to countries and regions.</p> <p>Volume on hand: 7 feet Annual accumulation: 0</p> <p>Lot 62D430 RSC boxes 1372-1378</p> <p>PERMANENT. Transfer to the National Archives immediately.</p>		
3.	<p><b><u>Subject and Special Files, 1953-1961.</u></b></p> <p>Arranged alphabetically by subject. Extracts, operations plans, documents, reports, memorandums, notes, chits, briefing material, correspondence, telegrams, agendas, and other material. Records relate to the organization, activities, and plans of the Department of State in the Operations Coordinating Board.</p> <p>Volume on hand: 5 feet Annual accumulation: 0</p> <p>Lot 62D430 RSC boxes 1379-1383</p> <p>PERMANENT. Transfer to the National Archives immediately.</p> <p><b>RECORDS RELATING TO PARTICIPATION IN THE NATIONAL SECURITY COUNCIL</b></p>		
4.	<p><b><u>Records Relating to Policy Papers, 1947-1961.</u></b></p> <p>Memorandums, briefing materials, correspondence, documents, chits, notes, drafts, reports, and other material. Records cover Department of State input and comments on NSC policy papers.</p> <p>Volume on hand: 22-1/2 feet Annual accumulation: 0</p>		



REQUEST FOR RECORDS DISPOSITION AUTHORITY – CONTINUATION		JOB NO.	PAGE OF
7. ITEM NO.	8. DESCRIPTION OF ITEM <i>(With Inclusive Dates or Retention Periods)</i>	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN <i>(NARS USE ONLY)</i>
5.	<p>Lot 63D351 RSC boxes 4205-4224            Lot 66D95 RSC boxes 1800-<del>1802</del> (partial)</p> <p>PERMANENT. Transfer to the National Archives immediately.</p> <p><u>Subject Files, 1947-1963.</u></p> <p>Memorandums, briefing material, documents, correspondence, drafts, notes, chits, reports, agendas, minutes of meetings, and other material. Files relate to general Department of State participation in the National Security Council.</p> <p>Volume on hand: 8-1/2 feet            Annual accumulation: 0</p> <p>Lot 66D95 RSC boxes 1798-1799            RSC boxes 1802 (partial)-1805            Lot 66D148 RSC boxes 1555-1557</p> <p>PERMANENT. Transfer to the National Archives immediately.</p>		
6.	<p><u>Logs and Distribution Sheets, n.d.</u></p> <p>Lot 66D95 RSC box 1797</p> <p>Destroy immediately.</p>	GRS 23, Item 8	



**RECOMMENDATION TO THE ARCHIVIST ON RECORDS  
DISPOSITION REQUEST**

JOB NUMBER  
N1-59-91-13

ITEM COUNT  
5

**SUMMARY**

The Department of State submits this schedule to cover certain specialized records maintained by the Executive Secretariat. These files cover Department of State participation in the Operations Coordinating Board (OCB) and the National Security Council (NSC). With the exception of the one series covered by the General Records Schedules, all of these records are clearly of sufficient archival and historical value to warrant immediate transfer to the National Archives.

I recommend approval of this job.

**RECOMMENDATION**

1. APPROVED FOR DISPOSAL. The records described under all items of the schedule, except those that may be listed in blocks 2, 3, and 4 of this section, are disposable because they do not, or will not after the lapse of the period specified, have sufficient administrative, legal, research, or other value to warrant their continued preservation by the Government.
2. APPROVED FOR PERMANENT RETENTION. The records described under the following item or items have been appraised by the National Archives and Records Administration (NARA) and are determined to have sufficient historical or other value to warrant their continued preservation by the United States Government. The agency will offer these records to the National Archives as specified.  
Items 1, 2, 3, 4, and 5.
3. DISPOSITION NOT APPROVED. The records described under the following item or items are not approved for disposition.
4. WITHDRAWN. The records described under the following item or items have been withdrawn at the request of the agency and/or NARA.

**FEDERAL REGISTER NOTICE**

- Not Required.       Required — Publication Date:  
Copies Requested:  
Comments Received:

**SIGNATURES**

	TITLE	SIGNATURE	DATE
APPRAISAL	APPAISER	David A. Longhart	1/16/91
	DIRECTOR, RECORDS APPRAISAL AND DISPOSITION DIVISION	Kenneth Rosman	1/16/91
CONCURRENCES	Director, NNT	Geraldine N. Phillips	1-18-91
	Director, NN-W	Donald T. Huggins	1-22-91





# National Archives



Washington, DC 20408

Date : January 16, 1991  
Reply to  
Attn of : David A. Langbart, NIRM  
Subject : Appraisal of records covered by N1-59-91-13  
To : Director, NIR

The Department of State submits this schedule to cover certain unscheduled records of the Executive Secretariat (S/S) that I located in the Records Service Center. There are four Lot Files (62D430, 63D351, 66D95, and 66D148) documenting Department of State participation in the Operations Coordinating Board (OCB) and the National Security Council (NSC).

Secretary of State George C. Marshall established the Executive Secretariat (S/S) in March 1947. The new organization, headed by the Executive Secretary, incorporated the functions of the Executive Assistants, the Division of Protocol, the Secretariat, and the Policy Reports Staff. The primary mission of S/S has been to assure coordination of work presented to the office of the Secretary of State and other senior officials of the Department and for regulating the flow of documentation to, within, and from those same offices.

Coordination of Department of State participation in the Operations Coordinating Board for the period 1953 to 1961, and the National Security Council from the early 1950s on, eventually became one of S/S's major responsibilities. The range of subjects covered was so wide and responsibility for responding to assignments made pursuant to NSC business was so decentralized within the Department, that a serious problem of coordination arose. After a period of some disorganization, S/S took over the function of coordinating action on NSC papers, controlling procedures for effective participation by the Department in the NSC, and maintaining files relating to that work for the Secretary of State. At the time that it assumed those duties, S/S apparently created retrospective files covering the Department's participation in the NSC back to 1947. After creation of the OCB in 1953, S/S undertook the same responsibilities for Department participation in that body.

There are six series of records:



**RECORDS RELATING TO PARTICIPATION IN  
THE OPERATIONS COORDINATING BOARD**

{1} Miscellaneous Files, 1953-1961. 5 feet. Arranged by subject or type of records. Minutes of meetings, reports, memorandums, agendas, drafts, telegrams, briefing materials, correspondence, notes, chits, and other material. Files document OCB activities, especially as they relate to the Department of State. Specific files include "OCB Minutes," "Status Reports," "OCB Agenda," and "OCB Luncheon Notes," "Board Assistants Record of Actions," and "Organization and Functions."

These records contain unique documentation on the coordination of Department of State participation in the OCB and OCB activities. This documentation also contains significant marginalia. They are clearly of sufficient archival and historical value to warrant transfer to the National Archives. I recommend approval of this item.

{2} Regional and Country Operations Files, 1953-1961. 7 feet. Arranged alphabetically by name of country or region. For the USSR, there are further breakdowns by subject. The files include memorandums, reports, extracts, notes, chits, briefing materials, correspondence, telegrams, agendas, operations plans, documents, and other material. The files document coordination of Department of State involvement in the activities of the OCB as they relate to virtually every country and region of the world. A sampling of countries and regions include Afghanistan, Africa, Arctic and Antarctic, Burma, Far East, Germany, Iran, Iraq, Latin America, Outer Mongolia, Scandinavia, Southeast Asia, the Soviet Union, Spain, and Yugoslavia.

These records contain unique documentation of the Department of State's input into various country plans developed by the OCB. They are clearly of sufficient archival and historical value to warrant transfer to the National Archives. I recommend approval of this item. These records have become somewhat disarranged through use (apparently the answering of FOIA requests and the Historical Office). They can easily be reordered during archival processing.

{3} Subject and Special Files, 1953-1961. 5 feet. Arranged alphabetically by subject. Extracts, operations plans, documents, reports, memorandums, notes, chits, briefing material, correspondence, telegrams, agendas, and other material. These records document coordination of Department of State involvement in the activities of the OCB as they



relate to a wide variety of subjects. Specific topics include aid programs, atomic and nuclear energy, aviation, conferences and meetings, CARE, civil defense, defectors and escapees, East-West relations, economic matters, educational exchange, international broadcasting, Middle East oil reserves, outer space, refugee relief, SEATO, and the Volunteer Freedom corps.

These records contain unique documentation of the Department of State's input into consideration of a wide variety of subjects by the OCB. They are clearly of sufficient archival and historical value to warrant transfer to the National Archives. I recommend approval of this item. These records have also become somewhat disarranged through use (apparently the answering of FOIA requests and the Historical Office). They can easily be reordered during archival processing.

**RECORDS RELATING TO PARTICIPATION IN  
THE NATIONAL SECURITY COUNCIL**

{4} Records Relating to Policy Papers, 1947-1961. 22-1/2 feet. Arranged in numerical order. Memorandums, briefing materials, correspondence, documents, notes, chits, drafts, reports, and other material relating to NSC policy Papers. Coverage extends from NSC 1 through NSC 6109. The files include the copy of each policy paper assigned to the Secretary of State. These files document Department of State input and comments on NSC Policy Papers.

These records are clearly of sufficient archival and historical value to warrant transfer to the National Archives. They contain important and unique documentation on Department of State input for and consideration of NSC policy papers.

{5} Subject Files, 1947-1963. 8-1/2 feet. Arranged by subject. Correspondence, briefing material, documents, memorandums, drafts, notes, chits, reports, agendas, minutes of meetings, and other material relating to Department of State contributions to NSC discussions of a wide variety of subjects. Specific topics include aluminum, Asian regional development, defense mobilization, international scientific activities, law of the sea, propaganda, internal security, nuclear weapons, the Middle East, outer space, overseas bases, Project Solarium, the effect of Stalin's death, U.S. prestige abroad, and the Planning Coordinating Group. Also included is the compilation Policies of the United States Government Relating to the National Security.



These records are clearly of sufficient archival and historical value to warrant transfer to the National Archives. They contain unique documentation and significant marginalia on a wide variety of subjects considered by the NSC at the highest level of the U.S. Government. I recommend approval of this item.

{6} Logs and Distribution Sheets. 1 foot. These records are covered by GRS 23, Item 8 and are not under appraisal here.

I recommend that we send this job for immediate signing.

*David A. Langbart*

DAVID A. LANGBART  
Military Appraisal Branch  
Records Appraisal  
and Disposition Division





Accession NV3-69-91-023

Lot Files (in this order):

62 D 430 (Archives Box #s 1-47)  
63 D 351 (Archives Box #s 48-100)  
66 D 95 (Archives Box #s 101-121)  
66 D 148 (Archives Box #s 122-129)

- Operations Coordinating Board (FRC #s 1-17) (Archives Box #s 1-47)

- National Security Council (FRC #s 18-48) (Archives Box #s 48-129)



Swely

RG: 059

SECURITY-CLASSIFICATION: (T) S K U D N

TITLE, IF ANY, AS IT APPEARS ON THE CONTAINER:

Records Relating to State Dept. Participation in the Operations Coordinating Board and the National Security Council, 1947-1963 [Lot Files 62D430 (Operations Coordinating Board); + 63D351, 66D95, and 66D148 (National Security Council)]

DESCRIBED IN FINDING AID A-1 ENTRY NO. 1  
ACCESSION NO. 1 ENTRY-NO.-FLAG X

1BLDG: N/A STACK: 12E2-A SHELVED UNIQUELY: 1  
BEGIN: 23/13/02 END: 22/02/04  
CONTAINER TYPE: LGA-S NO. OF CONTAINERS: 129 B# 1 E# 129  
CONTAINER TYPE:      NO. OF CONTAINERS:       
NO. OF SHELVES CURRENTLY OCCUPIED:       
LINEAR MEASUREMENT      FT.      IN.

2BLDG:      STACK:       
BEGIN:      END:       
CONTAINER TYPE:      NO. OF CONTAINERS:      B#      E#       
CONTAINER TYPE:      NO. OF CONTAINERS:       
NO. OF SHELVES CURRENTLY OCCUPIED:       
LINEAR MEASUREMENT      FT.      IN.

3BLDG:      STACK:       
BEGIN:      END:       
CONTAINER TYPE:      NO. OF CONTAINERS:      B#      E#       
CONTAINER TYPE:      NO. OF CONTAINERS:       
NO. OF SHELVES CURRENTLY OCCUPIED:       
LINEAR MEASUREMENT      FT.      IN.

MICROFILMED: Y N P M#      T#      OTHER#       
GENERAL MATERIALS DESIGNATOR: TEX BND ARF OTHER       
OVERSIZE: Y N  
MOVE REQUIREMENTS: N B L V

NEW LOCATION REQUIREMENTS: STD O-HT O-D O-W OTHER     

COMMENTS: N/A

STAFF: WJW DATE: 01/14/93  
\*\*\*\*\*  
TYPE OF RECORD: SR, SG

NN JOB NO. NN3-59-91-023

INTERNAL DISPOSAL? A N P REGIONALIZED? A N P

REVIEWER INITIALS:      DATE OF REVIEW:



Class 98

Est Class (1960) NA 5/11/62

DEPARTMENT OF STATE  
TRANSFER OF RECORDS  
(Prepare in Quintuplicate)

DO NOT WRITE IN THIS SPACE

DATE 12/10/62	RM/AC LOT NUMBER 62D 430
DISPOSITION [REDACTED]	

Division of Records Management  
Accessioning and Disposition Branch(RM/AC)

2. BUREAU OR POST  
Executive Secretariat

OFFICE  
S/S-S

DIVISION  
Communications Control and  
Records Management Section

BRANCH

4. VOLUME(No. of Boxes)  
17 RSC Boxes including Index Cards

5. SECURITY CLASSIFICATION (Indicate highest)  
 Top Secret     Secret     Other(Specify)

3. LOCATION OF RECORDS  
S/S-S - CMS - 7512D

PERSON IN CHARGE OF RECORDS

NAME Paul Washington	EXTENSION 3194
-------------------------	-------------------

6. RECORDS CONTROL SCHEDULE NUMBER

7. ACTIVITY OF RECORDS  
 Semi-Active     Inactive

8. RECOMMENDATION FOR DISPOSITION  
 Storage with Permanent  
 Other: Cull and consolidate with similar records previously retired by M/OP, U/OP

9. GENERAL DESCRIPTION OF RECORDS (including dates)  
The Executive Secretariat's master file of the Eisenhower Administration Operations Coordinating Board (OCB) documentation. The papers are dated 1953 thru 1960 inclusive. These records also include the original copies of memoranda and briefing papers prepared in the Department of State for the Under Secretary's use as chairman of the OCB.

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use Only)	BOX CONTENTS (including dates)
1 of 17	1367	ADMINISTRATIVE & CHRONOLOGY FILES OCB Minutes - Vol. I - VII Tentative Reporting Schedules - Vol. I - II
2 of 17	1368	Activity Reports - Vol. IV - XII, 1955-60 Status Reports - 1953 - 54
3 of 17	1369	Activity Reports - Vol. I - III, 1953-54 Status Reports - Miscellaneous OCB Agenda Vol. I - II, 1953-55 OCB Preliminary Notes Vol. I - IV, 1954-60

APPROVED BY [Signature] [Name]	EXTENSION 3181	DATE 12-3-62
APPROVED BY [Signature] [Name]	EXTENSION 3636	DATE 12/3/62



DEPARTMENT OF STATE  
**TRANSFER OF RECORDS**  
 (CONTINUATION SHEET)  
 (Prepare in Quintuplicate)

(2)

BUREAU OR POST, OFFICE, DIVISION AND BRANCH)

RM/AC LOT NUMBER

S/S-S CMS - Paul Washington

VOLUME (No. of Boxes)

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use only)	BOX CONTENTS (Including dates)
4 of 17	1370	OCB Luncheon Notes - 7 Vols. 1954-60 Miscellaneous Memoranda - 1953-59 Classified Control Sheets
5 of 17	1371	Board Assistants Record of Actions - 6 Vols. 1954-59 Board Assistants - Miscellaneous Memoranda 1953-59 Disposition of PSB Papers 1 Vol. OCB Handbook 1 Vol. Organization and Function 5 Vols. 1953-57
of 17	1372	<p style="text-align: center;"><u>REGIONAL AND COUNTRY OPERATIONS FILES</u></p> Afghanistan Africa French North Africa Horn of Africa Albania Arctic and Antarctic Australia and New Zealand Austria Brazil Burma Cambodia





DEPARTMENT OF STATE  
**TRANSFER OF RECORDS**  
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 (Prepare in Quintuplicate)

(3)

(BUREAU OR POST, OFFICE, DIVISION AND BRANCH)

RM/AC LOT NUMBER

S/S-S - CMS - Paul Washington

VOLUME (No. of Boxes)

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use only)	BOX CONTENTS (Including dates)
7 of 17	1373	Caracas Ceylon Chile China (Communist) China (GRC and Formosa) Cyprus Far East Finland France Germany
8 of 17	1374	Greece Guatemala Hong Kong Hungary Iceland India Indonesia Iran Iraq Italy



DEPARTMENT OF STATE  
**TRANSFER OF RECORDS**  
 (CONTINUATION SHEET)  
 (Prepare in Quintuplicate)

(4)

BUREAU OR POST, OFFICE, DIVISION AND BRANCH)

S/S-S - CMS - Paul Washington

RM/AC LOT NUMBER

VOLUME (No. of Boxes)

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use only)	BOX CONTENTS (Including dates)
9 of 17	1375	Japan Korea Latin America Libya
10 of 17	1376	Near East Egypt New Guinea Nicaragua Outer Mongolia Pakistan Philippines Poland Puerto Rico Scandinavia
11 of 17	1377	Southeast Asia Soviet Satellites
12 of 17	1378	Soviet Vulnerability Soviet Imperialism and Communist Conspiracy



DEPARTMENT OF STATE  
**TRANSFER OF RECORDS**  
 (CONTINUATION SHEET)  
 (Prepare in Quintuplicate)

(5)

BUREAU OR POST, OFFICE, DIVISION AND BRANCH)

S/S-S - CMS - Paul Washington

RM/AC LOT NUMBER

VOLUME (No. of Boxes)

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use only)	BOX CONTENTS (Including dates)
12 of 17	1378	Soviet Proposals to purchase US Film Spain Syria Taiwan Thailand Turkey USSR (OCB Briefs) West Indies Western Europe and Italy Yugoslavia  <u>SUBJECT AND SPECIAL FILES</u>
3 of 17	1379	Afro-Asian Conference Aid Programs American Overseas Education Asian Regional Economic Development and Cooperation (CFEP/1 & Action 1853) Atomic and Nuclear Energy - 5 Vols., 1954-58 Aviation Matters - Vols. I-II Berlin Conference



DEPARTMENT OF STATE  
**TRANSFER OF RECORDS**  
 (CONTINUATION SHEET)  
 (Prepare in Quintuplicate)

(6)

BUREAU OR POST, OFFICE, DIVISION AND BRANCH)

S/S-S - CMS - Paul Washington

RM/AC LOT NUMBER

VOLUME (No. of Boxes)

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use only)	BOX CONTENTS (Including dates)
3 of 17	1379	Bermuda Meeting Briefing Books and Publications Brussels Exposition Buddhist Organizations CARE Christmas - West Christmas - East Christmas Holiday Food Distribution Program (1954) Civil Defense Communal Program in Communist China Coordination of U.S. Foreign Programs at Country levels
4 of 17	1380	Cultural Presentation Committee Ad Hoc Committee Disarmament Information Program Defectors (see Escapee Program also) Disaster Relief - Floods, etc. Doctrinal Program East-West Exchange East-West Trade Economic Policy





DEPARTMENT OF STATE  
**TRANSFER OF RECORDS**  
 (CONTINUATION SHEET)  
 (Prepare in Quintuplicate)

(7)

FROM (BUREAU OR POST, OFFICE, DIVISION AND BRANCH)	RM/AC LOT NUMBER
S/S-S - CMS - Paul Washington	VOLUME (No. of Boxes)

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use only)	BOX CONTENTS (Including dates)
14 of 17	1380	Educational Exchange - Baylor U. Proposal Escapee Program (also see Refugees and Defectors) 4 Vols Educational Exchange - American Overseas Education, 1954 Exhibits Committee Exploitation of the Chinese Ideographic Exploitation of Kerala Elections Food Production in the Underdeveloped Areas of the Far East and Asia Foreign Assistance, NSC Action 1550 Four Power Meeting - Summit Future U.S. Economic Assistance for Asia (NSC 5506) Geneva Conference and OCB Coordination of "Gray Activities"
15 of 17	1381	Ideological Program Inter-Agency Evacuation Committee (IAEC, NSC 123) Inter-Agency Liaison, USIA International Broadcasting 2 Vols.



(8)

DEPARTMENT OF STATE  
TRANSFER OF RECORDS  
(CONTINUATION SHEET)  
(Prepare in Quintuplicate)

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S/S-S - Paul Washington

VOLUME (No. of Boxes)

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use only)	BOX CONTENTS (Including dates)
15 of 17	1381	International Volunteer Air Group Ad Hoc Working Group on Islam Libyan Oil Production & Discoveries Labor Activities - Overseas Middle East Oil Reserves Military Economic Program War Documentation Project (Mosley) N.A.T.O. O.C.B. (General) Operation Plans Outer Space Overseas Personnel Vol. I-III
16 of 17	1382	Palestine Refugees People to People Program Personnel Security Procedures and Policies Psychological Operations Coordinating Committee President's Special International Program, Vol. I-II



DEPARTMENT OF STATE  
**TRANSFER OF RECORDS**  
 (CONTINUATION SHEET)  
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(9)

BUREAU OR POST, OFFICE, DIVISION AND BRANCH)

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RM/AC LOT NUMBER

VOLUME (No. of Boxes)

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use only)	BOX CONTENTS (Including dates)
16 of 17	1382	President's U.N. Speech Prisoner's of War, (1953-56) Procedural Memoranda Projects List Public Information Guidelines regarding Nuclear and Outer Space Activity Refugee Relief Program (see Escapees) Rio Conference Rubber Scientific Satellite Program S.E.A.T.O. Security of Strategically Important Industrial Operations Overseas Soviet and Related Programs (Stalinism) Soviet Dominated Nations in Eastern Europe Soviet Economic Challenge
17 of 17	1383	Ad Hoc Committee on Special Latin American Student Programs



DEPARTMENT OF STATE  
**TRANSFER OF RECORDS**  
 (CONTINUATION SHEET)  
 (Prepare in Quintuplicate)

(10)

(BUREAU OR POST, OFFICE, DIVISION AND BRANCH)

RM/AC LOT NUMBER

S/S-S - CMS - Paul Washington

VOLUME (No. of Boxes)

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use only)	BOX CONTENTS (Including dates)										
17 of 17		<p>Surpluses: - Disposal of Agricultural Products</p> <p>Trade Fairs Committee</p> <p>Trade Fairs (Cultural Activities)</p> <p>Technological Field, Possible U.S. Actions - (Weapons)</p> <p>United Nations General Assembly</p> <p>Volunteer Freedom Corps</p> <p>Vote Slip Actions</p> <p>Three - 12 inch boxes of 3 X 5 log cards showing the record of receipt and distribution of all documentation (OCB) received from the White House. These cards are separated and indexed chronologically as follows:</p> <table border="0"> <tr> <td>1) Agenda</td> <td>6) Semi-annual appraisal</td> </tr> <tr> <td>2) Minutes</td> <td>7) Record of Actions</td> </tr> <tr> <td>3) Memos</td> <td>8) Board Assistants</td> </tr> <tr> <td>4) Operations Plans</td> <td>    Agenda, Memos,</td> </tr> <tr> <td>5) Reports</td> <td>    Record of Actions</td> </tr> </table>	1) Agenda	6) Semi-annual appraisal	2) Minutes	7) Record of Actions	3) Memos	8) Board Assistants	4) Operations Plans	Agenda, Memos,	5) Reports	Record of Actions
1) Agenda	6) Semi-annual appraisal											
2) Minutes	7) Record of Actions											
3) Memos	8) Board Assistants											
4) Operations Plans	Agenda, Memos,											
5) Reports	Record of Actions											





DEPARTMENT OF STATE  
**TRANSFER OF RECORDS**  
 (Prepare in Quintuplicate)

DO NOT WRITE IN THIS SPACE

DATE: 10/7/63 RM/AC LOT NUMBER: 63 D 351

DISPOSITION: [REDACTED]

4. VOLUME (No. of Boxes): 20 of 20

5. SECURITY CLASSIFICATION (Indicate highest):  
 Top Secret     Secret     Other (Specify)

6. RECORDS CONTROL SCHEDULE NUMBER

7. ACTIVITY OF RECORDS  
 Semi-Active     Inactive

8. RECOMMENDATION FOR DISPOSITION  
 Storage until Permanent (Master Set)  
 Other: (Cull with Similar Records, received earlier from S/P & U/OP)

1. TO: Division of Records Management  
 Accessioning and Disposition Branch (RM/AC)

2. BUREAU OR POST: The Executive Secretariat

OFFICE: S/S - CMS  
 DIVISION: [Handwritten: see later lot # 66295]  
 BRANCH:

3. LOCATION OF RECORDS: S/S - CMS - 7512

PERSON IN CHARGE OF RECORDS:  
 NAME: Paul Washington ✓ EXTENSION: 3194

9. GENERAL DESCRIPTION OF RECORDS (including dates)  
 This is the Master Set of National Security Council documentation on which dates from 1947 to 1961. These are the only complete NSC documentations in the government. Although many of these papers were formally rescinded, they reflect the U.S. policy toward other nations and domestic situations during several periods of time. Index cards and reference guides are included. S/S - CMS will add many documents to this Master Set from time to time as current policies are rescinded or become obsolete. Approximately five additional boxes will be retired later. The (\*) denotes files that are missing or being held by S/S-CMS as semi-active. ALL REQUESTS FOR ACCESS TO THESE RECORDS SHOULD BE CLEARED WITH S/S-S.

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use Only)	BOX CONTENTS (including dates)
1	2734 4205	NSC-1 Position of U.S. w/ Italy 2 Base Rights-Greenland, Iceland & Azores 3 U.S. Policy toward Spain 4 Coordination of Foreign Information Measures 5 Position of U.S. w/ Greece 6 Position of U.S. re Short-Term Assistance China 7 Position of U.S. w/ Soviet-Directed World Communism 8 Position of U.S. w/ Korea 9 Position of U.S. w/ respect Support for W. Union and Other Related Free Countries 11 Action U.S. Forces Tsingtao Defense of Lives and Property 12 French North Africa 13 Recommendations w/ U.S. Policy toward Japan 14 Position U.S. w/ Providing Military Assistance to Non-Soviet World 15 U.S. Civil Aviation Policy USSR & Satellites 16 U.S. Policy re Anti-Communist Measures Planned & Carried Out in Inter-Am. System

APPROVED BY: [Signature] EXTENSION: 3181 DATE: 9/30/63

Records Liaison Officer/Communications and Records Supervisor: [Signature] EXTENSION: 2626 DATE: 9/30/63

August 5, 2022 Production 000441



OFFICE OR POST, OFFICE, DIVISION AND BRANCH

Executive Secretariat - CMS

RM/AC LOT NUMBER

VOLUME (No. of Boxes)

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use only)	BOX CONTENTS (Including dates)
1	<del>2735</del> 4205	NSC-17 Internal Security of U.S. 18 Attitude of U.S. Govt. toward Yugoslavia 19 Disposition Former Italian Colonies in Africa 20 Appraisal of the Degree/Character Military Preparedness required by World Situation 21 Antarctica
2	<del>4206</del> <del>2736</del>	22 Possible Action U.S. w/ Critical Situation China 23 Domestic Activities & Foreign Relations 24 U.S. Military Action w/ Situation Berlin 25 Interim Terms of Reference of SANACC 26 SANACC 398/4 27 U.S. Military View on Eventuality UN Decision Introduce Military Forces into Palestine 28 Position U.S. w/ Scandinavia 29 Security Strategically Important Industrial Operations Foreign Countries 30 U.S. Policy Atomic Warfare 31 Equipment for Three French Divisions 32 Situation U.S. w/ Negotiations Denmark/Norway 33 Outline Basic U.S. Security Resources Assumptions 34 U.S. Policy toward China 35 Existing International Commitments re Possible Use of Armed Forces 36 U.S. Position w/ Turkey re Security Interests Middle East, Particularly Air Power/Greek/Turkey Aid Program 37 Strategic Importance of Formosa 38 Austrian Treaty in Council Foreign Ministers 39 Proposed Directive Commander-in-Chief, Europe, Implementation of Emergency Plans 40 U.S. Policy in Event Communist Threat Iceland 41 U.S. Policy re Trade with China 42 U.S. Objectives w/ Greece/Turkey Counter Soviet Threats U.S. Security 43 Planning Wartime Conduct Overt Psychological Warfare 44 Limited Military Armament Japan 45 Airfield Construction 46 Understandings Export Control East-West Trade



DEPARTMENT OF STATE  
 TRANSFER OF RECORDS  
 (CONTINUATION SHEET)  
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FROM (BUREAU OR POST OFFICE, DIVISION AND BRANCH)

RM/AC LOT NUMBER

Executive Secretariat - CMS

VOLUME (No. of Boxes)

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use only)	BOX CONTENTS (Including dates)
3	<del>2737</del> 4207	NSC-47 U.S. Strategic Interests Israel 48 U.S. Policy toward Asia 49 Current Strategic Evaluation U.S. Security Japan 50 Central Intelligence Agency-National Organization Intelligence 51 U.S. Policy toward Southeast Asia 52 Govt/ Programs Nat'l Security Internat'l Affairs 51 53 Coordination Current Economic Warfare Operations 54 Position U.S. w/ Iran 55 Implications Possible Chinese Communist Attack Foreign Colonies South China 56 U.S. Policy re Military Collaboration Under Inter-Am. Treaty Reciprocal Assistance 57 North Atlantic Treaty Organization 58 U.S. Policy to Soviet Satellite States East Europe 59 Foreign Information Program/Psychological Warfare Planning 60 Japanese Peace Treaty 61 U.S. Economic Aid Far Eastern Areas 62 Chemical Warfare Policy 63 Policy in Event Blockade Vienna 64 Position U.S. w/ Indochina 65 U.S. Position-Arms Shipments to Near East 66 Support Voice of America in Fields of Intelligence of Research and Development 67 Position U.S. w/ Communism Italy
4	4208 <del>2738</del>	68 U.S. Objectives/Programs National Security 69 Export Controls and Security Policy 70 May Day/Whitsuntide Youth Rallies-Berlin 71 U.S. Policy Toward Germany 72 U.S. Policy Toward Spain 73 Position/Actions U.S. w/ Possible Further Soviet Moves in Korean Situations 74 Plan Nat'l Psychological Warfare 75 British Military Commitments 76 U.S. Action in Event Soviet Forces Enter Korean Hostilities 77 National Manpower Mobilization Policy 78 Port Security



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 (Prepare in Quintuplicate)

FROM (BUREAU OR POST, OFFICE, DIVISION AND BRANCH) Executive Secretariat - CMS	RM/AC LOT NUMBER
	VOLUME (No. of Boxes)

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use only)	BOX CONTENTS (Including dates)
5	<del>2739</del> 4209	NSC-79 U.S./Allied War Objectives in Event Global War 80 Peace Offensive Concerning Korea 81 U.S. Courses Action w/ Korea 82 U.S. Position re Strengthening Defense Europe & Nature Germany's Contribution Thereto 83 Position U.S. w/ Irish Particiaption in NATO/MDAP 84 Position U.S. w/ Philippines 85 Program UN Action Stop Aggression 86 U.S. Policy on Defectors 87 Operation Isbrandtsen Co, Inc. Ships Communist Countries 88 U.S. Couuses Action Event Soviets Attempt Close Baltic 89 U.S. Policy w/ Berlin & Eastern Germany 90 Collaboration w/ Friendly Govt. Operations Against Guerrillas 91 East-West Trade 92 Position U.S. re Blockade Trade w/ China 93 Result Conv. between the Pres. and Br. P.M. 94 NSC Determinations Under Public Law 843, Sec. 1304 95 U.S. Position re Cease-Fire Korea 96 Provision State Internal Security Forces 97 National Petroleum Program 98 Position U.S. w/ South Asia 99 Program Physical Security Industrial Plants/Allied Facilities 100 Recommended Policies/Actions Light Grave World Sit. 101 Courses of Action Relative Communist China-Korea 102 Export Control Policy - Soviet Bloc
6	4210 <del>2740</del>	103 Position U.S. w/ Greece 104 U.S. Policies/Programs Economic Field Affecting War Potential Soviet Bloc 105 Results Conv. between the Pres. and French P.M. 106 Safety Am. Civilian Pop. Germany-Japan Event Hostilities 107 Position U.S. w/ Iran 108 Utilization Manpower Other Nations Military Purposes 109 Position U.S. w/ Turkey 110 Proposed Transfer Point IV Program from D. State to Economic Cooperation Administration 111 NSC Determinations Under Section 1302, Third Supplemental Appropriation Act.

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OFFICE OR POST BOX NUMBER: Executive Secretariat -CMS

RM/AC LOT NUMBER:  
 VOLUME (No. of Boxes):

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use only)	BOX CONTENTS (Including dates)
9	2743 4213	NSC-139 Early Warning System 140 Directive Special Evaluation Subcommittee 141 Reexamination U.S. Programs Nat'l Security 142 Status U.S. Programs Nat'l Security 12/31/52 143 Proposal Volunteer Freedom Corps 144 U.S. Objectives/Courses Action w/ Latin America 145 Development Practical Nuclear Power 146 U.S. Objectives/Courses Action w/ Formosa & Nat'l Govt. China 147 Analysis Possible Action in Korea 148 U.S. Policies in Far East
10	4214 2744	149 Basic Nat'l Security Policies/Programs Relation to Costs 150 Nat'l Security Interest St. Lawrence-Great Lakes Seaway Project * 151 Armaments/American Policy 152 Review Economic Defense Policy 153 Restatement Basic Nat'l Security Policy 154 U.S. Tactics Immediately Following Armistice Korea 155 U.S. Objectives/Policies w/ Near East 156 Strengthening Korean Economy 157 U.S. Objective w/ Korea Following Armistice 158 U.S. Objectives/Action Exploit Unrest in Satellite States 159 Continental Defense 160 U.S. Position w/ Germany
11	4215 2745	161 Status U.S. Programs Nat'l Security 6/30/53 162 Review Basic Nat'l Security Policy 163 Security Strategically Important Industrial Operations Foreign Countries 164 U.S. Objectives/Policies w/ Austria 165 Mission U.S. Information Agency 166 U.S. Policy Toward Communist China 167 U.S. Courses Action Korea in Absence Acceptable Political Settlement 168 Communications Security 169 Electro-Magnetic Communications 170 U.S. Objectives/Courses Action Korea 171 U.S. Objectives/Courses Action w/ Indonesia 172 Interim Defense Mobilization Planning Assumptions



(Prepare in Quintuplicate)

FROM (BUREAU OR POST, OFFICE, DIVISION AND BRANCH)	RM/AC LOT NUMBER
Executive Secretariat - CMS	
	VOLUME (No. of Boxes)

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use only)	BOX CONTENTS (Including dates)
11	2745 4215	NSC-173 U.S. Policy/Courses Action Counter Possible Soviet or Satellite Action Against Berlin 174 U.S. Policy Toward Soviet Satellites E. Europe 175 U.S. Policy Toward Iran 176 NSC 176 12/22/53 177 U.S. Objectives/Courses Action w/ Southeast Asia (Indochina)
12	4216 2746	5401 NSC 5401 (Special Handling) 5402 U.S. Policy Toward Iran 5403 U.S. Policy Toward Finland 5404 U.S. Policy on Berlin 5405 U.S. Objectives/Courses Action w/ Southeast Asia 5406 U.S. Policy Toward Yugoslavia 5407 Status U.S. Programs Nat'l Security 12/31/53 5408 Continental Defense 5409 U.S. Policy Toward South Asia 5410 U.S. Objectives Event General War w/ Soviet Bloc 5411 U.S. Policy Toward Italy
13	4217 2747	5413 U.S. Policy Toward Philippines 5414 Defense Mobilization Planning Assumptions 5415 Disposal Abroad Govt-Owned Ag. Surpluses 5416 U.S. Strategy Developing Position Military Strength Far East 5417 U.S. Rubber Policy 5418 U.S. Policy Toward Spain 5419 U.S. Policy Event Guatemalan Aggression Latin Am. 5420 Reserve Mobilization Requirements * 5421 Studies w/ Possible U.S. Action re Indochina 5422 Tentative Guidelines Under NSC 162/2 - FY 1956 5423 Directive Net Capabilities Evaluation Subcommittee 5424 Antarctica 5426 Iceland 5428 U.S. Objectives/Policies w/ Near East 5429 Review of U.S. Policy in Far East



FROM (BUREAU OR POST, OFFICE, DIVISION AND BRANCH) Executive Secretariat- CMS	RM/AC LOT NUMBER
	VOLUME (No. of Boxes)

10. BOX LISTING

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14	2748 4218	NSC-5430 Status of U.S. Programs for National Security 5431 Cooperation with Other Nations in the Peaceful Uses of Atomic Energy 5432 U.S. Policy Toward Latin America 5433 Immediate U.S. Policy Toward Europe 5434 Procedures for Periodic NSC Review of Military Assistance Programs 5435 Expansion of the Labor Service Organization in Germany 5436 U.S. Policy on French North Africa (Tunisia, Morocco, Algeria) 5437 A Uniform Clearance Program for Individuals Other Than Full-Time Federal Employees Who Require Access to U.S. Classified Information * 5438 Transmittal of Information to the IAC Watch Committee 5439 Priorities Relative to Pre-D-Day Allocation of Military Equipment 5440 Basic National Security Policy 5441 U.S. Policy Toward Formosa and the Government of the Republic of China  NSC 5501 Basic National Security Policy * 5502 U.S. Policy Toward Russian Anti-Soviet Political Activities 5503 U.S. Policy Toward Formosa and the Government of the Republic of China 5504 U.S. Policy Toward Iran 5505 Exploitation of Soviet and European Satellite Vulnerabilities 5506 Future U.S. Economic Assistance for Asia. 5507 Atomic Power Abroad * 5508 Admission to the U.S. of Certain Non-Official Temporary Visitors Excludable Under Existing Law
15	4219 2749	NSC 5509 Status of National Security Programs 5510 U.S. Policy on Turkey 5511 A Net Evaluation Subcommittee 5512 A Uniform Clearance Program for Individuals Other Than Full-Time Federal Employees Who Require Access to U.S. Classified Information 5513 Attack Warning Channels and Procedures for Civilians





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16	4220 <del>2750</del>	NSC 5601 U.S. Policy Toward Yugoslavia, Jan. 9, 1956 5602 Basic Nat'l Security Policy, Feb. 8, 1956 5603 U.S. Policy Toward Austria, Mar. 23, 1956 5604 U.S. Action in Event-Unprovoked Communist Attack Against U.S. Aircraft, Apr. 23, 1956 5605 A Net Evaluation Subcommittee, May 24, 1956 5606 Continental Defense, June 5, 1956 5607 East-West Exchanges, June 29, 1956 5608 U.S. Policy Toward Soviet Satellites in Eastern Europe, July 3, 1956 5609 Trends in Nat'l Security Programs thru FY 1959, Aug. 6, 1956 5610 Report by Interdepartmental Committee on Certain U.S. Aid Programs, Aug 3, 1956 5611 Status of National Security Programs as of June 30, 1956 5612 U.S. Policy in Mainland Southeast Asia, Aug. 15, 1956 5613 U.S. Policy Toward Latin America, Aug. 20, 1956 5614 Tunisia, Morocco, Algeria, Sept. 17, 1956 5615 U.S. Policy Toward Ethiopia, Nov. 19, 1956 5616 U.S. Policy Toward Developments in Poland, Oct. 31, 1956 5617 U.S. Policy Toward South Asia, Dec. 7, 1956



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19	<del>2754</del> <del>2752</del> 4223	NSC 5808 U.S. Policy Toward Poland, Mar. 25, 1958 5809 U.S. Policy Mainland Southeast Asia, Apr. 2, 1958 5810 Basic National Security Policy, Apr. 14, 1958 5811 U.S. Policy Toward Soviet-Dominated Nations E. Europe May 9, 1958

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19	<del>2752</del> 4223	NSC 5813 U.S. Policy Toward the Philippines, May 23, 1958 5814 U.S. Policy on Outer Space, June 20, 1958 5816 A Net Evaluation Subcommittee, July 1, 1958 5817 U.S. Policy Toward Korea, Aug. 11, 1958 5818 U.S. Policy Toward Africa South of Sahara Prior to Calendar Year 1960, Aug. 26, 1958 5819 Status of National Security Programs as of June 30, 1958 5820 U.S. Policy Toward the Near East, Oct. 3, 1958 5821 U.S. Policy Toward Iran, Oct. 31, 1958 5822 Certain Aspects of U.S. Relations w/ Canada, Dec. 12, 1958
20	<del>2753</del> 4224	NSC 5901 U.S. Policy on Indonesia, Jan. 16, 1959 5903 U.S. Policy Toward the Horn of Africa, Feb. 4, 1959 5905 U.S. Policy on Antarctica, Mar. 25, 1959 5907 U.S. Policy Toward Korea, July 1, 1959 5909 U.S. Policy Toward South Asia, July 22, 1959 5910 U.S. Policy on France, Aug. 3, 1959 5911 Tunisian, Morocco, Algeria, Aug. 3, 1959 5912 Status of Nat'l Security Programs as of June 30, 1959 5913 U.S. Policy in the Far East, Sept. 3, 1959 5914 U.S. Policy Toward Finland, Sept. 10, 1959 5915 U.S. Policy Toward Cyprus, Nov. 2, 1959 5918 U.S. Policy on Outer Space, Dec. 17, 1959 5919 U.S. Policy with re Development-Cargo Air Lift, Dec. 28, 1959 5920 U.S. Policy Toward South, Central & Eastern Africa Dec. 31, 1959 NSC 6001 U.S. Policy Toward South, Central & Eastern Africa Jan. 19, 1960 6002 U.S. Policy Toward the West Indies, Jan. 25, 1960 6003 U.S. Policy Toward Cyprus, Feb. 9, 1960 6004 U.S. Policy Toward Libya, Feb. 24, 1960 6005 U.S. Policy Toward West Africa, Feb. 29, 1960 6006 U.S. Policy Toward Scandinavia (Denmark, Norway & Sweden), Mar. 14, 1960 6007 U.S. Policy on Hong Kong, May 9, 1960 6008 U.S. Policy Toward Japan, May 20, 1960 6010 U.S. Policy Toward Iran, June 8, 1960 6011 U.S. Policy Toward the Near East, June 17, 1960 6014 U.S. Policy Toward Italy, Aug. 16, 1960



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20	4224 <del>2753</del>	<p>NSC 6015 US Policy Toward Turkey, Sept. 14, 1960</p> <p>6016 US Policy Toward Spain, Sept. 19, 1960</p> <p>6020 US Policy Toward Austria, Dec. 9, 1960</p> <p>6021 Missiles and Military Space Programs, Dec. 14, 1960</p> <p>6023 US Policy on Indonesia, Dec. 19, 1960</p> <p>6024 US Policy Toward Finland, Dec. 30, 1960</p> <p>6025 US Policy Toward Iceland, Dec. 29, 1960</p> <p>6026 US Policy Panama Canal and Future Inter-Oceanic Canal in Central America, Dec. 29, 1960</p> <p>6028 US Policy Toward the Horn of Africa, Dec. 30, 1960</p> <p>NSC 6101 US Policy on Greece, Jan. 4, 1961</p> <p>6102 US Policy on the Panama Canal/Future Inter-Oceanic Canal-Central America, Jan. 10, 1961</p> <p>6103 US Policy Toward Poland, Jan. 6, 1961</p> <p>6105 US Policy Toward South Asia, Jan. 19, 1961</p> <p>6106 US Policy Toward the Sudan, Jan. 10, 1961</p> <p>6107 US Policy Toward the Philippines, Jan. 10, 1961</p> <p>6109 Long-Range US Policy Interests-Australia/New Zealand Jan. 16, 1961</p>

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DOCUMENTS LOGGED AND DISTRIBUTION RECORD

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BOX NO. 2

1. INDEX TO NSC ACTIONS	1953-1959	
2. RECORD OF ACTIONS	1963-1964	
3. RECORD OF ACTIONS	1963	2460-2473
4. RECORD OF ACTIONS	1962	2456-2446
5. RECORD OF ACTIONS	1961	2380-2445
6. RECORD OF ACTIONS	1960	2363-2167
7. RECORD OF ACTIONS	1959	2166-2032
8. RECORD OF ACTIONS	1958	2025-1840
9. RECORD OF ACTIONS	1957	1838-1644
10. RECORD OF ACTIONS	1956	1643-1503
11. RECORD OF ACTIONS	1955	1497-1293
12. RECORD OF ACTIONS	1954	1292-1035
13. RECORD OF ACTIONS	1953	959-716
14. RECORD OF ACTIONS	1952	599-
15. RECORD OF ACTIONS	1951	407-598
16. RECORD OF ACTIONS	1950	269-406
17. RECORD OF ACTIONS	1949	167-268
18. RECORD OF ACTIONS	1948	1-166

February 9 1958

August 5, 2022 Production 000469



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BOX NO. 3

1. NSC - MASTER FILE - AGENDAS - 1962
2. NSC - MASTER FILE - AGENDAS - 1961
3. NSC - MASTER FILE - AGENDAS - 1960
4. NSC - MASTER FILE - AGENDAS - 1958-1959
5. NSC ADMIN 1963
6. NSC ADMIN 1962
7. NSC ADMIN 1961
8. NSC ADMIN 1960
9. NSC ADMIN 1955-1959
10. NSC ADMIN 1950-1954
11. NSC ADMIN 1947-1949
12. SECURITY REGULATIONS FOR HANDLING OF NSC DOCUMENTS
13. FUTURE NSC AGENDA ITEMS
14. DISTRIBUTION OF NSC DOCUMENTS (DEPARTMENTAL AND OVERSEAS)
15. PANEL OF NSC CONSULTANTS
16. IMPLEMENTATION OF NSC PAPERS

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Box No 3 cont

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17. STATE - CIA RELATIONS
18. NATIONAL INTELLIGENCE OBJECTIVES
19. NSCID'S VOL I
20. NSCID'S VOL II
21. INTELLIGENCE MISC.
- 22..PROPOSED LEGISLATION TO ESTABLISH A JOINT COMMITTEE ON FOREIGN INTELLIGENCE
23. BASIC NATIONAL SECURITY POLICY 1961-1962

February 7 1963

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BOX NO. 4

1. NSC 6106 U.S. POLICY TOWARD THE SUDAN
2. NSC 6108 CERTAIN ASPECTS OF MISSILE AND SPACE PROGRAMS
3. NSC 6104 MEASURES TO PROVIDE SHELTER FROM RADIOACTIVE FALLOUT
4. NSC 6103 U.S. POLICY TOWARD POLAND
5. NSC 6027 CHANNELS FOR TRANSMISSION OF WARNING OF ATTACK
6. NSC 6022 U.S. POLICY ON CONTINENTAL DEFENSE
7. NSC 6019 EVACUATION AND PROTECTION OF U.S. CITIZENS IN DANGER AREAS ABROAD
8. NSC 6018 U.S. POLICY TOWARD KOREA
9. NSC 6017 NATO IN THE 1960's VOL I
10. NSC 6017 NATO IN THE 1960's VOL II
11. NSC 6017 THE BOWIE REPORT VOL III
12. NSC 6017 NATO IN THE 1960's VOL IV
13. NSC 6013 AEC STATUS REPORT
14. NSC 6012 U.S. POLICY IN MAINLAND SOUTHEAST ASIA
15. NSC 6009 CAPABILITIES OF LATIN AMERICA AS A SUPPLY BASE IN THE EVENT OF A NUCLEAR ATTACK ON THE U.S.
16. NSC 5917 EMERGENCY RELOCATION PLAN - NATIONAL SECURITY COUNCIL

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Box 4 cont

- 17. NSC 5916            COMMITMENTS FOR GRANT MILITARY ASSISTANCE TO CERTAIN FREE WORLD NATIONS WITH WELL-DEVELOPED ECONOMIES.
- 18. NSC 5908            COMPARATIVE EVALUATIONS GROUP
- 19. NSC 5906            BASIC NATIONAL SECURITY POLICY VOL I
- 20. NSC 5906            BASIC NATIONAL SECURITY POLICY VOL II
- 21. NSC 5904



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BOX NO. 5

1. NSC 5902           USG RELATIONS W/CUBAN EXILE GROUPS (MEMOS) VOL II OF II
2. NSC 5902           U.S. POLICY TOWARD LATIN AMERICA VOL I OF II
3. NSC 5815           SPECIAL MEETING OF THE NATIONAL SECURITY COUNCIL
4. NSC 5812           WARTIME ORGANIZATION FOR OVERSEAS PSYCHOLOGICAL OPERATIONS
5. NSC 5806           PRIORITIES RELATIVE TO PRE-D-DAY ALLOCATION OF MILITARY EQUIPMENT
6. NSC 5805           REVIEW OF POLICY FACTORS CONCERNING LICENSING OF EXPORTS TO YUGOSLAVIA AND POLAND
7. NSC 5725           PEACEFUL USES OF ATOMIC ENERGY
8. NSC 5724           U.S. AND ALLIED CAPABILITIES FOR LIMITED MILITARY OPERATIONS TO JULY 1, 1962 VOL I OF III
9. NSC 5724           POSSIBLE DEFICIENCIES IN THE U.S. POSTURE FOR LIMITED MILITARY OPERATIONS VOL II OF III
10. NSC 5724           SHELTER PROTECTION AGAINST FALLOUT VOL III OF III
11. NSC 5714
12. NSC 5711           COMMUNICATIONS SECURITY (COMSEC)
13. NSC 5706           U.S. POLICY ON DEFECTION, ESCAPEES AND REFUGEES FROM COMMUNIST AREAS
14. NSC 5704           U.S. ECONOMIC DEFENSE POLICY
15. NSC 5618           ANNUAL REPORT TO THE NATIONAL SECURITY COUNCIL BY THE SPECIAL COMMITTEE ON  
TECHNICAL SURVEILLANCE COUNTERMEASURES

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Box No. 5 cont

- 16. NSC 5604 U.S. ACTION IN EVENT OF UNP~~OR~~VOKED COMMUNIST ATTACK AGAINST U.S. AIRCRAFT
- 17. NSC 5527 DEVICES TO DETECT CLANDESTINE INTRODUCTION OF NUCLEA~~S~~ WEAPONS INTO THE U.S. BY DIPLOMATIC CHANNELS.
- 18. NSC 5607 EAST-WEST EXCHANGES
- 19. NSC 5520 U.S. SCIENTIFIC SATELLITE PROGRAM
- 20. NSC 5502 U.S. POLICY TOWARD RUSSIAN ANTI-SOVIET POLITICAL ACTIVITIES
- 21. NSC 5438 TRANSMITTAL OF INFORMATION TO THE WATCH COMMITTEE OF THE USIB
- 22. NSC 5427 RESTRICTING DIPLOMATIC AND OFFICIAL REPRESENTATIVES OF SOVIET BLOCK COUNTRIES IN THE U.S. IN CONNECTION WITH STRATEGIC INTELLIGENCE
- 23. NSC 5425 ORGANIZATIONAL ARRANGEMENTS FOR INTERNAL SECURITY
- 24. NSC 5412 (EYES ONLY)

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BOX NO. 6

1. NSC 151 DISCLOSURE OF ATOMIC INFORMATION TO ALLIED COUNTRIES  
ARMAMENTS AND AMERICAN POLICY
2. NSC 138 NATIONAL SECURITY PROBLEMS CONCERNING FREE WORLD PETROLEUM DEMANDS AND  
POTENTIAL SURPLIES
3. NSC 123 EVACUATION OF SELECTED KEY INDIGENOUS PERSONS FROM DANGER AREAS
4. NSC 112 CODIFICATION OF U.S. POLICY ON ARMS CONTROL AND U.S. POLICY ON NUCLEAR TESTING (1957-1961)
5. NSC 112 U.S. POLICY ON CONTROL OF ARMAMENTS (1956)
6. NSC 112 ITEM 4 "REPORT BY THE SPECIAL ASSISTANT TO THE PRESIDENT ON DISARMAMENT" (1955)
7. NSC 112 U.S. POLICY ON CONTROL OF ARMAMENTS (1951-1954)
8. NSC 112 FORMULATION OF A U.S. POSITION WITH RESPECT TO THE REGULATION, LIMITATION AND  
BALANCED REDUCTION OF ARMED FORCES AND ARMAMENTS.  
REPORT OF THE JOINT STATE DEPARTMENT-DEFENSE DEPARTMENT STUDY ON DISARMAMENT  
NATIONAL SECURITY COUNCIL PROGRESS REPORT BY SECRETARY OF STATE  
PROGRESS REPORT - PROPOSED POLICY OF THE U.S. ON THE QUESTION OF DISARMAMENT  
SECRETARY OF STATE - BRIEFING BOOK ON DISARMAMENT
9. AFRICA NSC DISCUSSION PAPER ON NATIONAL SECURITY IMPLICATIONS OF FUTURE DEVELOPMENTS  
REGARDING AFRICA (U)
10. AFRO-ASIAL/ MILITARY TAKEOVERS
11. SOURCE OF U.S. ALUMINUM SUPPLY IN TIME OF WAR
12. ASIAN REGIONAL (REGIONAL) DEVELOPMENT AND COOPERATION

February 9 1968

August 5, 2022 Production 000483



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Box No. 6 cont

13. ATTACK WARNING CHANNELS AND PROCEDURES FOR CIVILIANS
14. DEFENSE MOBILIZATION PLAN D-MINUS
15. FOREIGN POLICY CONSIDERATIONS BEARING ON THE U.S. DEFENSE POSTURE
16. U.S. POLICY REGARDING USE OF NON-LETHAL WEAPONS - CBR
17. ESTIMATE OF THE WORLD SITUATION NIE 100 MEMO'S
18. FOOD PRODUCTION IN UNDERDEVELOPED AREAS OF THE FAR EAST AND ASIA
19. CFEP - EUROPEAN ECONOMIC INTEGRATION
20. INTERNATIONAL SCIENTIFIC ACTIVITIES
21. "JACKSON COMMITTEE" PCIIA
22. LAW OF THE SEA

LIBRARY 1964



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BOX NO. 7

1. NSC MEMO re IMPORTATION OF COMMUNIST PROPAGANDA
2. INTERNAL SECURITY LEGISLATION
3. PROTECTION AGAINST EW AND CW ATTACK (see also NSC 99)
4. A UNIFORM CLEARANCE PROGRAM FOR INDIVIDUALS OTHER THAN FULL TIME FEDERAL EMPLOYEES WHO REQUIRE ACCESS TO U.S. CLASSIFIED INFORMATION.
5. N.A.T.O. STATUS OF FORCES AGREEMENT
6. RADIO SECURITY
7. SUBVERSIVES IN INDUSTRY
8. SECURITY CLEARANCE FOR ACCESS TO CLASSIFIED INFORMATION
9. HANDLING OF CLASSIFIED INFORMATION (NSC)
10. SECURITY REQUIREMENTS FOR GOV'T EMPLOYMENT
11. M.A.T.S.
12. MERCHANT MARINE I
13. ORGANIZATION AND STAFFING OF U.S. OFFICIAL MISSIONS IN FOREIGN COUNTRIES.
14. DISCLOSURES OF CLASSIFIED MILITARY INFORMATION TO FOREIGN GOVERNMENTS AND INTERNATIONAL ORGANIZATIONS

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Box No. 7 cont

15. ELIGIBILITY OF FOREIGN REPRESENTATIVES TO RECEIVE CLASSIFIED INFORMATION
16. NATIONAL DISCLOSURE POLICY re RELEASE OF CLASSIFIED DEFENSE INFORMATION TO FOREIGN GOVERNMENT
17. HUMAN EFFECTS OF NUCLEAR WEAPONS DEVELOPMENT
18. SITUATION OF THE MIDDLE EAST 1957 - 1958
19. CIVILIAN READINESS BASE AND OCLM ACTIONS UNDER SECTION 8 OF THE TRADE AGREEMENTS ACT (MISC MEMO)
20. OFFICIAL STATEMENTS REGARDING NUCLEAR WEAPONS.
21. NUCLEAR TESTING POLICY
22. NEW INDEPENDENT COUNTRIES AND U.S. POLICY
23. N.A.T.O. ALERT PROCEDURES
24. OUTER SPACE
25. OVERSEAS BASES I
26. OVERSEAS BASES II
27. OVERSEAS INTERNAL SECURITY PROGRAM

February 1965

August 5, 2022 Production 000489



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BOX NO. 8

1. OVERSEAS PERSONNEL I
2. PORT SECURITY
3. PETROLEUM
4. POLICY re FUTURE COMMITMENTS FOR FOREIGN ASSISTANCE (NSC ACTION 1550)
5. SPRAGUE COMMITTEE (PCIAA) REPORT
6. STRENGTHENING THE FREE WORLD POSITION IN SCIENCE AND TECHNOLOGY
7. SUEZ CANAL SITUATION
8. U.S. SECURITY EFFORT OVERSEAS, FY 1958 and FY 1959
9. WHITE HOUSE MISC
10. CONGRESSIONAL PUBLICATIONS OF INFORMATION OF ADVANTAGE TO FOREIGN GOVERNMENTS
11. POLICIES OF THE GOVERNMENT OF THE U.S. re THE NATIONAL SECURITY VOL I - 1947 - 1948
12. POLICIES OF THE GOVERNMENT OF THE U.S. re THE NATIONAL SECURITY VOL II - 1949
13. POLICIES OF THE GOVERNMENT OF THE U.S. re THE NATIONAL SECURITY VOL III - 1950
14. POLICIES OF THE GOVERNMENT OF THE U.S. re THE NATIONAL SECURITY VOL IV - 1951
15. POLICIES OF THE GOVERNMENT OF THE U.S. re THE NATIONAL SECURITY VOL V - 1952

February 1968

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BOX NO. 9

1. NSC - MISC MEMOS - 1958
2. NSC - MISC MEMOS - 1957
3. NSC - MISC MEMOS - 1956
4. NSC - MISC MEMOS - 1955
5. NSC - MISC MEMOS - 1954
6. WORKING GROUP ON NSC ACTION 1290-D - 1955
7. CORRESPONDENCE AND NSC ACTIONS 1951 - 1954 re IRANIAN OIL SETTLEMENT
8. EXCHANGES WITH CELLER COMMITTEE ON THE IRANIAN OIL SETTLEMENT.
9. AN ACCOUNT OF THE IRANIAN OIL CONTROVERSY VOL I - 1949 - April 1952
10. AN ACCOUNT OF THE IRANIAN OIL CONTROVERSY VOL II - April 1952 - March 1953
11. AN ACCOUNT OF THE IRANIAN OIL CONTROVERSY VOL III - March 1953 - October 1954
12. IRANIAN OIL - MISC DOCUMENTS
13. THE IRANIAN OIL CONSORTIUM 1954 - AGREEMENTS AND CONNECTED DOCUMENTS.

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APPROVED BY Officer in Charge/Post Administrative Officer	Mr. John Hedberg	EXTENSION 3181    DATE 3/2/66
Records Liaison Officer/Communications and Records Supervisor	Mr. Warren B. Henderson	EXTENSION 2626    DATE 3/2/66





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OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use only)	BOX CONTENTS (Including dates)
1 of 3.		7. National Security Council Ad Hoc Committee on Asian Economic Grouping 1954-1955. 8. Miscellaneous Memoranda on East Asian Economic Grouping. 1954 9. Memoranda for the Working Group on the NSC Ad Hoc Committee on Asian Economic Grouping. 1954. 10. Miscellaneous National Security Council Memoranda. 1947 - 1954. 11. A Report to the National Security Council on the CIA and the National Organization for Intelligence. January 1, 1949. 12. National Security Council Miscellaneous Memos. 1950-1952. 13. National Security Council Memos on the Suez Crisis. NSC 1593B. August, 1956.
2 of 3		1. NSC Memoranda on Thailand. July thru September, 1952. 2. NSC Progress Report. 1952-1953. 3. NSC Miscellaneous Memoranda. 1953. 4. NSC Miscellaneous Memoranda. 1949 - 1951. 5. NSC Draft Paper on "US position with respect Northwes Africa. 1952. 6. An Evaluation of the <del>Psychological</del> <sup>Psychological</sup> Impact on the UK of US Foreign Economic Policies and Programs. February 4, 1953. 7. Reported Decline of US prestige abroad. September 29, 1953.



DEPARTMENT OF STATE  
**TRANSFER OF RECORDS**  
 (CONTINUATION SHEET)  
 (Prepare in Quintuplicate)

<b>FROM (BUREAU OR POST, OFFICE, DIVISION AND BRANCH)</b> Executive Secretariat, Communications Control and Records Management Section. S/S-S:CMS.	<b>RM/AC LOT NUMBER</b> <hr/> <b>VOLUME (No. of Boxes)</b> No. Three (3)
--	--

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use only)	BOX CONTENTS (Including dates)
2 of 3		8. Status Report on NSC papers prepared for President-elect Eisenhower. January 19, 1953.  9. NSC Memoranda on China. 1949 - 1950.  10. Miscellaneous Memoranda concerning the Distribution of NSC material. 1952.  11. Effect of Stalin's Death. 1953.  12. NSC Memoranda re Defense Production Policy. 1951.  13. NSC Memorandum concerning Material Availability. 1952  14. NSC Memorandum on the Federal Civil Defense Program. 1953.  15. Memorandum on Presidential Proclamations. June 23, 1953.  16. NSC Memoranda on Settlement of ROK advances of Korean currency to US forces. 1954.  17. NSC Memoranda on Budget consideration bearing on the NSC Review of Basic National Security Policies. 1954.  18. Report on the Far East Inspection Trip. 1955.  19. Report to the President on China-Korea. September, 1947.  20. Memoranda dictated by the Secretary on NSC meetings. 1950 - 1951.  21. Policies of the US Government relating to National Security. March 2, 1951.  22. Communist Activities in Asia. 1955.



DEPARTMENT OF STATE  
**TRANSFER OF RECORDS**  
 (CONTINUATION SHEET)  
 (Prepare in Quintuplicate)

<b>FROM (BUREAU OR POST, OFFICE, DIVISION AND BRANCH)</b> Executive Secretariat, Communications Control and Records Management Section. S/S-S:CMS	<b>RM/AC LOT NUMBER</b>  <b>VOLUME (No. of Boxes)</b> Three (3)
---	--

10. BOX LISTING

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use only)	BOX CONTENTS (Including dates)
3 of 3		5. Planning Coordinating Group Memoranda. March-December, 1955. Part 5 of 6.  6. Planning Coordinating Group Memoranda. March - December, 1955. Part 6 of 6.  7. Psychological Operations of International Information Activities. 1953.  8. Coordination of Economic, Psychological, Political Warfare and Foreign Information Activities. 1955.  9. Project SOLARIUM Summaries. 1953.



027/130

11/17

KPC # 1





4 1/2

084 100 17

2 # 25



Lot 627430

FRC # 3

3/17



621430

FPC # 4

211/17  
/H



FCC #

LOT 62D40





97

• PAC # 6

62D430

67



11

11

FRC #

62D43D

LT



8/17

FAC 43

Lot 62D430



9/17

FRC #9

Lot 62D430





10/17

RC # 10

Lot 62D430

August 5, 2022 Production 000522

11/12

FRC # 11

62D430

6/6



12/17

PLC# 12

Lot 62D430

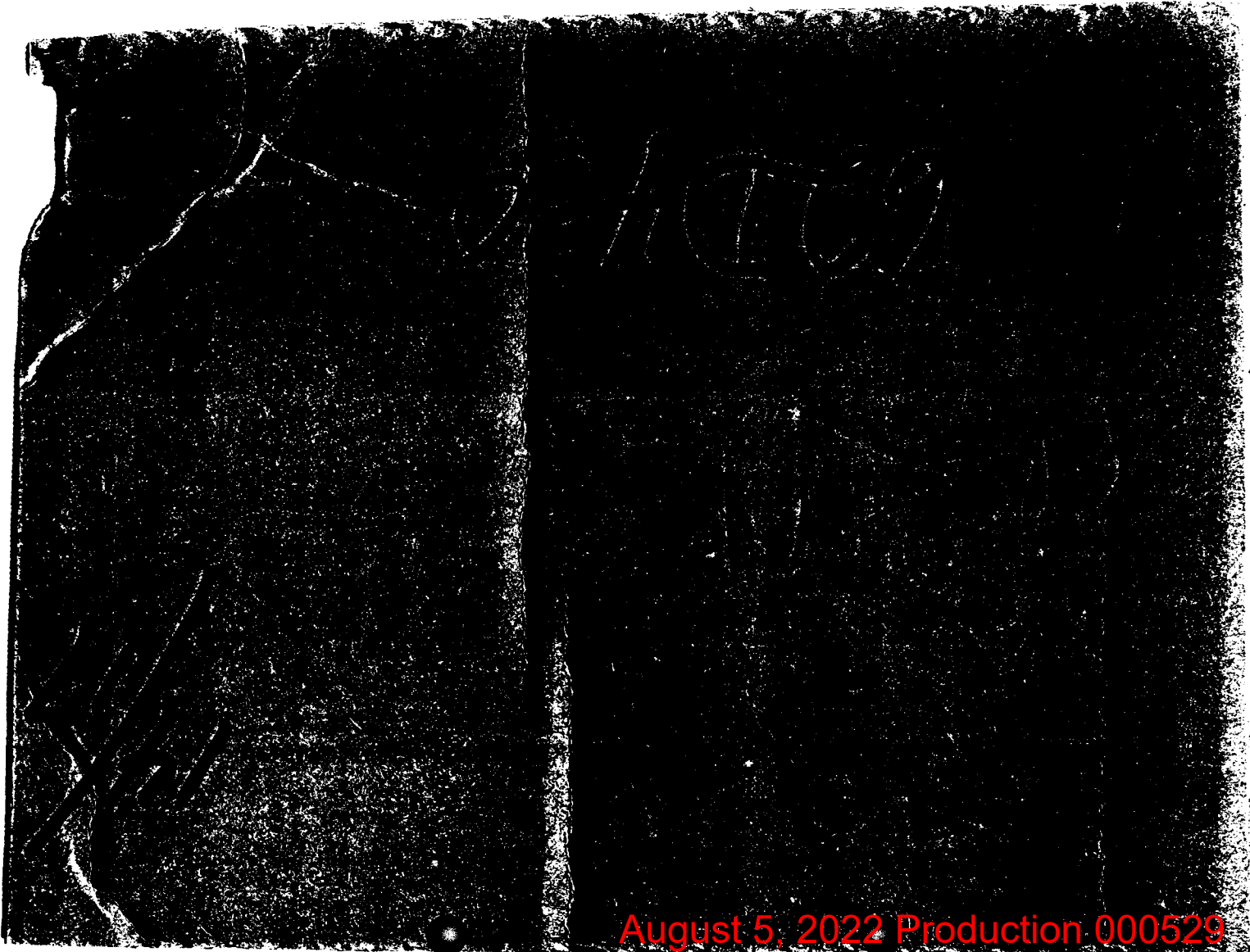


0971130

571011







August 5, 2022 Production 000529

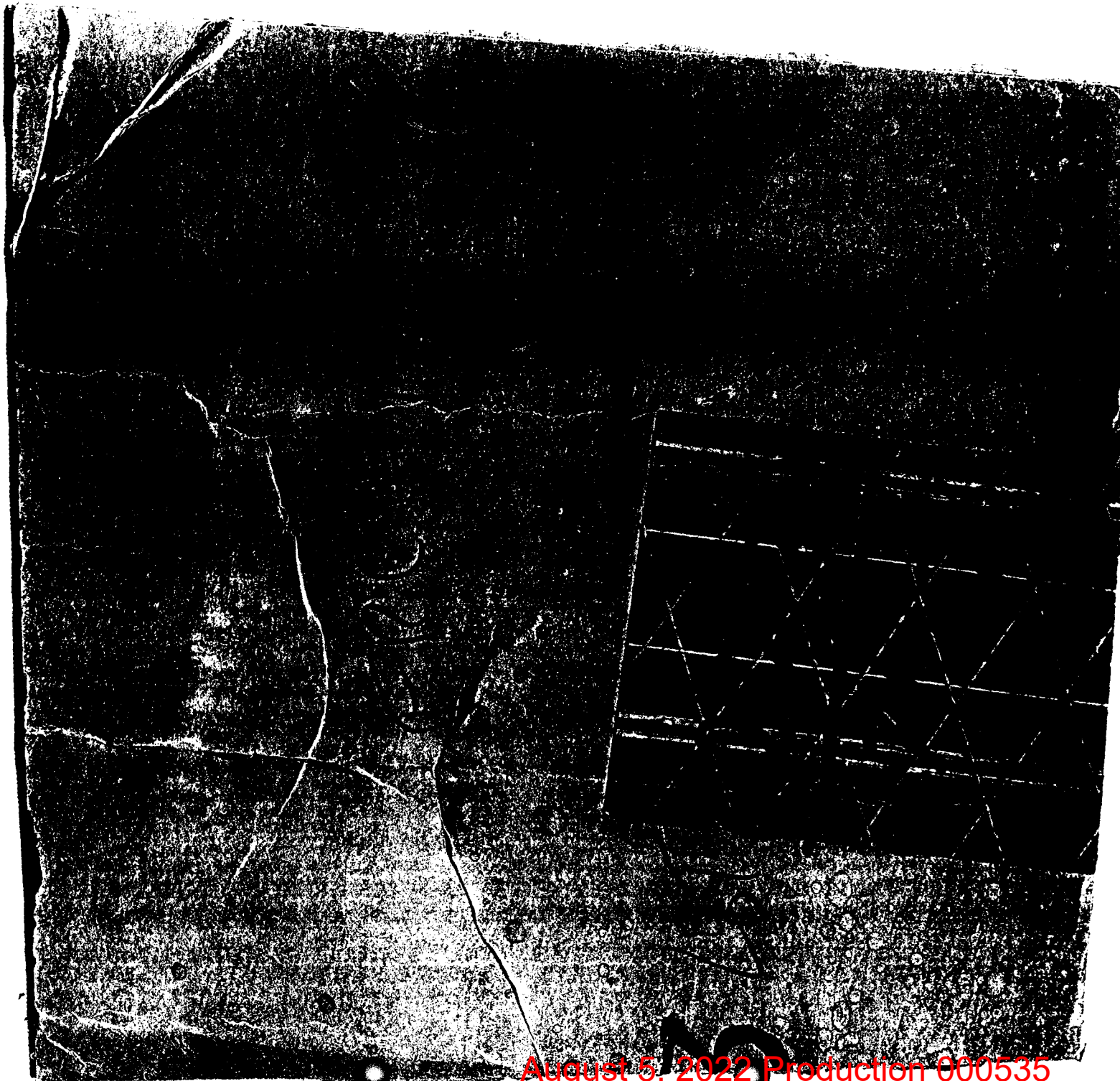


09710709



62 D 100





August 5, 2022 Production 000535





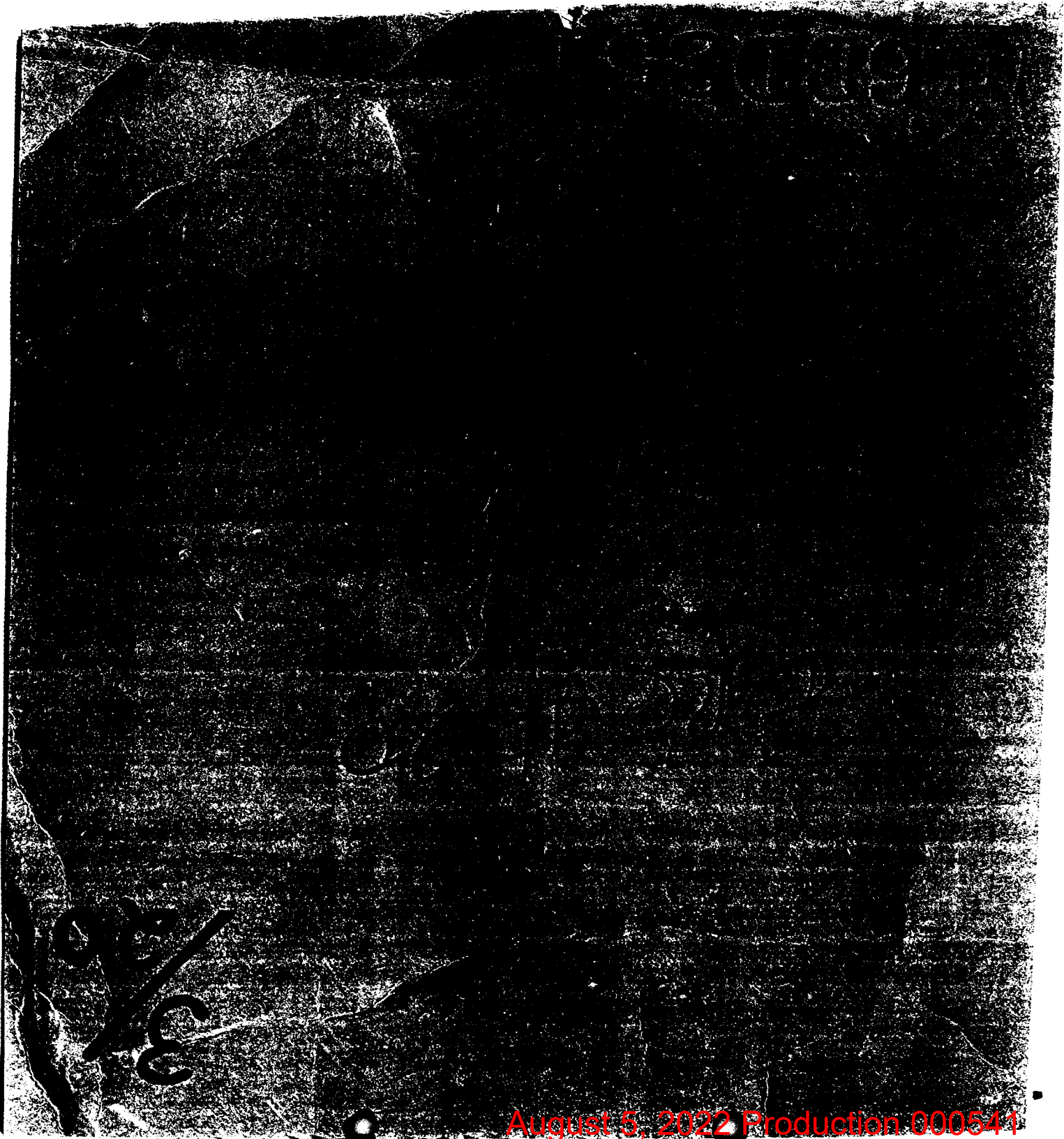


August 5, 2022 Production 000537



42006





August 5, 2022 Production 000541



August 5, 2022 Production 000543





5/20

REC-22

163D351



6/20

FRC23

4210

TOP SECRET





7/20

FLC 4/20

Lot 63D351



8/20

4212

FIRE # 225

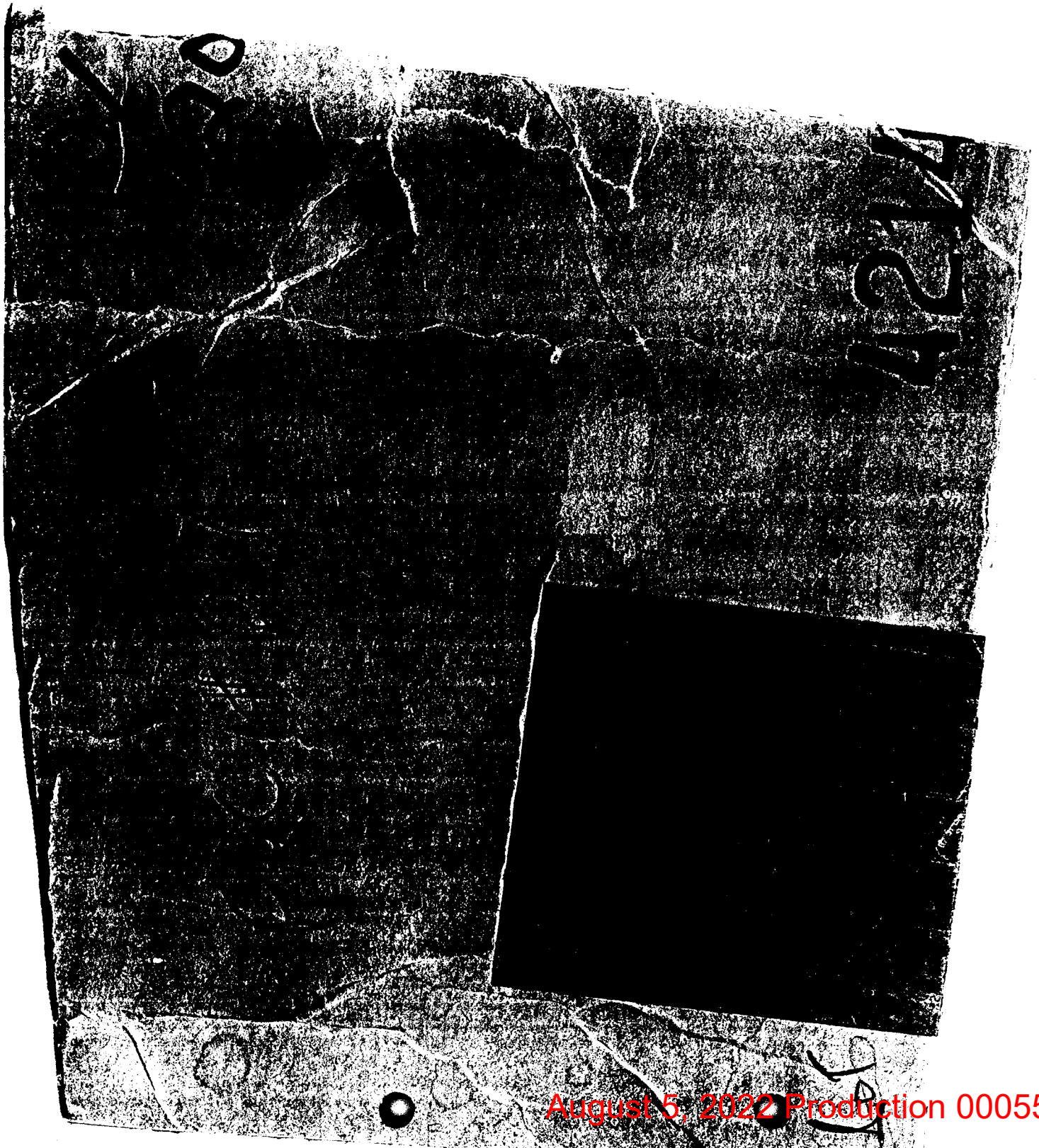






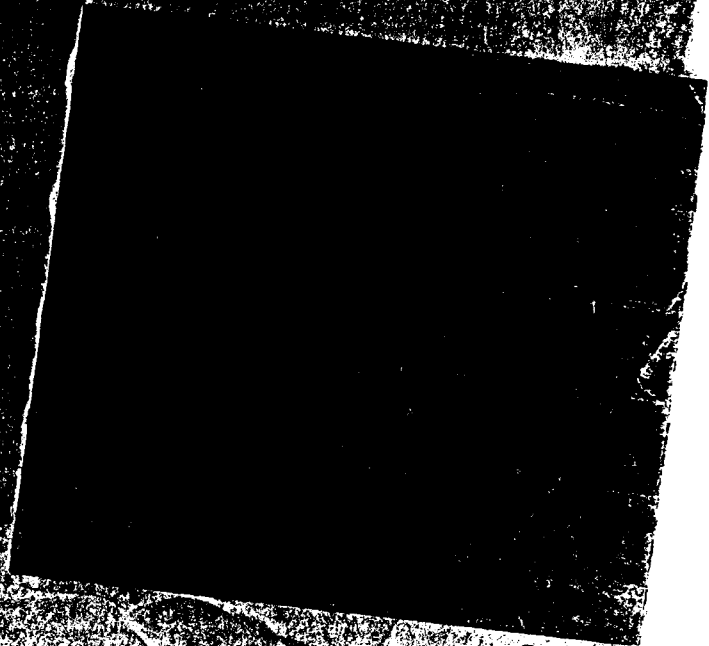
X 63D351



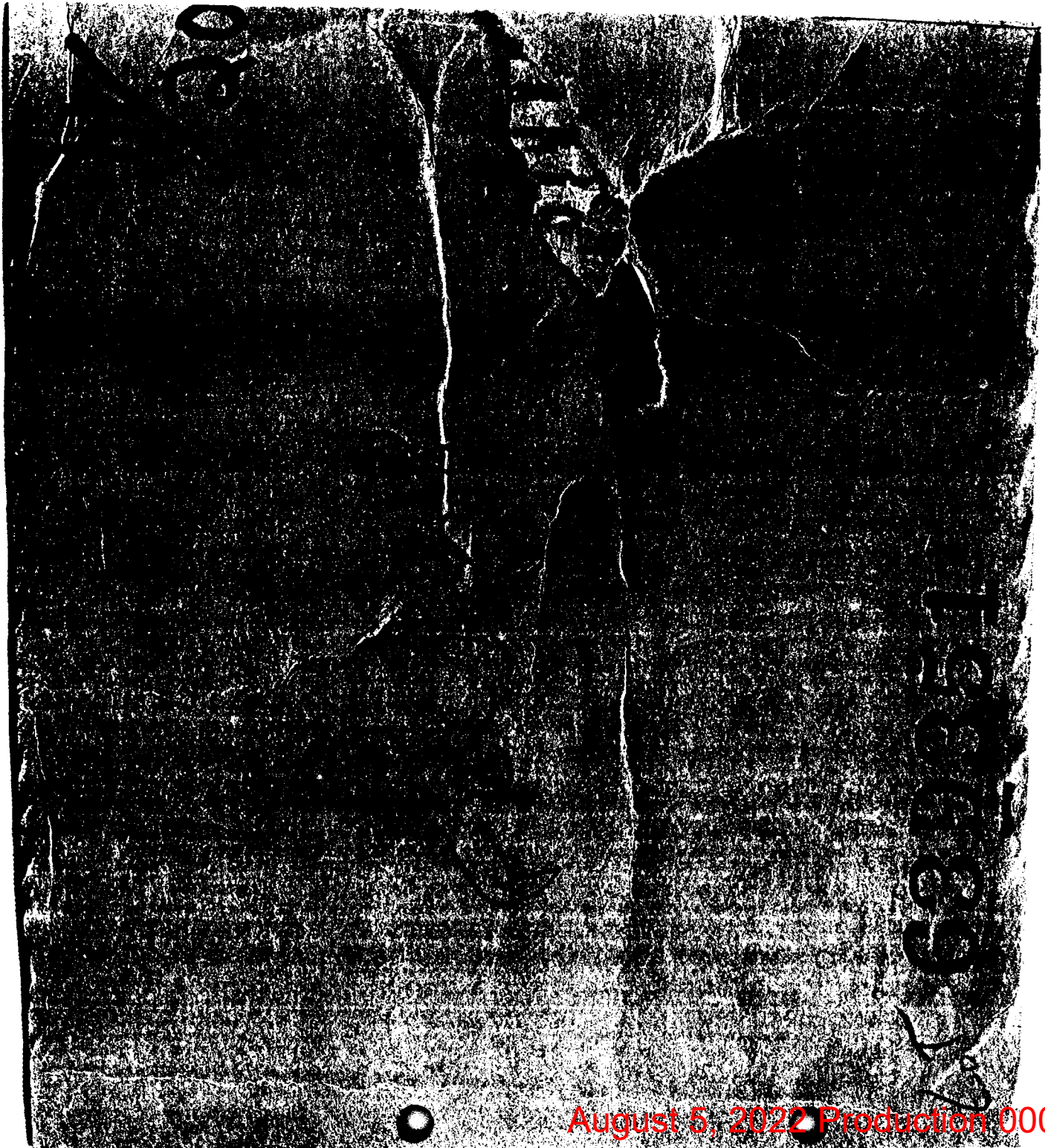


100

121







August 5, 2022 Production 000557





August 5, 2022 Production 000560





August 5, 2022 Production 000562

11/20

FRC #31

Lx 63D351

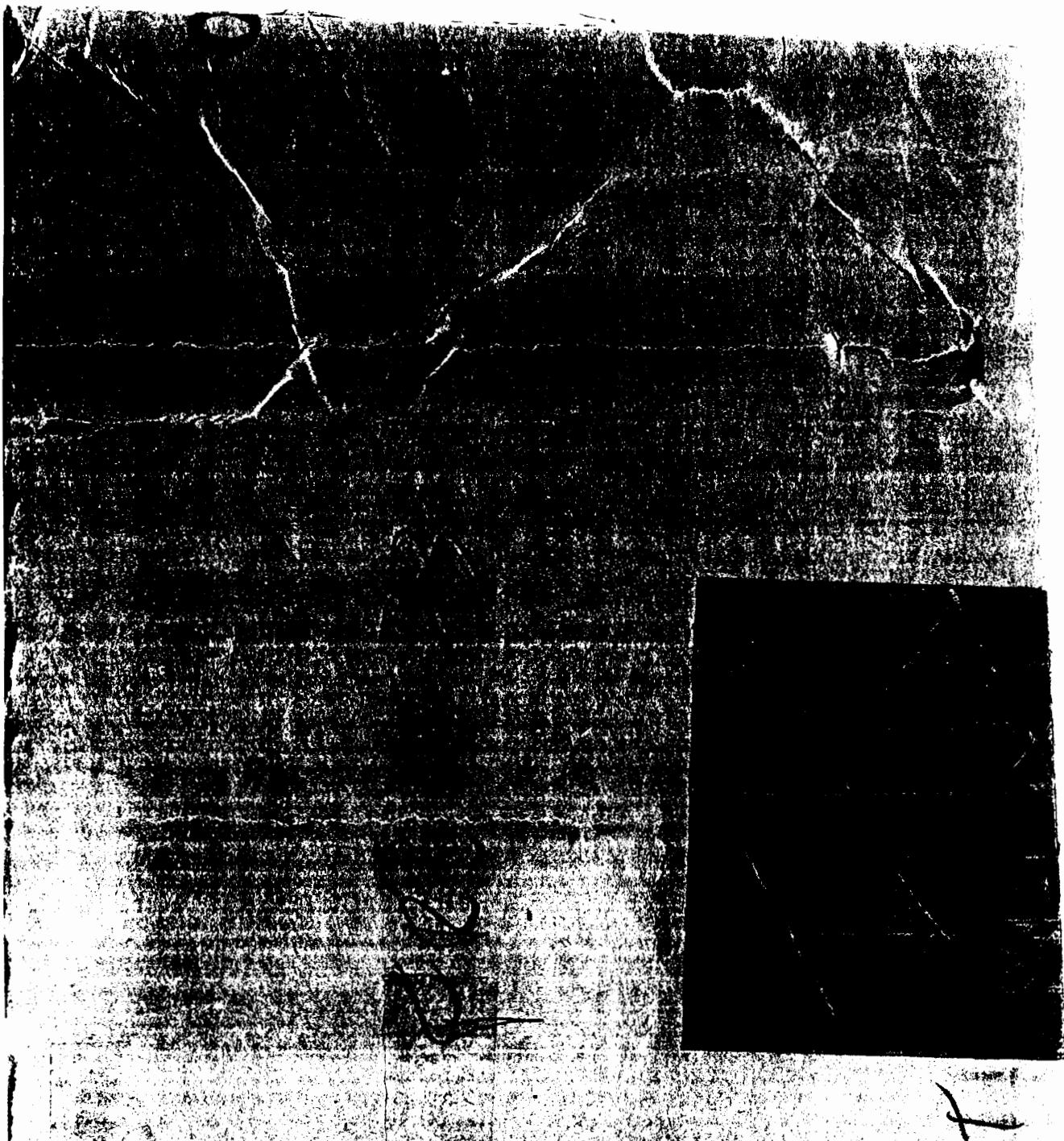


15/20

FRC #32

63D351





19





FBC # 34

4221

LT 63D351

~~XXXXXXXXXX~~

~~XXXXXXXXXX~~

August 5, 2022 Production 000570

18/20

FR 43

63D351



19/20

PRC 431A

\*63D351



August 5, 2022 Production 000575





August 5, 2022 Production 000577



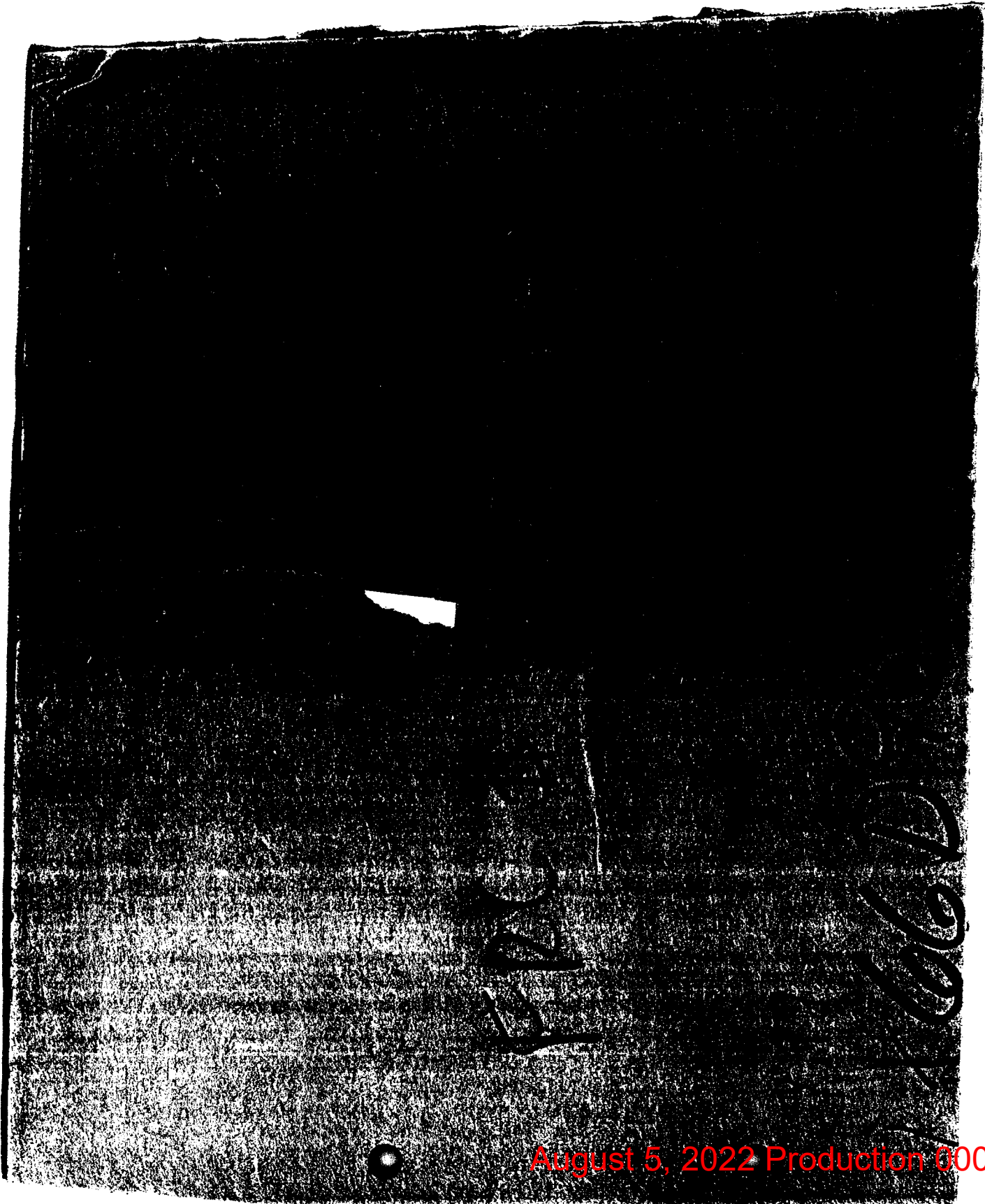
August 5, 2022 Production 000579

August 5, 2022 Production 000580

August 5, 2022 Production 000581



August 5, 2022 Production 000582







6/9

ACC # 42

Lot 66D95

802



August 5, 2022 Production 000586

Small vertical text or artifacts along the right edge of the page, possibly a page number or document ID.

7/9

FREC # 43

Lot 66D95

1803



Box  
S/S  
CPC #  
Wsc Dets.

STATE

66799 107  
66D95



1804



August 5, 2022 Production 000590

Vertical text along the right edge of the page, likely a page number or identifier.

9/9

FRC # 45

LOT 66D95

805



August 5, 2022 Production 000592



X

1/3

FRC # 46

555

66D148



2/3

FRC # 47

LT 66D148

1556

August 5, 2022 Production 000596

3/3

87  
#  
M

557

66D/48



Location 4E1/1/25A-27D MMF

<b>REQUEST TO TRANSFER, APPROVAL, AND RECEIPT OF RECORDS TO NATIONAL ARCHIVES OF THE UNITED STATES</b> <i>(See Instructions on reverse)</i>	LEAVE BLANK		RG <b>59</b>
	UNIT	DATE RECEIVED	UNIT
	JOB NUMBER NN3-59- <b>93-002</b>		JOB NUMBER

1. TYPE OF ACTION	2. TO	3. UNIT THAT CREATED THE RECORDS	
<input type="checkbox"/> A. OFFER OF UNSCHEDULED RECORDS  <input checked="" type="checkbox"/> B. TRANSFER OF SCHEDULED RECORDS	<input type="checkbox"/> A. RECORDS DISPOSITION DIVISION MAILING ADDRESS: GENERAL SERVICES ADMINISTRATION (NCD) WASHINGTON, DC 20408  <input checked="" type="checkbox"/> B. NATIONAL ARCHIVES MAILING ADDRESS: GENERAL SERVICES ADMINISTRATION (NNB) WASHINGTON, DC 20408  <input type="checkbox"/> C. REGIONAL ARCHIVES FEDERAL ARCHIVES AND RECORD CENTER GENERAL SERVICES ADMINISTRATION	A. AGENCY OR ESTABLISHMENT <b>DEPARTMENT OF STATE</b>  B. MAJOR SUBDIVISION <b>The Legal Adviser</b>  C. MINOR SUBDIVISION  D. NAME OF UNIT  E. NAME OF PERSON WITH WHOM TO CONFER ABOUT THE CONTENT OF THE RECORDS  F. TELEPHONE (Include area code)	

4. CURRENT LOCATION OF RECORDS	<input type="checkbox"/> A. AGENCY SPACE (Give location)  <input checked="" type="checkbox"/> B. FEDERAL RECORDS CENTER (Identify center and FRC accession no. and enter location) <b>WNRC Acc. No. 59-68A1414/357-389 &amp; 59-89-128/1-2</b>	C. REQUESTED TRANSFER DATE <b>ASAP</b>
--------------------------------	---	---

5. RECORDS DATA

A. DESCRIPTION OF RECORDS (Give overall title of records, individual documents, dates, and attach Standard Form 135, if records are now in FRC. Continue on separate sheet of paper, if necessary.)

SF 135 ATTACHED      **Aircraft Incidents Files, 1944-1962**

SEPARATE SHEET(S) ATTACHED-DS-693      **Lot 64D551**

*(Attachment)*

B. EST. VOLUME	C. ARE RECORDS SUBJECT TO PRIVACY ACT? (If yes, cite agency system number and F.R. volume and page number for most recent notice and attach a copy)	D. SPECIFIC RESTRICTIONS TO BE IMPOSED (Include justification and cite statute or FOI exemption that authorizes such restrictions)
cu. ft.      cu. mtr.  <b>35</b>		<b>SECURITY CLASSIFIED</b>

E. RECORDS CONTROL SCHEDULE/ITEM NO. OR APPRAISAL JOB NO. <b>N1-59-86-1/1</b>	F. AGENCY REMARKS:
--	--------------------

6. STATEMENT OF AGENCY REPRESENTATIVE

The records described above and on the attached pages are hereby offered for deposit with the National Archives of the United States in accordance with 44 U.S.C. 2103. It is agreed that these records will be administered in accordance with the provisions of 44 U.S.C. Chapter 21, 41 C.F.R. 101-11.411, 41 C.F.R. 105-61, and such other rules or regulations as may be prescribed by the Administrator of General Services or the Archivist of the United States. Unless specified and justified above, there are no restrictions on the use of these records other than the general and specific record group restrictions on the use of records in the National Archives of the United States that have been published in 41 C.F.R. 105-61.53 or in the *Guide to the National Archives of the United States*. The Archivist of the United States may destroy, donate, or otherwise dispose of any containers, duplicate records, unused forms, blank stationery, nonarchival printed or processed material, or other nonrecord material in any manner authorized by law or regulation without further consent of this agency. I certify that any restrictions specified by this agency on the use of these records are in conformance with the requirements of 5 U.S.C. 552 and that I am authorized by the head of this agency to act for the agency on matters pertaining to the disposition of agency records.

A. SIGNATURE OF AGENCY REPRESENTATIVE <i>Edward B. Wilson</i>	B. TITLE <b>Director IM/IS/OIS</b>	C. MAILING ADDRESS <b>U.S. Department of State Washington, D.C. 20520-1512</b>	D. DATE <b>11/3/92</b>
--	---	---	---------------------------

7. ACTION TAKEN BY NATIONAL ARCHIVES AND RECORDS SERVICE, GENERAL SERVICES ADMINISTRATION

A. ACTION  <input type="checkbox"/> APPROVED <input type="checkbox"/> DISAPPROVED	B. NARS CONCURRENCES						C. FOR NARS USE ONLY
	INITIALS	UNIT	DATE	INITIALS	UNIT	DATE	
	<i>JS</i>	<b>NND</b>	<b>11/10/92</b>	<i>RM</i>	<b>NNL</b>	<b>11-12-92</b>	

D. NARS REMARKS REGARDING DISPOSITION/SHIPPING  
*Security Classified* Please deliver to Stack 12E2A, National Archives Bldg. Washington DC 20408. Contact Ronald Swerczek or Bill Walsh (501-5465).

E. SIGNATURE OF NARS AUTHORIZED REPRESENTATIVE <i>Maida Loescher</i>	F. TITLE <b>acting NNT</b>	G. DATE <b>11/12/1992</b>
---	-------------------------------	------------------------------

8. RECORDS RECEIVED NARS DEPOSITORY	A. DATE <b>6-2-93</b>	B. SIGNATURE <i>Ronald Swerczek</i>	C. TITLE <b>Chief Archival Projects Branch</b>
-------------------------------------	--------------------------	--	---

# INSTRUCTIONS

This form may be initiated by either the transferring agency or a Federal records center.

## INITIATED BY AGENCY:

Agency completes items 1 through 6 (see specific instructions below). Item 6 must be signed and dated. Send original and 4 copies to the appropriate address in item 2 sixty days before planned date of transfer.

## INITIATED BY FEDERAL RECORDS CENTER:

Federal records center completes items 1, 2, 3A-F, if known, 4B, 5A and B, D and E and sends original and 3 copies to transferring agency records officer.

Agency completes/corrects items 3, 5A, 5C-F, and 6. Item 6 must be signed and dated. Agency sends original and 3 copies to the address indicated in item 2 sixty days before planned date of transfer.

## ITEM 1, TYPE OF ACTION:

If 1B is checked, a reference to the records control schedule number or NARS appraisal job number must be included in 5E. (Item 1B may be checked for unscheduled records if an appropriate appraisal job is cited to reflect an accretion according to prior evaluation of the series).

## ITEM 2, TO:

When 1B is checked, requests are sent to the National Archives (NNA) unless the records control schedule or appraisal job specifies a regional archives or Presidential library.

When 2C is checked, include in that block address (number and street, city, State, and zip code) of the regional archives branch being offered the records.

## ITEM 3, UNIT THAT CREATED THE RECORDS:

Fully identify the agency, subdivision, and unit that created or originated the records (not the agency records office). If this is not possible, or a successor unit or agency is transferring the records, then explain under Agency Remarks, item 5F.

## ITEM 4, CURRENT LOCATION OF RECORDS:

Identify the agency location or the particular records center in which the records are located and provide FRC accession number. The stack location in the center may also be provided.

## ITEM 5, RECORDS DATA:

5A. Describe the records. If the records are in a Federal records center, attach SF 135. Arrangement statement must be provided and enough description to substantiate responses in items 5B (with volume for each item) 5C and 5D.

5B. Estimated volume may be indicated in either cubic feet or cubic meters.

5C. Privacy Act notices must be cited for records subject to the Privacy Act (5 U.S.C. 552a) and should be attached.

5D. Specific restrictions must be fully justified and may not violate the Freedom of Information Act (5 U.S.C. 552).

5E. If the records have previously been scheduled in a records control schedule, the schedule and item number must be cited, and the schedule itself may be attached. If the records are not scheduled but a part of the series has been appraised as permanent in the past and transferred to a NARS depository, then cite the appraisal job number as authority and treat as a scheduled offer.

## ITEM 6, STATEMENT OF AGENCY REPRESENTATIVE:

Signature and title of agency records officer is placed here and normally is not the name or office given in item 3.

## ITEM 7, ACTION TAKEN BY NARS:

NARS will indicate approval and provide shipping or delivery instructions, or disapproval and recommend appropriate disposition of the records. NARS will indicate quantity of offer approved, disapproved and for which action is deferred.

If NARS approves the transfer, the Office of the National Archives sends SF 258 to the agency (or to the Federal records center if the records are in a center) with a transfer date and shipping or delivery.

If NARS disapproves the transfer, the Records Disposition Division returns SF 258 to the agency with suggestions for disposition of the records.

## ITEM 8, RECORDS RECEIVED:

After receipt of records by a NARS depository NARS will sign and return one copy of SF 258 to the agency.



Class 3 FRC 5/1/89  
Class 4/1/82 NA

Work OK

DEPARTMENT OF STATE  
TRANSFER OF RECORDS  
(Prepare in Quintuplicate)

DO NOT WRITE IN THIS SPACE

308

DATE 12/11/64 RM/AC LOT NUMBER 64D-551

DISPOSITION Transferred to FRC - Sept. 1967  
Accession No. 68 A 1414 - RG-59

VOLUME (No. of Boxes) 33 + 2 T.O.

SECURITY CLASSIFICATION (Indicate highest)  
 Top Secret  Secret  Other (Specify)

RECORDS CONTROL SCHEDULE NUMBER

ACTIVITY OF RECORDS  
 Semi-Active  Inactive

RECOMMENDATION FOR DISPOSITION

Storage until SCREEN AT RM'S DISCRETION  
 Other

2. BUREAU OR POST OFFICE DIVISION BRANCH  
L  
AF

3. LOCATION OF RECORDS  
Room 5527A NS  
PERSON IN CHARGE OF RECORDS  
NAME Charles Runyon EXTENSION 5642

9. GENERAL DESCRIPTION OF RECORDS (including dates)  
Files of the late Sam Klaus ~~1944-1962~~  
~~1944-1954~~  
~~1944-1955~~  
60D141 64D343  
60D547 64D213  
62D422 64D314  
64D165 all consolidated in 64D551  
64D175 (except for 8 copies)  
64D202 in 60D141 and 60D547) a total of 90 cu. ft.

OFFICE OR POST BOX NUMBER	RM/AC BOX NUMBER (For RM Use Only)	BOX CONTENTS (including dates)
1	3865	F-84 Case - 1955 -Operational Phase I -Radar Phase I - " " II - " " III -Eyewitness Phase I - " " II - " " III
2	3866	- Technical Phase - Damage Phase - Miscellaneous PHASE I - " " II
3	3867	- Various Topical Folders Bering Sea Case 1955

4 Cu. ft. sent to RMR  
51 Cu. ft. destroyed  
35 Cu. ft. retained

See attached inventory

NOTE 2 BOXES OF TOP SECRET

Retain

APPROVED BY  
Officer in Charge/Post Administrative Officer  
Records Liaison Officer/Communications and Records Supervisor  
Jag. Bushong  
Charles Patterson  
EXTENSION 2045 6561  
DATE 12-8-64 12-8-64



CHANGE OF STATUS RECORD				FOR NN USE		UNIT OF ARCHIVAL CONTROL		RG NO.	
RECORD GROUP LEVEL				ORIGINATOR'S TRANSACTION NO. NNTA-N-93-12		NNT		059	
STATISTICAL TRANSACTIONS (Enter code from table below in left column)						OTHER TRANSACTIONS			
INCREASE		DECREASE		TRANSFER					
1. ACCESSION		5. DISPOSAL		9. CHANGE PHYSICAL CUSTODY		<input type="checkbox"/> 11. CHANGE UNIT OF ARCHIVAL CONTROL			
2. ACCRETION		6. PERM. WITHDRAWAL		10. CHANGE OF RECORD GROUP		<input type="checkbox"/> 12. NEW RECORD GROUP			
3. REMEASUREMENT		7. REMEASUREMENT		(Enter RG nos. in unit of physical custody column)		<input checked="" type="checkbox"/> 13. INITIAL INPUT			
4. OTHER (Explain below)		8. OTHER (Explain below)				<input type="checkbox"/> 14. CORRECTION/REVISION (Explain below)			
TRANS. ACTION CODE	NUMBER OF SHEETS ATTACHED	UNIT OF PHYSICAL CUSTODY	CHECK		VOLUME (m <sup>3</sup> )		ITEMS		
			+	-	Check here if cu. ft. <input checked="" type="checkbox"/>		I/TYPE CODE	NUMBER OF ITEMS	
					THIS CHANGE	AFTER CHANGE		THIS CHANGE	AFTER CHANGE
1	1	NNTA-N	X		47.18	cu. ft.			
1		NNTA-N	X				C	ca. 20	
1		NNTA-N	X				7	14	MAPS
1		NNTA-N	X				0	ca. 270	MAPS & PICTURES
1		NNTA-N	X				2	ca. 230	STILL PICTURES
1		NNTA-N	X				G	3	
TOTAL VOLUME AFTER CHANGE (To be completed by NN-E)									

REMARKS

Accession of NN3-59-93-002, Aircraft Incidents Files, 1944-1962(Lot File 64D551), into RG 59, General Records of the Department of State.

Please insert into the appropriate RG 59 hierarchy level - records received after July 1, 1987.

Sound recordings and motion picture film have been transferred to NNSM. Other nontextual items remain in NNTA custody.

Volume totalled 47.18 after processing and reboxing.

A copy of this change of status report, with associated informational forms, has been forwarded to NNTR.

REVIEWS AND APPROVALS

FOR NN-E USE

1. PREPARED BY <i>William J. Walsh</i>		3. REVIEWED BY <i>[Signature]</i>		5. REVIEWED BY		7. ARCHIVAL CONTROL DIVISION		REVIEWED	
UNIT	DATE	UNIT	DATE	UNIT	DATE	UNIT	DATE		
NNTA	8/2/93	NNTA	8-3-93						
2. REVIEWED BY <i>S. Matchette</i>		4. REVIEWED BY		6. REVIEWED BY		8. APPROVED BY		POSTED	
UNIT	DATE	UNIT	DATE	UNIT	DATE	UNIT	DATE		
NNTA-N	8/2/93								



FORMAT X-A

1. Record Group Number: 59
3. Records Unit Title: Aircraft Incidents Files, 1944-1962 (Lot File 64D551)
4. General Materials Designator(s): TEX, PRM, MIC, MAP, PHO, ARF
4. Linear Measurement: 44 ft., 2 in. 6. Item Count: PRM - ca. 20; MIC - 14;  
MAP - ca. 270; PHO - ca. 230;  
ARF - 3.
7. Bulk Dates: 1944 - 1962
8. Arrangement Statement: Mixed arrangement among multiple series.
  
- 9a. National Security Classification Status: NSI
- 9b. National Security Classification Level: TS
10. Other Access Restrictions: N/A
11. Privacy Act System Status: N/A
- 12a/b. Creating Organizational Unit/Individual's Name: The Office of  
the Legal Advisor (L/AF)
  
23. Finding Aids: State Dept. Form DS-693 and preliminary box list provided.
24. NN Accession Number: NN3-59-93-002
28. Local ID: See note on 14044 29. Location: NA/4E1/1/25/1 - 27/4 ;  
5E4/12/1/2
30. Processing Unit: NNTA Container  
Type/Count: 96 LGA-S; 10 LGA-O
35. Number of Component Series: SS (MS) IS
36. Priority for Series Description: I (II) III IV
37. Processing Comments: Accession of records appraised under N1-59-88-1/1.  
Records are in good to fair condition and may require some preservation action.  
NDA review has been completed. Interfiling from associated TREVI Files has been  
done. Sound recordings and motion picture film have been transferred to NNSM.  
Artifacts have been relocated to Security stack area 5E4. Microfilm, still photos  
maps and charts are integral parts of textual records series and not discrete unit  
They have thus been retained in their current housing which provides good preser-  
vation and protection. These records are also known as the Samuel Klaus files.  
To be done: Series identification and description; any preservation needed.
38. Cubic Measurement: 47.18 cu. ft.
- PD. Preparer and Date: William J. Walsh, 08/02/1993



**SUPPLEMENTARY INFORMATION FOR SECURITY CLASSIFIED RECORDS**

**THE SECURITY REPORT FORM - SF 258A**

PRIORITY:

RECORD GROUP: 59

NFRC ACCESSION NUMBER: 93-2

POST OR LOT NUMBER: 64D551

VOLUME (in cubic feet): 6 FOLDERS BOX #: 103 (Received, 5/98, Filed in Box 103)

SERIES DESCRIPTION: TOP SECRET

1. Have these records been reviewed for declassification: Yes X No     

2. Have any documents been exempted from declassification: Yes      No ✓

Have exempt documents and documents to be referred to other agencies or NARA been tabbed or are they otherwise clearly identifiable on opening the box(es): Yes X No     

3. Have documents which are to be referred to other agencies because of their equities in the documents been coordinated with those agencies: Yes      No X

4. If the answer to #3 is no, have the other agencies been informed of their equities in these records and that the records are being offered to the National Archives: Yes      No X

5. Point of contact for questions concerning declassification actions:

Name: A/IM/IPS/CR/HDR PROJECT Philip R. Cook Jr

Office: DEPARTMENT OF STATE Date: MAY 1, 1998

Phone Number: (703) 274-0089

This information is required so that the National Archives can appropriately protect information that is exempt from declassification after 25 years under the provisions of E.O. 12958. Failure to provide this information may result in automatic declassification or inadvertent release of still sensitive information.





08A1414 ✓

Box 1 <sup>FRE</sup>

IL-12 Case (Soviet Aircraft) / 7/27/53 (Also see TS File .Box 34)

- Blackbook, Correspondence & Memoranda
- Affidavits + gun camera film
- Red Chinese Belligerency
- Missionary Priest
- CIA Library Listings
- American POW's & POW tape recordings
- Interviews with Chinese Communist Soldiers
- POW Interviews & Chinese Originals

Box 2

Reels of tape - POW Interviews

Box 3

Colonel Arnold Case

- Transcripts of Interviews from AF Intelligence
- Correspondence - Memoranda
- Air Intelligence Information Report
- Prison Diary of Lt. Parks
- Affidavit by E.F.Llewellyn - Draft

Bering Sea Case (June 22, 1955)

F-84 Case (Czech. 3/10/53)

Correspondence, Memos, Affidavit, Note & Application to ICJ

Box 4

Radar Phase I, II, & III

- Eye Witness Phase II
- Eye Witness Phase III - Objects
- Technical Phase /

Box 5

Eye Witness Phase I /

Box 6

Eye Witness Phase I (con't) /

Box 7

Damage Phase - and Misc. I and II

El Al Case (7/27/55) Also see TS File Box 34)

- Correspondence with Israel Embassy
- Memoranda (July 1955 - Dec. 1958)

Box 8

Memoranda Jan. 1959 - Mar. 1962

- Memoranda Mr. Klaus' Trip - 1958
- Correspondence Dec. 1955 - Dec. 1961
- Notes, Press Releases, Photos, etc.
- El Al Case in the ICJ (Israel vs. Bulgaria)







Box 14 C-47 Case (American Fliers in Hungary) November 19, 1951  
 (con't) 1615  
 The Case of the Four Fliers held in Hungary - Report & Recommendation  
 ICJ Stage - Communications from Court  
 Draft Letter for Registrar ICJ  
 Soviet Case Application  
 Hungarian Case Application  
 ICJ Application - "Responsive Reply"  
 Aircraft Cases after the International Court of Justice Stage  
 Definition - Forum Prorogatum  
 ICJ Rules - Intervention by Japan (-29Case)  
 Jurisdiction Allegation in ICJ Applications Documentary  
 History of Art.32 (2) of the Rules of the ICJ  
 ICJ Time Table and Preliminary Procedure - Fliers Case  
 Diplomatic Notes Exchanged  
 Correspondence  
 Memoranda

Box 15

*C-47 Case*

Damages ~~TRM~~

1616  
 (43-45)  
 (377)  
 Damages - Computation of Damages Memo  
 Memorandum on Tribunal  
 Position Papers  
 Memorandum to Secretary (and drafts)  
 Relevant Legal Precedents  
 Surplus Property Credit Agreements with Hungary  
 American Fliers - Misc. Documents & Evidence  
 Press Releases  
 Caperton - Report - Analysis of Flight C-47  
 French, Geo - Memo Report - Weather  
 Serkin, Captain - Weather & Wind Conditions  
 Doroghi, Ervin - analysis under the Domestic Law and Judicial  
 Practice of Hungary  
 Winship Report - Reconstruction of Flight Plan of Aircraft 6026  
 International Treatment of Unauthorized Overflights  
 Report and Recommendations  
 Maps  
 Certificates with Respect to Events fr November 21, 1951  
 thru Dec. 28, 1951  
 Book with Background Papers

Box 16

*C-47 Case*

American Fliers - Statements ~~TRM~~

(46-48) 1617  
 (377)  
 Lanterman, John V. - Statement  
 Affidavit of Captain John J. Swift  
 Affidavits concerning visit US Airmen to Yugoslav Consulate,  
 Frankfurt  
 Affidavits of Sgt. James A. Elam Radio Operator of Aircraft 6026  
 Affidavit of Tech. Sgt. Jess A. Duff, Engineer of Aircraft 6026  
 Technical Sgt. Calvin E. Hamm Air Traffic Controller 1968th AACS  
 Affidavit of Capt. Dave H. Henderson, Pilot of Aircraft 6026  
 Requests for & crating of Cargo for Aircraft 6026

*Navigation Key Exhibit*



Box 16  
(con't)  
1617

Crew Briefing of Aircraft 6026  
Damages  
Ground to Air Radio Contacts with Aircraft 6026  
Operations and Functions of Erding Air Depot  
Search A/C 6026  
Test Flight made at 7500 feet  
Weather Encounters, radio facilities available & course of  
Aircraft 6026  
Navigation Kit Exhibit 5 (Henderson)

Box 17

*C-47 case*

~~Maps~~  
Transcript of Press Conference Erding Air Depot  
Photostats of Houses Fliers Case  
Photographs  
Photographs - Crew Members Cpt. Smith's Family  
Map - Col. Holmes' Course  
American Fliers - transcript of proceedings - (Working copy)  
Recordings  
Analysis of Flight of C-47  
Memo from Capt. Serkin re weather information  
Analysis under Domestic law & Judicial Practice of Hungary  
C-47 Case - Applications (USSR) 6 copies  
C-47 Case - Applications (Hungary) 6 copies  
C-47 Case - Notes (USSR & Hungary) 6 copies

1618

(49-51)

373

Box 18

*C-47 case*

Packages of recording tapes with affidavits & debriefings

Box 19

B-50 Case (Sea of Japan) 7/29/53 (Also see TS File Box 34)

1620

(55-57)

Diplomatic Notes  
Correspondence, Memoranda, Press Releases  
Damages, Search & Rescue Cost  
Facts and Laws  
Re WAC-291 - Boundary & annotations memos  
O'Kelley & Brown - Death Report  
Wrist Watch - Sgt. Brown & reports  
Various folders  
Affidavits  
Opinion of Capt. R.A. Lerch, USAF  
Maps  
Rescue from Seas by Navy's ships Maddox, Picking, Bremerton &  
Princeton (4 folders)  
COMNAVFE reports various naval units  
Crew Identification and Photos  
Note presenting Diplomatic claim against USSR  
Affidavits

375





Box 20 Navy Neptune Case Sept. 4, 1954

Background & Briefing books  
 Notes Exchanged  
 Memoranda - Correspondence  
 ICJ (Int'l Court of Justice)  
 Air Intelligence Info Reports  
 Press Releases  
 Claim  
 Damages - Radio Log  
 Affidavits

68-1621  
60-60

376. Ant

POW's in Communist China thru

Military POW's in Communist China  
 Civilian POW's in Communist China  
 Press Releases  
 Kert Case 1953-1954  
 Affidavits - Fathers' Garvey, Gordon, Hyde, White, Joyce

Box 21 POW's in Communist China,

Affidavits - Fathers' Gross, Pavel, Phelps, Rigney, Bishop O'Gara  
 Malcolm Bershon, Dixon, Krasner & Applegate

1622  
(61-63)

377.

CATHWAY Pacific Case (British Airliner), near Hainan July 23, 1954

Background information Book  
 Memoranda - Correspondence  
 Press Releases & Various other folders  
 Affidavits - Emma F. Parish; Peter S. Thacher;  
 Members of Rescue Plane; Members of Standby Plane

B-29-II Case thru 11/7/54 Near Hokkaido (Also see TS File Box 34)

Background & Briefing Papers - black books  
 Notes Exchanged  
 Application to ICJ against USSR /6/8/59

Box 22 B-29-II Case, 11/7/54 con't

Correspondence - Memoranda  
 Int'l Court of Justice  
 Issues of Fact and Legal Issues  
 Press Releases and Maps  
 Opinion of Capt. Sherr  
 Affidavits of Feith, Lentz, Oliver, Rollins, Sechler, Weimer & Whalen

1623  
(64-66)

378. claim

Box 23 B-29-II Case,  
Annex II-A "Statements of Crew"

Annex II-B "ACW Interrogation Organizational Listing"  
 Annex II-C "Eye-Witness Statements"  
 Annex II-C "Tab 2 thru Tab 42"

(67-69) 624

379.



Box 24 B-29-II Case 11/7/54 con't

- Annex II-D "HQ Far East Air Forces Directives" *Thru*
- Annex II-E "The Wreckage & Guns"
- Annex II-F "Photographic Data"
- Annex II-G "AC&W Logs & Records"
- Annex II-H "Weather & Tide Data"
- Annex II-I "Deceased Crew Member"
- Annex II-J "Damages"
- Annex III "Report of Investigation and Charts"
- Annex I, IV, V "Report of Investigation" *ac-rl*

VOGELER Case (also see TS File Box 34)

- Analysis of Vogeler Record
- Transcript of Proceeding of Interviews (5/21-6/14/51) *Thru*
- IT&T File
- Correspondence 1950-52 C
- Comments on Vogeler Book
- US Army File
- Kelloggs Switchboard & Supply Co. Files
- Material for Brief
- Statements

Box 25

Tapes or recordings of Trial *ac-rl*

CZECH. - BALLOON Case (1/18/56) *Thru*

- Black-book
- Notes and Memoranda
- Issue of Fact
- Pogue and Neal Correspondence
- Time Table
- Rude Pravo and Maps
- Photographs

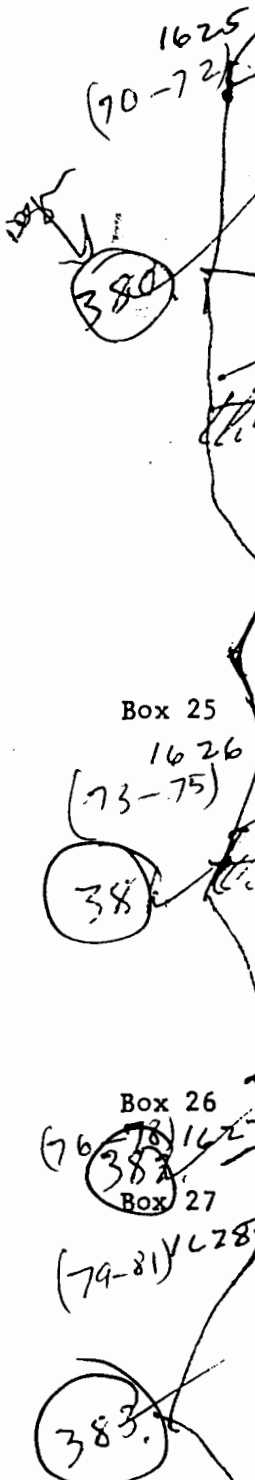
Box 26

Affidavits *Thru* photos and motion picture film

Box 27

B-47 Case 7/1/60 - Barents Sea *Thru*

- Background Books, Photos of Crew
- Notes and Correspondence
- Memoranda, Press Releases (also see TS File)
- Soviet Newspapers
- Olmstead & McKone Press Conf. 3/3/61
- Search & Rescue Operations
- Material on Bail-out
- Autopsy of Capt. Palm
- Maps
- Soviet Claim to Sovereignty of White Sea





Box 28 B-47 Case, 7/1/60 (con't)

1629  
(82-84) Docs. rec'd with affidavits from 57th Air Rescue Squadron 3/29/61  
Affidavits (also see TS File)

U-2 Case (Francis Gary Powers - Pilot)

384  
Memoranda  
Press Releases, Congressional Records etc.  
Int'l Law dealing with Overflights & Espionage  
Congressional Hearings  
Summit Meeting  
Security Council Debate

C-118 Armenian Case 6/27/58 (also see TS File)

Notes Exchange  
Correspondence, Memoranda  
Press Releases, CIA Report  
Preliminary Analysis of Fact and Law

Navy Korea Case (attack on US Navy Patrol Plane over Sea of Japan)  
June 16, 1959

Memoranda  
Press Releases  
Congressional Record  
Maps

Navy Mercator Case (Navy Patrol Plane) 8/23/56 (also see TS File Box 34)

Memoranda, Telegrams, Intelligence Reports  
Press Releases  
Issues of Law  
Letter from Adm. Ward w/enclosures  
Maps

Box 29 C-130 (USAF Transport Plane) Soviet Armenia 9/2/58 (also see TS File Box 34)

1630  
(85-87) 385  
Black-book  
Petition and Letters  
Notes Exchanged  
Draft Application & related Papers  
Correspondence  
Memoranda Sept. 1958 - Feb. 1961  
Press Releases  
Information Report  
Publication - Flying Inst. for the Aviation of the Armed Forces  
USSR-Moscow 1948  
Maps  
Weather Report - Capt. Jenista  
Transcript of Tape-recorded Radio Conversation among Soviet  
Fighter Pilots/



Box 30 C-130 Case, Soviet Armenia 9/2/58 (con't)  
 (88-90) 31 ~~USAF Medical Records on 17 Crewmen~~ *litu*  
 Col. Smith - Material Rec'd from 6/18/59 + pistol  
 Affidavits - 7 Turkish Statements  
 Affidavits/

386

Box 31 KENDALL Case/ 3/22/60 (Forced Landing of his plane & its destruction by  
 Saudi Gunfire)  
 Memoranda Apr. 1960-Feb.1962  
 Correspondence  
 Kendall Statement  
 Law - Maps  
 Docs. re purchase of PBY  
 Summary by Wm G. Moore - CAB Calif.  
 File on letter to FAA re regulations  
 Docs. concerning Life Magazine  
 Memo on Background Info  
 Despatches & Telegrams - Inc.  
 Testimony  
 Affidavits

1632  
(91-93)

387

*Kendall Case Log Books cont'd*

Box 32 ACOPS - Advisory Committee on Personnel Security (1946-1947)(Also see TS  
 File Box 35)

Relations with CON  
 Survey of Dept. Personnel  
 H.C.Barton, Carl A. Marzani, Marshall  
 Wolfe (Frank T. Baker) Fred E. Busbey, Karl E. Mundt, Karl Stefan,  
 Bartel J. Jonkman, Harding Bancroft, Wm O. Baxter, Robt.L.Clifford,  
 Joseph E. Johnson, Marie Klooz, Samuel K.C.Kopper, Robt. G. Miner,  
 Beatrice Paul and Miscellaneous  
 FBI Reports 10/23/46 and 8/15/46  
 Drew Reports  
 McCarran Rider  
 SPA Survey  
 Pearson Article 1946  
 Personnel 1949-50

1633  
(94-96)

388

Klaus' personal alphabetical Adm. Files 1949-1957

Box 33 INCIDENTS *cont'd*  
 Polish-Korea 1955-56  
 B-29 Missing 6/13/52  
 Sabrejet Incident 5/10/55 (See also TS File Box 35)  
 Baltic Sea and Sea of Japan Incident 11/7-8/58  
 Bering Seas Incident 9/30/58  
 Czech.Balloons - Germany Dec.1959  
 West German-Formosa Incident (S.S.Morrika) 9/22/56  
 Israel C-47 - Nov.1955  
 Yugoslavia - Gruman N748G overflight Sept.1961

1634  
(97-99)

389





Box 33  
(con't)

1634

Lt.Col. Thomas Howard Glenn (Claim of Widow)  
 Navy Baltic Case (Col.Glenn) April 1950  
 Eastern Airlines Case (Cuban Hijacking) 7/24/61  
 Arctic Incident-Soviet Protest 5/17/56  
 Chihli Case B-50 4/17/56 Memo  
 Aircraft Incidents - Gen.Prin. of Int'l Law & facts  
 Aircraft Cases - Status of  
 Algerian Rebel Leaders - Captured by French in Algeria  
 Imbrie Case (Trenton Fund) 1948 Correspondence  
 Khrushchev Puppies - 1959 correspondence  
 Porter Hardy Case (Allegations by Sam Coon re-activities of  
 US operation Mission to Peru) 1960-61  
 United Fruit Co.Case - Memo 11/7/57  
 Misc. Incidents (see also TS file Box 35)  
 JIOA (Joint Intelligence Objectives Agency)  
 C-803 Radio Free Europe Balloons 1956  
 Albanian Balloons RFE  
 Czech. Balloon II 10/23/56 RFE  
 Hungarian Balloon Case 7/19/56 RFE  
 Polish Balloons 8/3/56 RFE  
 German - Berlin Problem Sept - Oct.1961  
 Military Maneuvers 1961-1962  
 Nuclear Testing 1961-62 (See also TS File Box 35)  
 Offshore Procurement of F-86K Aircraft 1960  
 Soviet-Chinese Alliance - Memo of 7/6/62  
 War Claims - Soto, Behrman, and Imbrie - 1947  
 SAAB Matter - Klaus Memo of Oct.1948  
 Committee on Civil Liberties - 1947  
 Anti-Trust - General  
 MEEC - Middle East Emergency Com. 1957  
 Declassification & Release of OSS Files - 1960  
 Outer Space and Reconnaissance Satellites 1958  
 FEA Folder of Klaus Memos 1944-45

Country Files - Klaus' file on collection of TREVI Information

TOP SECRET

Box 34  
(100-102)  
1922

IL-12 Case (Soviet Aircraft) 7/27/53  
 Legal Questions and Affidavits  
 HO - Research Project #351 Dec.1953  
 Claims and War Booty  
 El Al Case (7/27/55)  
 Memoranda (Apr 8,1958-April 1960  
 B-29 Case - HOKKAIDO - Japan 10/7/52  
 Correspondence  
 Memoranda  
 Legal Issues  
 HO-Research Project #120 - July 1949

59-89-128/1



- Box 34 B-29 II Case - Near HOKKAIDO, Japan - 11/7/54
  - (con't) Correspondence
  - Memoranda
  - Vogeler Case
  - Memoranda
- B-47 Case - Barents Sea - 7/1/60
  - Memoranda
  - Facts Elicited from Survivors 6/14/61
  - Debriefing and Interrigations by USAF
  - Affidavits and Statements
- C-118 Armenian Case - 6/27/58
  - Memoranda
- Navy Mercator Case 8/23/56
  - Letter from Chief Naval Operation 8/24/56
- B-50 Case (Sea of Japan) 7/29/53
  - Charts, Tapes
  - Affidavits, Statements and Transcript
- C-130 Soviet Armenia 9/2/58
  - Radio Reports
  - Memoranda
  - Affidavits

Box 35  
 (103-105)  
 193  
 59-89-128/2  
 (partial)

- ISRAEL, Survey of Legal & Econ. Reporting Problems (no date)
- ACOPS - Advisory Committee on Personnel Security
  - Memoranda
- Aerial Engagements in the Far East 1954-1960
  - Memoranda
  - USAF Project RAND Research Memorandum #1346 10/15/54
- Sabrejet Incident 5/10/55
  - Letter, Memoranda & Telegrams
- Caspian Seas Incident
  - Memorandum
- JIOA - Joint Intelligence Objectives Agency
  - Memoranda
  - German Scientists
  - General file
  - Reports by Klaus
  - Withdrawal fr JIOA
- Korean Incident 1/22/54
  - Memo by Klaus to FE: McClurkin 1/25/54
- Nuclear Testing
  - Memoranda Feb.1962
- Proof of Satellites Violations of Peace Treaty Human Rights Provisions
  - Operations Plan 3/16/54
- Divisional Operations - Progress Reports; Working Arrangements
- JDC - Joint Dist. Committee in Hungary (AFA Israel G.Jacobson Case)
  - Affidavid by Israel G.Jacobson 1/26/50; Joseph J.Schwartz 6/22/53;
  - Moses W. Beckelman 6/11/53; Moses A. Leavitt 6/23/53;
  - Aaron Berkowitz 6/19/53;
  - Transcript of Proceedings to discuss Mr.I.G.Jacobson's Recent Experiences in Hungary 1/12-13/50
  - Memo by Samuel Klaus re Jacobson 4/23/51

} Received, 5/98  
 Filed in Box 103



Box 35  
(con't)

Box 193

Alger Hiss (5 TS Memos - 1945-1946)  
Gregory Case (3 TS Memos - 1947) (FBI's name for current investigation of pro-soviet espionage by Govt. Personnel, and code name for the informant)  
Cooper, Dennis (Memos) 1950  
China Lobby, Memorandum on dated 4/25/50

\*Folder marked files of Samuel Klaus, Personal  
(Sent from Legal Office, see memo 3/26/80 from  
FAIM/RM-William Farrell, Jr. to L/M-Malmborg)

Archives 106

F-84 Case (Czech. 3/10/53)

3/19/84  
HJ

- miscellaneous III (Notebooks, photos, microfilm)



MMF

REQUEST TO TRANSFER, APPROVAL, AND RECEIPT OF RECORDS TO NATIONAL ARCHIVES OF THE UNITED STATES (See Instructions on reverse)

LEAVE BLANK

RG 59

Table with columns: UNIT, DATE RECEIVED, UNIT, DATE RECEIVED, JOB NUMBER (NN3-059-094-001), JOB NUMBER (NNTA-S-94-09)

1. TYPE OF ACTION (B. TRANSFER OF SCHEDULED RECORDS), 2. TO (C. REGIONAL ARCHIVES), 3. UNIT THAT CREATED THE RECORDS (U.S. State Department, Freedom of Information/Privacy Office), 4. CURRENT LOCATION OF RECORDS (Room 1239 Main State Building, 2201 C St. NW, Washington, D.C.), 5. RECORDS DATA

A. DESCRIPTION OF RECORDS (SF 135 ATTACHED, SEPARATE SHEET(S) ATTACHED), B. EST. VOLUME (40), C. ARE RECORDS SUBJECT TO PRIVACY ACT?, D. SPECIFIC RESTRICTIONS TO BE IMPOSED (Attachment)

E. RECORDS CONTROL SCHEDULE/ITEM NO. OR APPRAISAL JOB NO. (N1-59-92-10 Special Collections), F. AGENCY REMARKS (E.O. 12812), 6. STATEMENT OF AGENCY REPRESENTATIVE

The records described above and on the attached pages are hereby offered for deposit with the National Archives of the United States in accordance with 44 U.S.C. 2103. It is agreed that these records will be administered in accordance with the provisions of 44 U.S.C. Chapter 21, 41 C.F.R. 101-11.411, 41 C.F.R. 105-61, and such other rules or regulations as may be prescribed by the Administrator of General Services or the Archivist of the United States.

A. SIGNATURE OF AGENCY REPRESENTATIVE (K. Rossman), B. TITLE (Records Officer, Department of State), C. MAILING ADDRESS (2201 C Street NW., Washington, D.C. 20520), D. DATE (11/9/93)

7. ACTION TAKEN BY NATIONAL ARCHIVES AND RECORDS SERVICE, GENERAL SERVICES ADMINISTRATION. Table with columns: ACTION (APPROVED), B. NARS CONCURRENCES (INITIALS, UNIT, DATE), C. FOR NARS USE ONLY

D. NARS REMARKS REGARDING DISPOSITION/SHIPPING (Declassified by agency)

E. SIGNATURE OF NARS AUTHORIZED REPRESENTATIVE (Richard S. Wood), F. TITLE (Asst. Chief NARS), G. DATE (12/1/93)

8. RECORDS RECEIVED NARS DEPOSITORY (3/2/94), B. SIGNATURE (Richard S. Wood), C. TITLE (Asst. Chief NARS)

# INSTRUCTIONS

This form may be initiated by either the transferring agency or a Federal records center.

## INITIATED BY AGENCY:

Agency completes items 1 through 6 (see specific instructions below). Item 6 must be signed and dated. Send original and 4 copies to the appropriate address in item 2 sixty days before planned date of transfer.

## INITIATED BY FEDERAL RECORDS CENTER:

Federal records center completes items 1, 2, 3A-F, if known, 4B, 5A and B, D and E and sends original and 3 copies to transferring agency records officer.

Agency completes/corrects items 3, 5A, 5C-F, and 6. Item 6 must be signed and dated. Agency sends original and 3 copies to the address indicated in item 2 sixty days before planned date of transfer.

## ITEM 1 TYPE OF ACTION:

If 1B is checked, a reference to the records control schedule number or NARS appraisal job number must be included in 5E. (Item 1B may be checked for unscheduled records if an appropriate appraisal job is cited to reflect an accretion according to prior evaluation of the series).

## ITEM 2, TO:

When 1B is checked, requests are sent to the National Archives (NNA) unless the records control schedule or appraisal job specifies a regional archives or Presidential library.

When 2C is checked, include in that block address (number and street, city, State, and zip code) of the regional archives branch being offered the records.

## ITEM 3, UNIT THAT CREATED THE RECORDS:

Fully identify the agency, subdivision, and unit that created or originated the records (not the agency records office). If this is not possible, or a successor unit or agency is transferring the records, then explain under Agency Remarks, item 5F.

## ITEM 4, CURRENT LOCATION OF RECORDS:

Identify the agency location or the particular records center in which the records are located and provide FRC accession number. The stack location in the center may also be provided.

## ITEM 5, RECORDS DATA:

5A. Describe the records. If the records are in a Federal records center, attach SF 135. Arrangement statement must be provided and enough description to substantiate responses in items 5B (with volume for each item) 5C and 5D.

5B. Estimated volume may be indicated in either cubic feet or cubic meters.

5C. Privacy Act notices must be cited for records subject to the Privacy Act (5 U.S.C. 552a) and should be attached.

5D. Specific restrictions must be fully justified and may not violate the Freedom of Information Act (5 U.S.C. 552).

5E. If the records have previously been scheduled in a records control schedule, the schedule and item number must be cited, and the schedule itself may be attached. If the records are not scheduled but a part of the series has been appraised as permanent in the past and transferred to a NARS depository, then cite the appraisal job number as authority and treat as a scheduled offer.

## ITEM 6, STATEMENT OF AGENCY REPRESENTATIVE:

Signature and title of agency records officer is placed here and normally is not the name or office given in item 3.

## ITEM 7, ACTION TAKEN BY NARS:

NARS will indicate approval and provide shipping or delivery instructions, or disapproval and recommend appropriate disposition of the records. NARS will indicate quantity of offer approved, disapproved and for which action is deferred.

If NARS approves the transfer, the Office of the National Archives sends SF 258 to the agency (or to the Federal records center if the records are in a center) with a transfer date and shipping or delivery.

If NARS disapproves the transfer, the Records Disposition Division returns SF 258 to the agency with suggestions for disposition of the records.

## ITEM 8, RECORDS RECEIVED:

After receipt of records by a NARS depository NARS will sign and return one copy of SF 258 to the agency.





REGIONAL SECURITY  
AFFAIRS

THE ASSISTANT SECRETARY OF DEFENSE

2400 DEFENSE PENTAGON  
WASHINGTON, DC 20301-2400



U-001/Defense POW/MIA Office  
Reference & Documents Division

Mr. Richard Wood  
Washington National Records Center  
4205 Suitland Rd  
Suitland, MD 20746

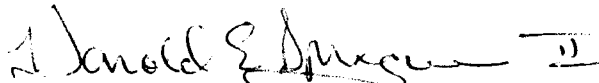
Dear Mr. Wood:

The material enclosed is forwarded in compliance with Presidential Executive Order 12812. The attached are copies of DoD originated documents or documents that contain DoD equities that presently reside in Department of State files.

In accordance with DoD policy we have not forwarded classified POW debriefings and other materials which remain classified to protect sensitive intelligence sources and methods. Materials have also been removed to comply with Privacy Act guidelines, such as medical and personnel records. The attached list of documents are those which are not being released for the aforementioned reasons.

Any questions concerning this matter can be directed to Mr. Rick Wagner (703) 908-2857.

Enclosures  
As stated

  
HAROLD E. SPRAGUE II  
Acting Chief,  
Defense POW/MIA Office  
Reference & Documents Division





ENCLOSURE

C0003  
C0024  
C0051  
C0092

C0005  
C0038  
C0052  
C0520

C0016  
C0039  
C0081

C0018  
C0042  
C0090



## ENCLOSURE

D1201	D1202	D1203	D1204
D1205	D1206	D1207	D1208
D1209	D1210	D1211	D1212
D1213	D1216	D1218	D1219
D1220	D1221	D1223	D1224
D1225	D1226	D1227	D1228
D1229	D1230	D1231	D1232
D1233	D1234	D1235	D1236
D1237	D1238	D1239	D1240
D1241	D1243	D1244	D1245
D1247	D1248	D1249	D1250
D1251	D1252	D1253	D1254
D1255	D1256	D1260	D1261
D1265	D1268	D1270	D1271
D1272	D1273	D1274	D1275
D1276	D1277	D1278	D1280
D1281	D1283	D1284	D1285
D1291	D1292	D1294	D1295
D1321	D1340	D1342	D1343
D1345	D1348	D1352	D1354
D1355	D1356	D1357	D1358
D1359	D1362	D1365	D1366
D1376	D1380	D1381	



## ENCLOSURE

D1401	D1402	D1408	D1420
D1421	D1425	D1426	D1428
D1429	D1430	D1431	D1432
D1439	D1441	D1443	D1444
D1446	D1447	D1449	D1450
D1451	D1452	D1453	D1454
D1455	D1456	D1459	D1460
D1461	D1462	D1463	D1464
D1465	D1466	D1467	D1468
D1469	D1470	D1471	D1472
D1473	D1474	D1475	D1476
D1477	D1478	D1479	D1480
D1481	D1482	D1483	D1484





## ENCLOSURE

D0603	D0607	D0608	D0609
D0613	D0616	D0623	D0627
D0628	D0629	D0630	D0631
D0632	D0635	D0645	D0654
D0655	D0656	D0657	D0658
D0659	D0660	D0661	D0662
D0663	D0664	D0665	D0666
D0667	D0668	D0669	D0670
D0671	D0672	D0674	D0680
D0682	D0695	D0696	D0698
D0699	D0700	D0701	D0702
D0703	D0704	D0713	D0714
D0715	D0716	D0717	D0718
D0734	D0735	D0736	D0737
D0738	D0739	D0740	D0741
D0742	D0743	D0744	D0745
D0746	D0754	D0755	D0756
D0757	D0759	D0760	D0761
D0766	D0776	D0784	D0785
D0786	D0787	D0788	D0789
D0790	D0791	D0792	D0796
D0797	D0800	D0801	D0802
D0805	D0810	D0811	D0815
D0818	D0819		



ENCLOSURE

D1606	D1610	D1618	D1619
D1620	D1621	D1622	D1623
D1624	D1625	D1627	D1628
D1629	D1630	D1631	D1632
D1633	D1634	D1635	D1636
D1637	D1638	D1639	D1640
D1641	D1642	D1643	D1644
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D1654	D1655	D1656	D1657
D1658	D1659	D1660	D1662
D1690	D1692	D1694	D1697
D1700	D1703	D1706	D1708
D1717	D1729	D1752	D1788
D1796			



D0299  
D0381

ENCLOSURE

D0373

D0376

D0377

August 5, 2022 Production 000645



ENCLOSURE

D2001  
D2005  
D2009  
D2013  
D2155

D2002  
D2006  
D2010  
D2014  
D2156

D2003  
D2007  
D2011  
D2054

D2004  
D2008  
D2012  
D2153





## ENCLOSURE

B0003	B0006	B0008	B0010
B0035	B0036	B0037	B0038
B0039	B0040	B0041	B0069
B0070	B0080	B0081	B0085
B0088	B0089	B0090	B0091
B0094	B0096	B0097	B0098
B0099	B0100	B0101	B0108
B0109	B0115	B0119	B0123
B0126	B0141	B0142	B0179
B0297	B0298	B0300	B0301
B0306	B0316	B0318	B0319
B0320	B0321	B0322	B0323
B0324	B0325	B0326	B0327
B0328	B0329	B0356	B0405



D1580  
D1512

D1513

D1515

D1526

ENCLOSURE

August 5, 2022 Production 000651

STATE.12



## ENCLOSURE

D0835	D0840	D0842	D0845
D0853	D0857	D0859	D0860
D0861	D0862	D0863	D0864
D0865	D0866	D0867	D0869
D0870	D0871	D0874	D0875
D0878	D0879	D0883	D0907
D0908	D0913	D0914	D0918
D0929	D0935	D0936	D0938
D0942	D0944	D0945	D0947
D0948	D0951	D0952	D0954
D0955	D0956	D0957	D0958
D0959	D0960	D0971	D0973
D0974	D0975	D0976	D0977
D0978	D0979	D0984	D0986
D0991	D0992	D0994	D0997
D1000			





Date : February 10, 1994  
Reply to :  
Attn of : NNTA *RA*  
Subject : Copies of POW/MIA records  
To : NNT  
NN

This is a request for guidance on the handling of materials that we have received or have been offered relating to POW/MIA matters. There are two groups of materials with which we are concerned.

Late in 1993, we received a SF 258 for State Department materials that were subsequently delivered to NNTA-S. What NNTA-S received was 40 cubic feet of electrostatic copies that appear to have been thrown into boxes with little attention to arrangement. No listing of any kind accompanied the copies. Now, Dick Wood has received a call from a Mr. Sprague of the Department of Defense, who says he has another 10 linear feet of copies that were referred to him by the State Department for declassification review. Mr. Sprague also says that State requested that, when the review was complete, the copies be sent to NSC (apparently because the copies were made for the Kerry Committee). However, when he contacted NSC, he was told to contact NNTA-S.

The other materials consist of copies of reports prepared by the POW/MIA Commission of which Acting N is a member. We have received a SF 258 and copies of commission reports from the Department of the Army from the period when Army provided administrative support to the commission. We have also received one four-page report (copy) with a SF 258 and several additional copies of reports from the Office of the Secretary of Defense, which took over administrative duties from Army. We have not received a SF 258 for the last group of copies.

Records officers at the Office of the Secretary of Defense have expressed concern regarding the transfer of these materials as records inasmuch as the originals are scheduled for transfer after 25 years. The same would be true of the State Department copies, after 30 years.

Are we to continue to accept these transfers as records or should the copies be treated as reference copies only? If the latter, should we cancel the SF 258 for the set we have already received from Army? Can we or should we accept the material Mr. Sprague has without a separate SF 258?





ROUTING AND TRANSMISSIONAL SLIP

Date

FEB 14 1994

TO: (Name, office symbol, room number, building, Agency/Post)

Initials

Date

- 1.
- 2.
- 3.
- 4.
- 5.

Action	File	Note and Return
Approval	For Clearance	Per Conversation
As Requested	For Correction	Prepare Reply
Circulate	For Your Information	See Me
Comment	Investigate	Signature
Coordination	Justify	

REMARKS

*see attachment*  
 Except for POW/MIA Commission records, NNT has not formally signed off on accepting the materials described in memo. We proposed cancelling all of the SF 258s and accepting these materials as reference copies provided NN approves. Do you agree?

DO NOT use this form as a RECORD of approvals, concurrences, disposals, clearances, and similar actions

FROM: (Name, org/symbol, Agency/Post)

*Let me know.*  
 NNT 

Room No.—Bldg.

407

Phone No.

202-208-7327

5041-102

☆ U.S.G.P.O. 1993 342-198/80014

OPTIONAL FORM 41 (Rev. 7-76)  
 Prescribed by GSA  
 FPMR (41 CFR) 101-11.206



**ROUTING AND TRANSMITTAL SLIP**

Date

2-15-94

TO: (Name, office symbol, room number, building, Agency/Post)	Initials	Date
1. G. Phillips UNT		
2.		
3.		
4.		
5.		

Action	File	Note and Return
Approval	For Clearance	Per Conversation
As Requested	For Correction	Prepare Reply
Circulate	For Your Information	See Me
Comment	Investigate	Signature
Coordination	Justify	

**REMARKS**

I agree with your proposal.  
*M. McKeen*

DO NOT use this form as a RECORD of approvals, concurrences, disposals, clearances, and similar actions

FROM: (Name, org. symbol, Agency/Post)	Room No.—Bldg.
	Phone No.

*M. McKeen*      *UNT*

5041-102

\* U.S. GPO: 1988 - 201-759

OPTIONAL FORM 41 (Rev. 7-76)  
 Prescribed by GSA  
 FPMR (41 CFR) 101-11.206



~~Ferry~~  
 Dick  
 Han

PTI

ROUTING AND TRANSMITTAL SLIP

Date 2/16/94

TO: (Name, office symbol, room number, building, Agency/Post)	Initials	Date
1. NN		
2.		
3.		
4.		
5.		

Action	File	Note and Return
Approval	For Clearance	Per Conversation
As Requested	For Correction	Prepare Reply
Circulate	For Your Information	See Me
Comment	Investigate	Signature
Coordination	Justify	

REMARKS

It appears that most of what we have received to date as result of the POW/MIA legislation are copies sent attached proposal (my note to NNT of 2/14) and the memo which prompted it. Jerry Phillips

DO NOT use this form as a RECORD of approvals, concurrences, disposals, clearances, and similar actions

FROM: (Name, org. symbol, Agency/Post)	Room No.—Bldg.
	Phone No.

NNT

5041-102  
 ☆ U.S.G.P.O. : 1993 342-198/80010

OPTIONAL FORM 41 (Rev. 7-76)  
 Prescribed by GSA  
 FPMR (41 CFR) 101-11.206



# National Archives



Washington, DC 20408

Date : **March 3, 1994**  
Reply to :  
Attn of :  
Subject : **NNT**  
To : **POW/MIA records**  
**NNTA**

In your memo of February 10, you requested guidance on handling materials relating to POW/MIA matters. Specifically, you wanted to know whether 40 cu. ft. of copies of POW-MIA related materials received unarranged from the State Department and an offer of additional POW-MIA State Department materials declassified by the Department of Defense could be treated as reference copies. The SF 258 covering the 40 cu. ft. would have to be cancelled.

You also asked whether copies of reports prepared by the POW-MIA Commission, copies of that commission's reports from the Department of the Army from the period when the Army provided administrative support to the commission, and a small number of pages of reports from the Office of the Secretary of Defense when it took over administrative duties from the Army with the Commission could be accepted as reference copies. You noted that OSD records officers had expressed concern regarding the transfer of the copies as records inasmuch as the originals are scheduled for transfer after 25 years. You indicated the same would hold true for the State Department records after 30 years.

Based on the information you provided, I recommended to NNR that we accept all of the above items as reference copies and cancel any SF 258s covering any portion of them. I forwarded your memo with my recommendation and NNR's concurrence to Acting NN for approval. He consulted with Acting N after which he responded that Acting N wished the materials accessioned.

Please place this memo in all accession dossiers prepared for the above items.

A handwritten signature in cursive script, appearing to read "Geraldine N. Phillips".

**GERALDINE N. PHILLIPS**  
Director  
Textual Projects Division





# National Archives



Washington, DC 20409

Date : March 8, 1994

Reply to  
Attn of : NNTA-S

Subject : Job NN3-59-94-1

To : Job Dossier

In addition to the 40 cubic feet of material transferred under the SF 258 transmitted from the State Department, the records in this job also include approximately 10 cubic feet of State generated material sent directly from the Department of Defense after review for DoD interest.

This job, which consists solely of electrostatically reproduced copies of records, was processed as an accession on the instructions of the Acting Archivist of the United States. The originals as of this date continue to be held by the Department of State.

*Richard E. Wood*

RICHARD E. WOOD  
Assistant Chief  
Archival Projects Branch  
Textual Projects Division



CHANGE OF STATUS RECORD	For NN Use	Unit	RG Number
Record Group Level		NNT	59
	Originator's Transaction Number	Total Number of Sheets Attached	
	NNTA-S-94-09	2	

STATISTICAL TRANSACTIONS (Enter code from table below in left column)

INCREASE	DECREASE	TRANSFER	X	OTHER TRANSACTION
1. ACCESSION	5. DISPOSAL	9. CHANGE IN PHYSICAL CUSTODY		11. RESERVED
2. ACCRETION	6. PERMANENT WITHDRAWAL	10. CHANGE OF RECORD GROUP		12. NEW RECORD GROUP
3. REMEASUREMENT	7. REMEASUREMENT	(enter RG nos. in unit of	X	13. INITIAL INPUT
4. OTHER (explain)	8. OTHER (explain)	physical custody)		14. CORRECTION/REVISION
				15. NA GUIDE NARRATIVE
				16. RESTRICTION STATEMENT
				17. PRIVACY STATEMENT
				18. CHNG IN FINDING AID CITATION
				19. CHNG IN LOCATION
			X	20. OTHER (explain)

VOLUME (M<sup>3</sup>)  
Check if Cu. Ft. X

ITEMS  
Number of Items

Trans CODE	No. of Sheets Attached	Unit of Physical Custody	+	-	This Change	After Change	Item CODE	This Change	After Change
1		NNTA-S	x		54				

TOTAL VOLUME AFTER CHANGE \_\_\_\_\_  
To be completed by NN-E

REMARKS

Accession NN3-059-094-001

Attached is one X-A form describing the series "Records Relating to POW/MIA Affairs, 1965-1992"

Request NN-E to create a level in the NARS A-1 system for: "Records described after July 1, 1987"

20. Cubic footage reported here is greater than that on SF 258 due to the return of the DOD records which had been withdrawn for DOD review and declassification.

*A COPY HAS BEEN FURNISHED TO VNTR FOR THE MLR.*

REVIEWS AND APPROVALS

FOR NN-E USE

1. Prepared by Tim Willard	3. Reviewed by <i>[Signature]</i>	5. Reviewed by	7. ARCON Division	Reviewed
Unit NNTA-S	Date 3/3/94	Unit NNTA	Date 3-994	Unit Date
2. Reviewed by <i>[Signature]</i>	4. Reviewed by	6. Reviewed by	8. Approved by	Posted
Unit NNTA-S	Date 3/8/94	Unit Date	Unit Date	Unit Date

NA 14044



Format X-A

1. Record Group Number: 59
3. Records Unit Title: RECORDS RELATING TO POW/MIA AFFAIRS
4. General Materials Designator(s): TEX
5. Linear Measurement: 54 lin. ft.
6. Item Count:
7. Bulk Dates: 1965-1992
8. Arrangement Statement: Arranged into five tentative series:
  - 1) RECORDS OF FRANK A. SIEVERTS, SPECIAL ASSISTANT FOR POW/MIA AFFAIRS, 1966-1976, arranged by Lot File and box numbers (the files are not in sequential order) These records appear to be duplicated in RG 46, SELECT COMMITTEE ON POW/MIA AFFAIRS, "Selected Records of Frank A. Sieverts".
  - 2) POW/MIA TELEGRAMS, 1973-1992, arranged chronologically and numbered sequentially. These records appear to be duplicated in the RG 46 series "Washington SOG TWX Traffic, 1973-1992".
  - 3) PUBLIC ACCESS DOCUMENTS, 1965-1992, arranged by document number (the files are not in sequential order);
  - 4) PARIS PEACE ACCORD DOCUMENTS, 1968-1972, arranged by Lot File and box number (the files are not in sequential order). These files appear to be duplicated in the RG 46 series "Records of the Paris Peace Accords Team."
  - 5) DEPARTMENT OF DEFENSE DOCUMENTS, 1965-1992, arranged by Lot File and box number or by document number (the files are not in sequential order.)
- 9a. National Security Classification Status: Declassified
- 9b. National Security Classification Level:
10. Other Access Restriction:
11. Privacy Act System Status:
- 12a/b. Creating Organizational Unit/Individual's Name: ~~Special Collection~~ *Freedom of Information/Privacy Office*
23. Finding Aids:
24. NN Accession Number/NNL Transaction Number: NN3-059-094-001



28. Local ID/Congress(es):

29. Location: WNRC 05/15/29/02 THRU 05/15/31/04

Container  
Count/Type: 54 FRC-S

30. Processing Unit: NNTA-S

35. Number of Component Series: MS

36. Priority for Series Description: II

37. Processing Comments:

Arrangement and Description:

Series one, two and four should be compared with the parallel series in RG 46 to determine if they are exact duplicates. In both RGs the documents are copies of State Department documents. Since all of these documents are copies, not originals, the series in this accession could be discarded if they duplicate those in RG 46.

In series one and four, the documents have been stamped with the lot file and box number so that cross checking should be possible.

Series two is in chronological order and has been numbered consecutively. It appears nearly certain that this is an exact duplicate of the series in RG 46.

The documents in the third series are stamped with the document number that is in the form SR-##-##-##. I could find no similar records in the RG 46 files.

Series five contains DOD documents withdrawn from the Sieverts collection and from the Public Access documents for DOD review. The documents are stamped either with a lot file and box number, or with a SR document number. They should be interfiled with series one and three and then cross checked with the RG 46 series to determine if they are duplicates.

38. Cubic Measurement: 54 cubic feet

PD. Preparer and Date: Tim Willard 3/4/94





MMF

REQUEST TO TRANSFER, APPROVAL, AND RECEIPT OF RECORDS TO NATIONAL ARCHIVES OF THE UNITED STATES (See Instructions on reverse)

LEAVE BLANK

RG 59

Table with columns: UNIT, DATE RECEIVED, UNIT, DATE RECEIVED, JOB NUMBER (NN3-059-095-079)

1. TYPE OF ACTION (B. TRANSFER OF SCHEDULED RECORDS), 2. TO (B. NATIONAL ARCHIVES NIR), 3. UNIT THAT CREATED THE RECORDS (DEPARTMENT OF STATE), 4. CURRENT LOCATION OF RECORDS (WNRC ACCESSIONS:059-83-0007), 5. RECORDS DATA

A. DESCRIPTION OF RECORDS (SF 135 ATTACHED, SEPARATE SHEET(S) ATTACHED), Description: BD OF FOR SERV PROMOTION FILES, Box Numbers: 195601 197312, NCWA Control No.: 05910060

(Attachment)

B. EST. VOLUME (2.000 cu. ft., 0.06 cu. mtr.), C. ARE RECORDS SUBJECT TO PRIVACY ACT? (STATE-31, V1.40, pp.45758-59), D. SPECIFIC RESTRICTIONS TO BE IMPOSED, F. AGENCY REMARKS: NARA may apply approved agency and General Records Schedules to destroy disposable records during archival processing.

6. STATEMENT OF AGENCY REPRESENTATIVE: The records described above and on the attached pages are hereby offered for deposit with the National Archives of the United States in accordance with 44 U.S.C. 2103.

A. SIGNATURE OF AGENCY REPRESENTATIVE (Kenneth F. Rossman), B. TITLE (Records Ofcr, USDOS), C. MAILING ADDRESS (U.S. Department of State, Washington, DC 20520), D. DATE (2/15/95)

7. ACTION TAKEN BY NATIONAL ARCHIVES AND RECORDS SERVICE, GENERAL SERVICES ADMINISTRATION. Table with columns: A. ACTION, B. NARS CONCURRENCES (INITIALS, UNIT, DATE), C. FOR NARS USE ONLY.

D. NARS REMARKS REGARDING DISPOSITION/SHIPPING: N 660022

E. SIGNATURE OF NARS AUTHORIZED REPRESENTATIVE (Genevieve Paul), F. TITLE (Textual Projects Division), G. DATE (5/17/95)

8. RECORDS RECEIVED NARS DEPOSITORY (7/17/2001), B. SIGNATURE (Anthony Santop), C. TITLE (LHM 444-A)

August 5, 2022 Production 000673

# INSTRUCTIONS

This form may be initiated by either the transferring agency or a Federal records center.

## INITIATED BY AGENCY:

Agency completes items 1 through 6 (see specific instructions below). Item 6 must be signed and dated. Send original and 4 copies to the appropriate address in item 2 sixty days before planned date of transfer.

## INITIATED BY FEDERAL RECORDS CENTER:

Federal records center completes items 1, 2, 3A-F, if known, 4B, 5A and B, D and E and sends original and 3 copies to transferring agency records officer.

Agency completes/corrects items 3, 5A, 5C-F, and 6. Item 6 must be signed and dated. Agency sends original and 3 copies to the address indicated in item 2 sixty days before planned date of transfer.

## ITEM 1 TYPE OF ACTION:

If 1B is checked, a reference to the records control schedule number or NARS appraisal job number must be included in 5E. (Item 1B may be checked for unscheduled records if an appropriate appraisal job is cited to reflect an accretion according to prior evaluation of the series).

## ITEM 2, TO:

When 1B is checked, requests are sent to the National Archives (NNA) unless the records control schedule or appraisal job specifies a regional archives or Presidential library.

When 2C is checked, include in that block address (number and street, city, State, and zip code) of the regional archives branch being offered the records.

## ITEM 3, UNIT THAT CREATED THE RECORDS:

Fully identify the agency, subdivision, and unit that created or originated the records (not the agency records office). If this is not possible, or a successor unit or agency is transferring the records, then explain under Agency Remarks, item 5F.

## ITEM 4, CURRENT LOCATION OF RECORDS:

Identify the agency location or the particular records center in which the records are located and provide FRC accession number. The stack location in the center may also be provided.

## ITEM 5, RECORDS DATA:

5A. Describe the records. If the records are in a Federal records center, attach SF 135. Arrangement statement must be provided and enough description to substantiate responses in items 5B (with volume for each item) 5C and 5D.

5B. Estimated volume may be indicated in either cubic feet or cubic meters.

5C. Privacy Act notices must be cited for records subject to the Privacy Act (5 U.S.C. 552a) and should be attached.

5D. Specific restrictions must be fully justified and may not violate the Freedom of Information Act (5 U.S.C. 552).

5E. If the records have previously been scheduled in a records control schedule, the schedule and item number must be cited, and the schedule itself may be attached. If the records are not scheduled but a part of the series has been appraised as permanent in the past and transferred to a NARS depository, then cite the appraisal job number as authority and treat as a scheduled offer.

## ITEM 6, STATEMENT OF AGENCY REPRESENTATIVE:

Signature and title of agency records officer is placed here and normally is not the name or office given in item 3.

## ITEM 7, ACTION TAKEN BY NARS:

NARS will indicate approval and provide shipping or delivery instructions, or disapproval and recommend appropriate disposition of the records. NARS will indicate quantity of offer approved, disapproved and for which action is deferred.

If NARS approves the transfer, the Office of the National Archives sends SF 258 to the agency (or to the Federal records center if the records are in a center) with a transfer date and shipping or delivery.

If NARS disapproves the transfer, the Records Disposition Division returns SF 258 to the agency with suggestions for disposition of the records.

## ITEM 8, RECORDS RECEIVED:

After receipt of records by a NARS depository NARS will sign and return one copy of SF 258 to the agency.

**RECORDS TRANSMITTAL  
AND RECEIPT**  
(Continuation)

This form is to continue listing of Records Data when space on SF 135 is not adequate. Instructions for completion of SF 135 apply.

TRANSFERRING AGENCY'S NAME

05910060

Department of State

DATE

10/19/82

PAGE OF

3 6

PAGES

ACCESSION NUMBER			VOLUME (cu. ft.)	AGENCY BOX NUMBERS	SERIES DESCRIPTION (With inclusive dates of records)	RESTRICTION	DISPOSAL AUTHORITY (Schedule and item number)	DISPOSAL DATE	COMPLETED BY RECORDS CENTER			
RG	FY	NUMBER							LOCATION	SHELF PLAN	CONT. TYPE	AUTO. DISP.
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)
* 59	83	0007	2		Lot 77 D 245 D/BFS	S	RMH/A/030303a	Retain p94	03/65:29-3.4	S	A	W
				1 - 2	Board of the Foreign Service Precept and Promotion List Files, 1956 - 1973.							
59	83	0008	3		Lot 77 D 247 D/BFS	S	RMH/A/030309	Retain p96	03/21:40-1.6	S	A	W
				1 - 3	Employee-Management Relations Commission Case Files filed by number/chronologically 1972-1975.							
59	83	0009	37		Lot 79 D 317 HA/POW/MIA	S	NC1-59-80-10 Item 1	Retain p207	03/21:56-1.3	S	A	W
				1 - 37	Prisoner of War and Missing in Action Files 1966 thru 1976.							
59	83	0010	6		Lot 80 D 223 HA/POW/MIA	S	NC1-59-80-10 Item 1	Retain p209	03/21:40-2.3	S	A	W
				1 - 6	Prisoner of War and Missing in Action Files 1966 thru 1978.							
<del>59</del>	<del>83</del>	<del>0011</del>	<del>7</del>		<del>Lot 79 D 63 M</del>	<del>S</del>	<del>RMH/A/020002</del>	<del>Retain</del>				
				<del>1 - 7</del>	<del>Policy and Procedural Files of the Deputy Under Secretary for Management (filed chronologically but not duplicated in other files) 1969-1977.</del>		<del>Unscheduled - UNRC cannot accept</del>					
✓ 59	83	0012	13		Lot 81 D 331 M/DG/PER	S	RMH/A/041201(b) 04126B	1/1/92	01/51:45-3.4	S	A	W
				1 - 13	Inactive Foreign Service grievance case files, consisting of all papers accumulated in connection with any informal agency grievance files under the provisions of 3 FAM 664.4, as well as administrative reviewed, 1974-1981.							



CHANGE OF STATUS RECORD	For NN Use	Unit	RG Number
Record Group Level		NWMD	59
	Originator's Transaction Number	Total Number of Sheets Attached	
	NWMDC-A-01-601		

STATISTICAL TRANSACTIONS (Enter code from table below in left column)

INCREASE	DECREASE	TRANSFER	X	OTHER TRANSACTION
1. ACCESSION	5. DISPOSAL	9. CHANGE IN PHYSICAL CUSTODY		11. RESERVED
2. ACCRETION	6. PERMANENT WITHDRAWAL	10. CHANGE OF RECORD GROUP		12. NEW RECORD GROUP
3. REMEASUREMENT	7. REMEASUREMENT	(enter RG numbers, in unit of	13	13. INITIAL INPUT
4. OTHER (explain)	5. OTHER (explain)	physical custody)		14. CORRECTION/REVISION
				15. NA GUIDE NARRATIVE
				16. RESTRICTION STATEMENT
				17. PRIVACY STATEMENT
				18. CHANGE IN FINDING AID CITATION
				19. CHANGE IN LOCATION
				20. OTHER (explain)

VOLUME (M<sup>3</sup>)  
Check if Cubic feet   x  

ITEMS  
Number of Items

Trans Code	No. of Sheets Attached	Unit of Physical Custody	+	-	This Change	After Change	Item Code	This Change	After Change
1		NWCTC	+		2.154				

TOTAL VOLUME AFTER CHANGE \_\_\_\_\_  
To be completed by NN-E

REMARKS: P-95

NN3-059-95-079

Series Title: FOREIGN SERVICE BOARD PROMOTION FILES, 1956-1973 LOT 77D245. (CLASSIFIED)

Records are unprocessed.

REVIEWS AND APPROVALS

FOR NN-E USE

1. Prepared by James R. Mathis	3. Reviewed by	5. Reviewed by	7. ARCON Division <i>Jeanne Schaub</i>	Reviewed
Unit NWMDC-A	Unit	Unit	Unit NWMD	
Date 02/26/01	Date	Date	Date 7/18/01	
2. Reviewed by <i>Christy Lantz</i>	4. Reviewed by	6. Reviewed by	8. Approved by	Posted
Unit NWMDC-A	Unit	Unit	Unit	
Date 7/17/01	Date	Date	Date	

NA 14044



RG: 001519

SECURITY-CLASSIFICATION: T S C K U D N

TITLE, IF ANY, AS IT APPEARS ON THE CONTAINER:

Board of the Foreign Service Precept and Promotion List Files, 1956-1973. Lot 770245 (UN3-59-95-079)

DESCRIBED IN FINDING AID: A11-1-1-1-1

ENTRY NO.: 51457 PREFIX SUFFIX

ACCESSION (FRC) NO.: 83-0007

ENTRY-NO.-FLAG:

1BLDG: NAIIA
BEGIN LOC: 0671591031
CONTAINER TYPE: FRC-5

STACK: 631
END LOC: 0671591031
NO. OF CONTAINERS: 2

B# 1 E# 2

2BLDG:
BEGIN LOC:
CONTAINER TYPE:

STACK:
END LOC:
NO. OF CONTAINERS:

B# E#

3BLDG:
BEGIN LOC:
CONTAINER TYPE:

STACK:
END LOC:
NO. OF CONTAINERS:

B# E#

MICROFILMED: Y NP M# T# OTHER#
GENERAL MATERIALS DESIGNATOR: TEX BND ARF OTHER
OVERSIZE: Y
MOVE REQUIREMENTS: NB L V
NEW LOCATION REQUIREMENTS: STD O-HT O-D O-W OTHER

COMMENTS:

P-95

STAFF: JRM

DATE: 0212161011

TYPE OF RECORD: SE,

NN JOB NO.: NN3-059-095-079

INTERNAL DISPOSAL? A N P

REGIONALIZED? A N P

REVIEWER INITIALS:

DATE OF REVIEW:





Foreign Service

RG: 59 ID # (MLR, NAL, Acc., etc. as applicable) UN3-59-95-79 Entry#/Series/Collection (as applicable): 1956-1973 Total cub.ft. 2,154  
Container Type: (Code) PRC-5 # containers / # volumes / # items: 2 / / Media Type (Code): Text Format:  
Other Mapcase storage: Frame Storage: Current location: (building/stack/row/comp/shelf) A2 1 631 1 67 1 59 1 03

PART 1. CONDITION ASSESS'T--Select a Condition Box, check all categories that apply; go to Part 2 A,B, or C (Risk) as directed in parentheses (use highest code given: C>B>A):

Table with 3 columns: GOOD CONDITION (textual records only), MED. CONDITION (textual, unstable, non-text. records), POOR CONDITION (text., unstable, non-text. records). Rows include Low Use, Moderate Use, High Use, and Current housing status for each category.

PART 2. RISK LEVEL ASSESSMENT--Select a Risk Box as directed above, check all categories that apply; go to Part 4 (PN#s) as directed in parentheses:

Table with 3 columns: A LOW RISK (No preservation action AT THIS TIME. Increased use or deterioration may require future re-evaluation for risk level, preservation needs, or security. (also, part 4, PNS)), B MED. RISK (Schedule for preservation after High Risk records), C HIGH RISK (Prioritize for preservation in risk level order). Rows list various risk factors like mold, housing condition, and record stability.

PART 3. OVERALL RISK LEVEL ASSESSMENT SCORE (Mark the risk level used in Part 2; If there is also risk for immediate loss and/or a security risk, mark those boxes, too)

LOW (A) / MEDIUM (B) / HIGH (C) / RISK FOR IMMEDIATE LOSS / SECURITY RISK (risk of loss to theft/vandalism)

PART 4. PRESERVATION NEEDS (PN)

(Mark all that apply; Use PN7 to clarify or to specify an unlisted need; Indicate % of records or numbers containers/items etc., where appropriate) Table with 8 rows for various preservation needs like Holdings Maint., Cool storage, Microfilming, Copying, etc.

PART 5. RECORDS AT RISK FOR IMMEDIATE LOSS (Indicate one preservation need checked above in Part 4 that must be acted upon to prevent immediate loss.):

ASSESSMENT BY: [Signature] UNIT NW 4122 August 5, 2022 Production 000681

